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Chapter 1. Introduction: Scientific Explanation, Mathematics, and Metaontology

Abstract: In this chapter I introduce themes that will recur throughout the book, including the ontic conception of scientific explanation, deflationary Carnapian metaontology, and the enhanced indispensability argument (EIA) for platonism.

Keywords: metaontology, mathematics, ontic conception, platonism, scientific explanation, indispensability

1.1. Introduction: Scientific Explanation

One central aim of science is to provide explanations of natural phenomena. Explanation is a scientific achievement distinct from description, prediction, and confirmation. What is the nature of the achievement of explanation? What role(s) does mathematics play in achieving this aim? How does mathematics contribute to the explanatory power of science? In this book, I defend answers to these questions. Specifically, I defend an ontic conception of scientific explanation, a normativist account of mathematics, and I combine these into a normativist-cum-ontic account of the distinctive role of mathematics in scientific explanation. In this section, I briefly expand on these views, which recur throughout the book.

The central idea of mathematical normativism, common though perhaps inchoate among many members of the Vienna Circle, is that mathematics contributes to the explanatory power of science by *expressing* conceptual or semantic norms or rules, primarily rules for transforming empirical descriptions.¹ Mathematics should not be thought of as *describing*, in any substantive

¹ This idea goes back at least to Wittgenstein (1956/1978, 2013).

sense, anything, let alone an abstract realm of eternal mathematical objects, as traditional platonists have thought. A pure mathematical claim such as “3 is prime” should be thought of as expressing a semantic rule according to which it is *correct* to apply “is prime” when it is *correct* to apply “3”.² We then use this rule to transform empirical descriptions such “there are three particles” into “there are a prime number of particles”.

In the following chapters, I update this normativist view of mathematics with contemporary philosophical tools like semantic deflationism, the idea, roughly, that the truth concept is governed solely by the ‘equivalence schema’: “ p ” is true if and only if p .³ Truth is thus not a substantive property. This is not to deny that there are substantive ways the world must be for some propositions to be true. But the substantiveness of the truth of “ p ” comes down to the substantiveness of the fact that p (and vice versa). For example, the truth of “snow is white” requires that the world be a certain way – such that snow is white; whereas the non-

² Where I judge irrelevant, I ignore the distinctions between terms and concepts, and between sentences and propositions. This shouldn’t, I hope, affect any of the points I make here. This leads me sometimes to play fast and loose with the use of quotation marks. My hope is that this won’t get me into trouble. Note also that when I speak of a sentence being analytic, I don’t just mean a string of marks or sounds, but a *meaningful* string, what Ludwig (in progress) and Thomasson (2020a) call a *statement*. How could a meaningless string be *true*, let alone true in virtue of *its meaning*?

³ Or: the proposition that p is true if and only p . There are many ways of cashing out semantic deflationism (see, e.g., Horwich 1998a), and for my purposes I don’t need to commit to any of them.

substantiveness of the truth of, say, “2 is prime” comes down to the non-substantiveness of the fact that 2 is prime. As I explain below, this truth and its associated fact are non-substantive because they are analytic. I argue that this combination of normativism and deflationism is compatible with the mainstream semantic theory, truth-conditional semantics. This allows the normativist to accept that there are mathematical truths and that they can play explanatory roles in science, while resisting the platonistic idea that there exist abstract mathematical objects that explain such truths or explain the truth of such truths.

I combine this philosophy of mathematics with a particular account of the distinction between scientific explanations that are in some sense distinctively mathematical – that explain natural phenomena in some uniquely mathematical way – and those that are only standardly mathematical. In *standardly* mathematical explanations in science, the mathematics plays a merely representational role, i.e., it merely represents the explanatorily relevant features, such as the quantities and magnitudes associated with explanatorily relevant causes. In *distinctively* mathematical explanations (DMEs), the mathematics is supposed to do something more than this; it bestows upon the explanandum (i.e., the thing to be explained) a kind of necessity that mere effects of causes do not possess (Lange 2013a, 2016). In Chapter 2, I present desiderata for any account of DME and criticize competing accounts for their failure to meet them. In Chapter 8, I critique other prominent views in the philosophy of mathematics such as fictionalism, conventionalism, and neo-Fregeanism.

I call my account of DME – which will be presented in Chapter 4 – the Narrow Ontic Counterfactual Account (NOCA). NOCA is an ontic account of explanation, meaning that it takes the explanandum, explanans (i.e., the thing doing the explaining), and explanatory relations between them to be objective, “worldly” objects, properties, and relations. One might reasonably

suspect that an ontic of DME would be committed to platonism. If mathematical facts are among the explanans in DMEs, and these facts are objective, (other-?)worldly facts, then platonism seems to follow inescapably. (Or perhaps there follows an empiricism according to which mathematical facts are concrete, empirical facts, but I won't be going that route either. More on empiricism in Chapter 6.) I will argue that platonism does not follow.

NOCA is an ontic conception of explanation. I hold an ontic conception of scientific explanation generally. That is, I think *all* scientific explanations appeal to objective relations between objective phenomena. In every case, the objective explanatory relation that holds between objective explanans and objective explanandum is a kind of counterfactual dependence relation. The explanandum counterfactually depends on the explanans – if the explanans hadn't occurred, then the explanandum wouldn't have occurred – and this counterfactual dependence holds in virtue of some objective relation between them, such as causation or constitution (or perhaps others). By “objective,” I mean mind-independent, in the sense that whether the explanans, explanandum, and explanatory relation between them exist is not up to us explainers. I don't think the definition of “ontic” should rule out the possibility of ontic explanation in the cognitive and social sciences, including sociology and linguistics; brains, beliefs, social (including linguistic) conventions, and so on are perfectly objective in the sense that matters for ontic explanation, and only on exceedingly controversial and exceedingly rare philosophical views can such things not enter into causal or other natural relations. Brains, conventions, etc. are in principle scientifically manipulable and apt to figure in causal explanations, as explanantia and as explananda.

Thus, all scientific explanations follow a single pattern: they all exhibit (represent) relations of counterfactual dependence that hold in virtue of some ontic relation that holds

between explanandum and explanans and in virtue of which they count as explanations.⁴ I call this the generalized ontic conception. NOCA thus portrays DME as a component in an intuitive typology of kinds of explanation that are individuated by the ontic relation in virtue of which the relation of counterfactual dependence holds between explanans and explanandum. When the relation of counterfactual dependence holds in virtue of a causal relation between explanans and explanandum, we have a causal explanation. When the relation of counterfactual dependence holds in virtue of a constitutive mechanistic relation between explanans and explanandum, we have a constitutive mechanistic explanation (see Craver 2007, Craver, Glennan, and Povich 2021). When the relation of counterfactual dependence holds between a mathematical explanans

⁴ Specifically, what matters is the representation of *patterns* of counterfactual dependence that hold in virtue of some ontic relation. It is not simply that x explains y if and only if y counterfactually depends on x in virtue of some ontic relation that holds between them.

