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FINITE LEVEL BOREL GAMES AND A PROBLEM CONCERNING THE JUMP HIERARCHY

HAROLD T. HODES

§1. Introduction. The jump hierarchy of Turing degrees assigns to each $\xi < (\aleph_1)^L$ the degree $\mathbf{0}^{(\xi)}$; we presuppose familiarity with its definition and with the basic terminology of [5]. Let λ be a limit ordinal, $\lambda < (\aleph_1)^L$. The central result of [5] concerns the relation between $\mathbf{0}^{(\lambda)}$ and exact pairs on $I_\lambda = \{\mathbf{0}^{(\xi)} \mid \xi < \lambda\}$. In [6] this question is raised: Where \mathbf{a} is an upper bound on I_λ , how far apart are \mathbf{a} and $\mathbf{0}^{(\lambda)}$? It is there shown that if λ is locally countable and admissible, they may be very far apart: $\mathbf{0}^{(\lambda)}$ = the least member of $\{\mathbf{a}^{(\text{Ind}(\lambda))} \mid \mathbf{a}$ is an upper bound on $I_\lambda\}$; this is rather pathological, for $\text{Ind}(\lambda)$ may be larger than λ . If λ is locally countable but neither admissible nor a limit of admissibles, we are essentially in the case of $\lambda < \omega_1^{CK}$; by results of Sacks [12] and Enderton and Putnam [2], $\mathbf{0}^{(\lambda)}$ = the least member of $\{\mathbf{a}^{(2)} \mid \mathbf{a}$ is an upper bound on $I_\lambda\}$. If λ is not locally countable, $\text{Ind}(\lambda)$ is neither admissible nor a limit of admissibles, so we are again in a case like that of $\lambda < \omega_1^{CK}$. But what if λ is locally countable and nonadmissible, but is a limit of admissibles? For the rest of this paper let λ be such an ordinal. The central result of this paper answers this question for some such λ .

Let “ $\text{Det}(\Sigma_n^0, Y)$ ” for a field of play Y be the statement: “Any two-player infinite game on Y is determined if the set of plays for which I wins is Σ_n^0 (relative to the Baire topology on $[Y]$).” (The definition of a field of play will be given in §2.) Let $\text{Det}(\Sigma_n^0) = \text{Det}(\Sigma_n^0, \omega^{<\omega})$. The connection between our initial question and the determinacy of games was discussed in [4]; the following improves the results presented there.

THEOREM 1. (i) *If $L_\lambda \models \neg \text{Det}(\Sigma_3^0)$, then $\mathbf{0}^{(\lambda)}$ = the least member of $\{\mathbf{a}^{(3)} \mid \mathbf{a}$ is an upper bound on $I_\lambda\}$.*

Recall that α is a local \aleph_m iff $L_{\alpha+1} \models \alpha = \aleph_m$. Let λ be m -well-behaved iff there are $\beta, \gamma < \lambda$ so that for all α , if α is a local \aleph_{m+1} and $\beta < \alpha < \lambda$ then $L_{\alpha+\gamma} \models \alpha \neq \aleph_{m+1}$.

(ii) *If λ is n -well-behaved, $L_\lambda \models (\text{Det}(\Sigma_{n+3}^0) \ \& \ \neg \text{Det}(\Sigma_{n+4}^0))$, then $\mathbf{0}^{(\lambda)}$ = the least member of $\{\mathbf{a}^{(n+4)} \mid \mathbf{a}$ is an upper bound on $I_\lambda\}$.*

CONJECTURE 1. The restriction to λ which are n -well-behaved may be eliminated from (ii).

Can the λ for which Theorem 1 answers our question be characterized in other terms? The following result goes some distance in that direction.

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THEOREM 2. (i) *If λ is not a limit of $\alpha < \lambda$ such that $L_\alpha \models \text{Det}(\Sigma_3^0)$, then $L_\lambda \not\models \text{Det}(\Sigma_3^0)$.*

(ii) *If λ is n -well-behaved and λ is not a limit of α such that $L_\alpha \models \text{Det}(\Sigma_{n+4}^0)$, then $L_\lambda \not\models \text{Det}(\Sigma_{n+4}^0)$.*

CONJECTURE 2. The restrictions to λ which are n -well-behaved can be eliminated from (ii).

On the positive side, we will show:

THEOREM 3. *If α is a local \aleph_{n+1} (in fact if L_α is a model for the Σ_3^{n+1} -comprehension fragment of $(n + 2)$ th order number theory and $L_\alpha \models \aleph_n$ exists), then $L_\alpha \models \text{Det}(\Sigma_{n+3}^0)$ (in fact if $n > 0$ and $L_\alpha \models \gamma = \aleph_1, L_\gamma \models \text{Det}(\Sigma_{n+3}^0)$).*

Applying Π_1^1 absoluteness twice, this yields the following.

THEOREM 4. *If λ is a limit of ordinals meeting the conditions on α in the antecedent of Theorem 3, then $L_\lambda \models \text{Det}(\Sigma_{n+3}^0)$.*

§2. Σ_3^0 games in general. We begin with a careful look at Σ_3^0 games on arbitrary fields of play. The key ideas (except for one small but important change) are implicit in Morton Davis' original proof of $\text{Det}(\Sigma_3^0)$.

A set Y with $p \in Y$ is a field of play starting at p iff Y is a set of finite sequences such that:

- if $q \in Y$ and $p \subseteq r \subseteq q$, then $r \in Y$;
- if $q \in Y$, then for some $x, q \wedge \langle x \rangle \in Y$;
- length (p) is even.

Let $[Y] = \{f \mid f \text{ is a function on } \omega, f \upharpoonright n = p \upharpoonright n \text{ for } n \leq \text{length}(p), \text{ and for all } n \in \omega, f \upharpoonright (n + 1) = (f \upharpoonright n) \wedge \langle x \rangle \in Y \text{ for some } x\}$. Thus $[Y]$ is the set of plays on field Y . Where $B \subseteq [Y]$ and $Z \subseteq Y$ is a field of play starting at $p' \in Y$, $G(B, Z)$ is the two-player infinite game of perfect information played from p' as follows: I selects an x_0 so that $p' \wedge \langle x_0 \rangle \in Z$; II selects an x_1 so that $p' \wedge \langle x_0, x_1 \rangle \in Z$; etc.; where f is the play produced, I wins iff $f \in B$.

If Z does not start at $q \in Z$, by “ $G(B, Z)$ from q ” we mean the game $G(B, Z^{\geq q})$ where $Z^{\geq q} = \{r \in Z \mid q \subseteq r\}$. Z is a II-imposed subgame of Y iff Z is a field of play starting with p and for any $q \in Z$, if length (q) is even and $q \wedge \langle x \rangle \in Y$, then $q \wedge \langle x \rangle \in Z$; similarly, for “ Z is a I-imposed subgame of Y ,” except with “odd” replacing “even.”

In the Baire topology on $[Y]$, a closed set is one of the forms $[S]$ where S is a tree in Y , i.e. $S \subseteq Y, S$ is a field of play starting with p . Where S is a function carrying $(i, j) \in \omega^2$ to a tree $S_i(j)$, a set $B = \bigcap_{i \in \omega} \bigcup_{j \in \omega} [S_i(j)]$ is a Π_1^0 set. We fix a Σ_3^0 game $G = G([Y] - B, Y)$ for the next two sections. We suppose that Y starts at the empty sequence $\langle \rangle$. We will provide an inductive analysis of $\{p \in Y \mid \neg \text{I has a winning strategy for } G \text{ from } p\}$.

Suppose $Z \subseteq Y, Z$ a field of play, $p \in Z$. For $i \in \omega, X \subseteq Y$, let $H_i(Z, X, p)$ be the game which is played as follows. First, *player II* selects $j \in \omega$; play continues in $Z^{\geq p}$. I picks an x so that $p \wedge \langle x \rangle \in Z$, etc. The play $\langle j \rangle \wedge f, f \in [Z^{\geq p}]$, is a win for I iff $f \notin [S_i(j) \cup X] \cap B$. $H_i(Z, X, p)$ is a Σ_3^0 game (on an appropriate field of play).

Let $\Phi_{i,Z}(X) = \{p \in Z \mid \neg \text{I has a winning strategy in } H_i(Z, X, p)\}$. It is not hard to see that $\Phi_{i,Z}$ is a monotone (in fact positive) Π_1^2 inductive operator on $\mathcal{P}(Y)$, where the second-order quantifiers range (roughly) over $\mathcal{P}(Y)$.

The following fact is hidden in [1].

FUNDAMENTAL TECHNICAL LEMMA. *The following are equivalent.*

- (1) $p \in \Phi_{i,Z}^\infty$.
- (2) *There is a II-imposed subgame of $Z^{\geq p}$, $(Z, i, p)^*$, so that*
 - (a) $[(Z, i, p)^*] \subseteq \bigcup_{j \in \omega} [S_i(j)]$, and
 - (b) *I does not have a winning strategy in $G([Y] - B, (Z, i, p)^*)$.*
- (3) $p \in Z$ and *I does not have a winning strategy in $G([Y] - B, Z)$ from p .*

LEMMA 1. *For $p \in Y$, $p \in \Phi_{i,Z}(X)$ iff $p \in Z$ and for some $j \in \omega$:*

- (4) *II has a winning strategy in $G([Y] - [S_i(j) \cup X], Z)$ from p ; and*
- (5) *where U is the II-imposed subgame of $Z^{\geq p}$ produced by II's aforementioned strategy, I has no winning strategy in $G([Y] - B, U)$.*

PROOF. (\Leftarrow) For $p \in Z$, suppose $j \in \omega$ satisfies (4), but $p \notin \Phi_{i,Z}(X)$. Let s be I's winning strategy in $H_i(Z, X, p)$. Let II start a play of $H_i(Z, X, p)$ by choosing j ; let I follow s . After her initial move, let II impose U . Where f is the play produced in Z , since $\langle j \rangle \wedge f$ is a win for I in $H_i(Z, X, p)$ and $[U] \subseteq [S_i(j) \cup X]$, $f \notin B$; thus I has a winning strategy for $G([Y] - B, U)$ from p , contrary to (5).

(\Rightarrow) Suppose no j satisfies (4) and (5) and $p \in Z$. We describe a winning strategy for I in $H_i(Z, X, p)$. Let II start a play of $H_i(Z, X, p)$ with j . If (4) fails for the chosen j , let I play to win $G([Y] - [S_i(j) \cup X], Z)$ from p ; that game is open, so I may do this. Then I wins $H_i(Z, X, p)$. If (4) holds for j , then U exists and (5) fails. Let s be I's winning strategy for $G([Y] - B, U)$. As long as II stays inside U let I follow s ; if II never leaves U , I wins $H_i(Z, X, p)$; if II leaves U at position q , I has a winning strategy for $G([Y] - [S_i(j) \cup X], Z)$ from q , since U was designed to keep the play in a closed set; let I then play to win that game, thereby also winning $H_i(Z, X, p)$. Thus $p \notin \Phi_{i,Z}(X)$. QED.

PROOF OF THE FUNDAMENTAL TECHNICAL LEMMA. (1) \Rightarrow (2). Suppose $p \in \Phi_{i,Z}^\infty$. We describe how II imposes $(Z, i, p)^*$. Let $p_0 = p$, $|p_0|_\Phi = \xi_0$ for $\Phi = \Phi_{i,Z}$. Since $p \in \Phi(\Phi^{<\xi_0})$, by Lemma 1 there are a $j_0 \in \omega$ and a U_0 , so that U_0 is a II-imposed subgame on $Z^{\geq p}$, $[U_0] \subseteq [S_i(j_0) \cup \Phi^{<\xi_0}]$ and I has no winning strategy in $G([Y] - B, U_0)$ from p_0 . Let II keep the play in U_0 until the end of time or until a $p_1 \notin S_i(j_0)$ is reached. In the latter case, $p_1 \in \Phi^{<\xi_0}$; let $|p_1|_\Phi = \xi_1 < \xi_0$; since $p_1 \in \Phi(\Phi^{<\xi_1})$ we may fix j_1 and U_1 , and iterate. Eventually we reach a final j_n and U_n and the play ends up in $S_i(j_n)$. At no position does I get a winning strategy in $G([Y] - B, U_k)$ for $k \leq n$. The resulting II-imposed game, hereafter denoted $(Z, i, p)^*$, is clearly as desired.

(2) \Rightarrow (3) is trivial.

