

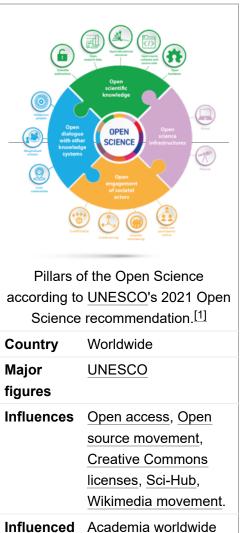
Open science

Open science is the movement to make scientific research (including publications, data, physical samples, and software) and its <u>dissemination accessible</u> to all levels of society, amateur or professional. [2][3] Open science is transparent and accessible <u>knowledge that is shared</u> and developed through <u>collaborative networks</u>. [4] It encompasses practices such as publishing <u>open research</u>, campaigning for <u>open access</u>, encouraging scientists to practice <u>open-notebook science</u> (such as openly sharing data and code^[5]), broader dissemination and engagement in science^[6] and generally making it easier to publish, access and communicate <u>scientific knowledge</u>.

Usage of the term varies substantially across disciplines, with a notable prevalence in the <u>STEM</u> disciplines. **Open research** is often used quasi-synonymously to address the gap that the denotion of "science" might have regarding an inclusion of the Arts, Humanities and Social Sciences. The primary focus connecting all disciplines is the widespread uptake of new technologies and tools, and the underlying ecology of the production, dissemination and reception of knowledge from a research-based point-of-view. [7][8]

As Tennant et al. (2020)^[9] note, the term open science "implicitly seems only to regard 'scientific' disciplines, whereas **open scholarship** can be considered to include research from the Arts and Humanities,^{[10][11]} as well as the different roles and practices that researchers perform as

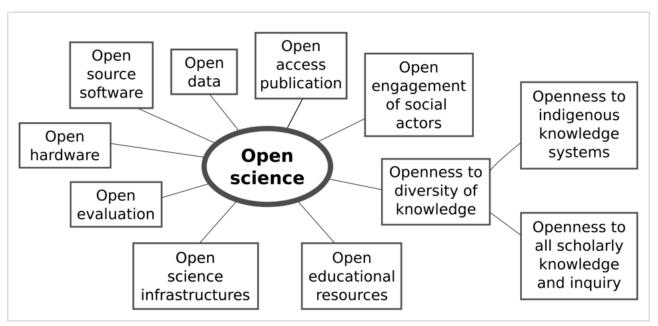
Open science



educators and communicators, and an underlying open philosophy of sharing knowledge beyond research communities."

Open science can be seen as a continuation of, rather than a revolution in, practices begun in the 17th century with the advent of the <u>academic journal</u>, when the societal demand for access to scientific knowledge reached a point at which it became necessary for groups of scientists to share resources^[12] with each other.^[13] In modern times there is debate about the extent to which scientific information should be shared.^{[14][5]} The conflict that led to the Open Science movement is between the desire of scientists to have access to shared resources versus the desire of individual entities to profit when other entities partake of their resources.^[15] Additionally, the status of <u>open access</u> and resources that are available for its promotion are

Principles



Open science elements based on UNESCO presentation of 17 February 2021. This depiction includes indigenous science.

The six principles of open science are: [17]

- Open methodology
- Open source
- Open data
- Open access
- Open peer review
- Open educational resources

Background

Science is broadly understood as collecting, analyzing, publishing, reanalyzing, critiquing, and reusing data. Proponents of open science identify a number of barriers that impede or dissuade the broad dissemination of scientific data. These include financial paywalls of for-profit research publishers, restrictions on usage applied by publishers of data, poor formatting of data or use of proprietary software that makes it difficult to re-purpose, and cultural reluctance to publish data for fears of losing control of how the information is used. [5][18][19]

According to the FOSTER taxonomy^[20] Open science can often include aspects of <u>Open access</u>, <u>Open data</u> and the <u>open source movement</u> whereby modern science requires software to process data and information.^{[21][22]} ^[23] Open research computation also addresses the problem of

reproducibility of scientific results.