Preemption cases and others from the causation literature are relevant here. The breaking of the bottle does not counterfactually depend on Suzy's throwing her rock if Billy threw his right after (or if he threw his at the same time or if he would've thrown his if Suzy hadn't thrown hers). But this needn't undermine the claim that Suzy's throw explains the breaking of the bottle in these scenarios. For, as Woodward (2003, 86) points out, when it comes to causal explanation, "once we have been given information about the complete patterns of counterfactual dependence in [these kinds of] cases as well as a description of the actual course of events, it appears that nothing has been left out that is relevant to understanding why matters transpired as they did". The existence of preemption cases and others does not preclude a counterfactual account of causal (or other) explanation.

and a natural explanandum in virtue of some other relation – perhaps instantiation – we have a DME, but more on this in Chapter 4. NOCA thus unifies causal and non-causal, ontic and modal. We will also see in Chapter 5 that, for the normativist, DME is a very special kind of quasi-causal or quasi-mechanistic explanation.

So, that's the ontic conception, or my version of it. Why hold it? I believe it is the best account of what is distinctive about the scientific achievement of explanation. It satisfies two widely held desiderata for any adequate account of scientific explanation: 1) it demarcates explanation from other scientific achievements, like description, prediction, and confirmation, and 2) it provides norms for evaluating explanations (Craver 2014, Craver and Kaplan 2020). Proponents of the ontic conception “believe one cannot satisfy these desiderata without taking a stance on the kinds of worldly (that is, ontic) relations that a putative explanation must reveal to count as explanatory” (Craver and Kaplan 2020, 294). (Note that it is no part of my understanding of the ontic conception that an explanation *is* something ontic, like a cause or a mechanism, and *not* a representation, text, model, etc.) There are questions surrounding what the norms of explanation are and whether an ontic conception of explanation supplies the right ones. For example, many have thought that an ontic conception implies that the more detailed an explanation, the better (e.g., Batterman and Rice 2014, Chirimuuta 2014). I think Craver and Kaplan (2020) have adequately dispelled this myth.

This is not to deny there is room for “pragmatic context” or “interests” in the evaluation of explanations. It is uncontroversial – and I think consistent with an ontic account – that whether and to what extent a putative explanation reveals relevant worldly facts depends on who is consuming it (Povich 2021). Some might argue that this gives up the game (e.g., Wright and van Eck 2018). If they want to call the generalized ontic conception “the generalized epistemic

conception,” that’s fine. What matters is the view itself. Although many of the arguments in this book refer to “the generalized ontic conception,” the substance of those arguments doesn’t hinge at all on whether interests are included in the evaluation of explanations or what the view is called. For example, in Chapter 3, I argue against certain accounts of RG explanation and in favor of an account in line with the generalized ontic conception. My arguments concern how RG explanations work. Similarly, in Chapters 4, I argue against certain accounts of DME and in favor of an account in line with the generalized ontic conception (i.e., NOCA). In Chapter 5, I argue that NOCA remains consistent with the generalized ontic conception even after its platonistic language is normativistically deflated. All these arguments concern how DMEs work. Nothing at all of substance in this book changes if we include interests in the evaluation of explanations and call my view “the generalized epistemic conception”. Wright and van Eck (2018), critics of the ontic conception, cite approvingly Bokulich’s (2016) distinction between ‘conceptions’ of explanation, which concern what explanations *are*, and ‘accounts’ of explanation, which concern how they work. If you want to call the generalized ontic conception “the generalized ontic *account*,” fine by me. I don’t mind what you call it; I mind that it is a monistic, counterfactual view of scientific explanation that unifies causal explanations, RG explanations, and DMEs, and that it still covers DMEs once they are normativistically deflated. More on the generalized ontic conception in Chapter 3. For now, let us move on to metaontology.

1.2. Metaontology

I believe the package of views going by various names such as “pragmatism,” “functional pluralism,” and “deflationary (or minimalist) metaontology” (e.g., Brandom 1994, Price 2011, Thomasson 2014, 2020a) provides the most plausible, illuminating, and naturalistic picture of, well, everything. (Obviously these views are not the same and their proponents have

disagreements.) In particular, of human beings and our practices. Functional pluralism is the thesis that not all declarative sentences have the function of describing or representing the world, in any substantive sense (Price 2011).⁵ Usually, this functional pluralist thesis is combined with the claim that thinking otherwise has led much philosophy astray and is the cause many philosophical problems and confusions. Deflationary or minimalist metaontology is basically the thesis that, at least for some things, existence is cheap (see, e.g., Linnebo 2018, Thomasson 2014, Warren 2020). Often, and historically, the cheapness of the existence of some class of entities (e.g., mathematical entities like numbers, sets, etc.) is cashed out in terms of some kind of dependence on language or conceptual scheme. In other words, the existence of such and such entities is cheap because they are a product of our language or conceptual scheme. Of course, we must be very careful with that kind of talk, because we usually *don't* want to say that such entities did not exist before human minds or language, or wouldn't have existed if human minds or language hadn't. I take "pragmatism" to be roughly the combination of functional pluralism and deflationary metaontology, and I take it to be roughly equivalent to what goes by the name "neo-Carnapianism" these days. Neo-Carnapianism is the metaontology with which I am most sympathetic,⁶ so I will expand on it below.

Admission: unfortunately, I cannot give a thorough defense of the metaontological views

⁵ Sometimes one who believes that a class of terms doesn't describe is called an 'anti-representationalist' about that class.

⁶ I have also been influenced by Azzouni (2004), Balaguer (2021), Eklund (2013), Hirsch (2011), Putnam (1981, 1987), Sellars (see, e.g., his essays collected in Scharp and Brandom 2007), and Wittgenstein (1956/1978, 1976), among others.

I hold, and which will pop up frequently throughout the book. Certainly, I will respond to many objections along the way, but other controversial theses are more or less assumed. The most significant, and central for my purposes, is the thesis that there are analytic or conceptual⁷ truths. The analytic/synthetic (A/S) distinction has been seeing something of a comeback. This thesis plays a crucial role in my Carnapian style of pragmatism. (Some pragmatists such as Amie Thomasson embrace it; others such as Michael Williams eschew it.)⁸ Analytic truths have traditionally been said to be those owing their truth to the meanings of their constituent terms alone. This is usually called the *metaphysical* sense of analyticity.⁹ For example, the sentence “bachelors are unmarried” is true *because* the terms therein have certain meanings and *not* because of the way the (extra-linguistic) world is. This has intuitive appeal. After all, make any change in the extra-linguistic world you want and you will not change the truth-value of the sentence, but you can change its truth-value by changing the meanings of its constituent terms.¹⁰

⁷ I use “analytic truth” and “conceptual truth” interchangeably.

⁸ Putnam (1981, 1987) and Hirsch (2011) are sometimes described as neo-Carnapians, although they don’t rely on the A/S distinction. Perhaps a *neo*-Carnapian is one who says many similar things that Carnap says about (meta)ontology, but who rejects the A/S distinction, and one who says many similar things that Carnap says about ontology *and* accepts the A/S distinction is just a Carnapian.

⁹ See Boghossian (1996) for the classic distinction between epistemic and metaphysical analyticity, including a critique of the latter and a defense of the former.