(3) \Rightarrow (1). Suppose $p \in Z$, $p \notin \Phi^\infty$. We now show how I can win $G([Y] - B, Z)$ from p . Let s_0 be I's winning strategy in $H_i(Z, \Phi^\infty, p_0)$ for $p_0 = p$. I pretends that he is playing $H_i(Z, \Phi^\infty, p_0)$ and that II started that play with $j = 0$; I follows s_0 . Either the play in Z produced is not in B or else a $p_1 \notin S_i(0) \cup \Phi^\infty$ is reached. In the latter case, since $p_1 \notin \Phi^\infty$, I has a winning strategy s_1 for $H_i(Z, \Phi^\infty, p_1)$; now I drops the previous pretense and instead pretends to be playing $H_i(Z, \Phi^\infty, p_1)$, and that II started that play with $j = 1$. I now follows s_1 . And so on. If a final p_j is reached, the play in Z produced is not in B . Otherwise for all $j \in \omega$ a p_j is reached, $p_j \notin S_i(j)$; thus the play does not belong to $\bigcup_{j < \omega} [S_i(j)] \supseteq B$; so I wins $G([Y] - B, Z)$ from p_0 . QED.

Supposed I has no winning strategy in $G([Y] - B, Y)$ from $\langle \rangle = p_0$. We

describe a strategy for II. It will be important that this construction, unlike Davis' construction in [1], does not assume that $[Y]$ is compact. Let $Z(p_0) = (Y, 0, p_0)^*$, using the Fundamental Technical Lemma, (3) \Rightarrow (2). I moves and II responds by selecting $p_1 \in Z(p_0)$; since $Z(p_0)$ is II-imposed this is possible. I has no winning strategy for $G([Y] - B, Z(p_0))$. Let $Z(p_1) = (Z(p_0), 1, p_1)^*$, using (3) \Rightarrow (2) again. Continue in this manner. Since $[Z(p_i)] \subseteq \bigcup_{j < \omega} [S_i(j)]$, II wins $G([Y] - B, Y)$. Notice that the II-imposed subgame corresponding to this strategy is $\{p \in Y \mid Z(p) \text{ is defined}\}$.

Observation 1. $\text{Det}(\Sigma_{n+3}^0)$ is a theorem of $(n + 2)$ th order number theory, in fact of the Σ_3^{n+2} -comprehension fragment of $(n + 2)$ th order number theory.

PROOF. Suppose S is a function on ω^{n+2} whose values are trees in $Y_0 = \omega^{<\omega}$. Let

$$B = \begin{cases} \bigcap_i \bigcup_j \bigcap_{i_1} \dots \bigcup_{i_n} [S(i, j, i_1, \dots, i_n)] & \text{if } n \text{ is even;} \\ \bigcap_i \bigcup_j \bigcap_{i_1} \dots \bigcap_{i_n} ([Y] - [S(i, j, i_1, \dots, i_n)]) & \text{otherwise.} \end{cases}$$

Let $G^0 = G([Y_0] - B, Y_0)$; G^0 is a typical Σ_{n+3}^0 game. For $i < n$ let G^{i+1} be the result of applying Martin's *-operation from [9] to G^i ; G^{i+1} is a game on $Y_{i+1} = Y_i^*$, which may be viewed as a subfield of play of $\mathcal{P}^{i+1}(\omega)^{<\omega}$. Thus G^n is a Σ_3^0 game on $Y = Y_n$. $[Y]$ is not compact; hence the need to revise the Davis proof.

In $(n + 2)$ th order number theory, we can formalize the previous proof that G^n is determined. In fact, Σ_3^{n+1} -comprehension suffices to prove the existence of the fixed points for the Π_2^{n+1} monotonic operators involved in that proof. Suppose s^n is a winning strategy for G^n ; in [9] Martin describes a procedure which converts a strategy s^{i+1} for G^{i+1} into a strategy s^i for G^i . This procedure can be described and shown to work in $(n + 2)$ th order number theory. Thus in $(n + 2)$ th order number theory we can show that s^0 , a winning strategy for G , exists.

If α is a local \aleph_{n+1} , then L_α is a model of $(n + 2)$ th order number theory; thus $L_\alpha \models \text{Det}(\Sigma_{n+3}^0)$. Theorems 3 and 4 follow immediately.

We will now relativize the previous discussion to models of $V = L$. Let T be the set consisting of these sentences:

$$\begin{aligned} &\text{Extensionality, Pairing, Union, Infinity, Foundations,} \\ &(\forall \xi)(\exists x)(x = L_\xi), \quad V = L. \end{aligned}$$

Let $\mathcal{M} = (M, \varepsilon^{\mathcal{M}})$ be an arbitrary ω -model of T ; $M_a = \{x \in M \mid \mathcal{M} \models x \in L_a\}$ for $a \in \text{On}(\mathcal{M})$; $\mathcal{M}_a = (M_a, \varepsilon^{\mathcal{M}} \upharpoonright M_a)$; $\alpha = o(\mathcal{M})$ = the least ordinal not represented in \mathcal{M} . Suppose $Y \in \mathcal{M}$, $\mathcal{M} \models Y$ is a field of play starting at $\langle \rangle$.

LEMMA 2. *If $\mathcal{M} \models (\forall \xi)(\exists \eta > \xi)(\eta \text{ is admissible})$, then there is a Π_1 formula defining $p \in \Phi_{i,Z}(X)$ over \mathcal{M} , where "Z" and "X" are regarded as first order variables.*

PROOF. Recall that $p \in \Phi_{i,Z}(X)$ is defined by the following Π_2 formula:

$$(\forall s)(\text{if } s \text{ is a strategy for I in the field of play for } H_i(Z, X, p) \text{ then } (\exists f)(f \text{ is a play of } H_i(Z, X, p) \text{ in which I follows } s \text{ and which II wins})).$$

Fix $s \in M$, $\mathcal{M} \models s$ is a strategy for I in the field of play for $H_i(Z, X, p)$. What follows the " $(\exists f)$ " above may be rewritten in this form:

$$(\exists f)(\exists g)(f \text{ and } g \text{ are functions on } \omega \text{ and } (\forall n \in \omega)\psi(s, Y, Z, i, p, f \upharpoonright n, g \upharpoonright n, n)),$$

where ψ is Σ_0 . The formula is equivalent to the statement that a certain tree \hat{T} has an infinite branch, where \hat{T} depends in a Σ_0 way on the parameters s, Y, Z, i, p . Where $\hat{T} \in M_a$, if $\mathcal{M} \models (a < b$ and b is admissible and \hat{T} has an infinite branch), then $\mathcal{M} \models (\hat{T}$ has an infinite branch in $L_{b+1})$, by a relativized version of the Kleene basis theorem. Thus our original formula holds in \mathcal{M} iff the following does:

($\forall s$)(if s is a strategy for I in the field of play for $H_i(Z, X, p)$
 then ($\forall \xi$)(if $\hat{T}(s, Y, Z, i, p) \in L_\xi$ and ξ is admissible, then
 ($\exists f$)($f \in L_{\xi+1}$ and $f \in [\hat{T}(s, Y, Z, i, p)]$)).

The latter is clearly equivalent to a Π_1 formula. QED.

For the rest of this section, we will assume that $\mathcal{M} \models (\forall \xi)(\exists \eta > \xi)(\eta$ is admissible). For $a \in \text{On}(\mathcal{M})$, a is \mathcal{M} -stable iff $\mathcal{M}_a <_1 \mathcal{M}$. Where $\mathcal{M} = (L_\alpha, \varepsilon \upharpoonright L_\alpha)$, \mathcal{M} -stability coincides with α -stability. Let “ Σ_n Projectibility” be the sentence: “There is a Σ_n function projecting the ordinals one-one into a set”; “Projectibility” is “ Σ_1 Projectibility.” Clearly α is Σ_n -projectible (i.e. $\rho_\alpha^n < \alpha$) iff $L_\alpha \models \Sigma_n$ Projectibility. The familiar Skolem argument, showing that α is not projectible iff α is a limit of α -stables, generalizes to \mathcal{M} : $\mathcal{M} \models (\neg$ Projectibility) iff the \mathcal{M} -stables are unbounded in $\text{On}(\mathcal{M})$ under $<^{\mathcal{M}}$; $\mathcal{M} \models (a$ is not projectible) iff the \mathcal{M} -stables $<^{\mathcal{M}}$ -below a are unbounded under $<^{\mathcal{M}}$. Let $\{a_b\}_{b \in B}$ for $B \subseteq \text{On}(\mathcal{M})$ be the increasing enumeration of the \mathcal{M} -stables (under $<^{\mathcal{M}}$). This listing is continuous under $<^{\mathcal{M}}$.

Suppose $c, d \in M_{a_b}$ and $\mathcal{M} \models a_b + 1 = a'$. Where ψ is a Π_1 formula, there is an $e \in M$ such that $\mathcal{M} \models (e = \{x \in c \mid \psi(x, c, d)\}$ and $e \in L_{a'}$); this is because $\mathcal{M}_{a_b} <_1 \mathcal{M}$.

Suppose $S \in M$, $\mathcal{M} \models (S$ is a function on ω^2 such that for all $i, j \in \omega$, $S_i(j)$ is a tree in Y). In this case we will say that the game $G = G([Y] - B, Y)$, for $B = \bigcap_i \bigcup_j [S_i(j)]$, is defined in \mathcal{M} . Fix $i, Z \in M$, $\mathcal{M} \models (i \in \omega$ and Z is a subfield of play of Y). Let $\Phi = \Phi_{i,Z}$. For $a \in \text{On}(\mathcal{M})$ fix $\theta^{<a} = \theta$ such that

$\mathcal{M} \models \theta$ is a function on a and $(\forall \xi < a)(\theta(\xi) = \Phi(\bigcup \text{Range}(\theta^{<\xi})))$,

provided that for all $b <^{\mathcal{M}} a$, $\theta^{<b}$ is defined; let $\theta^a = \theta^{<a'}$ where $\mathcal{M} \models a' = a + 1$; let “ $p \in \Phi^a$ ” abbreviate “ $p \in \theta^a(a)$ ”, “ $p \in \Phi^{<a}$ ” abbreviate “ $p \in \bigcup \text{Range} \theta^{<a}$ ”, “ $|p|_\Phi = a$ ” abbreviate “ $p \in \Phi^a - \Phi^{<a}$ ”, and “ Φ^∞ exists” abbreviate “ $(\exists \xi)(\bigcup \text{Range}(\theta^{<\xi}) = \Phi(\bigcup \text{Range}(\theta^{<\xi})))$.” Suppose that $Y, Z \in M_{a_c}$. For $b' = c +^{\mathcal{M}} b \in B$, $\mathcal{M} \models \theta^{<b'}$ is definable over L_{a_b} ; so $\theta^{<b'} \in M_{a'}$ where $\mathcal{M} \models a' = a_b + 1$; this is proved by induction on b within \mathcal{M} , using Lemma 2. We are now ready to consider the Fundamental Technical Lemma within \mathcal{M} .

LEMMA 3. If $\mathcal{M} \models p \in \Phi^a$, then \mathcal{M} satisfies proposition (2) of the Fundamental Technical Lemma.

PROOF. Within \mathcal{M} we carry out the construction of $(Z, i, p)^*$ using $\theta^{<a} \in M$; notice that $(Z, i, p)^*$ is actually a member of M , since it is Δ_1 in $\theta^{<a}$ and relevant parameters; it clearly meets conditions (2a) and (2b). QED.

LEMMA 4. Suppose that $\mathcal{M} \models (\Phi^\infty$ exists) and $Y, Z, \Phi^\infty \in L_{a_b}$. If $\mathcal{M} \models (p \notin \Phi^\infty)$, then $\mathcal{M} \models (I$ has a winning strategy in G from p which belongs to $L_{a'}$), where $\mathcal{M} \models a_b + 1 = a'$.

PROOF. Carry out the construction used in proving the Fundamental Technical Lemma, (3) \Rightarrow (1), within \mathcal{M} . Notice that all of I’s subsidiary strategies, the s_j ’s of that proof, belong to M_{a_b} ; thus definably over M_{a_b} we may assemble them into a strategy for I in G from p . QED.