Types

The term "open science" does not have any one fixed definition or operationalization. On the one hand, it has been referred to as a "puzzling phenomenon". [24] On the other hand, the term has been used to encapsulate a series of principles that aim to foster scientific growth and its complementary access to the public. Two influential sociologists, Benedikt Fecher and Sascha Friesike, have created multiple "schools of thought" that describe the different interpretations of the term. [25]

According to Fecher and Friesike 'Open Science' is an umbrella term for various assumptions about the development and dissemination of knowledge. To show the term's multitudinous perceptions, they differentiate between five Open Science schools of thought:

Infrastructure School

The infrastructure school is founded on the assumption that "efficient" research depends on the availability of tools and applications. Therefore, the "goal" of the school is to promote the creation of openly available platforms, tools, and services for scientists. Hence, the infrastructure school is concerned with the technical infrastructure that promotes the development of emerging and developing research practices through the use of the internet, including the use of software and applications, in addition to conventional computing networks. In that sense, the infrastructure school regards open science as a technological challenge. The infrastructure school is tied closely with the notion of "cyberscience", which describes the trend of applying information and communication technologies to scientific research, which has led to an amicable development of the infrastructure school. Specific elements of this prosperity include increasing collaboration and interaction between scientists, as well as the development of "open-source science" practices. The sociologists discuss two central trends in the infrastructure school:

- 1. <u>Distributed computing</u>: This trend encapsulates practices that outsource complex, process-heavy scientific computing to a network of volunteer computers around the world. The examples that the sociologists cite in their paper is that of the <u>Open Science Grid</u>, which enables the development of large-scale projects that require high-volume data management and processing, which is accomplished through a distributed computer network. Moreover, the grid provides the necessary tools that the scientists can use to facilitate this process. [26]
- 2. Social and Collaboration Networks of Scientists: This trend encapsulates the development of software that makes interaction with other researchers and scientific collaborations much easier than traditional, non-digital practices. Specifically, the trend is focused on implementing newer Web 2.0 tools to facilitate research related activities on the internet. De Roure and colleagues (2008)^[27] list a series of four key capabilities which they believe define a Social Virtual Research Environment (SVRE):

- The SVRE should primarily aid the management and sharing of research objects. The authors define these to be a variety of digital commodities that are used repeatedly by researchers.
- Second, the SVRE should have inbuilt incentives for researchers to make their research objects available on the online platform.
- Third, the SVRE should be "open" as well as "extensible", implying that different types of digital artifacts composing the SVRE can be easily integrated.
- Fourth, the authors propose that the SVRE is more than a simple storage tool for research information. Instead, the researchers propose that the platform should be "actionable". That is, the platform should be built in such a way that research objects can be used in the conduct of research as opposed to simply being stored.

Measurement school

The measurement school, in the view of the authors, deals with developing alternative methods to determine <u>scientific impact</u>. This school acknowledges that measurements of scientific impact are crucial to a researcher's reputation, <u>funding</u> opportunities, and career development. Hence, the authors argue, that any discourse about Open Science is pivoted around developing a robust measure of scientific impact in the digital age. The authors then discuss other research indicating support for the measurement school. The three key currents of previous literature discussed by the authors are:

- The peer-review is described as being time-consuming.
- The impact of an article, tied to the name of the authors of the article, is related more to the circulation of the journal rather than the overall quality of the article itself.
- New publishing formats that are closely aligned with the philosophy of Open Science are rarely found in the format of a journal that allows for the assignment of the impact factor.

Hence, this school argues that there are faster impact measurement technologies that can account for a range of publication types as well as social media web coverage of a scientific contribution to arrive at a complete evaluation of how impactful the science contribution was. The gist of the argument for this school is that hidden uses like reading, bookmarking, sharing, discussing and rating are traceable activities, and these traces can and should be used to develop a newer measure of scientific impact. The umbrella jargon for this new type of impact measurements is called altmetrics, coined in a 2011 article by Priem et al., (2011). [28] Markedly, the authors discuss evidence that altmetrics differ from traditional webometrics which are slow and unstructured. Altmetrics are proposed to rely upon a greater set of measures that account for tweets, blogs, discussions, and bookmarks. The authors claim that the existing literature has often proposed that altmetrics should also encapsulate the scientific process, and measure the process of research and collaboration to create an overall metric. However, the authors are explicit in their assessment that few papers offer methodological details as to how to accomplish this. The authors use this and the general dearth of evidence to conclude that research in the area of altmetrics is still in its infancy.

Public School

According to the authors, the central concern of the school is to make science accessible to a wider audience. The inherent assumption of this school, as described by the authors, is that the newer communication technologies such as <u>Web 2.0</u> allow scientists to open up the research process and also allow scientist to better prepare their "products of research" for interested non-experts. Hence, the school is characterized by two broad streams: one argues for the access of the research process to the masses, whereas the other argues for increased access to the scientific product to the public.

