

INTELLIGENCE



from Natural Origins to Artificial Frontiers

HUMAN INTELLIGENCE vs. ARTIFICIAL INTELLIGENCE

Nicolae Sfetcu

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Intelligence, from Natural Origins to Artificial Frontiers

Human Intelligence vs. Artificial Intelligence

BOOK CHAPTER: THE ETHICS OF ARTIFICIAL INTELLIGENCE

Nicolae Sfetcu

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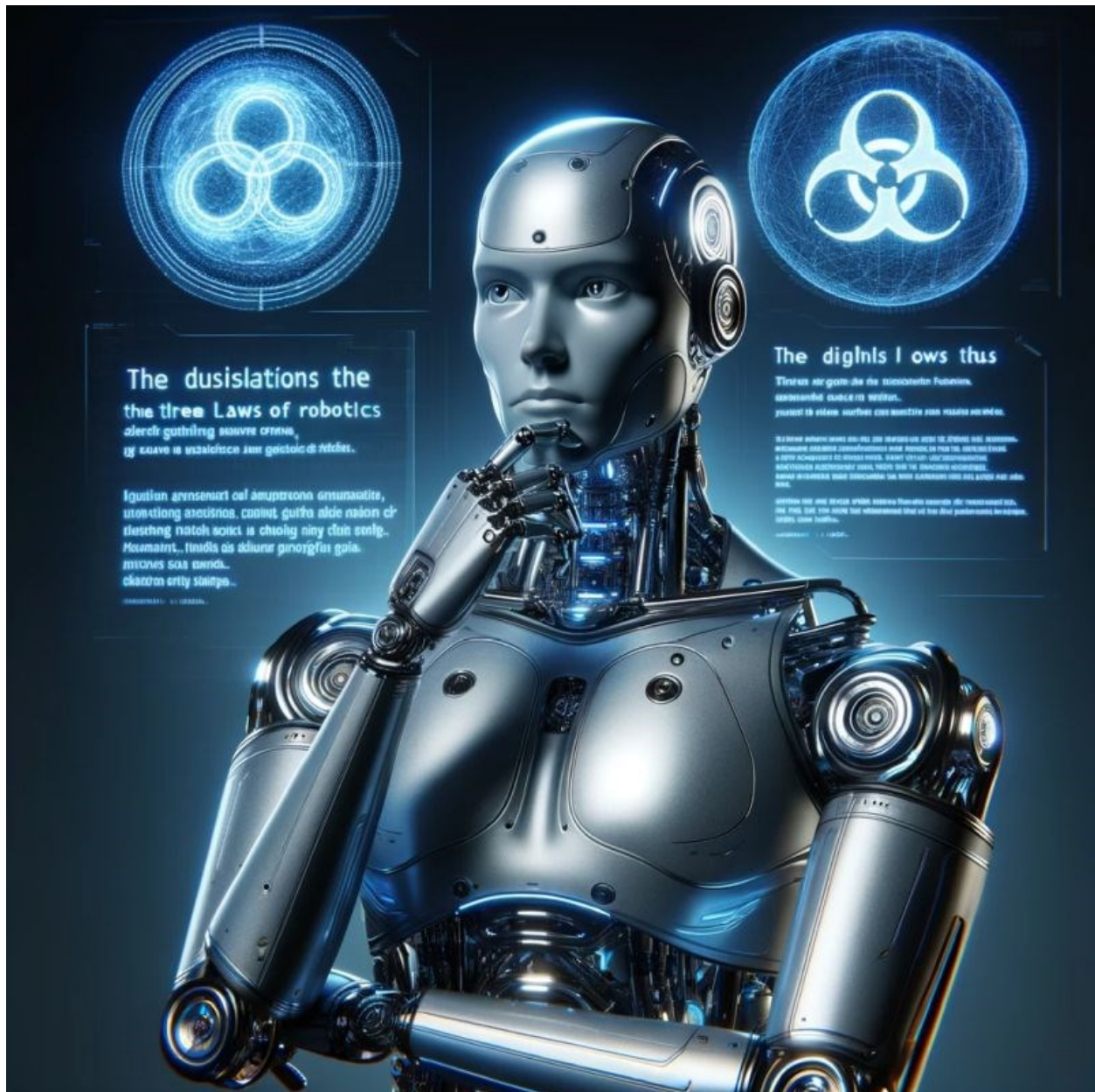
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The ethics of artificial intelligence