Suppose $\mathcal{M} \models \neg I$ has a winning strategy in G from p . We now construct a system of notation within \mathcal{M} . Let $Y \in M_{a_c}$, where c is the $<^{\mathcal{M}}$ -least such ordinal. We will define a partial two-place function $g_{\mathcal{M}} = g$. Let $g(\langle \rangle^{\mathcal{M}}, p) = a$ iff $\mathcal{M} \models a = c + |p|_{\Phi}$, where $\Phi = \Phi_{0,Y}$. If $g(\langle \rangle^{\mathcal{M}}, \langle \rangle^{\mathcal{M}})$ is defined, $\mathcal{M} \models (\langle \rangle \in \Phi^b)$ for some $b \in \text{On}(\mathcal{M})$; by Lemma 3 we may fix $Z(\langle \rangle^{\mathcal{M}})$ by $\mathcal{M} \models (Y, 0, \langle \rangle)^* = Z(\langle \rangle^{\mathcal{M}})$. Now suppose that $g(q, q)$ and $Z(q)$ are defined, $\mathcal{M} \models (q \in Y \text{ and } \text{length}(q) = 2i)$ and $\mathcal{M} \models \neg I$ has a winning strategy in $G([Y] - B, Z(q))$ from q . Suppose $\mathcal{M} \models q' = q \wedge \langle x, y \rangle \in Z(q)$. Let $g(q', p) = a$ iff $\mathcal{M} \models a = g(q, q) + |p|_{\Phi}$, where $\Phi = \Phi_{i+1, Z(q)}$. If $g(q', q')$ is defined, for some b , $\mathcal{M} \models q' \in \Phi^b$; fix $Z(q')$ by $\mathcal{M} \models (Z(q), i + 1, q')^* = Z(q')$. Thus $\mathcal{M} \models \neg I$ has a winning strategy for $G([Y] - B, Z(q'))$ from q' ; so the induction hypothesis is preserved.

LEMMA 5. Suppose that $b \in B$ and for all q, p : if $g(q, p)$ is defined, then $g(q, p) <^{\mathcal{M}} b$. Then $\mathcal{M} \models \text{II}$ has a winning strategy in G .

PROOF. For $\Phi = \Phi_{0,Y}$, $\mathcal{M} \models$ (if $|p|_{\Phi}$ exists, then $|p|_{\Phi} < b$); so $\mathcal{M} \models \Phi^{\infty}$ exists. Using Lemma 4, $\mathcal{M} \models \langle \rangle \in \Phi^{\infty}$; so $\mathcal{M} \models (|\langle \rangle|_{\Phi} = b')$, for some $b' \in \text{On}(\mathcal{M})$. $\mathcal{M} \models c + b'$ exists; otherwise fix b'' so that $\mathcal{M} \models c + b'' = b$; since $b'' <^{\mathcal{M}} b'$, for some r , $\mathcal{M} \models |r|_{\Phi} = b''$; so $g(\langle \rangle^{\mathcal{M}}, r) = b$, a contradiction. Thus $g(\langle \rangle^{\mathcal{M}}, \langle \rangle^{\mathcal{M}})$ is defined, and so is $Z(\langle \rangle^{\mathcal{M}})$. In fact $Z(\langle \rangle^{\mathcal{M}})$ is Δ_1 in $\theta^{< b'}$, and so belongs to M_{a_b} . Now suppose that $Z(q)$ and $g(q, q)$ are defined, $Z(q) \in M_{a_b}$, $\mathcal{M} \models (q \in Y \text{ and } \text{length}(q) = 2i)$, $\mathcal{M} \models \neg I$ has a winning strategy in $G([Y] - B, Z(q))$ from q . Let $\mathcal{M} \models q' = q \wedge \langle x, y \rangle \in Z(q)$. For $\Phi = \Phi_{i+1, Z(q)}$, as above we have $\mathcal{M} \models \Phi^{\infty}$ exists. By Lemma 4, $\mathcal{M} \models q' \in \Phi^{\infty}$; thus there is a $b' \in \text{On}(\mathcal{M})$ so that $\mathcal{M} \models |q'|_{\Phi} = b'$. As before, $\mathcal{M} \models c + b'$ exists; so $g(q', q')$ is defined, as is $Z(q')$; again $Z(q') \in M_{a_b}$. Since for all q so that $Z(q)$ is defined, $Z(q) \in M_{a_b}$, we can define $\{q \mid Z(q) \text{ is defined}\}$ over M_{a_b} ; it is a winning strategy for II in G which belongs to M . QED.

We will use $g_{\mathcal{M}}$ later. For now we note the following fact.

LEMMA 6. Suppose α is a limit of admissibles, $L_{\alpha} \models (\aleph_n \text{ exists and } Y \text{ is a subfield of play of } \mathcal{P}^n(\omega)^{< \omega})$. If $L_{\alpha} \models \text{Det}(\Sigma_3^0, Y)$, then $L_{\alpha} \models \text{Det}(\Sigma_{n+3}^0)$.

PROOF. We use the notation of Observation 1, where G is defined in L_{α} , i.e. $S \in L_{\alpha}$. We define the sequence G^i and Y_i using the Martin *-operation within L_{α} , i.e. $L_{\alpha} \models Y_{i+1} = Y_i^*$, where Y_i is a subfield of play of $\mathcal{P}^i(\omega)^{< \omega} \cap L_{\alpha}$. Suppose for $s^n \in L_{\alpha}$, $L_{\alpha} \models s^n$ is a winning strategy for G^n . It suffices to note that s^i may be defined from s^{i+1} within L_{α} . If s^{i+1} is a winning strategy for I, this is straightforward. If s^{i+1} is a winning strategy for II and $s^{i+1} \in L_{\beta}$, where $L_{\alpha} \models \beta > \aleph_{i+1}$, then $s_i \in L_{\beta'}$ where $\beta' = \beta^+ + 1$. (β^+ = the least admissible $< \beta$.) To see this, recall the closed games of the form G' from [9, p. 367]. The set of winning positions for I in G' belongs to L_{β} . By Theorem 7B.2 of [11], a winning strategy for G' belongs to $L_{\beta'}$. By finding such strategies and using them as detailed in [9], s^i is defined in L . Thus $L_{\alpha} \models s^i$ is a winning strategy; so s^0 is as required. QED.

§3. Computing infinite descending chains. Suppose \mathcal{M} is a nonstandard ω -model of T , $\mathcal{M} = (M, \varepsilon^{\mathcal{M}})$, $M \subseteq \omega$; let $\alpha = o(\mathcal{M})$ and suppose that $L_{\alpha} \models \gamma = \aleph_m$ is the greatest cardinal, and $\mathcal{M} \models \gamma^{\mathcal{M}} = \aleph_m$ is the greatest cardinal, where $m \in \omega$. Let \mathcal{E} be an arithmetic copy of $L_{\hat{\alpha}}$, i.e. $\mathcal{E} = (E, \varepsilon^{\mathcal{E}})$ for $E \subseteq \omega$, \mathcal{E} isomorphic to $L_{\hat{\alpha}}$, for $\alpha \leq \hat{\alpha}$. We will investigate various cases of this question: how hard is it to compute an infinite descending $\varepsilon^{\mathcal{M}}$ chain given an oracle for $A = \text{Sat}(\mathcal{M}) \oplus \text{Sat}(\mathcal{E})$?

For $a \in \text{On}(\mathcal{M})$ let $M_a = \{b \mid \mathcal{M} \models b \in L_a\}$, $\mathcal{M}_a = (M_a, \varepsilon^{\mathcal{M}} \upharpoonright M_a)$; for $a \in \text{On}(\mathcal{E})$ define \mathcal{E}_a analogously. Let $M' = \bigcup \{M_a \mid a \text{ is a standard ordinal of } \mathcal{M}\}$, $M' =$

$(M', \varepsilon^{\mathcal{M}} \upharpoonright M')$. Let E_α be the domain of \mathcal{E}_α for $a = \alpha^\varepsilon$ if $\hat{\alpha} > \alpha$; let E_α be E if $\alpha = \hat{\alpha}$. Let $F: E_\alpha \rightarrow M$ be the unique isomorphic embedding of $(E_\alpha, \varepsilon^\varepsilon \upharpoonright E_\alpha)$ onto M' . Let $F_i = F \upharpoonright \{x^\varepsilon \mid x \in \mathcal{P}^i(\omega)\}$ for $i \leq m + 1$. Where confusion is unlikely, we will identify \mathcal{E} and $L_{\hat{\alpha}}$.

LEMMA 7. F_i is recursive in $A^{(i)}$.

PROOF. For $i = 0$, this is clear. $F_{i+1}(a) = b$ iff $L_\alpha \models a \subseteq \mathcal{P}^i(\omega)$, $\mathcal{M} \models b \subseteq \mathcal{P}^i(\omega)$, and for all c such that $L_\alpha \models c \in \mathcal{P}^i(\omega)$: $L_\alpha \models c \in a$ iff $\mathcal{M} \models F_i(c) \in b$; so F_{i+1} is Π_1^0 in F_i , so by induction is recursive in $A^{(i+1)}$.

COROLLARY 1. If $L_\alpha \models \text{card}(\delta) = \aleph_i$, then $F \upharpoonright \delta$ is recursive in $A^{(i)}$ for $i \leq m$.

PROOF. Fix $W, g \in L_\alpha$, W a well-ordering of height δ , $\text{Fld}(W) \subseteq \mathcal{P}^i(\omega) \cap L_\alpha$ and g the order-preserving map of $\text{Fld}(W)$ onto δ . For $\xi < \delta$, $F(\xi) = b$ iff for some a , $L_\alpha \models g(a) = \xi$ and $\mathcal{M} \models g^{\mathcal{M}}(F_i(a)) = b$. So $F \upharpoonright \delta$ is recursive in $A \oplus F_i$, and thus in $A^{(i)}$. QED.

LEMMA 8. $F \upharpoonright \alpha$ and F are recursive in $A^{(m+1)}$.

PROOF. Using any reasonable way of coding constructible sets as ordinals, it suffices to prove this for $F \upharpoonright \alpha$. Let $\varphi(x, y)$ be the Σ_1 formula which defines the enumeration of $\mathcal{P}^{m+1}(\omega) \cap L$ in increasing order under $<_L$, i.e. $L \models \varphi(x, \xi)$ iff x is the ξ th member of $\mathcal{P}^{m+1}(\omega)$ under $<_L$. This remains true within L_α . Let $x_b = a$ iff $\mathcal{M} \models \varphi(a, b)$ for $b \in \text{On}(\mathcal{M})$, $y_\xi = a$ iff $L_\alpha \models \varphi(a, \xi)$ for $\xi < \alpha$. Then $F(\xi) = b$ iff $F_{m+1}(y_\xi) = x_b$; so $F \upharpoonright \alpha$ is recursive in $A \oplus F_{m+1}$ and so in $A^{(m+1)}$. QED.

COROLLARY 2. There is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(m+2)}$.

PROOF. By Lemma 7, $\text{On}(\mathcal{M}) - \text{On}(\mathcal{M}') = \text{On}(\mathcal{M}) - F''\alpha$, which is co-r.e. in $A^{(m+1)}$, and so is recursive in $A^{(m+2)}$; an infinite descending $\varepsilon^{\mathcal{M}}$ -chain may now be easily constructed. QED.

The rest of this section concerns improvements of Corollary 2. We recall the generalization of projectibility from Σ_1 to Σ_n : α is Σ_n -projectible iff there is an f mapping α one-one into some $\delta < \alpha$, where f is Σ_n over L_α .

LEMMA 9. Suppose that α is Σ_{n+1} -projectible. If there is a nonstandard a such that $\mathcal{M}' <_n \mathcal{M}_a$, then there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(m)}$.

PROOF. Let f be a Σ_{n+1} over L_α projection of α into γ , where $L_\alpha \models \aleph_m = \gamma$. Suppose f is defined over L_α by $(\exists z)\varphi(p, x, y, z)$, $p \in L_\alpha$, φ a Π_n formula. Suppose $\mathcal{M}' <_n \mathcal{M}$; otherwise replace \mathcal{M} by an appropriate \mathcal{M}_a . Then $\mathcal{M} \models (\exists z)\varphi(p^{\mathcal{M}}, \xi^{\mathcal{M}}, f(\xi)^{\mathcal{M}}, z)$ for all $\xi < \alpha$.

Claim. For $b \in \text{On}(\mathcal{M})$, b is nonstandard iff one of the following conditions obtains:

- (1) $\mathcal{M} \models \neg (\exists \eta < \gamma^{\mathcal{M}})(\exists z)\varphi(p^{\mathcal{M}}, b, \eta, z)$;
- (2) for some $\eta < \gamma$, $\eta \notin \text{Range}(f)$ and $\mathcal{M} \models (\exists z)\varphi(p^{\mathcal{M}}, b, \eta^{\mathcal{M}}, a)$;
- (3) $\mathcal{M} \models (\exists \xi)(\exists \xi')(\exists \eta < \gamma^{\mathcal{M}})(\exists z)(\exists z')(\xi \neq \xi' \ \& \ \langle \xi', z' \rangle <_L \langle \xi, z \rangle \ \& \ \langle \xi, z \rangle \in L_b \ \& \ \varphi(p^{\mathcal{M}}, \xi, \eta, z) \ \& \ \varphi(p^{\mathcal{M}}, \xi', \eta, z'))$.