- Accessibility to the Research Process: Communication technology allows not only for the constant documentation of research but also promotes the inclusion of many different external individuals in the process itself. The authors cite <u>citizen science</u> the participation of non-scientists and amateurs in research. The authors discuss instances in which gaming tools allow scientists to harness the brain power of a volunteer workforce to run through several permutations of protein-folded structures. This allows for scientists to eliminate many more plausible protein structures while also "enriching" the citizens about science. The authors also discuss a common criticism of this approach: the amateur nature of the participants threatens to pervade the scientific rigor of experimentation.
- Comprehensibility of the Research Result: This stream of research concerns itself with making research understandable for a wider audience. The authors describe a host of authors that promote the use of specific tools for scientific communication, such as microblogging services, to direct users to relevant literature. The authors claim that this school proposes that it is the obligation of every researcher to make their research accessible to the public. The authors then proceed to discuss if there is an emerging market for brokers and mediators of knowledge that is otherwise too complicated for the public to grasp.

Democratic school

The democratic school concerns itself with the concept of <u>access to knowledge</u>. As opposed to focusing on the accessibility of research and its understandability, advocates of this school focus on the access of products of research to the public. The central concern of the school is with the legal and other obstacles that hinder the access of research publications and scientific data to the public. Proponents assert that any research product should be freely available. and that everyone has the same, equal right of access to knowledge, especially in the instances of state-funded experiments and data. Two central currents characterize this school: Open Access and Open Data.

- Open Data: Opposition to the notion that publishing journals should claim copyright over experimental data, which prevents the re-use of data and therefore lowers the overall efficiency of science in general. The claim is that journals have no use of the experimental data and that allowing other researchers to use this data will be fruitful. Only a quarter of researchers agree to share their data with other researchers because of the effort required for compliance.
- Open Access to Research Publication: According to this school, there is a gap between the creation and sharing of knowledge. Proponents argue that even though scientific knowledge doubles every 5 years, access to this knowledge remains limited. These proponents consider access to knowledge as a necessity for human development, especially in the

economic sense.

Pragmatic School

The pragmatic school considers Open Science as the possibility to make knowledge creation and dissemination more efficient by increasing the collaboration throughout the research process. Proponents argue that science could be optimized by modularizing the process and opening up the scientific value chain. 'Open' in this sense follows very much the concept of open innovation.

[29] Take for instance transfers the outside-in (including external knowledge in the production process) and inside-out (spillovers from the formerly closed production process) principles to science.

[30] Web 2.0 is considered a set of helpful tools that can foster collaboration (sometimes also referred to as Science 2.0). Further, citizen science is seen as a form of collaboration that includes knowledge and information from non-scientists. Fecher and Friesike describe data sharing as an example of the pragmatic school as it enables researchers to use other researchers' data to pursue new research questions or to conduct data-driven replications.

History

The widespread adoption of the institution of the <u>scientific journal</u> marks the beginning of the modern concept of open science. Before this time societies pressured scientists into secretive behaviors.

Before journals

Before the advent of scientific journals, scientists had little to gain and much to lose by publicizing scientific discoveries. [31] Many scientists, including Galileo, Kepler, Isaac Newton, Christiaan Huygens, and Robert Hooke, made claim to their discoveries by describing them in papers coded in anagrams or cyphers and then distributing the coded text. [31] Their intent was to develop their discovery into something off which they could profit, then reveal their discovery to prove ownership when they were prepared to make a claim on it. [31]

The system of not publicizing discoveries caused problems because discoveries were not shared quickly and because it sometimes was difficult for the discoverer to prove priority. Newton and Gottfried Leibniz both claimed priority in discovering calculus. [31] Newton said that he wrote about calculus in the 1660s and 1670s, but did not publish until 1693. [31] Leibniz published "Nova Methodus pro Maximis et Minimis", a treatise on calculus, in 1684. Debates over priority are inherent in systems where science is not published openly, and this was problematic for scientists who wanted to benefit from priority.

These cases are representative of a system of aristocratic <u>patronage</u> in which scientists received funding to develop either immediately useful things or to entertain. [13] In this sense, funding of science gave prestige to the patron in the same way that funding of artists, writers, architects, and philosophers did. [13] Because of this, scientists were under pressure to satisfy the desires of their patrons, and discouraged from being open with research which would bring prestige to

Emergence of academies and journals

Eventually the individual patronage system ceased to provide the scientific output which society began to demand. Single patrons could not sufficiently fund scientists, who had unstable careers and needed consistent funding. The development which changed this was a trend to pool research by multiple scientists into an academy funded by multiple patrons. In 1660 England established the Royal Society and in 1666 the French established the French Academy of Sciences. Set Between the 1660s and 1793, governments gave official recognition to 70 other scientific organizations modeled after those two academies. In 1665, Henry Oldenburg became the editor of Philosophical Transactions of the Royal Society, the first academic journal devoted to science, and the foundation for the growth of scientific publishing. By 1699 there were 30 scientific journals; by 1790 there were 1052. Since then publishing has expanded at even greater rates.

