Erkenntnis https://doi.org/10.1007/s10670-020-00368-4

#### 1 ORIGINAL RESEARCH



# **2** Lies, Common Ground and Performative Utterances

- 4 Received: 13 May 2020 / Accepted: 22 December 2020
- 5 © The Author(s), under exclusive licence to Springer Nature B.V. part of Springer Nature 2021

## 6 Abstract

- 7 In a recent book (Lying and insincerity, Oxford University Press, 2018),
- 8 Andreas Stokke argues that one lies iff one says something one believes to be false,
- 9 thereby proposing that it becomes common ground. This paper shows that Stokke's
- proposal is unable to draw the right distinctions about insincere performative utter-
- ances. The objection also has repercussions on theories of assertion, because it poses
- a novel challenge to any attempt to define assertion as a proposal to update the com-
- 13 mon ground.

15

16

17

18

19

20 21

22

23

24

25

26

27

28

29

30 31

32

АЗ

# 14 1 Stokke's Definition of Lying and Assertion

Andreas Stokke's (2018) recent book, *Lying and insincerity*, is one of the most comprehensive and up-to-date works ever published in the philosophy of lying. It covers a wide range of topics, including the difference between lying and misleading (ch. 5), the notion of bullshitting (ch. 6–7), and the analysis of complex forms of insincerity (ch. 8–10). This paper will mainly focus on one fundamental aspect of Stokke's book: its underlying account of lying and assertion, to which the author devotes the first three chapters.

Stokke's definition of lying was first introduced in his paper "Lying and Assertion" (2013), and has since been one of the most influential in the philosophical literature. This paper shows that Stokke's definition of lying is incorrect, because it is unable to draw the right distinctions concerning insincere performative utterances, and highlights some consequences for the broader ambitions of his book, in particular concerning its attempt to develop a Stalankerian account of assertion.

Stokke (2013, 2018) defines lying as an insincere assertion. His characterisation of assertion, in turn, draws on the account of *common ground* developed by Robert Stalnaker (1978, 2002). According to Stalnaker (2002: 716), "it is common ground that p in a conversation if all members *accept* (for the purpose of the conversation) that p, and all *believe* that all accept that p, and all *believe* that all

A2 nerimarsili@gmail.com

University of Barcelona, Carrer de Montalegre 6, 08001 Barcelona, Spain



A1 Meri Marsili

Journal : SmallExtended 10670 Article No :	368 Pages : 12	MS Code : 368	Dispatch : 27-2-2021
--	----------------	---------------	----------------------

N. Marsili

accept that *p*, etc.". From this, Stokke derives his own account of assertion, according to which you are *asserting that p* whenever you propose that *p* is added to the 'official' common ground by saying that *p*. In other words, you assert iff you meet two conditions: (i) you say something (as opposed to merely implicating it), and (ii) you thereby propose to add what you said to the 'official' common ground. From this definition of assertion, Stokke derives the following definition of lying:

39 (DLS) A lies to B if and only if there is a proposition p such that:

- 40 (a) A says that p to B, and
- 41 (b) A proposes to make it common ground that p, and
- 42 (c) A believes that p is false

## 2 Common Ground and Performative Utterances

When Stalnaker (1978) first proposed to characterise assertion as a proposal to add a proposition to the common ground of a conversation, he stressed that not every such proposal is an assertion. For instance, if a speaker proposes her audience to accept p for the sake of the argument, or as a hypothesis, she is proposing to add p to the common ground without asserting that p. For this reason, Stalnaker (1978: 323) specifies that his view should not be interpreted as a *definition* of assertion, but rather a necessary but not sufficient condition for asserting something.

Stokke's (2013, 2018) project is to modify Stalnaker's account so as to make it work as a definition. To avoid counterexamples, he needs to incorporate a criterion to set assertions apart from other speech acts with similar features, like assumptions and hypotheses. To this end, Stokke introduces a distinction between *official* and *unofficial* common grounds. Unofficial CGs are 'temporary' CGs that open up in order to store information that is used for the purpose of an argument; by contrast, official ones are, so to say, 'permanent' CGs. Assertion is then defined as a proposal to add a proposition to the *official*, permanent CG, so as to exclude speech acts other than assertions (assumptions, hypotheses, etc.). This distinction allows DLS to classify assertions like (1) and (2) as lies whenever they are uttered insincerely (because assertions are meant to be stored in the official CG) and successfully discards assumptions like (3) (because assumptions are only meant to be stored in the unofficial CG).

- 64 (1) I fancy a beer
- 65 (2) Integers can be divided by zero
- 66 (3) Assume that Socrates is right...