Thus the set of nonstandard $b \in \text{On}(\mathcal{M})$ is RE in $A \oplus (F \upharpoonright \gamma)$, which by Corollary 1, is recursive in $A^{(m)}$; this suffices to compute a descending $\varepsilon^{\mathcal{M}}$ -chain as in the proof of Lemma 8. QED.

LEMMA 10. Suppose that for all nonstandard $a \in \text{On}(\mathcal{M})$, $\mathcal{M}' \not<_1 \mathcal{M}_a$. If α is not Σ_1 -projectible and the order-type of the α -stable ordinals $= \beta < \alpha$, then there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(m)}$.

PROOF. Let $\{\alpha_\xi\}_{\xi < B}$ be the increasing enumeration of the α -stable ordinals. Let $\{a_b\}_{b \in B}$ for $B \subseteq \text{On}(\mathcal{M})$ be the $<^{\mathcal{M}}$ -increasing enumeration of the \mathcal{M} -stable

ordinals. We describe a procedure effective in $A^{(m)}$ for selecting a nonstandard $a \in \text{On}(\mathcal{M})$; it is sufficiently independent of \mathcal{M} to be repeatable with \mathcal{M}_a in the place of \mathcal{M} ; iterating this procedure, we will obtain our desired $\varepsilon^{\mathcal{M}}$ -chain. Assume without loss of generality that $\mathcal{M}' \not\prec_1 \mathcal{M}$.

If B is nonempty, there is a b_0 which is the $<^{\mathcal{M}}$ -maximal b such that a_b is standard; otherwise $\mathcal{M}' <_1 \mathcal{M}$. Clearly b_0 is standard; let $b_0 = (\xi_0)^{\mathcal{M}}$ and $a^* = a_{b_0}$. Where $\gamma^{\mathcal{M}} = a_{b_0}$, γ is α -stable: for suppose $e \in L_\gamma$, φ is Π_0 and $L_\alpha \models (\exists x)\varphi(x, e)$; then $\mathcal{M} \models (\exists x)\varphi(x, e^{\mathcal{M}})$ and $e^{\mathcal{M}} \in \mathcal{M}_{a^*}$; thus $\mathcal{M}_{a^*} \models (\exists x)\varphi(x, e^{\mathcal{M}})$ and so $L_\gamma \models (\exists x)\varphi(x, e)$. We may prove more along these lines: for $\delta < \gamma$, δ is α -stable iff $\delta^{\mathcal{M}}$ is \mathcal{M} -stable. Let $e \in L_\delta$ and let φ be Π_0 . Suppose that δ is α -stable; if $\mathcal{M} \models (\exists x)\varphi(x, e^{\mathcal{M}})$, then $\mathcal{M}_{a^*} \models (\exists x)\varphi(x, e^{\mathcal{M}})$; so $L_\gamma \models (\exists x)\varphi(x, e)$; so $L_\delta \models (\exists x)\varphi(x, e)$; so $\mathcal{M}_{\delta^{\mathcal{M}}} \models (\exists x)\varphi(x, e^{\mathcal{M}})$; thus $\delta^{\mathcal{M}}$ is \mathcal{M} -stable. Suppose that $\delta^{\mathcal{M}}$ is \mathcal{M} -stable; if $L_\alpha \models (\exists x)\varphi(x, e)$ then $\mathcal{M} \models (\exists x)\varphi(x, e^{\mathcal{M}})$; so $\mathcal{M}_{\delta^{\mathcal{M}}} \models (\exists x)\varphi(x, e^{\mathcal{M}})$; so $L_\delta \models (\exists x)\varphi(x, e)$, showing δ to be α -stable. This implies the following important fact: For $\xi \leq \xi_0$ and $b = \xi^{\mathcal{M}}$, $a_b = (a_\xi)^{\mathcal{M}}$.

We now describe three search procedures; we will engage in Search 1 if B is nonempty, in Search 2 if B is nonempty and has a $<^{\mathcal{M}}$ -maximum member, and in Search 3 if B is empty. All of these searches can be carried out effectively in $A \oplus (F \upharpoonright \beta)$.

Search 1. Search for $\xi < \beta$ so that for $b = \xi^{\mathcal{M}} = F(\xi) \in B$, $a_b \neq (\alpha_\xi)^{\mathcal{M}}$. We try to determine whether $a_b \neq (\alpha_\xi)^{\mathcal{M}}$ as follows: search for $r \in L_\alpha$ and $s \in M$ so that

$$\begin{aligned} L_\alpha \models r \text{ is the } \alpha_\xi \text{th subset of } \mathcal{P}^m(\omega) \text{ under } <_L; \\ \mathcal{M} \models s \text{ is the } a_b \text{th subset of } \mathcal{P}^m(\omega) \text{ under } <_L; \end{aligned}$$

then search for an $e \in L_\alpha \cap \mathcal{P}^m(\omega)$ so that $L_\alpha \models e \in r$ iff $\mathcal{M} \not\models e^{\mathcal{M}} \in s$, using F_m . Such an e exists, and so will be found, iff $a_b \neq (\alpha_\xi)^{\mathcal{M}}$. We output the first a_b found in this manner. Such an a_b is nonstandard; otherwise $b <^{\mathcal{M}} b_0$, in which case $a_b = (\alpha_\xi)^{\mathcal{M}}$.

Search 2. Let c_0 be the $<^{\mathcal{M}}$ -maximum member of B . Find η_0 so that $\eta_0^{\mathcal{M}} = c_0$. Then search for $\eta_1, \dots, \eta_k < \eta_0$ and $c_1, \dots, c_k \in B$, $a \in \text{On}(\mathcal{M})$ and φ a Π_0 formula so that $(F \upharpoonright \beta)(\eta_i) = c_i$; for $1 \leq i \leq k$, $a_{c_0} <^{\mathcal{M}} a$ and:

$$\begin{aligned} L_\alpha \not\models (\exists x)\varphi(x, \alpha_{\eta_0}, \alpha_{\eta_1}, \dots, \alpha_{\eta_k}), \\ \mathcal{M} \models (\exists x \in L_a)\varphi(x, a_{c_0}, a_{c_1}, \dots, a_{c_k}). \end{aligned}$$

Output a .

Claim. If this search succeeds, a is nonstandard. If a_{c_0} is nonstandard, so is a ; otherwise $c_0 = b_0$, $\eta_0 = \xi_0$; thus $a_{c_i} = (\alpha_{\eta_i})^{\mathcal{M}}$ for $i \leq k$. If $\mathcal{M} \models \varphi(e, a_{c_0}, a_{c_1}, \dots, a_{c_k})$ and $e \in \mathcal{M}'$, then $e = d^{\mathcal{M}}$ for $d \in L_\alpha$ and $L_\alpha \models \varphi(d, \alpha_{\eta_0}, \alpha_{\eta_1}, \dots, \alpha_{\eta_k})$, contrary to what holds in L_α ; thus for a witness $e \in \mathcal{M}_a$, a must be nonstandard.

If B has no $<^{\mathcal{M}}$ -maximum member, then $b = b_0 + {}^{\mathcal{M}}1 \in B$ and $\xi_0 + 1 < \beta$ meet the conditions of Search 1; so eventually Search 1 succeeds. If B has a $<^{\mathcal{M}}$ -maximum member c_0 and $c_0 >^{\mathcal{M}} b_0$, Search 1 will succeed. Now suppose that $c_0 = b_0$. Also suppose that for all Π_0 formulae φ and all $\eta_1, \dots, \eta_k < \eta_0 = \xi_0 < \beta$ and $c_i = (\eta_i)^{\mathcal{M}}$ for $i \leq k$: if

$$\mathcal{M} \models (\exists x)\varphi(x, a_{c_0}, a_{c_1}, \dots, a_{c_k}),$$

then

$$L_\delta \models (\exists x)\varphi(x, \alpha_{\eta_0}, \alpha_{\eta_1}, \dots, \alpha_{\eta_k}),$$

for $\delta = \alpha_{\xi_0+1}$. Then for $b = \delta^{\mathcal{M}}$, $\mathcal{M}_b \models (\exists x)\varphi(x, a_{c_0}, a_{c_1}, \dots, a_{c_k})$. Thus b is \mathcal{M} -stable, standard, and $b >^{\mathcal{M}} a_{b_0}$, contrary to the choice of b_0 . So our supposition is false and Search 2 will succeed.

Search 3. We suppose that B is empty. Search for a Π_0 formula φ without parameters, and an $a \in \text{On}(\mathcal{M})$ so that $L_\alpha \not\models (\exists x)\varphi$ and $\mathcal{M} \models (\exists x \in L_a)\varphi$; output a . Clearly a is nonstandard. If no such ϕ and a exist, $(\alpha_0)^{\mathcal{M}}$ would be \mathcal{M} -stable, and so $0^{\mathcal{M}} \in B$.

To construct an infinite descending $\varepsilon^{\mathcal{M}}$ -chain, proceed as follows. If $\mathcal{M} \models (a$ is the greatest ordinal), output a ; otherwise run the appropriate searches, outputting the first appropriate a we find. Replace \mathcal{M} by \mathcal{M}_a and do it again; etc. QED.

We are now ready to use the apparatus of §2 to obtain another improvement of Corollary 2.

LEMMA 11. *If $L_\alpha \not\models \text{Det}(\Sigma_{m+3}^0)$, then there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(m)}$.*

First we show that without loss of generality we may suppose that α is Σ_2 projectible. Fix Y so that $L_\alpha \models Y = Y^m$, for $Y^i, i \leq m$, as defined in the observation from §2.

LEMMA 12. *If α is not Σ_2 projectible, then $L_\alpha \models \text{Det}(\Sigma_{m+3}^0)$.*

PROOF. Suppose α is not Σ_2 projectible. By Lemma 6 it suffices to show that $L_\alpha \models$ all Σ_3^0 games on Y are determined. By the analysis of such games in §2, it suffices to show that if Φ is a monotone inductive operator on $\mathcal{P}(Y) \cap L_\alpha$ with a Π_1 definition over L_α , then $L_\alpha \models \Phi^\infty$ exists. Observe that “ $|p|_\Phi = \xi$ ” is expressible over L_α as:

$$(\exists f)(f \text{ is a function on } \xi + 1 \text{ and } p \in f(\xi) - \bigcup f''\xi \text{ and } (\forall \eta \leq \xi)(\forall q \in Y)(q \in f(\eta) \text{ iff } q \in \Phi(\bigcup f''\eta))).$$

Since $L_\alpha \models \Sigma_2$ Bounding, this formula may be put into Σ_2 form. By the Σ_2 uniformization of L_α (see [8]) there is a function h uniformizing $\{(\xi, p) \mid L_\alpha \models |p|_\Phi = \xi\}$; h is Σ_2 over L_α . Familiar arguments show that α is the limit of α many α -stable ordinals; so by results of §2, $L_\alpha \models (\forall \xi)(\Phi^\xi \text{ exists})$. If $L_\alpha \not\models \Phi^\infty$ exists, for any $\xi < \alpha$ there is a p so that $L_\alpha \models |p|_\Phi = \xi$; thus $\text{dom}(h) = \alpha$. Clearly h is one-one and projects α into $Y^n \in L_\alpha$; this violates the fact that α is not Σ_2 projectible. QED.

Therefore we may as well suppose that $\mathcal{M}' \not\prec_1 \mathcal{M}$ and $\mathcal{M}' \not\prec_1 \mathcal{M}_a$ for all $a \in \text{On}(\mathcal{M})$, a nonstandard. By Lemma 10 we also may as well suppose that the order-type of the α -stable ordinals is α . Let $\{\alpha_\xi\}_{\xi < \alpha}$ be the increasing enumeration of the α -stables.

LEMMA 13. *If $a \in \text{On}(\mathcal{M})$ is nonstandard, then there is a nonstandard $b <^{\mathcal{M}} a$ such that $\mathcal{M} \models b$ is nonprojectible.*

PROOF. Since the order-type of the α -stables is α , α is a limit of limits, and thus a limit of nonprojectibles. If $\xi < \alpha$ is nonprojectible, $\mathcal{M} \models \xi^{\mathcal{M}}$ is nonprojectible; so the standard nonprojectible ordinals in \mathcal{M} are unbounded. If this lemma fails for a , then $\{b \mid \mathcal{M} \models b < a \text{ and } (\forall \eta)(\text{if } b \leq \eta < a, \text{ then } \eta \text{ is nonprojectible})\}$ is represented in \mathcal{M} but has no $<^{\mathcal{M}}$ -least member. QED.