¹⁰ Obviously, this is also true of some non-analytic truths, such as “water is H₂O” and other posteriori necessities, but normativists break such truths into an analytic and a synthetic

This seems to imply that the truth of an analytic statement makes no demands on the world. Synthetic truths have traditionally been said to be those owing their truth both to the meanings of their constituent terms *and* to the way the world is. The sentence “bachelors are unhappy” is true – if it is true – because the terms therein have certain meanings *and* because of the way the (extra-linguistic) world is. If that claim is false, it is synthetically, not analytically, false – false because the concepts therein have certain meanings and because of the way the (extra-linguistic) world is. The thesis that there are analytic truths in this traditional metaphysical sense has had respectable defenses recently (e.g., Rabinowicz 2010, Russell 2008, Warren 2015b), and I will return to it below and in Chapter 8. According to the epistemic sense of analyticity, analytic truths are those knowable by grasp of their meanings alone. Thomasson has given the epistemic understanding of analyticity a normative twist, according to which, “mastery of the relevant linguistic/conceptual rules *entitles* one to accept the conceptual truth (without the need for any further investigation), and ... rejecting it would be a *mistake*” (2014: 238–9, my emphasis; see also Thomasson 2007a for further defense of analytic truth). On this view, the claim that bachelors are unmarried is analytic because mere mastery of the terms involved entitles one to accept its truth.¹¹ Those who accept epistemic analyticity and deny metaphysical analyticity need

component. See the references in footnote 14 of this chapter. I discuss a posteriori necessity a bit more in Chapter 9.

¹¹ As defenders of analyticity since Grice and Strawson (1956) have noted, acceptance of analyticity is compatible with Quine’s claim that all statements are in principle revisable in the light of experience. A revision of an analytic statement results in a change of meaning, and we may alter the meanings of our terms because experience suggests that it would be useful to do so.

to explain either 1) how there can be epistemically analytic truths that make demands on the world, which sounds a lot like the synthetic a priori,¹² or 2) how epistemically analytic truths

¹² Boghossian (1996) writes that the positivists appealed to metaphysical analyticity for the purpose of taming necessity. This is true, but I think they – ever so concerned to avoid the synthetic a priori – also appealed to metaphysical analyticity to avoid a priori truths that make demands on the world (at least those that aren't as harmless as indexical truths like “I exist”). I think the same consideration partly explains why they inferred conventionalism or non-descriptivism (Boghossian calls it ‘non-factualism’) from the practice of implicit definition, an inference Boghossian finds inexplicable. It is explicable if one is concerned about a priori truths that make demands on the world, for conventionalism and non-descriptivism are ways of cashing out the idea that implicit definitions (which are analytic) make no demands on the world. Boghossian gives the contingent a priori as an example of a class of stipulative, a priori truths that *do* make demands on the world. (He doesn't put it that way; he says they express something factual.) One of Kripke's famous examples of a contingent a priori truth is “stick S is one meter long,” where the length of S is used to define (i.e., to fix rigidly the reference of) the meter. But, first, this is widely recognized to be controversial (Noonan 2014, 174; see also Chapter 16 of Soames 2003). Even Kripke (1980, 63) said that it “seems plausible” that “in some sense” one who learns an a priori contingency does not learn some contingent information about the world, some new contingent fact one didn't know before, which sounds to me like skepticism about the idea that a priori contingencies of this kind make demands on the world. I think this “seems plausible” because it is correct. The ease with which trivial examples of the contingent a priori can be multiplied ought to make us suspicious of its metaphysical importance. Let “Macky”

make no demands on the world, without appeal to metaphysical analyticity. Though I will not provide much by way of direct argument for the thesis that there are analytic truths in either sense (though see Chapter 8), you should see the book itself as an argument: look at all you can

rigidly designate the sock on my left foot at time t_0 . “Macky is on Mark’s left foot at t_0 ” seems as contingent a priori as “stick S is one meter long”. I’ve learned nothing new, but only reformulated something I already knew, that the sock on my left foot at t_0 is on my left foot at t_0 . There are different ways, some of which require a distinction between sentences and propositions that for ease of exposition I have so far avoided, of explaining why Kripke’s examples are either a priori or contingent but not both, or, if both, as harmless to conventionalism as “I exist”, and I needn’t commit myself to any of them here (see, e.g., Baker and Hacker 2008, Donaldson and Wang 2022, Hughes 2004, Noonan 2014, Soames 2003, Thomasson 2020a, Warren 2022a). If there are contingent a priori truths, they, like necessary a posteriori truths, complicate but do not undermine the idea that necessity and a priority are explained by convention – just sometimes in different ways, such that these come apart (see, e.g., Sidelle 1989 on the necessary a posteriori and Warren 2022a on the contingent a priori). Depending on what exactly we mean by “analytic” and “place demands on the world,” we may even want to say that some of these truths are analytic *and* place demands on the world, but in a way that doesn’t threaten either conventionalism or the claim that the truths of logic and mathematics are analytic and place no demands on the world. (Warren 2022a might be an example of this.) Second, Boghossian’s appeal to the contingent a priori doesn’t help explain how it could be that the *necessary* a priori truths of mathematics and logic with which the positivists were primarily concerned could make demands on the world.

do if you accept analytic truth! Obviously, those unconvinced by the book will make the same exclamation sarcastically.

However, Boghossian (1996) famously argued against metaphysical analyticity, which he says is required to make sense of the so-called the “linguistic theory of necessity” – the thesis that necessity is explained by linguistic conventions. The thought is that metaphysical, not epistemic, analyticity is required to make sense of the claim that analytic truths make no demands on the world. However, normativism does not say that linguistic conventions are truthmakers of necessities. Normativism’s non-descriptivism entails that analyticities require no truthmakers and make no demands on the world.¹³ Furthermore, the dependence of necessity on

¹³ Nyseth (2021) argues that normativists should not say that analyticities have no truthmakers, but should instead say that in analyticities the application conditions of the concepts involved are “fulfilled no matter what the world is actually like” (280). (Thomasson [2007, 70] in fact makes the latter point. Cf. Sidelle [2009, 229] and Warren [2020, 178-9]. Also see Rayo [2013] for similar thoughts on “trivial truth conditions”.) Note that this is not an epistemic point what we *know* or *can know* applies to what, but a point about our application conditions and how they can conspire to produce a truth that places no demands on the world. Other defenders of analyticity make this point too. This seems to me like a way of *explaining why* analyticities have no truthmakers. If Nyseth is right, it is not normativism’s thesis that the function of mathematics is not to describe but to express conceptual rules that *explains why* analyticities make no demands on the world, but the fact that they have application conditions that are fulfilled no matter what. Note that Nyseth (277, footnote 18) says that the conventionalisms of Sidelle and Einheuser, to whom I make extensive appeal, are compatible with his argument. In the course of Nyseth’s

convention that normativism accepts is not the usual counterfactual kind. Einheuser (2006, 2011), whose work features prominently in Chapter 5, has convincingly argued that conventionalists – among whom normativists would be included – need only the idea that adopting an alternative conceptual scheme would result in different necessities, as judged from *within* the alternative scheme. This notion of dependence is all that is required by normativism (and by the linguistic theory of necessity). I argue for this in greater detail in Chapter 8.¹⁴

Speaking of conventionalism – the thesis that mathematical and logical truths are in some sense conventional, based on convention, explained by convention, etc. – while writing this manuscript, I read Jared Warren’s (2020) wonderful book, *Shadows of Syntax: Revitalizing Logical and Mathematical Conventionalism*. I think his book successfully rebuts most of the influential objections to analyticity and conventionalism, including Boghossian’s. The philosophy of mathematics I present here is certainly of a piece with his. In fact, while I have disagreements with some of Warren’s specific claims, which I will address in Chapter 8, I take Warren’s conventionalism and mathematical normativism to be roughly equivalent, differing mainly in emphasis. Throughout this book, I will help myself to both normativistic and

argument, he claims that, according to normativism, analyticities are true *because* they express rules (275). But that is dangerously close to saying analyticities describe or are made true by rules, which normativists deny. Nyseth’s claim is accurate only if “because” is read counterconceptually. I discuss this idea in greater detail in Chapters 5 and 8, where I also offer more arguments for the claim that analyticities place no demands on the world. See Asay (2020) for a truthmaker theoretic argument that analyticities require no truthmakers.