<sup>1</sup> In a footnote, Stokke specifies that he only wants to capture "the aspects of assertion that are relevant for defining lying", and explicitly denies that his account of assertion is a *definition* of assertion (Stokke IFLO3 1FLO4 2018: 47). However, since DLS is meant to spell out what an 'insincere assertion' is, Stokke is de facto committed to define assertion as the joint satisfaction of condition (a) and (b) of DLS (cf. also Stokke 2018: 90).



Journal: SmallExtended 10670 Article No : 368 Pages: 12 MS Code : 368 Dispatch: 27-2-2021

## Lies, Common Ground and Performative Utterances

67

68

69

70

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

2FL01

While the notion of official CG succeeds in excluding the counterexamples originally individuated by Stalnaker (assumptions and hypotheses), it is not clear that it can help us distinguish, on a more general level, between insincere assertions (lies) and other insincere speech acts. To see this, let us consider some further speech acts, performed by means of an explicit performative utterance<sup>2</sup>:

(4) I swear that (4\*) I have not stolen your Nutella jar (5) I solemnly assert that (5\*) I didn't borrow any money from Mr. Dodo (6) I promise to clean the toilet (6\*) [I will clean the toilet] (7\*) [you will clean the toilet] (7) I command you to clean the toilet

Intuitively, one can lie by swearing, asserting, or promising something: it is natural to classify (4), (5), and (6) as lies if the speaker believes, respectively, that  $(4^*)$ ,  $(5^*)$ or (6\*) is false.<sup>3</sup> By contrast, (7) cannot be a lie, as it is not even clear what could make (7) insincere: if I utter (7) and I do not believe that you will clean the toilet (or I do not want you to clean the toilet), my command is perhaps deceptive, but certainly not a lie. Like the assumption (3), the order (7) should be ruled out by DLS. I will assume that a good definition of lying should count the insincere proffering of (1-2) and (4-5-6) as lies, and discard the insincere proffering of (3) and (7) as not lies.

Stokke's definition is unable to deliver these distinctions. On the one hand, DLS is underdetermined: since Stokke does not offer a systematic account of how performative utterances contribute to updating the 'official' CG, the predictions of DLS are in some of these cases (like (7)) underdetermined. On the other hand, if we try to develop a principled criterion to apply DLS to performative utterances, we are bound to find that none is compatible with our desiderata. In what follows, I will review four such criteria. Note that I will focus on criteria that can be extrapolated from Stokke's own work (exception made for the clarificatory remarks in (A)): I will not be trying to show that DLS cannot be *changed* to deliver the right distinctions, but merely that every charitable interpretation of DLS fails to do so.

Explicit performative utterances are utterances of the form "I (hereby) [performative verb] that p", in 2FL02 which the speaker performs a given speech act (promising, asserting, betting, etc.) by declaring that she is performing it. In the examples, the starred propositions represent the content of the speech act (what 2FL04 the speaker is promising, asserting, commanding), identified in a 'non-descriptivist' way. I will clarify 2FL05 what a non-descriptivist interpretation is in §2.A.

<sup>&</sup>lt;sup>3</sup> See Marsili (2016) for empirical evidence that laypeople overwhelmingly judge insincere promises to 3FL01 3FL02 be straightforward cases of lying. Only Austin (1962: 11) and Meibauer (2014) have defended the oppo-3FI 03 site view that promises cannot be lies. However, Austin presents this view only to proceed to challenge it (1962: 50, 70-1, 135-6), as part of a reductio ad absurdum of the distinction between constative and 3FL05 performative utterances (and the descriptivist semantics associated with it). Meibauer (2014: 76) merely 3FL06 3FL07 states, in passing, that "we do not want to speak of an insincere promise or warning as a lie", but pro-3FL08 vides no justification for this claim. Marsili (2016) has since challenged Meibauer's view on theoretical (2016: §2) and empirical (2016: §6) grounds. In addition to this, Meibauer recognises that "it is possible to lie by using explicit performatives" (2014: 94), so that he would still agree that (4) and (5) can be lies.

<sup>&</sup>lt;sup>4</sup> To be sure, Stokke is in good company: as I argue in Marsili (2020), this problem is shared by some <sup>4FL02</sup> other influential definitions, namely deceptionist ones (e.g. Mahon 2008; Lackey 2013), and some other assertion-based views, such as Fallis's (2012, 2013).