Without loss of generality, suppose that $\mathcal{M} \models \neg$ Projectibility; otherwise select a nonstandard $b \in \text{On}(\mathcal{M})$ so that $\mathcal{M} \models (b$ is nonprojectible), and replace \mathcal{M} by \mathcal{M}_b . Trivially $\mathcal{M} \models (\forall \xi)(\exists \eta \geq \xi) (\eta \text{ is admissible})$. Let $\{a_b\}_{b \in B}$ be as in the proof of

Lemma 10. Since $\mathcal{M} \models \neg$ Projectibility, the \mathcal{M} -stables are unbounded in $<^{\mathcal{M}}$ and B has no maximum member. We now use the apparatus of §2. Let $G^0 = G([Y_0] - B, Y_0)$ be our typical Σ_{n+3}^0 game defined in L_α . Form $G = G^n$ on $Y = Y^n$ as in the proof of Lemma 6. Suppose that $L_\alpha \not\models$ (there is a winning strategy in G^0). By Lemma 6, $L_\alpha \not\models$ (there is a winning strategy in G). Let $g = g_{L_\alpha}$ and $\hat{g} = g_{\mathcal{M}}$. Fix η = the least ordinal so that $Y \in L_{\alpha_\eta}$, c = the $<^{\mathcal{M}}$ -least member of B so that $Y^{\mathcal{M}} \in M_{a_c}$. Let ξ_0 and b_0 be as in the proof of Lemma 10.

LEMMA 14. For $g(q, p) = \xi$, $\hat{g}(q^{\mathcal{M}}, p^{\mathcal{M}}) = b$: if $\xi < \xi_0$ or $b <^{\mathcal{M}} b_0$ then $b = \xi^{\mathcal{M}}$; and if $\hat{g}(q^{\mathcal{M}}, p^{\mathcal{M}}) = b_0$ then $g(q, p) = \xi_0$.

PROOF. Suppose $q = \langle \rangle$. Since $\eta \leq \xi$ and $c \leq^{\mathcal{M}} b$, if $\xi < \xi_0$ or $b <^{\mathcal{M}} b_0$, $\eta^{\mathcal{M}} = c$. So suppose $\eta^{\mathcal{M}} = c$. By induction on ξ' such that $\eta + \xi' < \xi_0$: for all $r \in Y$, $L_\alpha \models r \in (\Phi_{0,Y})^{\xi'}$ iff $\mathcal{M} \models r^{\mathcal{M}} \in (\Phi_{0^{\mathcal{M}},Y^{\mathcal{M}}})^{\hat{b}}$ for $\hat{b} = (\xi')^{\mathcal{M}}$; we use the facts that $a_{c+\mathcal{M}\hat{b}} = (\alpha_{\eta+\xi'})^{\mathcal{M}}$, $\theta_{0,Y}^{\xi'} \in L_{\alpha_{\eta+\xi'+1}}$ and $\mathcal{M} \models (\theta_{0^{\mathcal{M}},Y^{\mathcal{M}}}^{\mathcal{M}} \in L_{a_{c+\hat{b}+1}})$. Where $\xi = \eta + \xi'$ and $b = c +^{\mathcal{M}} b'$, $L_\alpha \models |p|_{\Phi_{0,Y}} = \xi'$ and $\mathcal{M} \models |p^{\mathcal{M}}|_{\Phi_{0^{\mathcal{M}},Y^{\mathcal{M}}}} = b'$, which is to say:

$$p \in \theta_{0,Y}^{\xi'}(\xi') - \bigcup \text{Range } \theta_{0,Y}^{\xi'} \quad \text{and} \quad \mathcal{M} \models (p^{\mathcal{M}} \in \theta_{0^{\mathcal{M}},Y^{\mathcal{M}}}^{\mathcal{M}}(b') - \bigcup \text{Range } \theta_{0^{\mathcal{M}},Y^{\mathcal{M}}}^{\mathcal{M}}).$$

If $\xi < \xi_0$, $\mathcal{M} \models ((\theta_{0,Y}^{\xi'}) = \theta_{0^{\mathcal{M}},Y^{\mathcal{M}}}^{\mathcal{M}})$, and thus $b' = \hat{b}$ and $b = \xi^{\mathcal{M}}$; similarly if $b <^{\mathcal{M}} b_0$.

Now suppose that $q = q' \wedge \langle x, y \rangle$, length $(q') = 2i$; assume as an induction hypothesis that if $g(q', q') < \xi_0$ or $\hat{g}(q'^{\mathcal{M}}, q'^{\mathcal{M}}) <^{\mathcal{M}} b_0$, then $\hat{g}(q'^{\mathcal{M}}, q'^{\mathcal{M}}) = g(q', q')^{\mathcal{M}}$ and $\mathcal{M} \models Z(q')^{\mathcal{M}} = Z(q'^{\mathcal{M}})$. If $\xi < \xi_0$ or $b <^{\mathcal{M}} b_0$ the antecedent of the induction hypothesis obtains. Suppose it does. By induction on ξ' so that $g(q', q') + \xi' < \xi_0$, we show that for all $r \in Y$ and $\hat{b} = (\xi')^{\mathcal{M}}$, $L_\alpha \models r \in (\Phi_{i+1,Z(q')})^{\xi'}$ iff $\mathcal{M} \models r^{\mathcal{M}} \in (\Phi_{i+1^{\mathcal{M}},Z(q')^{\mathcal{M}}})^{\hat{b}}$. Where $\xi = g(q', q') + \xi'$ and $b = \hat{g}(q'^{\mathcal{M}}, q'^{\mathcal{M}}) +^{\mathcal{M}} b'$, we have

$$p \in \theta_{i+1,Z(q')}^{\xi'}(\xi') - \bigcup \text{Range}(\theta_{i+1,Z(q')}^{\xi'})$$

and

$$\mathcal{M} \models p^{\mathcal{M}} \in \theta_{i+1^{\mathcal{M}},Z(q')^{\mathcal{M}}}^{\mathcal{M}}(b') - \bigcup \text{Range}(\theta_{i+1^{\mathcal{M}},Z(q')^{\mathcal{M}}}^{\mathcal{M}});$$

if $\xi < \xi_0$, then $\mathcal{M} \models (\theta_{i+1,Z(q')}^{\xi'})^{\mathcal{M}} = \theta_{i+1^{\mathcal{M}},Z(q')^{\mathcal{M}}}^{\mathcal{M}}$; so $b' = \hat{b}$ and $b = \xi^{\mathcal{M}}$. A similar argument applies if $b <^{\mathcal{M}} b_0$. Furthermore,

$$\mathcal{M} \models (Z(q'), i + 1, p)^{\ast \mathcal{M}} = (Z(q'^{\mathcal{M}}), i + 1, p^{\mathcal{M}})^{\ast},$$

preserving our induction hypothesis.

Now suppose $\hat{g}(q^{\mathcal{M}}, p^{\mathcal{M}}) = b_0$. If $g(q, p)$ is defined, $g(q, p) \geq \xi_0$. Suppose $q = \langle \rangle$. If $g(\langle \rangle, p)$ is undefined or defined and $\neq \xi_0$, for ξ' so that $\eta + \xi' = \xi_0$ and for $\Phi = \Phi_{0,Y}$, $p \notin \Phi^{\xi'}$. Suppose d is a witness to the Σ_1 fact that $p \notin \Phi(\Phi^{<\xi'})$. By the preceding part of the lemma,

$$\mathcal{M} \models ((\theta_{0,Y}^{<\xi'})^{\mathcal{M}} = \theta_{0^{\mathcal{M}},Y^{\mathcal{M}}}^{<b'}), \text{ for } b' = (\xi')^{\mathcal{M}}.$$

Thus $d^{\mathcal{M}}$ witnesses in \mathcal{M} the fact that

$$\mathcal{M} \models (p^{\mathcal{M}} \notin \Phi_{0^{\mathcal{M}},Y^{\mathcal{M}}}(\bigcup \text{Range } \theta_{0^{\mathcal{M}},Y^{\mathcal{M}}}^{<b'})).$$

This contradicts our supposition that $\hat{g}(\langle \rangle, p) = b_0$, since $\mathcal{M} \models c + b' = b_0$. For $q = q' \wedge \langle x, y \rangle$, q' of length $2i$, the argument is similar.

At last we are prepared for the construction which proves Lemma 11. As in our proof of Lemma 10, we describe a procedure for selecting a nonstandard

$a \in \text{On}(\mathcal{M})$; we require that $\mathcal{M} \models a$ is nonprojectible. This will enable us to iterate the process with \mathcal{M}_a in place of \mathcal{M} .

If $\mathcal{M} \models$ (there is a greatest nonprojectible ordinal), output that $a \in \text{On}(\mathcal{M})$ such that $\mathcal{M} \models a$ is the greatest nonprojectible ordinal. By Lemma 13, a is nonstandard. Now assume that $\mathcal{M} \models (\forall \xi)(\exists \eta \leq \xi)(\eta \text{ is nonprojectible})$. If (and only if) $\mathcal{M} \not\models$ (there is a winning strategy in G), we engage in a variant of Search 1 from Lemma 10.

Search 1'. Search for $q, p \in Y$, $\xi < \alpha$ and $b \in B$ so that $L_\alpha \models g(q, p) = \xi$, $\mathcal{M} \models \hat{g}(q^\mathcal{M}, p^\mathcal{M}) = b$ and $a_{b+\alpha+1} \neq (\alpha_{\xi+1})^\mathcal{M}$. The last clause is "checked" as in the proof of Lemma 10. If this search succeeds, output an $a \in \text{On}(\mathcal{M})$ such that $\mathcal{M} \models (a_{b+1} \leq a \text{ and } a \text{ is nonprojectible})$.

If $\mathcal{M} \models$ (there is a winning strategy in G), find an $a \in \text{On}(\mathcal{M})$ so that $\mathcal{M} \models (a \text{ is nonprojectible and there is a winning strategy in } G \text{ belonging to } L_a)$. Such an a must be nonstandard, for if $s \in L_\alpha$ and $\mathcal{M} \models (s^\mathcal{M} \text{ is a winning strategy in } G)$, then $L_\alpha \models (s \text{ is a winning strategy in } G)$, contrary to our assumptions.

We now show that if we engage in Search 1', we succeed. It suffices that there be $q, p \in Y$ so that $\hat{g}(q^\mathcal{M}, p^\mathcal{M}) = b_0$; for then $g(q, p) = \xi_0$ and b_0 and ξ_0 are as required. Suppose not. Then $\mathcal{M} \models$ (if $\hat{g}(q^\mathcal{M}, p^\mathcal{M})$ is defined, then $\hat{g}(q^\mathcal{M}, p^\mathcal{M}) < b_0$). By Lemma 5, $\mathcal{M} \models$ (II has a winning strategy in G), contrary to our case assumption.

Since our output a is such that $\mathcal{M}_a \models \neg \text{Projectibility}$, this process may be iterated. This construction is effective in $A \oplus F_m$, and thus in $A^{(m)}$. QED.

Lemmas 9, 10 and 11 permitted us to shave two jumps off of Corollary 2. We now consider ways to shave a single jump off of Corollary 2. Generalize the notion of \mathcal{M} -stability from Σ_1 to Σ_k as follows: a is Σ_k - \mathcal{M} -stable iff $\mathcal{M}_a \prec_k \mathcal{M}$. So α -stability is just Σ_1 - L_α -stability. As usual, $\mathcal{M} \models (\neg \Sigma_k\text{-Projectibility})$ iff the Σ_k - \mathcal{M} -stables are unbounded in \mathcal{M} .

LEMMA 15. *If for some k, α is Σ_k -projectible, then there is an infinite descending $\varepsilon^\mathcal{M}$ -chain recursive in $A^{(m+1)}$.*

PROOF. By Lemma 9 we may assume that α is not Σ_1 -projectible. Let k be least so that α is Σ_{k+1} -projectible; again by Lemma 9 we may assume that for no nonstandard a is $\mathcal{M} \prec_k \mathcal{M}_a$; thus $k \geq 1$. Let k' be least such that either for all nonstandard b , $\mathcal{M}' \not\prec_{k'+1} \mathcal{M}_b$, or such that there is a nonstandard $a \in \text{On}(\mathcal{M})$ so that for all nonstandard $b <^\mathcal{M} a$, $\mathcal{M}' \not\prec_{k'+1} \mathcal{M}_b$. Then $k' + 1 \leq k$. Without loss of generality we may suppose that for all nonstandard $b \in \text{On}(\mathcal{M})$, $\mathcal{M}' \not\prec_{k'+1} \mathcal{M}_b$; otherwise replace \mathcal{M} by an appropriate \mathcal{M}_a .

