

INDIVIDUALS AND POSSIBILITIES (1):
Notes on Stone (1999) 'Reference to Possible Worlds'

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- **Referential parallel:** N and V refer to individuals and possible scenarios
- **Anaphoric parallel 1:** Discourse referents as concepts with restricted domains
- **Anaphoric parallel 2:** Anaphora constrained by domain restrictions, not embedding

1. REFERENCE TO INDIVIDUALS AND POSSIBILITIES IN SIMPLE CLAUSES AND BELOW

- (1) Ty2: $car_w(x)$ 'in world w , entity x is a car'
 Stone 1999: $car_\omega\{u\}$ 'throughout possibility ω , concept u is realized as a car'
 (NOTATION: ω for reality, ω' , ω'' , ... for other possibilities)

(2) John has a car.

- Extensional DRT semantics

$$v[x, y | x = john, car(y), have(x, y)]b^M = \{ \langle g, h \rangle | g[x, y]h \ \& \ h(x) = I(john) \ \& \ h(y) \in I(car) \ \& \ \langle h(x), h(y) \rangle \in I(have) \}$$

- Recast in type logic (Muskens 1995)

$$[u, v | u = john, car(v), have(u, v)] := \lambda ij. i[u, v]j \ \& \ u = john \ \& \ car(v) \ \& \ have(uj, vj)$$

- Reference to possible scenarios (Stone 1997, 1999, no need for Kratzer's covert u)

$$[\omega: u, v | u \text{ is}_\omega john, car_\omega\{v\}, have_\omega\{u, v\}] := \lambda ij. i[\omega: u, v]j \ \& \ \forall w (\exists w_0 (w \in \omega j w_0) \rightarrow u j w = john \ \& \ car_w(vjw) \ \& \ have_w(ujw, vjw))$$

$$i[\omega: u_1, \dots, u_n]j := \forall v (\mathbf{mk}(v) \ \& \ u_1 \neq v \ \& \ \dots \ \& \ u_n \neq v \rightarrow vi = vj) \ \& \ \forall w (\exists w_0 (w \in \omega j w_0) \rightarrow u_1 j w \ \mathbf{in} \ w \ \& \ \dots \ \& \ u_n j w \ \mathbf{in} \ w)$$

(3) Crosscategorical mood in Eskimo, e.g., *-ssa* 'expectative (EXP)'

- a. aqqati-tit mirsur-pa-kka. $[\omega: v, e]$
 mittens-your sew-FCT²-1SG.3PL $mittens-of_\omega\{v, you\}, sew_\omega\{e, me, v\}$
 'I {sewed, am sewing} your mittens.' (real mittens, real sewing)
- b. aqqati-tit mirsu-ssa-va-kka. $[\omega: v | mittens-of_\omega\{v, you\}]$;
 mittens-your sew-EXP-FCT²-1SG.3PL $[\omega: \omega' | expected_\omega\{\omega'\}]$; $[\omega': e | sew_\omega\{e, me, v\}]$
 'I will sew your mittens' (real mittens, exp. sewing)
- c. aqqati-ssa-tit mirsur-pa-kka. $[\omega: \omega' | expected_\omega\{\omega'\}]$; $[\omega': v | mittens-of_\omega\{v, you\}]$;
 mittens-EXP-your sew-FCT²-1SG.3PL $[\omega: e | sew_\omega\{e, me, v\}]$
 'I am sewing your mittens' (exp. mittens, real sewing)
- d. aqqati-ssa-tit mirsu-ssa-va-kka. $[\omega: \omega' | expected_\omega\{\omega'\}]$; $[\omega': v | mittens-of_\omega\{v, you\}]$;
 mittens-EXP-your sew-EXP-FCT²-1SG.3PL $[\omega': e | sew_\omega\{e, me, v\}]$
 'I will sew your mittens' (exp. mittens, exp. sewing)

(4) Functional heads as mood indicators: {NOM, FIN} ~ ω , {OBL, INF} ~ ω'

English	Polish	Eskimo	DRS (1st guess)
a. Water. (pointing)	Woda. 'water.NOM'	Imiq. 'water.NOM'	$[water_\omega\{v\}]$
Water! (wish)	Wod-y! 'water-GEN'	Imir-mik! 'water-INS'	$[water_\omega\{v\}]$
b. I'm going out.	Wychodze. 'go.out-PRS.1SG'	Ani-vu-nga. 'go.out-FCT ¹ -1SG'	$[\omega: e go.out_\omega\{e, me\}]$
Go out!	Wyjsc! 'go.out-INF'	Ani-llu-tit! 'go.out-INF-2SG'	$[\omega': e go.out_\omega\{e, you\}]$