 Journal : SmallExtended 10670
 Article No : 368
 Pages : 12
 MS Code : 368
 Dispatch : 27-2-2021

N. Marsili

(A) Descriptivism—Since DLS should exclude (7), a first tempting move is to discard (7) on the ground that it fails to meet the insincerity condition (b). It is a known property of performative utterances that they are true in virtue of the speaker's saying so (Searle 1989). For instance, if I utter (7), it is true that I ordered you to clean the toilet because my saying so *amounts* to issuing the order. Since (7) is true and known to be true by the speaker in every context in which it can be uttered, it always fails to satisfy the insincerity condition (b), and it is correctly excluded by DLS.

This solution, however, is not viable (cf. Marsili 2016: 276–277, 2020: §2.1). Simply put, this strategy employs a 'descriptivist' interpretation of the content of commands like (7): the propositional content at stake for DLS (i.e., 'what is said' by the speaker) is identified with (7), rather than (7\*). The problem with this approach is that it entails that no performative utterance can ever be a lie. This means that we cannot classify (4–5–6) as lies, against our desiderata. Much like (7), these utterances are true in virtue of the speaker's saying so; nonetheless, they clearly count as lies when uttered insincerely. The descriptivist strategy is thus bound to fail, as it prevents DLS from counting promises (like 6), oaths (like 4) and even explicit assertions (like 5) as lies.

One may wonder whether the proponent of DLS can simply bite the bullet, and deny that performative utterances can ever be lies. I doubt that one can do this without adopting a radically *revisionary* account of what lying is. To see why, let us contrast a plain assertion with some explicit performatives:

(8) I have already booked the restaurant for tonight

(9) I swear that I have already booked the restaurant for tonight

(10) I guarantee that I have already booked the restaurant for tonight

(11) I assure you that I have already booked the restaurant for tonight

Let the context be one in which I have not booked the restaurant, and have no intention to book it. If I were to utter any of the above utterances, I would be lying. This is a fact that virtually every ordinary speaker would recognise as uncontroversial. But the descriptivist is forced to deny it. He is forced to contend that choosing (9), (10), or (11) over (8) will render the speaker immune from the accusation of having lied. This doesn't seem right. If something, by choosing to *guarantee* that I booked the restaurant instead of merely asserting it, I accept to be held even *more* accountable for what I have said. Rather than preventing me from being accused of having lied, choosing (9–11) over (8) will render me liable to even *stronger* criticisms if it turns out that I had not called the restaurant.

In short, the problem with descriptivism is that it rules out also those performative utterances that we want to classify as lies. Insofar as our goal is to offer a



Journal : SmallExtended 10670	Article No : 368	Pages: 12	MS Code : 368	Dispatch : 27-2-2021

descriptive definition of lying (one that accommodates our ordinary use of the concept, cf. Fallis 2009, 30–35; Mahon 2015), rather than revisionary account of what lying is (one that captures an artificial, philosophically engineered version of this concept), descriptivism is not a viable strategy to rescue DLS.<sup>5</sup> A good definition should capture the insincere utterances of (8–11) as lies, as well as (4–6), and the only way to achieve this goal is to adopt a non-descriptivist interpretation of DLS.

(B) Syntax and truth-evaluable content—According to DLS, you lie only if you believe that what you say is false. Perhaps DLS can be rescued by maintaining (as some linguist do) that some performative utterances lack truth-evaluable content, so that in uttering them you cannot believe that what you say is false (and consequently you cannot lie). Above, I assumed that the content of (7), "I command you to clean the toilet", is the truth-evaluable proposition (7\*), "(that) you will clean the toilet". But we may deny that this analysis is appropriate, while avoiding the shortcomings of descriptivism. An alternative view is that the propositional content of "I command you to clean the toilet" is better represented, more straightforwardly, by the non-finite clause "to clean the toilet". If this assumption is granted, we may then insist that (7\*) is not truth-evaluable: there is no obvious sense in which it can be true or false. If this is right, (7\*) cannot be believed to be true or false, and fails to meet condition (b) of DLS, in line with our desiderata.

This syntactic criterion relies on the presence of infinitive to-clauses (as opposed to that-clauses) to draw its distinctions. This solution may seem promising, but it proves both too little and too much. It proves too little, because there are commands that take that-clauses under their scope, as (12):

## (12) I command that you leave this place and never return



This is not to deny that there may be some truth to the descriptivist view, considered as a semantic theory of performative utterances: clearly, there is some technical (and perhaps philosophically interesting) sense in which I would be telling the truth in uttering (10) (since I would in fact be guaranteeing that I have already booked a restaurant) and not in uttering (8). My point here is simply that, unless we are aiming for a revisionist account of lying, we should classify (8–12) as any competent speaker would—that is, as lies, and not as truthful statements. This point can be acknowledged independently of whether one is convinced by a descriptivist analysis of these utterances (e.g. Bach and Harnish 1979, 1992) or by the arguments against it (Harris 1978; Searle 1989; Reimer 1995; Jary 2007).