Suppose $a \in \text{On}(\mathcal{M})$ is nonstandard, $\mathcal{M}' \prec_k \mathcal{M}_a$. We describe a procedure, which is sufficiently independent of a to be iterated recursively in $A^{(m+1)}$, for choosing a $b <^\mathcal{M} a$, b nonstandard. If $\mathcal{M} \models$ (there is a maximum L_α - Σ_k -stable ordinal), find b so that $\mathcal{M} \models (b \text{ is the maximum } L_\alpha\text{-}\Sigma_k\text{-stable ordinal})$. Since α is not Σ_k -projectible, α is a limit of L_α - Σ_k -stables; furthermore $\mathcal{M}' \prec_k \mathcal{M}_a$; thus b is nonstandard. Since $\mathcal{M}_b \prec_k \mathcal{M}_a$, $\mathcal{M}' \prec_k \mathcal{M}_b$, and we may iterate with b in place of a .

Suppose $\mathcal{M} \not\models$ (there is a maximum L_α - Σ_k -stable ordinal).

Claim. There are arbitrarily low (in $<^\mathcal{M}$) nonstandard $b \in \text{On}(\mathcal{M}_a)$ that are \mathcal{M}_a - $\Sigma_{k'}$ -stable. Since α is not Σ_k -projectible and $\mathcal{M}' \prec_k \mathcal{M}_a$, the standard \mathcal{M}_a - $\Sigma_{k'}$ -stables are unbounded in $<^\mathcal{M}$. If for $c <^\mathcal{M} a$, c nonstandard, there are no nonstandard \mathcal{M}_a - $\Sigma_{k'}$ -stables below c , then $\{d \mid d <^\mathcal{M} c \text{ and } (\forall \eta)(\text{if } d \leq \eta < c \text{ then } \eta \text{ is not } \mathcal{M}_a\text{-}\Sigma_{k'}\text{-stable})\}$ is represented in \mathcal{M} but has no $\varepsilon^\mathcal{M}$ -least member; contradiction. Thus the \mathcal{M}_a - $\Sigma_{k'}$ -

stables are unbounded below a under $<^{\mathcal{M}}$. We will apply a technique hereafter called “ Σ_{k+1} -witnessing.” For some Π_k formula φ and some $p \in L_a$, $\mathcal{M}_a \models (\exists x)\varphi(x, F(p))$ and $L_a \not\models (\exists x)\varphi(x, p)$; the search for φ and p is recursive in $A^{(m+1)}$. Then we find $b <^{\mathcal{M}} a$ so that $\mathcal{M}_b \models (\exists x \in L_b)\varphi(x, F(p))$ and b is \mathcal{M}_a - Σ_k -stable; output b . This b must be nonstandard; since $\mathcal{M}_b <_k \mathcal{M}_a$, $\mathcal{M}' <_k \mathcal{M}_b$; thus this process may be iterated with b in place of a . QED.

CONJECTURE 3. Even if for all k α is not Σ_k -projectible (i.e. α is a local \aleph_{m+1}), the consequent of Lemma 15 is true.

A proof of Conjecture 3 would yield proofs of Conjectures 1 and 2. Unfortunately, the technique used in Lemma 15 does not generalize to a proof of Conjecture 3 in any straightforward way. Suppose that for any $k \in \omega$ there are arbitrarily low (in $<^{\mathcal{M}}$) nonstandard b so that $\mathcal{M}' <_k \mathcal{M}_b$. For example, suppose $\mathcal{M}' <_2 \mathcal{M}_a$ and $\mathcal{M}_a \models (a \text{ is not } \Sigma_2\text{-projectible})$. If the Σ_3 , or even the Σ_4 , witnessing technique yields an output, that will yield a nonstandard $b <^{\mathcal{M}} a$. But if $\mathcal{M}' <_3 \mathcal{M}_a$ (and $\mathcal{M}' <_4 \mathcal{M}_a$), then they will not yield an output; there seems to be no way effective in $A^{(m+1)}$ to decide this; if we also apply Σ_5 witnessing and it yields an output before Σ_3 or Σ_4 witnessing does so, that output is nonstandard if $\mathcal{M}' <_3 \mathcal{M}_a$; but otherwise it might be standard.

What follows is a case of making the best of a bad situation.

Let $\hat{\alpha}$ be the least ordinal such that $L_{\hat{\alpha}} \models \alpha \neq \aleph_{m+1}$. Then $\hat{\alpha} = \alpha' + 1$ for α' of the form $\alpha + \hat{\xi}$. Suppose that $\hat{\xi} < \alpha$. Recall that \mathcal{E} is an arithmetic copy of $L_{\hat{\alpha}}$. Where $a \in \text{On}(\mathcal{M})$ and $\xi < \alpha$ we define $F^{a,\xi}: L_{\alpha+\xi} \rightarrow M_b$ for $b = a + {}^{\mathcal{M}}\xi^{\mathcal{M}}$. Recall that for any $\xi' < \xi$, $p \in L_{\alpha+\xi'+1} - L_{\alpha+\xi'}$ may be defined over $L_{\alpha+\xi'}$ by a formula in which all parameters are ordinals. $F^{a,\xi} \upharpoonright L_{\alpha} = F$; $F^{a,\xi}(\xi') = a + {}^{\mathcal{M}}\xi'^{\mathcal{M}}$, where $p \in L_{\alpha+\xi'+1} - L_{\alpha+\xi'}$ and $\varphi(x, \bar{q})$ is the $<_L$ -least formula defining p over $L_{\alpha+\xi'}$ so that \bar{q} consists of ordinals, let $F^{a,\xi}(p) = p' \in M_b$, $b = a + {}^{\mathcal{M}}(\xi' + 1)^{\mathcal{M}}$ so that $\mathcal{M} \models (\forall x)(x \in p' \text{ iff } L_{\alpha+\xi'} \models \varphi(x, F^{a,\xi}(\bar{q})))$. We call $a \in \text{On}(\mathcal{M})$ (ξ, n) -reflecting iff for every Σ_n formula φ and every \bar{p} from $L_{\alpha+\xi}$

$$L_{\alpha+\xi} \models \varphi(\bar{p}) \text{ iff } \mathcal{M}_b \models \varphi(F^{a,\xi}(\bar{p}))$$

for $b = a + {}^{\mathcal{M}}\xi^{\mathcal{M}}$. Note: where $\bar{p} = (\dots, p_i, \dots)$, $F^{a,\xi}(\bar{p}) = (\dots, F^{a,\xi}(p_i), \dots)$. Since $F^{a,\xi} \upharpoonright \text{On}(L_{\alpha+\xi})$ is recursive in $F \upharpoonright \xi$, it is recursive in $A^{(m)}$.

Suppose \hat{n} is least so that a projection f of α' into $\gamma < \alpha$ (where $L_{\alpha} \models \gamma = \aleph_m$) is $\Sigma_{\hat{n}}$ over α' . Clearly such \hat{n} and f exist.

LEMMA 16. *If there is a $(\hat{\xi}, \hat{n} + 1)$ -reflecting $a \in \text{On}(\mathcal{M})$, then there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(m+1)}$.*

PROOF. Suppose a is $(\hat{\xi}, \hat{n} + 1)$ reflecting, $b = a + {}^{\mathcal{M}}\hat{\xi}^{\mathcal{M}}$, and f is defined over $L_{\alpha+\hat{\xi}}$ by $\varphi(x, y, p)$. Then

$$\mathcal{M}_b \models (\forall x)(\varphi(x, F^{a,\hat{\xi}}(f(\eta)), F^{a,\hat{\xi}}(p)) \text{ iff } x = F^{a,\hat{\xi}}(\eta))$$

for $\eta < \alpha'$. For $c \in \text{On}(\mathcal{M})$, $c \in \mathcal{M}'$ iff for some $\eta < \alpha$ and $\xi < \gamma$, $\mathcal{M}_b \models \varphi(c, \eta^{\mathcal{M}}, F^{a,\hat{\xi}}(p))$ and $L_{\alpha'} \models \varphi(\xi, \eta, p)$. Since $F \upharpoonright \gamma$ and $F^{a,\hat{\xi}}$ are recursive in $A^{(m)}$, $\text{On}(\mathcal{M}')$ is RE in $A^{(m)}$; the lemma follows easily. QED.

We now assume that no $a \in \text{On}(\mathcal{M})$ is $(\hat{\xi}, \hat{n} + 1)$ -reflecting. Where W is any well-ordering, f and h are functions on ω^2 , $\text{Range}(h) \subseteq \text{Fld}(W)$, we will say that h and W bound the convergence of f iff for all $x, t_0 < \dots < t_{i-1}$:

for all $i < l$, if $f(x, t_i) \neq f(x, t_i + 1)$, then $h(x, t_i + 1) <^W h(x, t_i)$.

Let $(\xi', n') < (\xi, n)$ iff $\xi' < \xi$ or $(\xi' = \xi \text{ and } n' = n)$. Fix

$$W = \{(a, b) \mid a = (\xi', n')^\varepsilon \text{ and } b = (\xi, n)^\varepsilon \text{ for } (\xi', n') < (\xi, n)\}.$$

The following lemma is the source of the restrictions in Theorems 1 and 2 to λ which are n -well-behaved. The natural strategy for proving Conjectures 1 and 2, short of proving Conjecture 3, would be to improve Lemma 17, e.g. by replacing W with a well-ordering of type ω .

LEMMA 17. *There are functions f and h recursive in $A^{(m+1)}$ such that h and W bound the convergence of f and f converges to an infinite descending $\varepsilon^{\mathcal{M}}$ -chain.*

PROOF. We describe a procedure which, given a nonstandard $a \in \text{On}(\mathcal{M})$, guesses at a nonstandard $c <^{\mathcal{M}} a$; to each guess we associate a pair $(\xi, n) < (\hat{\xi}, \hat{n} + 1)$; each time we change our guess we pick a new pair below the previous one. Note: if (η, m) is least such that a is (η, m) reflecting, φ is Π_m and $\bar{p} \in L_{\alpha+n}$: if $L_{\alpha+n} \models (\exists x)\varphi(x, \bar{p})$ then $\mathcal{M}_b \models (\exists x)\varphi(x, F^{a,\eta}(\bar{p}))$ for $b = \alpha +^{\mathcal{M}} \eta^{\mathcal{M}}$. By assumption there is such an $(\eta, m) < (\hat{\xi}, \hat{n} + 1)$. We search for a Π_n formula $\varphi, \xi \leq \hat{\xi}, \bar{p} \in L_{\alpha+\xi}$ and $c \in \text{On}(\mathcal{M})$ so that:

$$\mathcal{M}_b \models (\exists x \in L_c)\varphi(x, F^{a,\xi}(\bar{p})) \quad \text{and} \quad L_{\alpha+\xi} \models \neg(\exists x)\varphi(x, F^{a,\xi}(\bar{p}))$$

for $b = a +^{\mathcal{M}} \xi^{\mathcal{M}}$. By the remark about (η, m) , eventually we find these. We output guess c associated with the pair (ξ, n) . If we later find a $\Pi_{n'}$ formula $\varphi', \xi' \leq \hat{\xi}$ with $(\xi', n') < (\xi, n), \bar{p}' \in L_{\alpha+\xi'}$ and $c' \in \text{On}(\mathcal{M})$ so that

$$\mathcal{M}_{b'} \models (\exists x \in L_{c'})\varphi'(x, F^{a,\xi'}(\bar{p}')) \quad \text{and} \quad L_{\alpha+\xi'} \models \neg(\exists x)\varphi'(x, \bar{p}')$$

for $b' = a +^{\mathcal{M}} \xi'^{\mathcal{M}}$, we change our guess to c' and associate it with (ξ', n') —for we know that a was not (ξ, n) -reflecting. Eventually we reach a guess c associated with (η, m) ; this c must be nonstandard. We iterate guessing in the usual manner to define the desired f and h . QED.

§4. Proof of Theorem 1.