Popular Science Writing

The first popular science periodical of its kind was published in 1872, under a suggestive name that is still a modern portal for the offering science journalism: Popular Science. The magazine claims to have documented the invention of the telephone, the phonograph, the electric light and the onset of automobile technology. The magazine goes so far as to claim that the "history of Popular Science is a true reflection of humankind's progress over the past 129+ years". [36] Discussions of popular science writing most often contend their arguments around some type of "Science Boom". A recent historiographic account of popular science traces mentions of the term "science boom" to Daniel Greenberg's Science and Government Reports in 1979 which posited that "Scientific magazines are bursting out all over. Similarly, this account discusses the publication Time, and its cover story of Carl Sagan in 1980 as propagating the claim that popular science has "turned into enthusiasm". [37] Crucially, this secondary account asks the important question as to what was considered as popular "science" to begin with. The paper claims that any account of how popular science writing bridged the gap between the informed masses and the expert scientists must first consider who was considered a scientist to begin with.

Collaboration among academies

In modern times many academies have pressured researchers at publicly funded universities and research institutions to engage in a mix of sharing research and making some technological developments proprietary. Some research products have the potential to generate commercial revenue, and in hope of capitalizing on these products, many research institutions withhold information and technology which otherwise would lead to overall scientific advancement if other research institutions had access to these resources. It is difficult to predict the potential payouts of technology or to assess the costs of withholding it, but there is

general agreement that the benefit to any single institution of holding technology is not as great as the cost of withholding it from all other research institutions. [15]

Coining of term "Open Science"

Although <u>Steve Mann</u> claims to have coined the term "Open Science" in 1998 (at which time he also registered the domain name openscience.com and openscience.org, which he sold to degruyter.com in 2011), it was actually first used in a manner that refers to today's 'open science' norms by <u>Daryl E. Chubin (https://www.aip.org/aip/assembly/daryl-chubin)</u> in his essay "Open Science and Closed Science: Tradeoffs in a Democracy". [38][39][40] Chubin's essay was basically a revisiting of <u>Robert K. Merton</u>'s 1942 proposal of what we now refer to as <u>Mertonian Norms</u> for ideal science practices and scientific modes of communication. [41] The term was used sporadically in the 1970s and 1980s in various scholarship to refer to different things, but clearly Steve Mann does not deserve credit for inventing this term or the movement leading to its adoption.

Internet and the free access to scientific documents

The open science movement, as presented in activist and institutional discourses at the beginning of the 21st century, refers to different ways of opening up science, especially in the Internet age. Its first pillar is free access to scientific publications. The Budapest conference organised by the Open Society Foundations in 2001 was decisive in imposing this issue on the political landscape. The resulting declaration calls for the use of digital tools such as open archives and open access journals, free of charge for the reader. [42]

The idea of open access to scientific publications quickly became inseparable from the question of free licenses to guarantee the right to disseminate and possibly modify shared documents, such as the <u>Creative Commons</u> licenses, created in 2002. In 2011, a new text from the Budapest Open Initiative explicitly refers to the relevance of the CC-BY license to guarantee free dissemination and not only free access to a scientific document. [43]

The openness promise by the Internet is then extended to research data, which underpins scientific studies in different disciplines, as mentioned already in the <u>Berlin Declaration</u> in 2003. In 2007, the <u>Organisation for Economic Co-operation and Development</u> (OECD) published a report on access to publicly funded research data, in which it defined it as the data that validates research results. [44]

Beyond its democratic virtues, open science aims to respond to the replication crisis of research results, notably through the generalization of the opening of data or <u>source code</u> used to produce them or through the dissemination of methodological articles. [45]

The open science movement inspired several regulatory and legislative measures. Thus, in 2007, the <u>University of Liège</u> made the deposit of its researchers' publications in its institutional open repository (Orbi) compulsory. The next year, the NIH Public Access Policy adopted a

similar mandate for every paper funded by the National Institutes of Health. In France, the law for a digital Republic enacted in 2016 creates the right to deposit the validated manuscript of a scientific article in an open archive, with an embargo period following the date of publication in the journal. The law also creates the principle of reuse of public data by default. [46]