<sup>&</sup>lt;sup>6</sup> Authors who reject the force-content distinction propose comparable analyses of this sort of cases (e.g. <sup>6FL02</sup> Hanks 2007: 150, Barker 2004: §1.5.1). For a more technical discussion of non-finite to-clauses, see the <sup>6FL03</sup> analysis of PRO elements in Binding Theory (Chomsky 1981).

<sup>&</sup>lt;sup>7</sup> For the record, this analysis is at odds with Stokke's own account of how we assign truth-conditional content to sentences (Schoubye and Stokke 2016, rehearsed in 2018:§4). When it comes to determining which sentences have semantic content at all, Stokke takes *mood*, rather than *force*, to be decisive. He holds that "all declarative sentences [...] are associated with a minimal content" (Schoubye and Stokke 7FL05 2016: 773), where 'minimal content' is understood to be truth-evaluable. Since (7) is in the declarative mood, Stokke's own criterion would assign it truth-evaluable content. That noted, this solution still is worth exploring, to see if DLS (so understood) can accommodate our desiderata.

 Journal : SmallExtended 10670
 Article No : 368
 Pages : 12
 MS Code : 368
 Dispatch : 27-2-2021

N. Marsili

It also proves too much, since there are performative verbs that can be lies, but that often admit to-clauses as arguments, such as *promising* or *guaranteeing*<sup>8</sup>:

(6) I promise to clean the toilet

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

8FL01 8FL02

8FL03

8FL04

8FL05

8FL06 8FL07

9FL01 9FL02

9FL03

9FL04

9FL06 9FL07

(13) I guarantee to reverse the effects of my predecessor's politics during my term

Perhaps DLS could be paired with a different view of semantic content that delivers the distinctions we need without appealing to syntactic criteria like the presence of that-clauses. We would need a view that denies that commands like (7) and (12) are truth-evaluable, and that classifies as truth-evaluable all performative utterances that can be intuitively be lies, such as (4–6), (8–11) and (13). Despite the vast literature on explicit performatives, however, no theory has yet been defended that draws these distinctions (see Recanati 2013 for an overview). Showing that such a criterion cannot possibly be developed goes beyond the ambitions of this paper. But it is worth noting that the prospects for developing such a theory are not rosy: theorists seem to agree that a plausible theory of content should employ either *syntactic features* or *direction of fit* to set apart performative sentences that have truth-evaluable content from those who do not, and neither of these features can be used to set apart the two sets of utterances under consideration. In short, DLS cannot easily be fixed by deferring the relevant distinctions to theories of content.

(C) Felicity: According to Stokke (2017a; 2018: §3.9), if a proposition can be felicitously presupposed, it is good evidence that it is in the common ground. A felicitous presupposition is one that does not elicit "the kinds of repair strategy that are typically prompted by unfamiliar presuppositions" (2017a: 3), such as accommodation (as defined by Lewis 1979) and appropriate replies of the form: "What are you talking about?". To test whether (7) can add (7\*) to the common ground, then, one can check whether there are contexts in which one can felicitously presuppose (7\*) after someone utters (7).

Imagine the following conversation between three individuals: Adolf, Beatrice, and Carol. Adolf commands Beatrice to clean the toilet by uttering (7), and then Carol utters (14), which presupposes (7\*):

- Affermo [di avere diciotto anni/che ho diciotto anni]
   I affirm that I am 18 years old
- Je jure [d'avoir dit la vérité/que j'ait dit la vérité] I swear that I have told the truth.

<sup>&</sup>lt;sup>9</sup> We have already seen that a syntactic criterion based on the presence of that-clauses would not succeed. A criterion based on direction of fit would fail for similar reasons: since promises, pledges, advices and orders have the same direction of fit, such a criterion would either incorrectly exclude them all, or incorrectly include them all. A somehow related alternative, delineated by Portner (2004), would be to differentiate between illocutionary acts that update the common ground and those that update to-do-lists. But also this criterion will not do: it will incorrectly rule out promises like (6), which update to-do-lists, as well as assertions and guarantees about one's future actions ("You can chop the onions, and *I will peel the tomatoes*"; "I guarantee that *I will lower the taxes during my term*").