2. NOMINAL AND MODAL ANAPHORA

- *Basic anaphoric parallel*

- (5) A bear came in. $[\omega: \forall v \text{ bear}_\omega\{v\}, \text{come-in}_\omega\{v\}]$
 $:= \lambda i k. i[\omega: v] k \wedge \forall w (\exists w_0 (w \in \omega k w_0) \rightarrow \text{bear}_w(v k w) \wedge \text{come-in}_w(v k w))$
- I shot it. $[\mid \text{shoot}_\omega\{me, v\}]$
 $:= \lambda k j. k[\mid j \wedge \forall w (\exists w_0 (w \in \omega j w_0) \rightarrow \text{shoot}_w(me, v j w))]$
- (6) Suppose a bear comes in. **if**($\omega, \omega', [\omega': \forall v \text{ bear}_\omega\{v\}, \text{come-in}_\omega\{v\}]$)
 $:= \lambda i j. \exists k (i[\omega: \omega'] k \wedge [\omega': \forall v \text{ bear}_\omega\{v\}, \text{come-in}_\omega\{v\}] k j)$
 $\wedge \forall h (\exists k (i[\omega: \omega'] k \wedge [\omega': \forall v \text{ bear}_\omega\{v\}, \text{come-in}_\omega\{v\}] k h) \rightarrow$
 $\forall w (\exists w_0 (w \in \omega i w_0) \rightarrow$
 $\forall w_h w_j (w_h \in \omega' h w \wedge w_j \in \omega' j w \wedge w_h \leq_w w_j \rightarrow w_j \leq_w w_h))$
 $\wedge \forall w_h (w_h \in \omega' h w \rightarrow \exists w_j (w_j \in \omega' j w \wedge w_j \leq_w w_h)))$
- (Then) I will shoot it. $[\mid \text{shoot}_\omega\{me, v\}]$
 $:= \lambda j k. j[\mid k \wedge \forall w (\exists w_0 (w \in \omega' j w_0) \rightarrow \text{shoot}_w(me, u j w))]$
- (6') If a bear comes in, $\text{if}(\omega, \omega', [\omega': \forall v \text{ bear}_\omega\{v\}, \text{come-in}_\omega\{v\}]) ; [\mid \text{shoot}_\omega\{me, v\}]$ I will shoot it. $[\mid \text{shoot}_\omega\{me, v\}]$ = (6)
- (7) If John were honest, $\text{if}(\omega, \omega', [\mid \text{honest}_\omega\{john\}]) ;$ you would trust him. $[\mid \text{trust}_\omega\{you, john\}] ;$
 If you trusted John, $\text{if}(\omega, \omega'', [\mid \text{trust}_\omega\{you, john\}]) ;$ he would cheat you. $[\mid \text{cheat}_\omega\{john, you\}]$

 If John were honest, $\text{if}(\omega, \omega', [\mid \text{honest}_\omega\{john\}]) ;$ he would cheat you. $[\mid \text{cheat}_\omega\{you, john\}] ;$
- *Modal items as anaphorically linked predicates of possibilities*
- (8) Stone 1997, 1999 (Parameters: } modal base, – ordering source)
necc_{, –}(ω_1, ω_2) := $\lambda i. \forall w (\exists w' (w' \in \omega_1 i w) \rightarrow$
 $\forall w'' (w' \in \omega_1 i w \wedge \} w' \wedge \forall w'' (w'' \in \omega_1 i w \wedge \} w'' \wedge w'' \text{–}_w w' \rightarrow w' \text{–}_w w''))$
 $\rightarrow w' \in \omega_2 i w')$
 (For all $w \in \text{Dom } \omega_1 i$, every }-accessible –best world w' in $\omega_1 i w$ is in $\omega_2 i w'$.)
- poss**_{, –}(ω_1, ω_2) := $\lambda i. \forall w (\exists w' (w' \in \omega_1 i w) \rightarrow$
 $\exists w'' (w' \in \omega_1 i w \wedge \} w' \wedge \forall w'' (w'' \in \omega_1 i w \wedge \} w'' \wedge w'' \text{–}_w w' \rightarrow w' \text{–}_w w''))$
 $\wedge w' \in \omega_2 i w')$
 (For all $w \in \text{Dom } \omega_1 i$, some }-accessible –best world w' in $\omega_1 i w$ is in $\omega_2 i w'$.)
- not**_{, –}(ω_1, ω_2) := $\lambda i. \forall w (\exists w' (w' \in \omega_1 i w) \rightarrow$
 $\neg \exists w'' (w' \in \omega_1 i w \wedge \} w' \wedge \forall w'' (w'' \in \omega_1 i w \wedge \} w'' \wedge w'' \text{–}_w w' \rightarrow w' \text{–}_w w''))$
 $\wedge w' \in \omega_2 i w')$
 (For all $w \in \text{Dom } \omega_1 i$, no }-accessible –best world w' in $\omega_1 i w$ is in $\omega_2 i w'$.)
- (9) If a murder occurs, $\text{if}(\omega, \omega', [\omega': \forall v \text{ murder}_\omega\{v\}, \text{occur}_\omega\{v\}]) ;$ the jurors *must* convene. $\text{if}(\omega', \omega'', [\omega'': u \mid \text{jurors-for}_\omega\{u, v\}, \text{convene}_\omega\{u\}]) ;$
 $[\mid \text{necc}_{, –}(\omega', \omega'')]$
- (10) If a bear comes in, $\text{if}(\omega, \omega', [\omega': \forall v \text{ bear}_\omega\{v\}, \text{come-in}_\omega\{v\}]) ;$ I will *perhaps* shoot it. $[\mid \text{shoot}_\omega\{me, v\}] ;$ $[\mid \text{poss}_{, –}(\omega', \omega'')]$
- (11) If a bear comes in, $\text{if}(\omega, \omega', [\omega': \forall v \text{ bear}_\omega\{v\}, \text{come-in}_\omega\{v\}]) ;$ I will *not* shoot it. $[\mid \text{shoot}_\omega\{me, v\}] ;$ $[\mid \text{not}_{, –}(\omega', \omega'')]$
- (12) If I were taller, I wouldn't be comfortable sleeping on two airline seats.