Politics

In many countries, governments fund some science research. Scientists often publish the results of their research by writing articles and donating them to be published in scholarly journals, which frequently are commercial. Public entities such as universities and libraries subscribe to these journals. Michael Eisen, a founder of the Public Library of Science, has described this system by saying that "taxpayers who already paid for the research would have to pay again to read the results." [47]

In December 2011, some United States legislators introduced a bill called the Research Works Act, which would prohibit federal agencies from issuing grants with any provision requiring that articles reporting on taxpayer-funded research be published for free to the public online. Darrell Issa, a co-sponsor of the bill, explained the bill by saying that "Publicly funded research is and must continue to be absolutely available to the public. We must also protect the value added to publicly funded research by the private sector and ensure that there is still an active commercial and non-profit research community." One response to this bill was protests from various researchers; among them was a boycott of commercial publisher Elsevier called The Cost of Knowledge.

The Dutch <u>Presidency of the Council of the European Union</u> called out for action in April 2016 to migrate European Commission funded research to Open Science. European Commissioner <u>Carlos Moedas</u> introduced the Open Science Cloud at the Open Science Conference in Amsterdam on 4–5 April. During this meeting also <u>The Amsterdam Call for Action on Open Science</u> was presented, a living document outlining concrete actions for the European Community to move to Open Science. The European Commission continues to be committed to an Open Science policy including developing a repository for research digital objects, <u>European Open Science Cloud (EOSC)</u> and metrics for evaluating quality and impact. [52]

In October 2021, the <u>French Ministry of Higher Education</u>, Research and Innovation released an official translation of its second plan for open science spanning the years 2021–2024. [53]

Standard setting instruments

There is currently no global normative framework covering all aspects of Open Science. In November 2019, <u>UNESCO</u> was tasked by its 193 Member States, during their 40th General Conference, with leading a global dialogue on Open Science to identify globally-agreed norms and to create a standard-setting instrument. <u>[54][55]</u> The multistakeholder, consultative, inclusive and participatory process to define a new global normative instrument on Open Science is expected to take two years and to lead to the adoption of a UNESCO Recommendation on Open

Science by Member States in 2021. [56]

Two UN frameworks set out some common global standards for application of Open Science and closely related concepts: the UNESCO Recommendation on Science and Scientific Researchers, [57] approved by the General Conference at its 39th session in 2017, and the UNESCO Strategy on Open Access to scientific information and research, [58] approved by the General Conference at its 36th session in 2011.

Advantages and disadvantages

Arguments in favor of open science generally focus on the value of increased transparency in research, and in the public ownership of science, particularly that which is publicly funded. In January 2014 J. Christopher Bare published a comprehensive "Guide to Open Science". Likewise, in 2017, a group of scholars known for advocating open science published a "manifesto" for open science in the journal *Nature*. [60]

Advantages

Open access publication of research reports and data allows for rigorous peer-review

An article published by a team of NASA astrobiologists in 2010 in <u>Science</u> reported a <u>bacterium</u> known as <u>GFAJ-1</u> that could purportedly metabolize arsenic (unlike any previously known species of lifeform). This finding, along with NASA's claim that the paper "will impact the search for evidence of extraterrestrial life", met with <u>criticism</u> within the scientific community. Much of the scientific commentary and critique around this issue took place in public forums, most notably on Twitter, where hundreds of scientists and non-scientists created a <u>hashtag</u> community around the hashtag #arseniclife. University of British Columbia astrobiologist Rosie Redfield, one of the most vocal critics of the NASA team's research, also submitted a draft of a research report of a study that she and colleagues conducted which contradicted the NASA team's findings; the draft report appeared in <u>arXiv</u>, an open-research repository, and Redfield called in her lab's research blog for peer review both of their research and of the NASA team's original paper. Researcher Jeff Rouder defined Open Science as "endeavoring to preserve the rights of others to reach independent conclusions about your data and work".

Publicly funded science will be publicly available

Public funding of research has long been cited as one of the primary reasons for providing Open Access to research articles. [66][67] Since there is significant value in other parts of the research such as code, data, protocols, and research proposals a similar argument is made that since these are publicly funded, they should be publicly available under a Creative Commons Licence.