<sup>&</sup>lt;sup>8</sup> While English only seems to accept infinitive to-clauses for claims about the *future*, this restriction is not universal. It does not hold in Romance languages, such as French or Italian, where claims about the present and the past can be made by means of performatives that accept both finite and non-finite clauses:

Journal : SmallExtended 10670	Article No: 368	Pages: 12	MS Code : 368	Dispatch : 27-2-2021
-------------------------------	-----------------	-----------	---------------	----------------------

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

212

213

10FL01

10FL03

10FL05

10FL06

#### (14) When you clean the toilet, you should use this tiny sponge 179

Is Carol's presupposition infelicitous? The answer is positive: whenever the felicity conditions for performing (7) are satisfied (e.g. Adolf has the authority to issue the command), and Adolf's command is successful, 10 it is possible for Carol to presuppose (7\*) felicitously via (14)—as in a military context in which Adolf and Carol are Beatrice's superiors. This means that DLS incorrectly counts (7) as a lie whenever Adolf successfully commands (7) and believes (7\*) to be false, against the desiderata.

(D) *Proposals*: It could be objected that the 'presupposition test' only proves that orders *succeed* in updating the common ground, but not that they are *proposals* to update the common ground. Perhaps by ordering (7) Adolf does not propose to update the common ground with (7\*). Orders are not a matter of dispute: by uttering (7). Adolf updates the common ground, regardless of whether Beatrice and Carol agree with it. Since DLS requires that the speaker must propose to update the common ground, it could be argued that condition (c) is not met by (7).

I am not sure that this distinction does justice to the notion of 'proposal' invoked by Stokke. On Stokke's view, any attempt to update the common ground counts as a proposal to update it (2018: 63-4), and he specifies that such attempts can be conveyed by any communicative act (2018: 221), including imperatives (2018: 207, cf. 227–229). Hence, according to Stokke's own understanding of DLS, orders like (7) are clearly proposals to update the common ground.

Furthermore, even if we were to modify DLS to understand 'proposals' as something that requires approval from the audience, we would fail to differentiate assertions from commands. This is because also assertions do not require approval from the audience to update the common ground. When you make an assertion, such as

# (2) Integers can be divided by zero

the proposition 'that integers can be divided by zero' is added to the common ground by default. The audience doesn't need to express agreement ("That's right", "I agree") for (2) to become part of the common ground. Assertions update the common ground unless challenged (Kelp and Simion 2017, 92). Challenges can be conveyed directly ("No, they can't!") or indirectly (e.g. by questioning the speaker's reasons, or frowning in disbelief).

Orders do not behave much differently. They do not need approval from the audience for their content to update the common ground. And they can be challenged: Beatrice may challenge Adolf's authority to order (7) ("You have no right to tell me

<sup>&</sup>lt;sup>10</sup> Of course then can be contexts in which Adolf's command (7) is not successful (e.g. because Adolf 10FL02 is a patient in a mental hospital, with no authority of Beatrice). In these cases, it will be infelicitous to presuppose (7\*) by (14). But this does not prove that DLS passes the test. For DLS to pass the test there must be no circumstances in which (7\*) can be felicitously presupposed as a result of Adolf's command. For this reason, discussion in the main text will be restricted to felicitous (successful) commands, which pose a more direct challenge to DLS.



| Journal : SmallExtended 10670 | Article No : 368 | Pages : 12 | MS Code : 368 | Dispatch : 27-2-2021

N. Marsili

what to do!") or simply act in a way that gives away that she will not behave as commanded (e.g. laughing and walking away). Such reactions would make it infelicitous for Carol to presuppose (7\*) with (14), just like a challenge ("No way!") may prevent an assertion like (2) from updating the common ground, making it infelicitous to presuppose (2) in the next conversational step.

Contrast the behaviour of assertions and commands with that of speech acts that are more literally 'proposals' to update the common ground. Suppose that Sam proposes Gloria to go to the cinema with (15):

- (15) I suggest that we go to the cinema tonight (15\*) [we will go to the cinema tonight]
- 223 (15) I suggest that we go to the cinema tonight (15\*) [we will go to the cinema tonight]

Sam's suggestion to go to the cinema is more obviously a 'proposal' to update the common ground: it needs to be accepted by Gloria before (15\*) is added to the common ground. To see this, note that *only* if the suggestion is accepted it would be felicitous for Sam to say (16), which presupposes (15\*):