LEMMA 18. *Consider any $n \in \omega$. If $n > 0$, suppose λ is $(n - 1)$ -well-behaved; suppose that $L_\lambda \not\models \text{Det}(\Sigma_{n+3}^0)$ and $A \subseteq \omega$ is a Turing upper bound on $L_\lambda \cap \mathcal{P}(\omega)$. Then there is an arithmetic copy \mathcal{E}_λ of L_λ so that $\text{Sat}_0(\mathcal{E}_\lambda)$ is recursive in $A^{(n+3)}$. ($\text{Sat}_0(\mathcal{E}_\lambda)$ is the Σ_0 satisfaction relation for \mathcal{E}_λ .)*

PROOF. If $n = 0$, let $\beta_0 < \lambda$ bound $\{\xi \mid \xi \text{ is a local } \aleph_1\}$ below λ . (If no such β_0 existed, by Theorem 4 and the Π_1^1 absoluteness of λ and of any local \aleph_1 , $L_\lambda \models \text{Det}(\Sigma_3^0)$, contrary to our supposition.) If $n > 0$, using the fact that λ is $(n - 1)$ -well-behaved, fix β_0 and γ_0 so that: for any α which is a local \aleph_n , if $\beta_0 < \alpha < \lambda$, then $L_{\alpha+\gamma_0} \models \alpha \neq \aleph_n$. We might as well take $\gamma_0 < \beta_0$. Thus for $\beta_0 < \alpha < \lambda$, $L_\alpha \not\models \aleph_{n+1}$ exists. Fix $\beta_1 < \lambda$ so that for any limit of admissibles α , if $\beta_1 < \alpha < \lambda$, then $L_\alpha \not\models \text{Det}(\Sigma_{n+3}^0)$. If no such β_1 exists, where G is a Σ_{n+3}^0 game on $\omega^{<\omega}$ defined in L_λ , select $\alpha < \lambda$, α a limit of admissibles sufficiently large for G to be defined in L_α , so that $L_\alpha \models \text{Det}(\Sigma_{n+3}^0)$; by the Π_1^1 absoluteness of α and λ , $L_\lambda \models G$ is determined; thus $L_\lambda \models \text{Det}(\Sigma_{n+3}^0)$, contrary to our supposition. Let β be admissible and locally countable, where $\max\{\beta_0, \beta_1\} \leq \beta < \lambda$. Fix an arithmetic copy \mathcal{E} of L_β , $\text{Sat}(\mathcal{E}) \in L_{\beta+}$; fix $e_0 \in \omega$ so that $\text{Sat}(\mathcal{E}) = \{e_0\}^A$. Let $W^* = \{(a, b) \mid a = (\xi^\varepsilon, n^\varepsilon), b = (\xi'^\varepsilon, n'^\varepsilon) \text{ for } (\xi, n) < (\xi', n') \text{ and } \xi < \gamma_0\}$.

Let $C_0 = \{e \in \omega \mid \{e\}^A \text{ is total and codes some } \text{Sat}(\mathcal{M}) \text{ where } \mathcal{M} \text{ is an } \omega\text{-model for } T\}$. C_0 is Π_2^0 in A . For $e \in C_0$, let $\mathcal{M}(e)$ be such that $\{e\}^A$ codes $\text{Sat}(\mathcal{M}(e))$. If for some $e \in C_0$, $o(\mathcal{M}(e)) > \lambda$, Lemma 18 follows immediately. Since $o(\mathcal{M}(e))$ is admissible and λ is not, $o(\mathcal{M}(e)) \neq \lambda$. We assume that for all $e \in C_0$, $o(\mathcal{M}(e)) < \lambda$. Let $C_1 = \{e \in C_0 \mid \text{for some } a \in M(e), a \text{ codes } \{e_0\}^A \text{ in } \mathcal{M}(e)\}$; since “ a codes $\{e_0\}^A$ in $\mathcal{M}(e)$ ” is Π_1^0 in A , C_1 is Δ_3^0 in A . For $e \in C_1$, $o(\mathcal{M}(e)) < \beta$.

If $n = 0$, let $C_2 = \{e \in C_1 \mid \text{for every } x \in \omega, \text{ if } \{x\}^A \text{ is total then } \{x\}^A \text{ is not an infinite descending } \varepsilon^{\mathcal{M}(e)}\text{-chain}\}$. If $n > 0$, let $C_2 = \{e \in C_1 \mid \text{for every } x \text{ and } y \in \omega, \text{ if } \{x\}^{A^{(n)}} \text{ and } \{y\}^{A^{(n)}} \text{ are total and } \{y\}^{A^{(n)}} \text{ and } W^* \text{ bound the convergence of } \{x\}^{A^{(n)}} \text{ then } \{x\}^{A^{(n)}} \text{ does not converge to an infinite descending } \varepsilon^{\mathcal{M}(e)}\text{-chain}\}$. C_2 is Π_{n+3}^0 in A . We now use the results of §3.

LEMMA 19. *If $e \in C_2$, then $\mathcal{M}(e)$ is well-founded.*

PROOF. Suppose $e \in C_2$, $\mathcal{M} = \mathcal{M}(e)$ is nonstandard, $\alpha = o(\mathcal{M})$. Let $L_\alpha \models (\aleph_m \text{ is the greatest cardinal})$. Since $\beta < \alpha, m \leq n$. Select \mathcal{E}_α , an arithmetic copy of L_α , where $\text{Sat}(\mathcal{E}_\alpha) \in L_\lambda$; $\text{Sat}(\mathcal{M}) \oplus \text{Sat}(\mathcal{E}_\alpha)$ is recursive in A .

Case 1. $m = n$. If α is Σ_1 -projectible, by Lemma 9, there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(n)}$. If α is not Σ_1 -projectible, α is a limit of admissibles; since $\beta < \alpha, L_\alpha \not\models \text{Det}(\Sigma_{n+3}^0)$; so by Lemmas 10 and 11 there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(n)}$. All this contradicts $e \in C_2$. If $n = 0$, we are done. Suppose $n > 0$.

Case 2. $m = n - 1$. If α is not a local \aleph_n , by Lemma 15 there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $A^{(n)}$. If α is a local \aleph_n , we cannot be so straightforward. Fix \mathcal{E}_α , an arithmetic copy of L_α so that $\text{Sat}(\mathcal{E}_\alpha) \in L_\lambda$, for $\hat{\alpha}, \hat{\xi}$ and \hat{n} as in Lemma 16. By choice of β_0 and $\gamma_0, \hat{\xi} < \gamma_0$ and so $\hat{\xi} < \beta < \alpha$. By Lemma 16, if some $a \in \text{On}(\mathcal{M})$ is $(\hat{\xi}, \hat{n} + 1)$ -reflecting, then there is an infinite descending chain recursive in $A^{(n)}$. Otherwise, fix W as in Lemma 17. Let f and h be the functions recursive in $(\text{Sat}(\mathcal{M}) \oplus \text{Sat}(\mathcal{E}_\alpha))^{(n)}$ delivered by Lemma 17; they are also recursive in $A^{(n)}$. The function \hat{F} such that for $\xi \leq \hat{\xi}, \hat{F}(\xi^{\hat{\alpha}}) = \xi^{\hat{\alpha}}$ is recursive in finitely many jumps of $(\text{Sat}(\mathcal{M}) \oplus \text{Sat}(\mathcal{E}_\alpha)) \in L_\lambda$, and so in A ; thus via \hat{F}, h may be “translated” to an \hat{h} into W^* so that \hat{h} and W^* bound the convergence of f ; this contradicts $e \in C_2$. If $n = 1$, we are done. Suppose $n > 1$.

Case 3. $m \leq n - 2$. Use Corollary 2 for a contradiction with $e \in C_2$. QED.

Let $C_3 = \{e \in C_2 \mid \mathcal{M}(e) \models KP \text{ and } (\forall x)(x \text{ is countable})\}$. C_3 is Π_{n+3}^0 in A . Since λ is locally countable, for every $\alpha < \lambda$ there is $e \in C_3$ with $o(\mathcal{M}(e)) > \alpha$. For $e, e' \in C_3$ and $o(\mathcal{M}(e)) \leq o(\mathcal{M}(e'))$, let $h_{e,e'}: M(e) \rightarrow M(e')$ be the isomorphic embedding of $\mathcal{M}(e)$ onto an initial segment of $\mathcal{M}(e')$. Recall the coding of hereditarily countable sets by trees on ω ; see [8] for details. For $x \in L, x$ hereditarily countable, let $c(x)$ be the $<_L$ -least tree on ω coding x ; if α is admissible and locally countable, for $x \in L_\alpha, c(x) \in L_\alpha$. Thus $h_{e,e'}(x) = y$ iff for all $n \in \omega, \mathcal{M}(e) \models n^{\mathcal{M}(e)} \in c(x)$ iff $\mathcal{M}(e') \models n^{\mathcal{M}(e')} \in c(y)$; so $h_{e,e'}$ is Π_1^0 in A , uniformly in e and e' . Furthermore, $o(\mathcal{M}(e)) < o(\mathcal{M}(e'))$ iff for some $y \in M(e')$ there is no $x \in M(e)$ so that $h_{e,e'}(x) = y$. This question is Σ_3^0 in A . We define a sequence $\{e_i\}_{i < \omega}$ for $e_i \in C_3$. Fix $e_0 \in C_3$. Let e_{i+1} be the least $e \in C_3$ so that $e > e_i$ and $o(\mathcal{M}(e)) > o(\mathcal{M}(e_i))$. Since C_3 is recursive in $A^{(n+3)}$, so is $\{e_i\}_{i < \omega}$. We now construct our desired \mathcal{E}_λ recursively in $A^{(n+3)}$.

Let $E = \{\langle i, x \rangle \mid x \in M(e_i) \text{ and if } i > 0 \text{ then } x \notin \text{Range}(h_{e_{i-1}, e_i})\}$. Let

$$\varepsilon^{\mathcal{E}_\lambda} = \{\langle \langle i_1, x_1 \rangle, \langle i_2, x_2 \rangle \rangle \mid \mathcal{M}(e_i) \models y_1 \in y_2, \text{ where } i = \max\{i_1, i_2\} \\ \text{and } y_j = h_{e_{i_j}, e_i}(x_j) \text{ for } j = 1, 2\}.$$

For a Σ_0 formula $\varphi(v_1, \dots, v_k)$ and values $\langle i_1, x_1 \rangle, \dots, \langle i_k, x_k \rangle \in E$, let $i = \max\{i_1, \dots, i_k\}$, $y_j = h_{e_i, e_i}(x_j)$ for $1 \leq j \leq k$, and let

$$\varphi(\langle i_1, x_1 \rangle, \dots, \langle i_k, x_k \rangle) \in \text{Sat}_0(\mathcal{E}_\lambda) \quad \text{iff} \quad \mathcal{M}(e_i) \models \varphi(y_1, \dots, y_k).$$

For $\mathcal{E}_\lambda = (E, e^{\mathcal{E}_\lambda})$, $\text{Sat}_0(\mathcal{E}_\lambda)$ is recursive in $A^{(n)}$. QED.

The following argument, when combined with Lemma 18, proves Theorem 1.

LEMMA 20. *Let \mathcal{E} be an arithmetic copy of L_λ .*

(i) *There is an $A \subseteq \omega$, a Turing upper bound on $L_\lambda \cap \mathcal{P}(\omega)$, with $A^{(3)}$ recursive in $\text{Sat}_0(\mathcal{E})$.*

(ii) *If $L_\lambda \models \text{Det}(\Sigma_{n+3}^0)$, then there is an $A \subseteq \omega$, a Turing upper bound on $L_\lambda \cap \mathcal{P}(\omega)$, with $A^{(n+4)}$ recursive in $\text{Sat}_0(\mathcal{E})$.*

PROOF. We force with uniformly recursive pointed perfect trees in L_λ . A *perfect tree* is a function $P: 2^{<\omega} \rightarrow 2^{<\omega}$ such that $P(\sigma \hat{\ } \langle 0 \rangle)$ and $P(\sigma \hat{\ } \langle 1 \rangle)$ are incompatible extensions of $P(\sigma)$ for $\sigma \in 2^{<\omega}$. P is *uniformly recursively pointed* iff for some $c \in \omega$ for all $A \in [P]$, $P = \{e\}^A$; Q *extends* P iff for all $\sigma \in 2^{<\omega}$, $P(\sigma) \subseteq Q(\sigma)$. We refer to such trees in L_λ as *conditions*. Let \mathcal{L} be an arithmetic forcing language with primitives ‘ \exists ’, ‘ \neg ’, ‘ $\&$ ’, ‘ $=$ ’, a predicate for each primitive recursive relation, and ‘ A ’, an uninterpreted one-place predicate. We suppose that all sentences are prenex. If φ is a $\Pi_2^0 \cup \Sigma_2^0$ sentence of \mathcal{L} , let $P \Vdash \varphi$ iff for all $A \in [P]$, $A \models \varphi$. For a proof of the density lemma, that for every such φ and every condition P there is a condition Q which extends P and either $Q \Vdash \varphi$ or $Q \Vdash \neg \varphi$, see [12]. To prove (i), we extend the definition of forcing to $\Pi_3^0 \cup \Sigma_3^0$ sentences as follows:

$$P \Vdash (\exists x)\varphi(x) \quad \text{iff} \quad \text{for some } k \in \omega, P \Vdash \varphi(k);$$

$$P \Vdash \neg(\exists x)\varphi \quad \text{iff} \quad \text{for every condition } Q \text{ extending } P, Q \not\Vdash (\exists x)\varphi,$$

where φ is Π_2^0 . Density under this definition is trivial; forcing for sentences in $\Sigma_3^0 \cup \Pi_3^0$ is Π_1^1 and so Δ_1 over L_λ .