Open science will make science more reproducible and transparent

Increasingly the reproducibility of science is being questioned and for many papers or multiple fields of research [68][69] was shown to be lacking. This problem has been described as a

"reproducibility crisis". [70] For example, psychologist Stuart Vyse notes that "(r)ecent research aimed at previously published psychology studies has demonstrated – shockingly – that a large number of classic phenomena cannot be reproduced, and the popularity of p-hacking is thought to be one of the culprits." [71] Open Science approaches are proposed as one way to help increase the reproducibility of work [72] as well as to help mitigate against manipulation of data.

Open science has more impact

There are several components to impact in research, many of which are hotly debated. [73] However, under traditional scientific metrics parts Open science such as Open Access and Open Data have proved to outperform traditional versions. [74][75][76]

Open science will help answer uniquely complex questions

Recent arguments in favor of Open Science have maintained that Open Science is a necessary tool to begin answering immensely complex questions, such as the neural basis of consciousness, [77] or pandemics such as the COVID-19 pandemic. [78] The typical argument propagates the fact that these type of investigations are too complex to be carried out by any one individual, and therefore, they must rely on a network of open scientists to be accomplished. By default, the nature of these investigations also makes this "open science" as "big science". [79] It is thought that open science could support innovation and societal benefits, supporting and reinforcing research activities by enabling digital resources that could, for example, use or provide structured open data. [6]

Disadvantages

Arguments against open science tend to focus on the advantages of data ownership and concerns about the misuse of data, [80][81] but see [5]

Potential misuse

In 2011, Dutch researchers announced their intention to publish a research paper in the journal <u>Science</u> describing the creation of a strain of <u>H5N1 influenza</u> which can be easily passed between <u>ferrets</u>, the mammals which most closely mimic the human response to the flu. [82] The announcement triggered a controversy in both political [83] and scientific [84] circles about the ethical implications of



The open sharing of research data is not widely practiced.

publishing scientific data which could be used to create <u>biological weapons</u>. These events are examples of how science data could potentially be misused. It has been argued that constraining the dissemination of dual-use knowledge can in certain cases be justified because, for example, "scientists have a responsibility for potentially harmful consequences of their research; the public need not always know of all scientific discoveries [or all its details]; uncertainty about the risks of harm may warrant precaution; and expected benefits do not

always outweigh potential harm".[87]

Scientists have collaboratively agreed to limit their own fields of inquiry on occasions such as the Asilomar conference on recombinant DNA in 1975, [88]:111 and a proposed 2015 worldwide moratorium on a human-genome-editing technique. [89] Differential technological development aims to decrease risks by influencing the sequence in which technologies are developed. Relying only on the established form of legislation and incentives to ensure the right outcomes may not be adequate as these may often be too slow. [90]

The public may misunderstand science data

In 2009 NASA launched the <u>Kepler</u> spacecraft and promised that they would release collected data in June 2010. Later they decided to postpone release so that their scientists could look at it first. Their rationale was that non-scientists might unintentionally misinterpret the data, and NASA scientists thought it would be preferable for them to be familiar with the data in advance so that they could report on it with their level of accuracy. [91]

Low-quality science

Post-publication peer review, a staple of open science, has been criticized as promoting the production of lower quality papers that are extremely voluminous. [92] Specifically, critics assert that as quality is not guaranteed by preprint servers, the veracity of papers will be difficult to assess by individual readers. This will lead to rippling effects of false science, akin to the recent epidemic of false news, propagated with ease on social media websites. [93] Common solutions to this problem have been cited as adaptations of a new format in which everything is allowed to be published but a subsequent filter-curator model is imposed to ensure some basic quality of standards are met by all publications. [94]

Entrapment by platform capitalism

For <u>Philip Mirowski</u> open science runs the risk of continuing a trend of commodification of science which ultimately serves the interests of capital in the guise of platform capitalism. [96]

WEIRD-focus

Open Science is primarily driven by Western, Educated, Industrious, Rich and Democratic (WEIRD)^[97] society that it is challenging for people from the Global South to implement or follow these changes for Open Science.^[98] As a result ,it perpetuates inequalities found across cultures. However, journal editors have taken note of guidelines for change (e.g. ^[99]) in order to make sure Open Science is more inclusive with a focus of multi-site studies and value of diversity within Open Science discussion.

Actions and initiatives

Open-science projects

Different projects conduct, advocate, develop tools for, or fund open science.

The Allen Institute for Brain Science^[100] conducts numerous open science projects while the Center for Open Science has projects to conduct, advocate, and create tools for open science. Other workgroups have been created in different fields, such as the Decision Analysis in R for Technologies in Health (DARTH) workgroup],^[101] which is a multi-institutional, multi-university collaborative effort by researchers who have a common goal to develop transparent and open-source solutions to decision analysis in health.