¹⁴ See also footnote 9 of Chapter 5.

conventionalistic turns of phrase. Let me briefly explain both views and why I will treat them as equivalent. A more elaborate presentation of normativism comes in Chapter 5.

Thomasson's (2019a, 2020a) modal normativism is somewhat similar to expressivism about metaphysical modality, the thesis that claims about what is metaphysically necessary or (im)possible do not *describe* anything, but *express* something. (We needn't worry here about what exactly *metaphysical* modality is.) Expressivists can disagree about *what* is expressed by terms of whatever class about which they are expressivists, though usually it is a mental state of some motivational, non-belief kind. In Thomasson's case, though, what is expressed is conceptual/semantic rules¹⁵ or consequences thereof, i.e., conventional rules for how to use words and concepts. Note that a metaphysically modal claim is not *about* those rules. For example, according to Thomasson, a metaphysical necessity such as that a statue cannot survive being squashed is an expression of rules of use for our statue concept – the statue concept is not to be applied after squashing.¹⁶ Although Thomasson's normativism concerns specifically *metaphysical* modality, it is easily generalizable to mathematics, which I will do in this book.

¹⁵ Rules which may include empirical variables to account for a posteriori necessities (Sidelle 1989; Thomasson 2020a; Warren 2022b), but these, as well as *de re* necessities, are irrelevant to the present work. However, see Chapter 9 for brief discussion of posteriori necessities.

¹⁶ It may be more complicated than this. Perhaps there are circumstances where the statue concept might still apply after squashing, but normally we wouldn't say that the squashed clay is the *same* statue. But this – talk of persistence conditions, identity conditions, and so on – is all still expressing conceptual rules, rules about when concepts are to be applied and, in the case of persistence and identity conditions, reapplied.

According to mathematical normativism, mathematical claims do not describe, in any substantive sense, anything, but instead are *expressions* of conceptual rules or consequences thereof.

Thomasson's normativism is only somewhat similar to traditional expressivism, because she accepts the existence of modal truths, facts, and descriptions as long as all of these things are understood in suitably deflationary senses (Thomasson 2020a; see also Baker and Hacker 2009). Since it's necessary that bachelors are unmarried, we can trivially derive that "it's necessary that bachelors are unmarried" is true, using the equivalence schema. Thus, there are modal truths. Deflationists often accept similar equivalence schemata, such as: it is a fact that p if and only if p. Since it's necessary that bachelors are unmarried, we can trivially derive that it is a fact that it's necessary that bachelors are unmarried. Thus, there are modal facts. The mathematical normativist is similarly capable of recognizing mathematical truths and mathematical facts. Thus, the problem of so-called "creeping minimalism" in metaethics (Dreier 2004) arises here as well. In metaethics, this is the problem of how to distinguish moral expressivism from moral realism once the expressivist adopts semantic minimalism or deflationism and is thereby able to say everything the realist says. There are several proposals for solving this problem in metaethics. Adjusting Simpson's (2020) solution in metaethics to the topic of mathematics, we could say that mathematical normativism differs from platonism in not having to appeal to mathematical facts to explain (the content of) mathematical language and thought (see also Brandom's 2008 explanation of modal language). For example, the normativist wouldn't (and can't) say that the mathematical facts make the mathematical truths true or explain why they are true. The mathematical truths and facts have been so deflated that no explanatory relation can hold between them. I think this is right, but to address the problem in modality and mathematics, I

think the easiest solution is to appeal to analyticity, something usually not open to metaethicists, since most these days don't believe that moral truths are analytic.¹⁷ In other words, both platonist and normativist say that numbers exist (for example), but the former takes this to be a synthetic claim and the latter takes it to be analytic. Avoiding the problem of creeping minimalism – i.e., making the required distinctions between 'substantive' and 'non-substantive' reference, existence, etc. – might be harder, I think, for those deflationists (such as Michael Williams) who eschew analyticity.¹⁸

This is all quite similar to Warren's (2020) conventionalism, according to which all mathematical truths in a language are fully explained by (the validity of) the basic inference rules of that language. For Warren, for the basic inference rules to fully explain a mathematical truth is for the mathematical truth to be derivable solely from the basic inference rules. (I don't think Warren is clear enough about the sense of 'explanation' here, a point on which I expand in Chapter 8.)

Notably, according to Warren's (2020) conventionalism, it is not the case that mathematical truths *describe* conventions. You could say that arithmetical truths describe numbers because their terms refer to numbers, but such reference – and, therefore, existence – is

¹⁷ For a detailed exploration of the similarities and differences between philosophical problems of morality and mathematics, see Clarke-Doane (2020).

¹⁸ This 'substantive'/'non-substantive' distinction is the same one Linnebo (2018) is after with his distinction between thick and thin objects. He notes that the analytic/synthetic distinction, if workable, does the trick, but he prefers to make the distinction using abstractionism, which I discuss in Chapter 8.

a trivial byproduct of our arithmetical language. For example, let us assume our arithmetical language is formally modeled by first-order Peano arithmetic, one of whose basic inference rules allows the derivation of “N0” (i.e., “zero is a number”) from no premises. From this, we can easily derive “there is a number” via the introduction rule for the existential quantifier. Thus, the existence of numbers is analytic, because it is a consequence of our basic inference rules; it is a trivial byproduct of our arithmetical language. Thomasson and Warren are both deflationary “trivial realists” in mathematical ontology.

There are some obvious differences between Warren’s and Thomasson’s views, but I will treat them as equivalent when the differences are irrelevant. One important difference is Warren’s emphasis on syntactic inference rules and Thomasson’s emphasis on semantic application conditions. Now, Thomasson accepts that rules governing application conditions might not be the only kinds of rule that are expressed by modal claims (Thomasson 2023, 21, footnote 20), and Warren accepts that application conditions can be meaning-determining (Warren 2022a, 46). (They also both accept the semantic and epistemic legitimacy of implicit definition as a meaning-determining practice.) Since in this book I am mostly concerned with the use of mathematics in scientific explanations, I will mostly be concerned with mathematical concepts that have application conditions. I think it is best to treat mathematical claims that involve concepts that *don’t* have application conditions as expressing syntactic rules of inference governing the use of those concepts, more along the lines of Warren’s conventionalism. I don’t think there is any inconsistency here in the “different” treatments of mathematical claims that involve empirically applicable concepts and those that don’t. Warren takes the basic syntactic inferences rules in any language to be automatically valid, i.e., (logically) necessarily truth preserving. It is not as if I am appealing to two radically different philosophies of mathematics, and, for the purposes of this

book, I don't think there is a philosophically significant difference between saying that "bachelors are unmarried" expresses a rule according to which "bachelor"¹⁹ may only be applied when "unmarried" applies and saying that it expresses a rule according to which one may only infer that someone is a bachelor when he is unmarried. Some inference rules contain terms that possess application conditions. In such cases, such rules governing inference can also be viewed as rules governing application. Since in this book I am mainly concerned with the use of mathematics in scientific explanations, I will speak of rules governing application rather than inference.

