

## Refuting the Halting Problem Diagonalization Argument

Every machine that halts in a reject state is a halting computation. At least two proofs ignore this when constructing Sipser's Figure 4.5. Because these two proofs ignore this when they insert machine D in Sipser's Figure 4.5 they do so incorrectly.

When machine D is inserted in both Figure 4.4 and Figure 4.5 correctly then the contradiction goes away. Since Sipser implicitly assumes that every blank entry of Figure 4.4 is a  $\sim$ halt entry Figure 4.7a makes this explicit.

|         | $\langle M1 \rangle$ | $\langle M2 \rangle$ | $\langle M3 \rangle$ | $\langle M4 \rangle \dots$ | $\langle D \rangle$ |
|---------|----------------------|----------------------|----------------------|----------------------------|---------------------|
| M1      | accept               | $\sim$ halt          | accept               | $\sim$ halt                | reject              |
| M2      | accept               | accept               | accept               | accept                     | reject              |
| M3      | $\sim$ halt          | $\sim$ halt          | $\sim$ halt          | $\sim$ halt                | accept              |
| M4      | accept               | accept               | $\sim$ halt          | $\sim$ halt                | accept              |
| $\dots$ |                      |                      |                      |                            |                     |
| D       | reject               | reject               | accept               | accept                     | reject              |

**Figure 4.7a** (corrected figure 4.6, inserting D into figure 4.4)

|         | $\langle M1 \rangle$ | $\langle M2 \rangle$ | $\langle M3 \rangle$ | $\langle M4 \rangle \dots$ | $\langle D \rangle$ |
|---------|----------------------|----------------------|----------------------|----------------------------|---------------------|
| M1      | accept               | reject               | accept               | reject                     | accept              |
| M2      | accept               | accept               | accept               | accept                     | accept              |
| M3      | reject               | reject               | reject               | reject                     | accept              |
| M4      | accept               | accept               | reject               | reject                     | accept              |
| $\dots$ |                      |                      |                      |                            |                     |
| D       | accept               | accept               | accept               | accept                     | accept              |

**Figure 4.7b** (corrected figure 4.6, inserting D into figure 4.5)

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The following portions of pages 166-167 are directly relevant to the rebuttal.

**Sipser, Michael 1997.** Introduction to the Theory of Computation. Boston: PWS Publishing Company (165-167)

Where is the diagonalization in the proof of Theorem 4.9? It becomes apparent when you examine tables of behavior for TMs  $H$  and  $D$ . In these tables we list all TMs down the rows,  $M_1, M_2, \dots$  and all their descriptions across the columns,  $\langle M_1 \rangle, \langle M_2 \rangle, \dots$ . The entries tell whether the machine in a given row accepts the input in a given column. The entry is *accept* if the machine accepts the input but is blank if it rejects or loops on that input. We made up the entries in the following figure to illustrate the idea.

|          | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | $\dots$ |
|----------|-----------------------|-----------------------|-----------------------|-----------------------|---------|
| $M_1$    | <i>accept</i>         |                       | <i>accept</i>         |                       |         |
| $M_2$    | <i>accept</i>         | <i>accept</i>         | <i>accept</i>         | <i>accept</i>         |         |
| $M_3$    |                       |                       |                       |                       | $\dots$ |
| $M_4$    | <i>accept</i>         | <i>accept</i>         |                       |                       |         |
| $\vdots$ |                       |                       | $\vdots$              |                       |         |

FIGURE 4.4

Entry  $i, j$  is *accept* if  $M_i$  accepts  $\langle M_j \rangle$

In the following figure the entries are the results of running  $H$  on inputs corresponding to Figure 4.4. So if  $M_3$  does not accept input  $\langle M_2 \rangle$ , the entry for row  $M_3$  and column  $\langle M_2 \rangle$  is *reject* because  $H$  rejects input  $\langle M_3, \langle M_2 \rangle \rangle$ .

|          | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | $\dots$ |
|----------|-----------------------|-----------------------|-----------------------|-----------------------|---------|
| $M_1$    | <i>accept</i>         | <i>reject</i>         | <i>accept</i>         | <i>reject</i>         |         |
| $M_2$    | <i>accept</i>         | <i>accept</i>         | <i>accept</i>         | <i>accept</i>         | $\dots$ |
| $M_3$    | <i>reject</i>         | <i>reject</i>         | <i>reject</i>         | <i>reject</i>         |         |
| $M_4$    | <i>accept</i>         | <i>accept</i>         | <i>reject</i>         | <i>reject</i>         |         |
| $\vdots$ |                       |                       | $\vdots$              |                       |         |

FIGURE 4.5

Entry  $i, j$  is the value of  $H$  on input  $\langle M_i, \langle M_j \rangle \rangle$

In the following figure, we added  $D$  to Figure 4.5. By our assumption,  $H$  is a TM and so is  $D$ . Therefore it must occur on the list  $M_1, M_2, \dots$  of all TMs. Note that  $D$  computes the opposite of the diagonal entries. The contradiction occurs at the point of the question mark where the entry must be the opposite of itself.

|          | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | $\dots$  | $\langle D \rangle$ | $\dots$  |
|----------|-----------------------|-----------------------|-----------------------|-----------------------|----------|---------------------|----------|
| $M_1$    | <u><i>accept</i></u>  | <i>reject</i>         | <i>accept</i>         | <i>reject</i>         |          | <i>accept</i>       |          |
| $M_2$    | <i>accept</i>         | <u><i>accept</i></u>  | <i>accept</i>         | <i>accept</i>         | $\dots$  | <i>accept</i>       | $\dots$  |
| $M_3$    | <i>reject</i>         | <i>reject</i>         | <u><i>reject</i></u>  | <i>reject</i>         | $\dots$  | <i>reject</i>       | $\dots$  |
| $M_4$    | <i>accept</i>         | <i>accept</i>         | <i>reject</i>         | <u><i>reject</i></u>  |          | <i>accept</i>       |          |
| $\vdots$ |                       |                       | $\vdots$              |                       | $\ddots$ |                     |          |
| $D$      | <i>reject</i>         | <i>reject</i>         | <i>accept</i>         | <i>accept</i>         |          | <u>?</u>            |          |
| $\vdots$ |                       |                       | $\vdots$              |                       | $\ddots$ |                     | $\ddots$ |

FIGURE 4.6

If  $D$  is in the figure, a contradiction occurs at “?”