(16) I will wear my new shiny shoes when we go to the cinema tonight

Since suggestions like (15) are not assertions and cannot be lies, there seems to be no strong reason to interpret condition (iii) of DLS as incorporating a 'weak' notion of 'proposal' that requires approval from the audience. Rather, it seems that we should follow Stokke in understanding this condition in a broader way, so as to include common ground updates that are brought about *by default*, regardless of an explicit approval by the audience (after all, this is the interpretation that we need to capture assertions). If this is right, our initial worry about the 'presupposition test' is dissolved. Although the presupposition test is unable to differentiate between these two kinds of common ground updates, this distinction is irrelevant to determine whether condition (iii) of DLS obtains, given that 'proposals' must be understood as including both kinds of common ground updates. This, in turn, means that we can trust the verdicts of the test. The result is that our initial conclusion from section (C) now stands on even firmer ground. No matter how we attempt to revise DLS, it fails to reliably differentiate between the illocutionary acts (like assertions) that are lieapt and illocutionary acts (like commands and suggestions) that are not.

<sup>11</sup> Could we adopt instead a 'strong' notion of proposals, that captures only common ground updates that 11FL01 11FL02 do not need approval from the audience? This revision would exclude suggestions, which is a positive 11FL03 result, since suggestions cannot be lies. And it would preserve a distinction between proposals that need 11FL04 approval from the audience and proposals that need no such approval. However, this solution is not pref-11FL05 erable, for several reasons. First, it would not address the main problem faced by DLS, since DLS would 11FL06 still incorrectly classify insincere orders as lies. Second, we would be considering a revision of the notion 11FL08 of 'proposal' that is inconsistent with most of what Stalnaker and Stokke say about assertion, so that we 11FL09 could not possibly regard it as an amendment of their view. Finally, this solution feels ad hoc: one thing is to adopt a technical understanding of 'proposals', broader than its ordinary meaning (as Stokke does);



214

215

216

217

218

219

220

221

222

225

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

## 3 Conclusions

This paper has shown that Stokke's definition of lying makes the wrong predictions when dealing with performative utterances. Unrefined, its predictions are too vague. Interpreted 'descriptively' (§A), it rules out several performative utterances that can clearly be lies. Interpreted 'non-descriptively', DLS fails to differentiate between illocutions that are can be lies (like assertions and oaths) and those that cannot (like commands and suggestions). Supplementing DLS with a syntactic criterion (§B) to limit its range of application also does not help, as it seems that no such criterion helps it to draw the right distinctions. These verdicts are corroborated by the 'presupposition test', which was proposed by Stokke himself, and which confirms that DLS can capture deceptive directives, such as commands and suggestions (§C-D). No matter how we attempt to refine DLS, the definition either captures too little or too much.

One of the great promises of Stokke's proposal was its ability to put to work the Stalnakerian model of assertion as a definition of what asserting is. Stokke's solution was to revise Stalnaker's proposal by introducing the notion of 'official' common ground, which delivers the required distinction between assertions and weaker speech acts (such as mere assumptions). But this paper has identified a further, substantial obstacle on the way of this ambitious project. We have seen that a plausible account of assertion should also acknowledge that commands, suggestions, and invitations are not assertions, and that Stokke's 'official common ground' proposal is unable to draw the right distinctions in this respect, no matter how we attempt to refine it. It seems that Stalnaker's worries about the viability of defining assertion in terms of a contribution to the common ground were founded after all.

The flaws identified in this paper thus run deeper than Stokke's analysis of lying, and originate from inaccuracies in the characterisation of a more fundamental concept, the concept of assertion. These are compelling problems for the book's overall project. If lying and assertion are defined incorrectly, so are other concepts that Stokke's book aims to characterise. Consider the lying/misleading distinction, discussed in chapter 5. We have seen that Stokke's definition of lying is unable to draw the right distinctions between the speech acts that can be used to lie (such as asserting, promising and denying) and those that can only be used to mislead (such as commanding or assuming). Any characterisation of the lying/misleading distinction based on such definition (such as the one developed in his chapter 5) will be inaccurate in this respect. The flaws affecting Stokke's characterisation of lying and asserting are thus not isolated, but affect the whole conceptual framework developed in the book, which relies on them.

Incidentally, there are two alternative definitions of lying that deliver the distinctions that DLS is unable to draw. According to a first family of views, lying requires warranting the truth of what one says (Carson 2006: 25–40; Saul 2012;

Footnote 11 (continued)

another is to carve this notion around the counterexamples, in a way that explicitly contradicts both its ordinary and its technical meaning.