Another apparently significant difference is the fact that Thomasson is an expressivist and Warren is not – he's an inferentialist, according to whom the meanings of mathematical terms are determined by their inference rules. (More on inferentialism in Chapter 6.) However, Thomasson is not an expressivist in the traditional sense, which is one reason she prefers the term 'normativism'. Normativism is not a semantic or metasemantic thesis, like traditional expressivism, which has an 'ideational' (meta)semantics according to which the *meaning* of the relevant class of terms is determined by the mental states they express; normativism is a *functional* thesis, a thesis about the function of a class of terms.²⁰ In fact, like Warren, Thomasson is an inferentialist (2020, 79), and the normativist's functional thesis is entirely open

¹⁹ Throughout the book, I will ignore the copula when writing predicates.

²⁰ One could interpret normativism as an empirical hypothesis about the function of a class of terms, as Thomasson (2022) seems to. However, I think one could also interpret normativism as a normative claim that the function of a class of terms ought to be such-and-such. See Chapter 6 for discussion.

to Warren. This is why I take conventionalism and normativism to be roughly equivalent, differing mainly in emphasis. What for Warren is fully explained by (the validity of) basic inference rules, is for Thomasson an expression of conceptual rules or their consequences. This is not to say there are no important differences between Warren and Thomasson. Another important difference is that Thomasson's inferentialism is normative and Warren's isn't.²¹ But this difference will not be relevant until Chapter 6, where I side with Thomasson (though my normative inferentialism is naturalistic, so maybe it isn't far from Warren's after all).

I said above I would come back to Carnap. Normativism and neo-Carnapianism are distinct theses. Normativism is a thesis about the function of a class of terms, and I think it is best to view neo-Carnapianism as a metaphilosophical thesis: it is (or entails) a view about the nature of philosophy, and metaphysics in particular. According to it, philosophical questions are resolvable via some combination of conceptual analysis, empirical investigation, and normative, pragmatic considerations. There is no *special* or distinctive metaphysical method. Philosophical questions that seem unresolvable are conceptually confused and require either conceptual repair or rejection.

Carnap's metaphilosophy is closely associated with the distinction between internal and external (I/E) questions. Carnap held that existence questions (e.g., "Are there numbers?"), conceived as internal to a framework, can be straightforwardly answered via conceptual analysis and empirical investigation. To answer the question, "Does Bigfoot exist?", conceived as an

²¹ It also seems that they disagree about how to accommodate the contingent a priori (Thomasson 2020a, Warren 2022a) and about the (un)importance of quantifier variance (Thomasson 2014, Warren 2020).

internal question, you need to determine what that means, i.e., what it would take for Bigfoot to exist, and then undertake empirical investigation to determine whether those conditions are actually met. Existence questions that are conceived as external to a framework are either pragmatic questions or senseless pseudo-questions. Note that the same question can be conceived internally or externally. What exactly it means to ask a question internal or external to a framework depends on what frameworks are, and there is debate about what exactly a framework is (Eklund 2013, 2016). I won't discuss all the options, but I think everything I argue in this book is compatible with the idea that a framework is a fragment of language. On this understanding of a framework, to ask an internal question is simply to ask a question in a particular language (fragment), and to ask an external question is either to ask what language to use or to say something nonsensical like "Answer this question but ignore its actual meaning: are there numbers?"²² (Eklund 2013, 232). As Eklund notes, so far this seems pretty trivial and doesn't seem immediately to have any deflationary metaontological implications. "There are numbers" may be true in some languages and false in others, but it doesn't mean the same thing in those languages. I think that's right – *so far* there are no deflationary metaontological implications.

This is why the A/S distinction is important. The way I see it, the entirety of Carnap's deflationary metaontology rests on two claims: the A/S distinction holds in natural languages like ours (Carnap 1955), and there is no such thing as the one true language. It is these two claims that allow Carnap to say that all questions are either answerable by conceptual analysis,

²² Compare explicit denials of analytic truths, like "Bachelors are married". These can only be interpreted as being about language (e.g., as being suggestions to change language) or as based on confusion (Burgess, Cappelen, and Plunkett 2020, Thomasson 2017). I return to this below.

empirical investigation, or normative pragmatic considerations, or they are senseless. Eklund (2013, 245) is understandably curious why, if this is right, Carnap (1950) focused on the I/E distinction, rather than the A/S distinction, in his anti-metaphysical arguments.²³ It's a great question. I'm not sure; perhaps he assumed that readers knew that, according to him, frameworks come with an A/S distinction and that it determines how questions within a framework are answered. The fact that Carnap (1950) states that some questions can be answered by conceptual analysis alone shows that he was assuming the A/S distinction. Regardless of what Carnap's view was, I will present mine. I will make explicit the relation I see between ontological questions, external questions, and analyticity.

On the relation between the A/S and I/E distinctions, Eklund argues the following (2013, 237, original emphasis):

Carnap is actually drawing a *tripartite* distinction: between questions internal to a framework, questions about which framework we should choose to employ, and the pseudo-questions—the supposed theoretical external questions. What Quinean criticism of the analytic/synthetic distinction threatens is the distinction between *the first two* categories: change in theory and change in language cannot be separated in the way

²³ Basically the same thing happens in Section V of Carnap's (1937/2001) *Logical Syntax of Language*. He makes a distinction between what he calls "object-questions," which concern extralinguistic objects, and "logical questions," which concern linguistic objects, that is similar to the I/E distinction; he argues that metaphysical questions are pseudo-object-questions that are actually logical questions; and the A/S distinction, although central throughout *Logical Syntax*, doesn't figure in his discussion.

Carnap assumes. But even if this distinction collapses, Carnap's critique of ontology still stands. For the *third* category, that of the supposed pseudo-questions, can remain untouched.

Of course, the very *idea* of pseudo-questions doesn't require the A/S distinction – one could make sense of the idea in other ways – but I think an intuitive understanding of what pseudo-questions are and why they can only sensibly be interpreted as questions about which language to use arises quite naturally from the A/S distinction. In other words, there is an important and motivated connection between the account of ontological questions, external questions, and analyticity. Eklund would argue otherwise – he would argue that a questioner asks a pseudo-question (i.e., a putatively *theoretical*, rather than practical, external question) when the questioner knows what's true in the language, and the questioner is not asking a practical question about which language to use. Here there is no mention of analyticity. True, but when the questioner knows what's true in the language and still asks an existence question, the question rests on the questioning of an analytic truth, i.e., they would consider their question answered if they accepted an analytic truth.²⁴ And it is the fact that their question rests on the questioning of an analytic truth that helps motivate the idea that they must be asking a question about which language to use, since analytic truths express linguistic rules. If they aren't asking such a question, they are asking a pseudo-question. That is the connection between external questions and analyticity. Let me illustrate how when the questioner has been told what's true in the language and still asks an existence question, the question always rests on the questioning of an

²⁴ Thus, I hold the position mentioned by Eklund (2013, 245) that “all properly ontological disputes turn on analytic claims”.

analytic truth. (To be clear, I mean here that an external question always rests on the questioning of something that is an analytic truth in the framework of the thing whose existence is being questioned.) A philosopher asks us “Are there numbers?” First, we see if they intend this as an internal question. Internal questions concern what’s true in our language and are answerable via empirical and conceptual means. Considered as an internal question, it can be answered by purely conceptual means. We may explain to them why “there are numbers” is true in our language via the derivation of that claim from the Peano axioms (again, assuming our arithmetical language is modeled by these axioms). They persist in their questioning, which clearly rests on the questioning of an analytic truth, namely, the analytic truth that there are numbers. Obviously, if they accepted the analytic truth that there are numbers, they would consider their question answered. Since the question rests on the questioning of an analytic truth – an expression of a rule for the use of language – they can only sensibly be asking a pragmatic question about the use of language. The alternative is that they are asking a senseless pseudo-question, a question whose terms are *not* governed by their standard rules of use (Thomasson 2015, 39).