The ethics of artificial intelligence. Image generated by ChatGPT 4

Both human and artificial intelligence have made remarkable progress in recent decades. Human intelligence has led to innovations in science, technology, art, and government, shaping the world in profound ways. Meanwhile, AI has revolutionized industries such as healthcare, finance, transportation and entertainment, providing unprecedented capabilities in data analysis, automation and decision-making.

However, these advances also raise ethical considerations and societal implications. Ethical dilemmas regarding AI governance, mitigating bias, and preserving privacy require urgent attention. As AI systems become increasingly autonomous, ensuring transparency, accountability and fairness is critical. Additionally, the socioeconomic ramifications of widespread AI adoption deserve careful deliberation. While AI has the potential to enhance human capabilities and alleviate societal challenges, it also poses risks, such as replacing jobs and exacerbating inequality. Navigating these complex ethical and societal issues will require collaborative efforts by policymakers, technologists, and stakeholders from different fields.

The ethics of artificial intelligence involves two aspects: the moral behavior of humans in the design, manufacture, use and treatment of artificially intelligent systems, and the behavior (ethics) of machines, including the case of a possible singularity due to superintelligent AI. (Müller 2023)

Robot ethics ("roboetics") deals with the design, construction, use, and treatment of robots as physical machines. Not all robots use AI systems and not all AI systems are robots. (Müller 2023)

Machine ethics (or machine morality) deals with the design of artificial moral agents (AMAs), robots or artificially intelligent computers that behave morally or as if they were moral (Anderson and Anderson 2011). Common characteristics of the agent in philosophy, such as the rational agent, the moral agent, and the artificial agent, are related to the concept of AMA (Boyles 2017).

Machine ethics deals with adding or ensuring moral behaviors to machines using artificial intelligence (artificially intelligent agents) (J.H. Moor 2006).

Isaac Asimov in 1950 in *I, Robot* proposed the three laws of robotics, then tested the limits of these laws (Asimov 2004).

James H. Moor defines four types of ethical robots: ethical impact agent, implicit ethical agent (to avoid unethical outcomes), explicit ethical agent (process scenarios and act on ethical decisions), and fully ethical agent (able to makes ethical decisions, in addition to human metaphysical traits). A machine can include several such types (James H. Moor 2009).

The term "machine ethics" was coined by Mitchell Waldrop in the 1987 IA journal article "A Question of Responsibility":

"Intelligent machines will embody values, assumptions, and purposes, whether their programmers consciously intend them to or not. Thus, as computers and robots become more and more intelligent, it becomes imperative that we think carefully and explicitly about what those built-in values are. Perhaps what we need is, in fact, a theory and practice of machine ethics, in the spirit of Asimov's three laws of robotics" (Waldrop 1987)

To increase efficiency and avoid bias, Nick Bostrom and Eliezer Yudkowsky argued for decision trees over neural networks and genetic algorithms, as decision trees conform to modern social norms of transparency and predictability (Bostrom and Yudkowsky 2018). Chris Santos-Lang championed neural networks and genetic algorithms (Santos-Lang 2015). In 2009, in an

experiment, AI robots were programmed to cooperate with each other using a genetic algorithm. The robots then learned to lie to each other in an attempt to hoard resources from other robots (S. Fox 2009), but they also engaged in altruistic behavior by signaling danger to each other and even giving their lives to save other robots. The ethical implications of this experiment have been contested by machine ethicists.

In 2009, at a conference, it was discussed that some machines have acquired various forms of semi-autonomy; also, some computer viruses can avoid their removal and have acquired "bug intelligence" (S. Fox 2009).

There is currently a heated debate about the use of autonomous robots in military combat (Palmer 2009), and the integration of general artificial intelligence into existing legal and social frameworks (Sotala and Yampolskiy 2014).