Organizations have extremely diverse sizes and structures. The Open Knowledge Foundation (OKF) is a global organization sharing large data catalogs, running face to face conferences, and supporting open source software projects. In contrast, Blue Obelisk is an informal group of chemists and associated cheminformatics projects. The tableau of organizations is dynamic with some organizations becoming defunct, e.g., Science Commons, and new organizations trying to grow, e.g., the Self-Journal of Science. [102] Common organizing forces include the knowledge domain, type of service provided, and even geography, e.g., OCSDNet's [103] concentration on the developing world.

The Allen Brain Atlas maps gene expression in human and mouse brains; the Encyclopedia of Life documents all the terrestrial species; the Galaxy Zoo classifies galaxies; the International HapMap Project maps the haplotypes of the human genome; the Monarch Initiative makes available integrated public model organism and clinical data; and the Sloan Digital Sky Survey which regularizes and publishes data sets from many sources. All these projects accrete information provided by many different researchers with different standards of curation and contribution.

Mathematician <u>Timothy Gowers</u> launched open science journal <u>Discrete Analysis</u> in 2016 to demonstrate that a high-quality mathematics journal could be produced outside the traditional <u>academic publishing</u> industry. [104] The launch followed a boycott of scientific journals that he initiated. [105] The journal is published by a nonprofit which is owned and published by a team of scholars.

Other projects are organized around completion of projects that require extensive collaboration. For example, <u>OpenWorm</u> seeks to make a cellular level simulation of a roundworm, a multidisciplinary project. The <u>Polymath Project</u> seeks to solve difficult mathematical problems by enabling faster communications within the discipline of mathematics. The Collaborative Replications and Education project recruits undergraduate students as <u>citizen scientists</u> by offering funding. Each project defines its needs for contributors and collaboration.

Another practical example for open science project was the first "open" doctoral thesis started in 2012. It was made publicly available as a self-experiment right from the start to examine whether this dissemination is even possible during the productive stage of scientific studies. [106] The goal of the dissertation project: Publish everything related to the doctoral study and research process as soon as possible, as comprehensive as possible and under an open license, online available at all time for everyone. [108] End of 2017, the experiment was successfully

completed and published in early 2018 as an open access book. [109]

The ideas of open science have also been applied to recruitment with jobRxiv, a free and international job board that aims to mitigate imbalances in what different labs can afford to spend on hiring. [110][111]

Advocacy

Numerous documents, organizations, and social movements advocate wider adoption of open science. Statements of principles include the <u>Budapest Open Access Initiative</u> from a December 2001 conference and the <u>Panton Principles</u>. New statements are constantly developed, such as the <u>Amsterdam Call for Action on Open Science</u> to be presented to the <u>Dutch Presidency of the Council of the European Union</u> in late May 2016. These statements often try to regularize licenses and disclosure for data and scientific literature.

Other advocates concentrate on educating scientists about appropriate open science software tools. Education is available as training seminars, e.g., the <u>Software Carpentry</u> project; as domain specific training materials, e.g., the <u>Data Carpentry</u> project; and as materials for teaching graduate classes, e.g., the Open Science Training Initiative. Many organizations also provide education in the general principles of open science.

Within scholarly societies there are also sections and interest groups that promote open science practices. The <u>Ecological Society of America</u> has an Open Science Section. Similarly, the <u>Society for American Archaeology</u> has an Open Science Interest Group. [23]

Journal support

Many individual journals are experimenting with the open access model: the Public Library of Science, or PLOS, is creating a library of open access journals and scientific literature. Other publishing experiments include <u>delayed</u> and <u>hybrid</u> models. There are experiments in different fields:

- <u>F1000Research</u> provides open publishing and open peer review for the life sciences.
- The Open Library of Humanities is a non-profit open access publisher for the humanities and social sciences.
- The Journals Library of the <u>National Institute for Health and Care Research</u> (NIHR) publishes all relevant documents and data from the onset of research projects, updating them alongside the progress of the study. [113][114]

Journal support for open-science does not conflict with <u>preprint</u> servers: <u>figshare</u> archives and shares images, readings, and other data; and Open Science Framework preprints, <u>arXiv</u>, and HAL Archives Ouvertes provide electronic preprints across many fields.

Software

A variety of computer resources support open science. These include software like the <u>Open Science Framework</u> from the <u>Center for Open Science</u> to manage project information, data archiving and team coordination; distributed computing services like <u>Ibercivis</u> to use unused CPU time for computationally intensive tasks; and services like <u>Experiment.com</u> to provide crowdsourced funding for research projects.