You may think this can’t be an adequate account of everything Carnap regarded as a pseudo-question. For example, he regarded “Are there tables?”, construed as an external question not about language, as a pseudo-question, yet this question doesn’t rest on the questioning of an analytic truth. This is wrong. Construed as an external question, the question *does* rest on the questioning of an analytic truth, therefore, if it is not about language use, it is a pseudo-question. Let me illustrate. A philosopher asks us, “Are there tables?” First, we see if this is meant as an internal question. We explain to them why “there are tables” is true in our language. It doesn’t really matter whether they *agree* that “there are tables” is true in our language. If they continue

to question whether there are tables, their question will rest on the questioning of an analytic truth. Suppose they agree that it is true in our language but continue to question. Then their question rests on the questioning of the analytic truth (A): if “there are tables” is true in our language, then there are tables. If they accepted (A), they would consider their question answered, since they accept the antecedent.

If they didn’t agree that “there are tables” is true in our language, they could accept (A). Their continued questioning would thus not rest on the questioning of *that* analytic truth. But it would still rest on the questioning of *some* analytic truth. Such a philosopher might say, “I accept (A). I just deny its antecedent – I don’t think that “there are tables” *is* true in our language. I think that what it takes for it to be true in our language is for there to be a certain kind of composite object, but I don’t believe in composite objects. I only believe in simples.” They thus accept (A), but they still do not accept an analytic truth: that if there are simples arranged table-wise, then there are tables. If they accepted this analytic truth, their question would be answered, since they accept its antecedent. The same goes for other ontologists. The nihilist might say, “I accept (A). I just deny its antecedent – I don’t think that it *is* true in our language. I think that what it takes for it to be true is for there to be a certain kind of composite object, but I don’t believe in *anything*.” But they still deny an analytic truth: that if it is tabling,²⁵ then there are tables. If they accepted this analytic truth, their question would be answered. Each of these antecedents is simply a *different way of describing* what it would take for “there are tables” to be true (cf. Heil 2003, 177, Rayo 2013, 31, and Thomasson 2014, 106-7). For a Carnapian, ontologies are languages. I think this goes for all ontologists who would deny that “there are

²⁵ This is the feature-placing language of ontological nihilists (Hawthorne and Cortens 1995).

tables” is true in our language. In fact, I think it’s what distinguishes the skeptical ontologist from the delusional person. For the skeptical ontologist, as opposed to the delusional person, there is some p such that p analytically entails that tables exist, and they believe that p .²⁶ (X analytically entails Y if and only if “if X , then Y ” is an analytic truth.) Since they believe some such p and deny that tables exist, there is some analytic truth of the form “if p , then tables exist” that they deny. Their questioning thus rests on the questioning of some analytic truth.²⁷

Thus, all external questions rest on the questioning of an analytic truth. As I said, I don’t think it’s impossible to explicate the I/E distinction in a way that doesn’t appeal to analyticity. However, I think appealing to analyticity can give a better account of *why* one who says, “I know ‘there are tables’ is true in our language, but are there tables?” (and similar things) can only sensibly be asking which language to use. It is because that question rests on the questioning of a truth that is analytic in the framework of the object whose existence is being questioned, a truth which is simply an expression of linguistic rules for the use of terms for that object. A truth, furthermore, that serves as an introduction rule for the relevant term – the analytic conditionals

²⁶ Thus, for the delusional person, for all p , if p analytically entails that tables exist, then they don’t believe that p .

²⁷ The same line of reasoning in this paragraph also applies to the philosopher who questions the existence of numbers. They might disagree that “there are numbers” is true in our language. But there is certainly some p such that p analytically entails that numbers exist, and they believe that p , since *for all* p , p analytically entails that numbers exist, because “numbers exist” is analytic. Thus, there is certainly some analytic conditional of the form “if p , then numbers exist” that they deny.

the questioner questions are precisely the kinds used to introduce new terms into a language. An external existence question thus questions the introduction of new terms. Note that the denial of such analytic conditionals needn't betray any conceptual incompetence; it can betray a refusal to adopt a linguistic framework (see Chapter 8 for elaboration of the points in this paragraph).

The A/S distinction thus supplies a direct connection between asking an external existence question and asking about a linguistic framework. Accounts of the I/E distinction that don't appeal to analyticity (e.g., Bird 2003, Eklund 2013) seem not to explain this. Or, if they do, they rely on something like inference to the best explanation (IBE): why can one who says, "I know 'there are tables' is true in our language, but are there tables?" only sensibly be asking which language to use? Because there is no better explanation of what they could be asking. Now, I don't think there's anything wrong with IBE – in fact, I'm going to use it right now – but I think appealing to analyticity gives a better explanation of why someone who asks an external question is either asking a question about language use or asking a pseudo-question. And I've got a feeling that the analyticity-denier's intuition that there is no better explanation rests on a tacit appeal to analyticity. The intuition that there is no better explanation likely arises from the judgment that the external questioner must not mean what we mean by the relevant terms. According to Eklund (2013, 236), "One can believe that one and the same string of symbols can have different meanings in different languages while thinking that there can be no analytic truths." I'm not so sure, at least in a natural language context. What considerations justify one's belief that the same word is being used with a different meaning? A likely, though perhaps not the only, source for a judgment of difference in meaning is the prior judgment that someone has denied an analyticity. You judge that I mean something different by a word than you do because I deny something you take to be analytic. You might object that you can justifiably believe that our

meanings differ simply by observing our wildly different uses, without appeal to analyticity. But your judgment that differences in our uses amount to differences in *meaning* requires the judgment that such differences are in *meaning-constitutive* or *meaning-determinative uses*. Your judgments that our differences in use are meaning-constitutive are judgments about my considered uses of a word “*w*” in conditions *c*, where you take it to be analytic that “*w*” does not apply in *c*, i.e., you take “if ...*c*..., then ...~*w*...” to be analytic. Such uses reveal that I deny an analyticity, and thereby justify your belief that I mean something different, rather than merely believe something different, than you do. For example, if I consistently apply “bachelor” (“*w*”) to married men (*c*), you will conclude that we mean different things, rather than that I merely have a strange belief, *because* you believe that “if someone is a married man, then he is not a bachelor” (“if ...*c*..., then ...~*w*...”) is analytic. If you didn’t think that was analytic, you would conclude that I have a strange belief, not that we mean different things. Deniers of the A/S distinction deny that there is a distinction between a change in meaning and a change in belief. For, to change what I mean is to change my mind about an analytic sentence, and to change what I believe is to change my mind about a synthetic sentence. But this applies interpersonally too: for us to differ in what we mean is for us to disagree about an analytic sentence, and for us to differ in what we believe is for us to disagree about a synthetic sentence. A judgment that the external questioner must not mean what we mean – a judgment that there is a difference in meaning, not mere belief – thus seems to rely on a judgement that we disagree about an analyticity, revealing a tacit acceptance of the A/S distinction. Note that this account of the I/E distinction does not require the postulation of different concepts of existence (see Hirsch’s 2011 work on quantifier variance).