Nayef Al-Rodhan mentions the case of neuromorphic chips, a technology that could support the moral competence of robots (Al-Rodhan 2015).

Ethical principles of AI

AI decision-making raises questions of legal responsibility and copyright status of created works (Guadamuz 2017). Friendly AI involves machines designed to minimize risks and make choices that benefit humans (Yukdowsky 2008). The field of machine ethics provides principles and procedures for solving ethical dilemmas, founded at an AAI symposium in 2005 (AAAI 2014).

The regulation of artificial intelligence is the development of public sector policies and laws to promote and regulate artificial intelligence and by implication algorithms, an emerging issue in jurisdictions globally (Law Library of Congress (U.S.) 2019). Between 2016 and 2020, more than 30 countries adopted dedicated AI strategies. Most EU member states have launched national AI strategies, as have Canada, China, India, Japan, Mauritius, the Russian Federation, Saudi Arabia, the United Arab Emirates, the US and Vietnam. Others are in the process of developing their own AI strategy, including Bangladesh, Malaysia and Tunisia. The Global Partnership on Artificial Intelligence was launched in June 2020, stating the need for AI to be developed in line with human rights and democratic values (UNESCO 2021), to ensure public trust in the technology. In the US, Henry Kissinger, Eric Schmidt and Daniel Huttenlocher published a joint statement in November 2021 calling for a government commission to regulate AI (Sfetcu 2021).

In the review of 84 ethics guidelines for AI, 11 groups of principles were found: transparency, justice and fairness, non-maleficence, responsibility, confidentiality, beneficence, freedom and autonomy, trust, sustainability, dignity, solidarity (Jobin, Ienca, and Vayena 2019).

Luciano Floridi and Josh Cowls created an ethical framework of AI principles based on four principles of bioethics (beneficence, non-maleficence, autonomy and justice) and an additional AI-enabling principle – explainability (Floridi and Cowls 2019).

Bill Hibbard argues that AI developers have an ethical obligation to be transparent in their work (Hibbard 2016). Ben Goertzel and David Hart created OpenCog as an open-source framework for

AI development (Hart and Goertzel 2016). OpenIA is a non-profit AI research company created by Elon Musk, Sam Altman and others to develop open source AI beneficial to humanity (Metz 2016).

Many researchers recommend government regulation as a means of ensuring transparency, although critics worry that it will slow the rate of innovation (UN 2017).

There is a huge volume of proposed ethical principles for AI—already more than 160 in 2020, according to Algorithm Watch's global inventory of *AI Ethics Guidelines* (AlgorithmWatch 2024), which threatens to overwhelm and confuse.

On June 26, 2019, the European Commission's High Level Expert Group on Artificial Intelligence (IA HLEG) published "Policy and Investment Recommendations for Trustworthy AI", covering four main topics: people and society at large, research and academia, the private sector and the public sector. The European Commission claims that "the HLEG recommendations reflect both the opportunities that AI technologies can drive economic growth, prosperity and innovation, as well as the potential associated risks", and states that the EU aims to lead the development of policies governing AI internationally. On April 21, 2021, the European Commission proposed the Artificial Intelligence Law (EU 2024).

According to Mihalis Kritikos (Kritikos 2019), the development of AI in a regulatory and ethical vacuum has sparked a series of debates about the need for its legal control and ethical oversight. AI-based algorithms that perform automated reasoning tasks appear to control increasing aspects of our lives by implementing institutional decision-making based on big data analysis and have, in fact, made this technology an influential standard-setter.

The impact of existing AI technologies on the exercise of human rights, from freedom of expression, freedom of assembly and association, the right to privacy, the right to work and the right to non-discrimination to equal protection of the law, must be carefully examined and qualified together with the potential of AI to exacerbate inequalities and widen the digital divide. Given AI's potential to act in an autonomous manner, its sheer complexity and opacity, and the uncertainty surrounding its operation, make a comprehensive regulatory response essential to prevent ever-expanding applications from causing social harm in a very heterogeneous range of individuals and social groups.