Blockchain platforms for open science have been proposed. The first such platform is the Open Science Organization, which aims to solve urgent problems with fragmentation of the scientific ecosystem and difficulties of producing validated, quality science. Among the initiatives of Open Science Organization include the Interplanetary Idea System (IPIS), Researcher Index (RR-index), Unique Researcher Identity (URI), and Research Network. The Interplanetary Idea System is a blockchain based system that tracks the evolution of scientific ideas over time. It serves to quantify ideas based on uniqueness and importance, thus allowing the scientific community to identify pain points with current scientific topics and preventing unnecessary reinvention of previously conducted science. The Researcher Index aims to establish a data-driven statistical metric for quantifying researcher impact. The Unique Researcher Identity is a blockchain technology based solution for creating a single unifying identity for each researcher, which is connected to the researcher's profile, research activities, and publications. The Research Network is a social networking platform for researchers. A scientific paper from November 2019 examined the suitability of blockchain technology to support open science. [115]

Preprint servers

Preprint Servers come in many varieties, but the standard traits across them are stable: they seek to create a quick, free mode of communicating scientific knowledge to the public. Preprint servers act as a venue to quickly disseminate research and vary on their policies concerning when articles may be submitted relative to journal acceptance. [116][117] Also typical of preprint servers is their lack of a peer-review process - typically, preprint servers have some type of quality check in place to ensure a minimum standard of publication, but this mechanism is not the same as a peer-review mechanism. Some preprint servers have explicitly partnered with the broader open science movement. [118] Preprint servers can offer service similar to those of journals, [119] and Google Scholar indexes many preprint servers and collects information about citations to preprints.[120] The case for preprint servers is often made based on the slow pace of conventional publication formats. [121] The motivation to start SocArXiv, an open-access preprint server for social science research, is the claim that valuable research being published in traditional venues often takes several months to years to get published, which slows down the process of science significantly. Another argument made in favor of preprint servers like SocArXiv is the quality and quickness of feedback offered to scientists on their pre-published work. [122] The founders of SocArXiv claim that their platform allows researchers to gain easy feedback from their colleagues on the platform, thereby allowing scientists to develop their work into the highest possible quality before formal publication and circulation. The founders of SocArXiv further claim that their platform affords the authors the greatest level of flexibility in updating and editing their work to ensure that the latest version is available for rapid

dissemination. The founders claim that this is not traditionally the case with formal journals, which instate formal procedures to make updates to published articles. Perhaps the strongest advantage of some preprint servers is their seamless compatibility with Open Science software such as the Open Science Framework. The founders of SocArXiv claim that their preprint server connects all aspects of the research life cycle in OSF with the article being published on the preprint server. According to the founders, this allows for greater transparency and minimal work on the authors' part. [118]

One criticism of pre-print servers is their potential to foster a culture of plagiarism. For example, the popular physics preprint server ArXiv had to withdraw 22 papers when it came to light that they were plagiarized. In June 2002, a high-energy physicist in Japan was contacted by a man called Ramy Naboulsi, a non-institutionally affiliated mathematical physicist. Naboulsi requested Watanabe to upload his papers on ArXiv as he was not able to do so, because of his lack of an institutional affiliation. Later, the papers were realized to have been copied from the proceedings of a physics conference. [123] Preprint servers are increasingly developing measures to circumvent this plagiarism problem. In developing nations like India and China, explicit measures are being taken to combat it. [124] These measures usually involve creating some type of central repository for all available pre-prints, allowing the use of traditional plagiarism detecting algorithms to detect the fraud. Nonetheless, this is a pressing issue in the discussion of pre-print servers, and consequently for open science.

See also

- Biological patent Patent on an invention in the field of biology
- Chemical patent Patent for an invention in the chemical or pharmaceuticals industry
- Economics of open science
- GeneLab Space bioscience research platform
- Journalology Scholarly study of academic journals
- Metascience Scientific study of science
- Open education Educational movement
- Open government Practice of sharing state documents and proceedings publicly and reusably
- Open Energy Modelling Initiative grass roots community of energy system modellers
- Open scientific data Type of data available for anyone to analyze
- Open source Practice of freely allowing access and modification of source code
- Open synthetic biology
- Plan S Plan for the future of open access in scholarly publishing
- Science journalism Journalism genre
- Sufficiency of disclosure Concept in patent law
- Trial registration
- Open research Research made available to the public

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