I conclude, acknowledging that my argument is far from conclusive, that appealing to

analyticity gives us the best account of the distinction between internal and external questions and of why external questions are either questions about which language to use or pseudo-questions.

Now, you may be wondering how this all squares with an ontic account of DME. How can a Carnapian normativist hold an *ontic* account of DME? Regarding the compatibility of normativism and an ontic account of DME, I argue in Chapter 5 that NOCA does not cease to be an ontic account after being deflated by normativism. The short explanation is: normativism reconceives the metaphysical nature of the explanans and explananda of DMEs, and this allows the normativist to see ontic accounts of DME, including NOCA, as roundabout ontic accounts of what people think and say. After all, for the mathematical normativist, mathematical truths express conceptual/semantic rules – what people think and say is all there is to explain, and it can be explained ontically.

Regarding the compatibility of deflationary metaontology and the generalized ontic conception, I will say this. In this book, I am only concerned to deflate mathematics; I will only briefly discuss deflationary metaontology in other areas. However, there is much debate over whether one can say some of the deflationary things I want to say in one area without it generalizing to a global deflationism and ultimately global anti-realism (see, e.g., Price, Blackburn, Brandom, Horwich, and Williams 2013). And the brand of deflationary metaontology with which I am most sympathetic is Carnap's (1950), which is certainly global in character. One might therefore reasonably worry whether the generalized ontic conception requires a kind of metaphysical realism with which Carnapian metaontology is incompatible. I don't think they are incompatible. First, unlike the other metaontological deflationists just cited who worry about global anti-realism, I accept the analytic/synthetic distinction. For me, deflating mathematics

means making it analytic. You cannot similarly deflate tables and chairs. The existence of tables and chairs is not analytic; and if you tried to make it so by stipulating the analyticity of “tables exist,” you would simply change the meaning of the word.²⁸ “But isn’t the existence of tables relative to a linguistic framework for Carnap?” Not in any problematic sense. A framework in which “tables exist” is false is one in which “tables” (or “exists”) means something different. A framework is just a language (fragment). For Carnap, there is a world out there, and we can talk about it in many different ways. Ontologies are languages, so while one philosopher may say that tables exist and another may say that only particles arranged table-wise exist, they are merely talking about the same thing in different languages (Dyke 2012, Heil 2003, Hirsch 2011, Putnam 1981, 1987, Rayo 2013, Thomasson 2014).

The generalized ontic conception does not require *ontological* realism – the idea that there is one correct ontology, one correct language in which to describe the world. Take a straightforward causal explanation: the bottle broke because Suzy threw a rock at it. The ontology of rocks and bottles simply doesn’t matter. It matters not a bit to the generalized ontic conception whether what Suzy threw was a substance, a bunch of simples arranged rock-wise, a part of the universe that was rock-ing, or whatever.²⁹ So, when the generalized ontic conception

²⁸ As Warren (2020, 232-3) points out, this defuses a standard objection to us defenders of analytic existence claims: why can’t we make the existence of God analytic? By all means, make “God exists” analytic. Unfortunately, you won’t have established what you think you have.

²⁹ This idea does not imply that the bottle’s breaking is wildly causally overdetermined, since these descriptions of the cause are just different ways of describing the same thing. See

says that the explanans – here, the rock – must be objective in order to explain, it does not mean that rocks as such (rather than simples arranged rock-wise) must figure in the one true ontology, nor that the explanans must be described in a certain language. It just means that the rock must be mind-independent; and whether it is, is in part an *empirical* matter – I take it that, for example, whether consciousness collapses the wave function has some bearing on it.

The generalized ontic conception requires what we might call “empirical objectivity” or “empirical realism” as opposed “ontological objectivity” or “ontological realism”. There are many ontologically different but empirically equivalent ways of describing the real, mind-independent explanandum, explanans, and explanatory relation. In fact, ontologists often *insist* that different ontological theories are empirically indistinguishable (e.g., Merricks 2011, van Inwagen 1995). I take this to be obvious – e.g., no possible experience could distinguish between the truth of the claim that there are substantial rocks and the truth of the claim that there are only particles arranged rock-wise. If they were empirically distinguishable, ontologists would be doing empirical investigation.

Hofweber (2016) agrees that different ontological hypotheses are *phenomenologically* indistinguishable, but he thinks they are still empirically distinguishable. His argument is that our perceptual beliefs are about objects, not simples arranged object-wise (for example), and these beliefs are defeasibly justified. We may have justified beliefs about simples arranged object-wise, but these are not *perceptual* beliefs; these are beliefs downstream from our justified perceptual beliefs about objects. He writes that “The belief that there are simples arranged chair-wise is not

Thomasson (2007a) on the confusion of overdetermination and causal exclusion arguments against ordinary objects.

a perceptual belief at all, and it can't be in our perceptual system" (192). I'm not sure what he means by "it can't be in our perceptual system". He can't mean that we can't have perceptual beliefs with that content because we can't perceive individual simples, for perceiving simples arranged chair-wise needn't require that ability. Maybe he just means we can't have perceptual beliefs with that content because beliefs with that content are always downstream of perceptual beliefs about objects. If that's just a claim about us, as we actually are, then it's plausible.³⁰

However, I see nothing incoherent in the idea of a linguistic community that learns the language of simples arranged object-wise first, and only later comes to talk about objects. It seems plausible that the members of such a community would form perceptual beliefs about simples arranged object-wise and that their beliefs about objects would be downstream. That our own conceptual development didn't happen this way and that, perhaps for contingent social and neurological reasons no community would conceptually develop this way, doesn't undercut the point. (See Thomasson 2019b on the development of language for ordinary objects.) I want to emphasize that I am arguing against the idea that ontological claims are empirically distinguishable; I am not arguing against the justification of our perceptual beliefs in ordinary objects.³¹

Now on to the book's central foil: the enhanced indispensability argument for platonism.

1.3. The Enhanced Indispensability Argument

³⁰ Though not unassailable. Brandom (2015, Chapter 2) discusses a Sellarsian account of perception that would allow one to perceive simples arranged object-wise.

³¹ Hofweber (2016) has a second argument for empirical distinguishability that appeals to scientific confirmation, but, as he acknowledges, this argument relies on the first.

Some of the most influential arguments for platonism have been and continue to be indispensability arguments. The thought is that we ought to be platonists because mathematics is indispensable to us, in some way that needs to be cashed out. According to the Quine-Putnam version of this argument, we ought to be platonists because our best scientific theories indispensably quantify over mathematical objects, where this means that every theory that doesn't quantify over mathematical objects is worse, by some standard (e.g., simplicity, fruitfulness, predictive power, etc.) (see, e.g., Quine 1976, Putnam 1979). Baker (2009, 613) christened the following version of this argument the “enhanced indispensability argument” (EIA), which focuses on *explanatory power*, i.e., DMEs:

- (1) We ought rationally to believe in the existence of any entity that plays an indispensable explanatory role in our best scientific theories.
- (2) Mathematical objects play an indispensable explanatory role in science [i.e., there are DMEs].
- (3) Hence, we ought rationally to believe in the existence of mathematical objects.

Many critics of the EIA have denied the second premise, the existence of DMEs (e.g., Melia 2000, Daly and Langford 2009, Saatsi 2011). They insist that in all putative DMEs, the mathematics is playing a merely representational role. As far as I know, no one has denied the first premise. It expresses a widespread scientific realist attitude in contemporary philosophy of science. And no one, as far as I know, has argued that the EIA is invalid. But that's what I think, and that's what I will argue.