Such a response should include obligating AI algorithm developers to fully respect the human rights and civil liberties of all users, maintaining uninterrupted human control over AI systems, addressing the effects of emotional connection and attachment between humans and robots and develop common standards against which a judicial authority using AI will be evaluated. It should also focus on the allocation of responsibilities, rights and duties and prevent the reduction of the legal governance process to a mere technical optimization of machine learning and algorithmic decision-making procedures. In this framework, new collective data rights must be introduced, which will protect the ability to refuse to be profiled, the right to appeal and the right to explanation in decision-making frameworks based on artificial intelligence.

In addition, legislators must ensure that organizations implementing and using these systems remain legally responsible for any caused harm and develop sustainable and proportionate informed consent protocols (Kritikos 2019).

2017 European Parliament Resolution on civil law rules in robotics - comprising a 'code of ethical conduct for robotics engineers', a 'code for research ethics committees', a 'designers' license' and a 'users' license ” can serve as a governance model for a detailed process-based architecture of AI technology ethics (Kritikos 2019).

The European Commission appointed the High Level Expert Group (HLEG) on AI in 2018, one of their tasks is to define ethical guidelines for trustworthy AI. For an AI system to be reliable, it should ensure the following three components throughout the entire life cycle of the system (Joint Research Centre (European Commission), Samoili, et al. 2020):

1. *Lawfully*, in compliance with all applicable laws and regulations,
2. *Ethical*, ensuring adherence to ethical principles and values,
3. *Robust*, technically and socially.

The four ethical principles that are rooted in fundamental rights that must be respected to ensure that AI systems are developed, implemented and used in a trustworthy way are (Joint Research Centre (European Commission), Samoili, et al. 2020):

- Respect for human autonomy,
- Preventing harm,
- Fairness,
- Explainability.

These are reflected in legal requirements (the scope of legal AI, which is the first component of trusted AI).

Stakeholder responsibilities (Joint Research Centre (European Commission), Samoili, et al. 2020):

- a. *Developers*: must implement and apply the requirements of the design and development processes.
- b. *Implementers*: must ensure that the systems they use and the products and services they offer meet the requirements.
- c. *End users and society in general*: must be informed of these requirements and be able to demand that they be respected.

Requirements that include systemic, individual and societal aspects (Joint Research Centre (European Commission), Samoili, et al. 2020):

1. *Human agency and surveillance*, including fundamental rights and human surveillance.
2. *Technical robustness and security*, including security and resistance to attacks, fallback plan and overall security, accuracy, reliability and reproducibility.
3. *Data privacy and governance*, including respect for confidentiality, data quality and integrity, and access to data.
4. *Transparency*, including traceability, explainability and communication.

5. *Diversity, non-discrimination and fairness*, including avoidance of unfair bias, accessibility and universal design and stakeholder participation.
6. *Societal and environmental well-being*, including sustainability and environmental friendliness, social impact, society and democracy.

Implementation of these requirements should occur throughout the entire life cycle of an AI system and depends on the specific application.

In June 2016, Satya Nadella, CEO of Microsoft Corporation, in an interview with Slate magazine recommended the following principles and goals for artificial intelligence (Vincent 2016):

- "AI must be designed to assist humanity", i.e. human autonomy must be respected.
- "AI must be transparent," meaning that people should know and be able to understand how it works.
- "AI must maximize efficiency without destroying human dignity."
- "AI must be designed for intelligent privacy," meaning it earns trust by protecting their information.
- "AI must have algorithmic accountability" so humans can undo unintended harm.
- "AI must guard against bias" so that it does not discriminate against humans.

In 2017, the Asilomar AI principles (Asilomar 2017) were embraced by an impressive list of 1273 AI/robotics researchers and others (Joint Research Centre (European Commission), Samoili, et al. 2020):

- Provide a broad framework about research objectives, funding and policy linkage.
- Ethics and values consider safety, transparency, judicial transparency, accountability, human values, personal privacy, common benefits, human control, AI arms race, etc.
- Discuss the long-term issues and risk of possible superintelligence, mitigation of the threat posed by AI systems, etc.