N. Marsili

Shiffrin 2014). A second, like-minded view is that lying requires undertaking a distinctive *commitment* to the truth of a proposition (Marsili 2014: 165–170, 2018; 2020; Leland 2015; Viebahn 2017: 1377, 2020; Reins and Wiegmann 2021). Both views are able to distinguish the performative utterances that can be lies (such as promises and assertions) from the ones that cannot (such as orders or invitations), because the former set of utterances involves undertaking the relevant set of responsibilities (be they identified with 'warrant' or 'commitment' to the truth of a proposition), while the latter does not (for elaboration, Marsili 2020, §3.4–6)). This means that counterexamples based on insincere performative utterances do not just show that DLS is incorrect: they also establish that DLS is inferior to competing definitions (warrant-based and commitment-based views) when it comes to determining which performative utterances can be lies.

To conclude, Stokke's attempt to explain insincere communication within a unified framework is commendable, and the picture presented in *Lying and Insincerity* fascinating and insightful. However, absent some crucial amendments to the key notions lying at its core (more specifically, to the relation between common ground updates and assertoric force) this picture is at best incomplete. Further work will be required to determine whether this conceptual framework simply needs to be amended, or whether assertion and lying are not essentially tied to contributions to the common ground after all.<sup>12</sup>

- Acknowledgements I would like to thank Jennifer Saul, Grzegorz Gaszczyk, and two anonymous reviewers for very helpful comments on earlier versions of this article.
- Funding Research funding was provided by Ministerio de Ciencia, Innovación y Universidades (Grant
   No. FFI2016-80636-P, AEI/FEDER, UE).

## References

285

286

287

288

289

290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

309

12FL01

12FL03

12FL04

- 310 Austin, J. L. (1962). How to do things with words. Oxford: Clarendon Press.
- 311 Bach, K., & Harnish, R. M. (1979). Linguistic communication and speech acts. Cambridge: MIT Press.
- Bach, K., & Harnish, R. M. (1992). How performatives really work: A reply to Searle. *Linguistics and Philosophy*, 15, 93–110. https://doi.org/10.1007/S10988-01.
- Barker, S. J. (2004). *Renewing meaning: A speech-act theoretic approach*. Oxford: Clarendon Press. https://doi.org/10.1093/0199263663.001.0001.
- 316 Carson, T. L. (2006). The definition of lying. *Noûs*, 2, 284–306.
- 317 Carson, T. L. (2010). Lying and deception. Oxford: Oxford University Press.
- 318 Chomsky, N. (1981). Lectures on government and binding. Foris.
- 319 Elswyk, P. V. (2019). Deceiving without answering. *Philosophical Studies*. https://doi.org/10.1007/s1109 8-019-01239-7.
- 321 Fallis, D. (2009). What is lying? *Journal of Philosophy*, 106(1), 29–56.
- 322 Fallis, D. (2012). Lying as a violation of Grice's first maxim of quality. *Dialectica*, 66(4), 563–581. https ://doi.org/10.1111/1746-8361.12007.

<sup>&</sup>lt;sup>12</sup> The negative case against Stokke's proposal has grown in size since I first redacted this manuscript. For equally critical stances on Stokke's characterisation of lying and assertion, see Van Riel (2019) and Van Elswyk (2019). Further objections are in Fallis (2013, 350–2) and Keiser (2016: 476), but see Stokke (2017a, b) for replies, and Marsili (2017, 69–71) for a vindication of Fallis's objection.



Journal : SmallExtended 10670	Article No: 368	Pages: 12	MS Code : 368	Dispatch : 27-2-2021
				•