At least, I think it's invalid when properly formulated. For, the premises appear to be category mistakes. How could an *entity* play a role in a *theory*? Entities play roles – e.g., causal or functional roles – in the world, but not in theories. Instead, I think the argument is better

rendered as something like (EIA'):

(1') We ought rationally to believe in the existence of any entity referred to by a concept that plays an indispensable explanatory role in our best scientific theories.

(2') Mathematical concepts play an indispensable explanatory role in science [i.e., there are DMEs].

(3') Hence, we ought rationally to believe in the existence of mathematical objects.

But this isn't valid. For, we need:

(2.5') Mathematical concepts refer to mathematical objects.

And here's why I think the EIA' is invalid: I argue that (2.5') is false, at least if reference is here understood as a substantial relation, as surely it must be for any proponent of the EIA'. If the reference of mathematical concepts can be got for cheap, then the existence of mathematical objects can be got for cheap, and there's no point in using the EIA' to secure their existence. The proponent of the EIA is after something more. After all, no proponent of the EIA' would be satisfied with the merely *analytic* truth of "mathematical concepts (successfully) refer to mathematical objects" or "there are mathematical objects," which normativists and conventionalists accept. For the EIA' proponent, reference is not cheap – it is by playing an indispensable explanatory role in our best scientific theories that we are entitled to believe that a concept succeeds in referring. Let us say that *Xs* exist analytically (synthetically) when "*Xs* exist" or "there are *Xs*" is an analytic (synthetic) truth. With the analyticity of existence comes the analyticity of successful reference and vice versa: "*Xs* exist" is an analytic (synthetic) truth if and only if "*X* refers successfully" is an analytic (synthetic) truth (holding fixed the actual meaning of '*X*' – it is given the actual meaning of '*5*' that "'*5*' refers successfully" is analytic). The proponent of the EIA is after the *synthetic* existence of mathematical objects and *synthetic*

successful reference of mathematical concepts. A central aim of this book is to show how normativism can deflate even ontic accounts of DME, rendering the EIA' invalid when understood platonistically, i.e., when reference and existence are understood synthetically.

Note that (2.5') is not meant to imply *successful* reference. If it did, (2.5') alone would take us to platonism. The distinction between reference and successful reference is common. Obviously, we could do away with the distinction, treating reference as essentially successful, and restate the argument with (2.5') as "Mathematical concepts refer to mathematical objects, if they refer," or "Mathematical concepts purport to refer to mathematical objects," mutatis mutandis. I will stick with the distinction between reference and successful reference.

Given that the proponent of the EIA is after synthetic reference and synthetic existence, an even more explicit formulation is as follows (EIA*):

(1*) We ought rationally to believe in the synthetic existence of any entity synthetically referred to by a concept that plays an indispensable explanatory role in our best scientific theories.

(2*) Mathematical concepts play an indispensable explanatory role in science [i.e., there are DMEs].

(3*) Mathematical concepts synthetically refer to mathematical objects.

(4*) Hence, we ought rationally to believe in the synthetic existence of mathematical objects.

To believe in the synthetic existence of Xs , I don't think it's necessary to believe that Xs exist *and* to believe that " Xs exist" is synthetic. You don't need the concept of the synthetic to believe in the synthetic existence of Xs . To believe in the synthetic existence of Xs is just to believe that Xs exist, where the proposition that Xs exist is a synthetic proposition. This doesn't require

possession of the concept of the synthetic. The synthetic proposition that *Xs* exist is different from the analytic proposition that *Xs* exist because “*Xs* exist” means different things depending on whether it is analytic or synthetic. In Chapter 6, I defend an inferentialist account of meaning according to which meaning is determined by inferential rules. Since “*Xs* exist” has different inferential rules governing it depending on whether it is analytic or synthetic – e.g., if it’s analytic, but not if it’s synthetic, you are allowed to infer it anywhere in a proof – it means different things depending on whether it is analytic or synthetic.

Premise (3*) is simply the denial of normativism. To (purport to) refer synthetically is to refer successfully synthetically, if reference is successful at all. So, if mathematical concepts refer synthetically to mathematical objects, that implies that if they succeed, their successful reference is synthetic. In other words, according to (3*), if “‘5’ refers successfully to 5” is true, it is synthetically true. So, (3*) implies that sentences like “‘5’ refers successfully to 5” (and, so, “5 exists”) are synthetic; but these are analytic according to the normativist. (3*) says that mathematics describes in the substantive sense denied by normativism. Thus, the EIA* is invalid without begging the question against the normativist. She can accept the existence of DMEs while denying platonism, because she denies (3*).

She could also deny (1*), of course, but she needn’t. I am taking (1*) to be equivalent to “If a concept plays an indispensable explanatory role in our best scientific theories, we ought to believe in the synthetic existence of any entity it synthetically refers to”.³² Call this (1a*). The

³² And I take (1a*) to be equivalent to “If a concept plays an indispensable explanatory role in our best scientific theories, then, if it synthetically refers to an entity, we ought to believe in the synthetic existence of that entity (i.e., we ought to believe that the concept’s synthetic reference

normativist can accept (1a*). Call a concept that plays an indispensable explanatory role in our best scientific theories an “i-concept”. The normativist can agree with (1a*) that we ought to believe in the synthetic existence of any entity an i-concept synthetically refers to, because she thinks mathematical i-concepts don’t synthetically refer to anything, so there’s nothing to believe synthetically exists. Thus, the normativist can accept the scientific realist sentiment of (1) by accepting (1a*). One could instead take (1’) to mean “If a concept plays an indispensable explanatory role in our best scientific theories, we ought to believe it synthetically refers successfully”. Call this (1b*). The normativist would deny (1b*). She thinks mathematical concepts are i-concepts, but that they don’t synthetically refer successfully. If (1b*) were used as the first premise, then the EIA* would seem to me valid without premise (3*), but still not valid without an anti-normativist premise, this time premise (1b*).

Let me stress that I think that many mathematical concepts are descriptive and in fact successfully describe, but only in applied contexts. I will give an account of their descriptive content in Chapter 6. But the applied uses of mathematical concepts are not the *distinctive* uses that figure in DMEs – the applied uses are merely representational uses. In DMEs, mathematical concepts appear in truths both of pure and applied mathematics, but it is the appearance of truths of pure mathematics that supposedly gives DMEs their ability to support platonism in the EIA.³³ In other words, the indispensable explanatory role appealed to in the EIA is not the representational role. Recall that those who deny the existence of DMEs do so by claiming that

is successful)”. The normativist can accept this because she thinks mathematical concepts don’t meet the second, embedded antecedent.

³³ I will leave the asterisk off when it doesn’t matter which version of the EIA I’m referring to.

all uses of mathematics within them are representational. So, premise (3*) doesn't mean that mathematical concepts can be empirically applied, something no normativist need deny; it means that *pure* mathematics describes mathematical objects.

1.4. Conclusion

The idea that pure mathematics is not descriptive in any substantive sense is not new. As I mentioned, many of the positivists, especially Wittgenstein in different ways in different periods, held something like it. Their views have come under heavy fire over the decades though, and I believe that normativism provides the most plausible way of resurrecting their view from the ashes. I will discuss normativism in detail in Chapter 5. First, I must elaborate on our central topic: distinctively mathematical explanation (DME).