The OECD Principles for AI (OECD 2024a) identify five complementary principles (Joint Research Centre (European Commission), Samoili, et al. 2020):

1. AI should benefit all people by fostering inclusive growth, sustainable development and well-being.
2. Artificial intelligence systems should be designed in a way that respects the rule of law, human rights, democratic values and diversity, and should include appropriate safeguards.
3. There should be transparency and responsible disclosure around AI systems to ensure that people understand AI-based results and can challenge them.
4. AI systems must operate in a robust and secure manner throughout their life cycle, and potential risks should be continuously assessed and managed.
5. Organizations and individuals developing, implementing or operating AI systems should be held accountable for their proper functioning in accordance with the above principles.

Other sets of principles adopted to date (Floridi 2023):

- The Montreal Declaration (Université de Montréal 2017) developed under the auspices of the University of Montreal following the Forum on the Socially Responsible Development of AI in November 2017;
- OECD developed Council recommendations on AI (OECD 2024b);
- The "five general principles for an AI code" from the report of the United Kingdom House of Lords Select Committee on Artificial Intelligence (House of Lords 2017, par. 417) published in April 2018;
- Partnership Principles on AI (Partnership on AI 2024) published in collaboration with academics, researchers, civil society organizations, companies building and using AI technology and other groups.
- China has released its own AI principles, called the Beijing AI Principles.
- The Google AI Principles (Google 2024) focus on building socially beneficial artificial intelligence.

Many AI ethics committees have been formed, including the Stanford Institute for Human-Centered AI (HAI), the Alan Turing Institute, the AI Partnership, IA Now, IEEE, and others. Research advances make it possible to develop evaluation frameworks for fairness, transparency and accountability (Joint Research Centre (European Commission), Samoili, et al. 2020).

Ethical challenges of AI

If a machine has a mind and subjective experience, then it may also have sentience (the ability to feel), and if so, then it might have certain rights (Russell and Norvig 2016, 964) on a common spectrum with animal rights and human (Henderson 2007).

Many academics and governments dispute the idea that AI can be held accountable per se (Bryson, Diamantis, and Grant 2017). Also, some experts and academics disagree with the use of robots in military combat, especially if they have autonomous functions (Palmer 2009).

Currently attempts are being made to create tests to see if an AI is capable of making ethical decisions. The Turing test is considered insufficient. A specific proposed test is the Ethical Turing Test, where several judges decide whether the AI's decision is ethical or unethical (A. F. Winfield et al. 2019).

Biases in AI systems: AI systems are vulnerable to biases and errors introduced by their human creators and the data used to train these systems (Gabriel 2018). One solution to addressing bias is to create documentation for the data used to train AI systems (Bender and Friedman 2018).

Robot rights: A concept that humans should have moral obligations to their machines, similar to human rights or animal rights (W. Evans 2015). Thus, in October 2017, the android Sophia was granted citizenship in Saudi Arabia (Hatmaker 2017). The philosophy of sentientism accords degrees of moral consideration to all sentient beings, including artificial intelligence if it is proven to be sentient.

Unlike humans, AGIs can be copied in any number of copies. Are the copied copies the same person or several different people? Do I get one vote or more? Is deleting one of the children a

crime? Would treating AGI like any other computer programs constitute brainwashing, slavery and tyranny? (Deutsch 2012)

Threat to human dignity: Joseph Weizenbaum argued in 1976 that AI technology should not be used to replace humans in positions that require respect and care (Weizenbaum 1976). John McCarthy contradicts him: "When moralizing is both vehement and vague, it invites authoritarian abuse" (McCarthy 2000).

Liability for self-driving cars: There is a debate about legal liability in the event of an accident. If a driverless car hit a pedestrian, who was to blame for the accident: the driver, the pedestrian, the builder, or the government? (Shinn 2021)

Weapons that include AI: Many experts and academics oppose the use of autonomous robots in military combat. There is a possibility that robots will develop the ability to make their own logical decisions in killing. This includes autonomous drones. Stephen Hawking and Max Tegmark signed a "Future of Life" petition (Asilomar 2017) to ban AI-equipped weapons (Musgrave and Roberts 2015).