To prove (ii) we extend the defining of forcing for $\Sigma_2^0 \cup \Pi_2^0$ sentences to $\Sigma_{n+4}^0 \cup \Pi_{n+4}^0$ sentences in the simplest possible way:

$$P \Vdash \varphi \quad \text{iff} \quad \text{for every } A \in [P], A \models \varphi.$$

Again forcing is Δ_1 over L_λ . I owe the key idea in the following lemma to Leo Harrington.

LEMMA 21. *Suppose $L_\lambda \models \text{Det}(\Sigma_{n+3}^0)$, $\varphi(x)$ is a Π_{n+3}^0 formula of \mathcal{L} with only x free, and P is a condition. There is a condition Q extending P such that either $Q \Vdash (\exists x)\varphi(x)$ or $Q \Vdash \neg(\exists x)\varphi(x)$.*

PROOF. Let $G(P, \varphi)$ be the following game. I selects $k \in \omega$; hereafter both players proceed in $2^{<\omega}$. Where $\langle k \rangle \hat{\ } f_1$ is I’s play and f_2 is II’s play, I wins iff $f_1 \in [P]$, $f_1 \models \varphi(k)$ and $f_2 = \{e_1\}^{\langle k \rangle \hat{\ } f_1}$, where e_1 is a specific number in ω ; we will postpone specifying it for a moment. $G(P, \varphi)$ is clearly a Π_{n+3} game which is defined over L_λ . Thus L_λ contains a winning strategy s for $G(P, \varphi)$. Let $\hat{s} =$ the characteristic function of $\{\langle x, s(x) \rangle \mid x \in 2^{<\omega}\}$.

Case I. s is a winning strategy for I. We construct a condition Q so that $Q \Vdash (\exists x)\varphi(x)$. Suppose s tells I to first select k . Consider the tree T_0 of initial segments of plays by II which encode \hat{s} at even places, i.e. $T_0 = \{\langle \hat{s}(0), i_0, \dots, s(x), i_x \rangle \mid x \in \omega\}$. Let

T_1 be the set of I's responses under s to II's moves in T_0 , with I's initial move deleted. Since s wins for I, $T_1 \subseteq \text{Range}(P)$. Claim: $[T_1]$ is a perfect set. If not, then for some $\sigma \in T_1$ there is a unique $f_1 \in [T_1]$, $f_1 \upharpoonright \text{length}(\sigma) = \sigma$. Suppose σ is I's response to $\tau \in T_0$. For any $f_2 \in [T_0]$ such that $f_2 \upharpoonright \text{length}(\tau) = \tau$, $\langle k \rangle \wedge f_1$ is I's play against II's play of f_1 . But we may choose f_2 so that f_2 is not recursive in $\langle k \rangle \wedge f_1$, contrary to $f_2 = \{e_1\}^{\langle k \rangle \wedge f_1}$; this establishes the claim. Therefore there is a perfect tree Q extending P so that $[Q] = [T_1]$. Claim: for any $A \in [Q]$, $A \models \varphi(k)$. It suffices to show that for any $A = f_1 \in [Q]$ there is an $f_2 \in [T_0]$ so that $\langle k \rangle \wedge f_1$ is I's response to II's play of f_2 under s . Suppose $Q(\sigma) = f_1 \upharpoonright z$ and $D_\sigma = \{\tau \in T_0 \mid \langle k \rangle \wedge (f_1 \upharpoonright z) \text{ is I's response to } \tau \text{ under } s\}$. D_σ is nonempty and if $Q(\sigma \wedge \langle i \rangle) = f_1 \upharpoonright z'$, then $z' > z$, $D_{\sigma \wedge \langle i \rangle}$ is nonempty, and any $\tau' \in D_{\sigma \wedge \langle i \rangle}$ extends some $\tau \in D_\sigma$; by König's lemma the desired f_2 exists.

We finally show that Q is uniformly recursively pointed. For $f_1 \in [Q]$, $\{e_1\}^{\langle k \rangle \wedge f_1} \in [T_2]$ and so encodes \hat{s} and thus s ; so f_1 computes s by a single procedure independent of f_1 ; but Q is recursive in $P \oplus s$; since $f_1 \in [P]$, P is recursive in f_1 by a procedure independent of f_1 ; putting these together, Q is recursive in f_1 by a procedure independent of f_1 .

Case II. s is a winning strategy for II. Let Q be the result of coding \hat{s} into P at the odd places, i.e. $Q(\sigma) = P(\langle (\sigma)_0, \hat{s}(0), \dots, (\sigma)_z, \hat{s}(z) \rangle)$ where $z = \text{length}(\sigma) - 1$. By a familiar argument (see e.g. [12]), Q is uniformly recursively pointed; since $s \in L_\lambda$, $Q \in L_\lambda$. For $A = f_1 \in [Q]$ we show that $A \models \neg(\exists x)\varphi(x)$. We first complete our description of $G(P, \varphi)$ by specifying e_1 : let e_2 be a procedure which, given a play $\langle k \rangle \wedge f_1$ by I, computes the real encoded at the odd places in f_1 ; let e_3 be the procedure which, given a strategy for II and a play by I, computes the play of II under that strategy in response to that play by I; e_1 is the procedure which first applies e_2 to $\langle k \rangle \wedge f_1$, regards the result as the characteristic function of a strategy for II, and applies e_3 to that strategy and $\langle k \rangle \wedge f_1$. Now suppose I plays $\langle k \rangle \wedge f_1$, $f_1 \in [Q]$; let f_2 be II's response under s . Since $\{e_2\}^{\langle k \rangle \wedge f_1} = \hat{s}$ and $\{e_3\}^{s, \langle k \rangle \wedge f_1} = f_2$,

$$f_2 = \{e_1\}^{\langle k \rangle \wedge f_1}.$$

But $f_1 \in [P]$ and I loses this play of $G(P, \varphi)$; so $A \models \neg \varphi(k)$. Since k was arbitrary, $A \models \neg(\exists x)\varphi(x)$. QED.

The rest of the construction for Lemma 20 is routine. We fix a listing $\langle \varphi_i \rangle_{i < \omega}$ of all Σ_{n+4}^0 sentences of \mathcal{L} , and a Δ_1 over L_λ listing $\{A_i\}_{i < \omega}$ of $L_\lambda \cap \mathcal{P}(\omega)$. We form a Δ_1 (over L_λ) sequence $\langle P_i \rangle_{i < \omega}$ of conditions, P_{i+1} extending P_i , so that:

$$\text{either } P_{2i} \Vdash \varphi_i \text{ or } P_{2i} \Vdash \neg \varphi_i;$$

P_{2i+1} is the result of coding A_i into P_{2i} at the odd places. Then $\bigcap_{i < \omega} [P_i] = \{A\}$ for some $A \subseteq \omega$. The odd steps ensure that A computes A_i for all $i < \omega$; the even steps ensure that $A \models \varphi_i$ iff $P_{2i} \Vdash \varphi_i$; since $\langle P_i \rangle_{i < \omega}$ and the forcing relation are Δ_1 over L_λ , $A^{(n+4)}$ is recursive in $\text{Sat}_0(\mathcal{E}_\lambda)$. QED.

§5. Failure of determinacy. The results of §3, together with techniques developed by H. Friedman [3] and Martin [10], enable us to show that certain initial segments of L do not satisfy certain determinacy conditions. Clearly $L_\alpha \models \text{Det}(\Sigma_{n+3}^0)$ iff $L_\gamma \models \text{Det}(\Sigma_{n+3}^0)$, where $\gamma = (\aleph_1)^{L_\alpha}$. Thus we confine our attention to locally

countable initial segments of L . We now suspend the assumption that λ is not admissible; the following theorem clearly implies Theorem 2 if λ is not admissible.

THEOREM 5. *Let λ be a locally countable limit of admissibles. Suppose that λ is not a limit of $\alpha < \lambda$ such that $L_\alpha \models \text{Det}(\Sigma_{n+3}^0)$. If $n > 0$ suppose that λ is $(n - 1)$ -well-behaved. Furthermore, suppose that if λ is not projectible, then the order-type of the λ -stable ordinals is less than λ . Then $L_\lambda \not\models \text{Det}(\Sigma_{n+3}^0)$.*

PROOF. Suppose not; let α be the least counterexample. Fix $\beta_0, \gamma_0, \beta_1, \beta, \mathcal{E} = \mathcal{E}_\beta$ and W^* as in the proof of Lemma 18. Let T' be the result of adding to T these further sentences:

- ($\forall x$) x is countable;
- if Projectibility fails then the stable ordinals have the order-type of some ordinal;
- ($\forall \alpha$) α satisfies Theorem 5.

By our choice of α , $L_\alpha \models T'$.

We associate with each formula φ in which $\text{Sat}(\mathcal{E})$ is the sole parameter a game $G(\varphi)$ on $2^{<\omega}$. Where f_1 and f_2 are the plays produced by I and II, respectively, I wins $G(\varphi)$ iff:

- (i) f_1 encodes $\text{Sat}(\mathcal{M})$, where \mathcal{M} is an ω -model of $T' \cup \{\varphi\}$ in which $\text{Sat}(\mathcal{E})$ is represented;
- (ii.1) if $n = 0$, for every $x \in \omega$ such that $\{x\}^{f_1 \oplus f_2}$ is total, $\{x\}^{f_1 \oplus f_2}$ is not an infinite descending $\varepsilon^{\mathcal{M}}$ -chain; and
- (ii.2) if $n > 0$, for every $x, y \in \omega$ such that $\{x\}^{(f_1 \oplus f_2)^{(n)}}$ and $\{y\}^{(f_1 \oplus f_2)^{(n)}}$ are total and $\{y\}^{(f_1 \oplus f_2)^{(n)}}$ and W^* bound the convergence of $\{x\}^{(f_1 \oplus f_2)^{(n)}}$, then $\{x\}^{(f_1 \oplus f_2)^{(n)}}$ does not converge to an infinite descending $\varepsilon^{\mathcal{M}}$ -chain.

We note that $G(\varphi)$ is a Π_{n+3}^0 (in $\text{Sat}(\mathcal{E})$) game. By hypothesis there is an $s \in L_\lambda$ so that $L_\lambda \models (s \text{ is a winning strategy for } G(\varphi))$. Since λ is a limit of admissibles, s is a winning strategy for $G(\varphi)$. We show: s is winning strategy for I iff $L_\lambda \models \varphi$. This implies that truth in the structure $\langle L_\lambda; \varepsilon \upharpoonright L_\lambda; \text{Sat}(\mathcal{E}) \rangle$ is definable over that structure, contrary to Tarski's well-known result.

If $L_\lambda \models \varphi$, then I has this winning strategy: encode $\text{Sat}(\mathcal{E}_\lambda)$ for \mathcal{E}_λ an arithmetic copy of L_λ .

Claim. If $L_\lambda \models \neg \varphi$, then II has this winning strategy: encode $\text{Sat}(\mathcal{E}_\lambda)$ for \mathcal{E}_λ an arithmetic copy of L_λ . Suppose II plays f_2 encoding $\text{Sat}(\mathcal{E}_\lambda)$ and I plays f_1 , encoding an \mathcal{M} which satisfies condition (i). Clearly \mathcal{M} is nonstandard. We show that condition (ii) fails. Where $\alpha = o(\mathcal{M})$, $\beta < \alpha$ since $\text{Sat}(\mathcal{E})$ is represented in \mathcal{M} . We cannot have $\lambda < \alpha$, by the third new sentence of T' . Let $L_\alpha \models (\aleph_m \text{ is the greatest cardinal})$. If $\lambda = \alpha$, then $m = 0$; by the assumptions on λ either Lemma 9 or Lemma 10 provides an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $f_1 \oplus f_2$ and violating (ii). Suppose $\alpha < \lambda$. If $n = 0$, since $\beta_0 \leq \beta < \alpha$ we have $m = 0$; since $\beta_1 \leq \beta < \alpha$, $L_\alpha \not\models \text{Det}(\Sigma_3^0)$; by Lemma 9 or 10 or 11 there is an infinite descending $\varepsilon^{\mathcal{M}}$ -chain recursive in $f_1 \oplus f_2$, violating (ii). If $n > 0$, then $m \leq n$, since $\gamma_0 \leq \beta_0 < \beta < \alpha$ and if γ were a local \aleph_{n+1} and $\beta_0 < (\aleph_n)^{L_\gamma} + \delta = \gamma$ we would have $\delta \leq \gamma_0$, which is impossible. We now argue by cases as in the proof of Lemma 19. If $m = n$, Lemmas 9, 10 or 11 apply; if $m = n - 1$, Lemmas 15, 16 or 17 apply; if $n \geq 2$ and $m \leq n - 2$, Corollary 2 applies; so in all cases (ii) fails and II wins $G(\varphi)$. QED.

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