331

332

333

334

335

336

345

346

347

354

355

361

362 363

364

365

- Fallis, D. (2013). Davidson was almost right about lying. Australasian Journal of Philosophy, 91(2), 324 337-353. https://doi.org/10.1080/00048402.2012.688980. 325
- Hanks, P. W. (2007). The content-force distinction. Philosophical Studies, 134(2), 141-164. https://doi. 326 org/10.1007/s11098-007-9080-5. 327
- Harris, R. (1978). The descriptive interpretation of performative utterances. *Journal of Linguistics*, 14(2), 328 309-310 329
- Jary, M. (2007). Are explicit performatives assertions? Linguistics and Philosophy, 30(2), 207–234. 330
  - Keiser, J. (2016). Bald-faced lies: how to make a move in a language game without making a move in a conversation. Philosophical Studies, 173(2), 461–477. https://doi.org/10.1007/s11098-015-0502-5.
  - Kelp, C., & Simion, M. (2017). Criticism and blame in action and assertion. Journal of Philosophy, 114(2), 76–93.
  - Lackey, J. (2013). Lies and deception: An unhappy divorce. Analysis, 73(2), 236–248. https://doi. org/10.1093/analys/ant006.
- Leland, P. R. (2015). Rational responsibility and the assertoric character of bald-faced lies. Analysis, 337 *75*(4), 550–554. 338
- Lewis, D. (1979). Scorekeeping in a language game. Journal of Philosophical Logic, 8(1), 563–582. https 339 ://doi.org/10.1007/BF00258436. 340
- Mahon, J. E. (2008). Two definitions of lying. International Journal of Applied Philosophy, 22(2), 341 211-230. 342
- Mahon, J. E. (2015). The definition of lying and deception. In E. N. Zalta (Ed.), Stanford Enciclopedia of 343 Philosophy (Winter 2015 Edition). 344
  - Marsili, N. (2014). Lying as a scalar phenomenon. In S. Cantarini, W. Abraham, & E. Leiss (Eds.), Certainty-uncertainty—and the attitudinal space in between (pp. 153–173). Amsterdam: John Benjamins Publishing Company.
- Marsili, N. (2016). Lying by promising. International Review of Pragmatics, 8(2), 271–313. https://doi. 348 org/10.1163/18773109-00802005. 349
- Marsili, N. (2017). You don't say! Lying, asserting and insincerity. Dissertation, University of Sheffield. 350 Ethos ID: uk.bl.ethos.731535. Available at: http://etheses.whiterose.ac.uk/19068/. 351
- Marsili, N. (2018). Lying and certainty. In J. Meibauer (Ed.), The oxford handbook of lying (pp. 169-352 182). Oxford: Oxford University Press. https://doi.org/10.1093/oxfordhb/9780198736578.013.12. 353
  - Marsili, N. (2020). Lying, speech acts, and commitment. Synthese. https://doi.org/10.1007/s11229-020-02933-4.
- Meibauer, J. (2014). Lying at the semantics-pragmatics interface. Berlin: De Gruyter. https://doi. 356 org/10.1515/9781614510840. 357
- Portner, P. (2004). The semantics of imperatives within a theory of clause types. *Proceedings of SALT* 358 XIV, 2, 235-252. 359
- Recanati, F. (2013). Content, mood, and force. Philosophy Compass, 8(7), 622-632. https://doi. 360 org/10.1111/phc3.12045.
  - Reimer, M. (1995) Performative utterances: A reply to Bach and Harnish. Linguistics and Philosophy *18*(6), 655–675.
  - Reins, L. M., & Wiegmann, A. (2021). Is lying bound to commitment? Empirically investigating deceptive presuppositions, implicatures, and Aactions. Cognitive Science.
  - Saul, J. (2012). Lying, misleading, and the role of what is said. Oxford: Oxford University Press.
- 366 Schoubye, A. J., & Stokke, A. (2016). What is said? Noûs, 50(4), 759-793. https://doi.org/10.1111/ 367 nous.12133. 368
- Searle, John R. (1989). How performatives work. Linguistics and Philosophy. https://doi. 369 org/10.2307/25001359. 370
- Shiffrin, S. (2014). Speech matters: on lying, morality, and the law. Princeton: Princeton University 371 372
- Stalnaker, R. C. (1978). Assertion. In C. Peter (Ed.), Pragmatics. Cambridge: Academic Press. 373
- Stalnaker, R. C. (2002). Common ground. Linguistics and Philosophy, 25(5), 701–721. 374
- Stokke, A. (2013). Lying and asserting. *Journal of Philosophy*, 110(1), 33–60. 375
- Stokke, A. (2017a). Metaphors and martinis: A response to Jessica Keiser. Philosophical Studies, 174(4), 376 853-859. https://doi.org/10.1007/s11098-016-0709-0. 377
- Stokke, A. (2017b). Proposing, pretending, and propriety: A response to Don Fallis. Australasian Journal 378 of Philosophy, 95(1), 178-183. https://doi.org/10.1080/00048402.2016.1185739. 379
- Stokke, A. (2018). Lying and insincerity. Oxford: Oxford University Press. 380



Journal : SmallExtended 10670	Article No: 368	Pages : 12	MS Code : 368	Dispatch : 27-2-2021

N. Marsili

Van Riel, R. (2019). Lying beyond a conversational purpose. *The Journal of Philosophy*, 116(2), 106–
 118. https://doi.org/10.5840/jphil201911626.

383 Viebahn, E. (2017). Non-literal Lies. *Erkenntnis*, 82(6), 1367–1380.

384

385

386 387 Viebahn, E. (2020). Lying with Presuppositions. *Noûs*, 54(3), 731–751.

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