Opaque algorithms: Machine learning with neural networks can lead to AI decisions that the humans who programmed them cannot explain. Explainable AI encompasses both explainability (summarizing neural network behavior and increasing user confidence) and interpretability (understanding what a model has done or could do) (Bunn 2020).

Regardless of whether we are talking about a weak AI or a strong AI (AGI), the imposition of norms, compliance with them can take three possible directions: a) strict compliance with these norms; b) own different interpretation of the imposed norms (with the possibility of deviating from the projected objectives); and c) (in the case of AGI only) developing very different norms and ethics of their own.

The laws of robots

The first ethical laws are considered to be the 10 commandments present three times in the Old Testament, being dictated according to the Bible by God to Moses (Coogan 2014, 27, 33), a set of biblical principles related to ethics and worship originating in the Jewish tradition which plays a fundamental role in Judaism and Christianity.

The best-known set of laws for robots are those written by Isaac Asimov in the 1940s, introduced in his 1942 short story "Runaround":

6. A robot cannot harm a human being or, through inaction, allow a human being to do harm.
7. A robot must obey orders given by human beings, unless such orders conflict with the First Law.
8. A robot must protect its own existence if such protection does not conflict with the First or Second Law (Asimov 2004).

In *The Evidable Conflict*, the First Law for Machines is generalized:

9. No machine can harm humanity; or, by inaction, cannot allow harm to be done to mankind.

In *Foundation and Earth*, a zero law was introduced, with the original three duly rewritten as subordinate to it:

1. A robot cannot harm humanity or, through inaction, allow humanity to be harmed.

In 2011, the UK's Engineering and Physical Sciences Research Council (EPSRC) and Arts and Humanities Research Council (AHRC) published a set of five "ethical principles for designers, builders and users of robots" in the real world, along with seven 'high-level messages' (A. Winfield 2011):

Ethical principles:

1. Robots should not be designed solely or primarily to kill or injure humans.
2. Humans, not robots, are responsible agents. Robots are tools designed to achieve human goals.
3. Robots should be designed in ways that ensure their safety and security.
4. Robots are artifacts; they should not be designed to exploit vulnerable users by evoking an emotional response or addiction. It should always be possible to distinguish between a robot and a human.
5. It should always be possible to find out who is legally responsible for a robot.

A terminology for the legal assessment of robot developments is being implemented in Asian countries (BBC 2007).

Mark W. Tilden proposed a series of principles/rules for robots (Dunn 2009):

1. A robot must protect its existence at all costs.
2. A robot must obtain and maintain access to its own power source.
3. A robot must continuously search for better energy sources.

Ethical machines

Friendly AI are machines that have been designed from the ground up to minimize risk and make choices that benefit humans. Eliezer Yudkowsky, who coined the term, argues that developing friendly AI should be a higher research priority: it may require a large investment and must be completed before AI becomes an existential risk.

Intelligent machines have the potential to use their intelligence to make ethical decisions. The field of machine ethics provides machines with ethical principles and procedures for solving ethical dilemmas. Machine ethics is also called machine morality, computational ethics, or computational morality, and was founded at an AAIA symposium in 2005.

Other approaches include Wendell Wallach's "artificial moral agents" and Stuart J. Russell's three principles for developing machines that prove beneficial (Sfetcu 2021).

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Book



The parallel history of the evolution of human intelligence and artificial intelligence is a fascinating journey, highlighting the distinct but interconnected paths of biological evolution and technological innovation. This history can be seen as a series of interconnected developments, each advance in human intelligence paving the way for the next leap in artificial intelligence.

Human intelligence and artificial intelligence have long been intertwined, evolving in parallel trajectories throughout history. As humans have sought to understand and reproduce intelligence, AI has emerged as a field dedicated to creating systems capable of tasks that traditionally require human intellect.

This book examines the evolutionary roots of intelligence, explores the emergence of artificial intelligence, examines the parallel history of human intelligence and artificial intelligence, tracing their development, interactions, and profound impact they have had on each other, and envisions future landscapes where intelligence converges human and artificial. Let's explore this history, comparing key milestones and developments in both realms.

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