3. SUBORDINATION AS TOP LEVEL CONCEPT ANAPHORA

- *Negation*

- (13) John has_ω a car^v. [ω: vl car_ω{v}, have_ω{john, v}];
 It_v is_ω in the garage. [| in-the-garage_ω{v}]
- (14) John does_ω not^{ω'} have a car^v. **if**(ω, ω', [ω': vl car_ω{v}, have_ω{john, v}]); [| **not**_ω, -(ω, ω')] ;
 It_v {would be_ω, #is_ω} in the garage. [| in-the-garage_ω{v}]
- (15) Juuna biili-qar-pu-q. [ω: vl car_ω{v}, have_ω{john, v}];
 Juuna car^v-have-FCT_ω-3SG
 Unittittarfim-mi=ip-pu-q. [| in-the-garage_ω{v}]
 garage-in=be-FCT_ω-3SG_v
- (16) Juuna biili-qa-nngi-la-q. **if**(ω, ω', [ω': vl car_ω{v}, have_ω{juuna, v}]); [| **not**_ω, -(ω, ω')] ;
 Juuna car^v-have-not-SUBJ^{ω'}-3SG
 Unittittarfim-mi=i-ssa-galuar-pu-q. [| in-the-garage_ω{v}, expected_ω{ω'}, unrealized_ω{ω'}]
 garage-in=[be-EXP_ω-IRR_ω]-FCT_ω-3SG_v
- (17) Anne Marie-ssa-qa-nngi-la-gut **if**(ω, ω', [ω': vl expected_ω{ω'}, v ~_ω anne marie, at_ω{v, we}];
 [Anne Marie-EXP]-be.at-not-SUBJ-1PL [| **not**_ω, -(ω, ω')]
 'We don't have any Anne Marie.'
- *Other modal predicates*
- (18) If a bear comes in, **if**(ω, ω', [ω': vl bear_ω{v}, come-in_ω{v}]);
 John could_{circ} escape. **if**(ω', ω'', [| escape_{ω'}{john}]); [| **poss**_{circ}, -(ω', ω'')]
 But Bill might_{epi} just freeze. **if**(ω', ω''', [| freeze_{ω'}{bill}]); [| **poss**_{epi}, -(ω', ω''')]
- (19) If a bear comes in, **if**(ω, ω', [ω': vl bear_ω{v}, come-in_ω{v}]);
 legally you can't shoot it, **if**(ω', ω'', [| shoot_{ω'}{you, v}]); [| **not**_ω, -legal(ω', ω'')] ;
 but hopefully you will. [| **necc**_ω, -hoped-for(ω', ω'')]
- (20) A bear might come in. **if**(ω, ω', [ω': vl bear_ω{v}, come-in_ω{v}]); [| **poss**_ω, -(ω, ω')] ;
 We would be safe, [| safe_ω{we}] ;
 because John has a gun. [ω: u, u' u is_ω john, gun_ω{u'}, have_ω{u, u'}] ;
 He would use it to shoot it. [ω': ω'' | use-to_ω{u, u', ω''}] ; [| shoot_{ω'}{u, v}] (cf. INF in (4b))
- (21) There are two people in the room. [ω: u, vl *person_ω{u}, two_ω{u}, in_ω{u, v}, the-room_ω{v}] ;
 If one of them leaves the room, **if**(ω, ω', [ω': u' one_ω{u}, u' ⊆_ω u, leave_ω{u', v}]);
 there will still be one prs in the rm. [ω': u'' person_ω{u''}, one_ω{u''}, in_ω{u'', v}, the-room_ω{v}]
- (22) Aqqati-ssa-tit [ω: ω' | expected_ω{ω'}]; [ω': vl mittens-of_ω{v, you}];
 mittens^v-EXP^{ω'}-your
 mirsur-pa-kka. [ω: el sew_ω{e, me, v}];
 sew^e-FCT_ω-1SG.3PL_v
 Uqqurtu-rujussu-u-{ssa, #∅}-ppu-t [| very-warm_ω{v}, expected_ω{ω'}]
 warm-very-be-{EXP_ω, #∅}-FCT_ω-3PL_v