

Nilpotent Symplectic Alternating Algebras

submitted by

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Layla Hamad Elnil Mugbil Sorkatti

.. To my dad & my mum, who surprised me by becoming an expert in using the communication technology to keep in touch with me ..

.. To Paul Vaderlind ..

.. To Mohsin Hashim ..

.. To my '2nd mum' Zalima Md. Drus ..

.. To anyone who reads it and/or uses it as a reference ..

* A special dedication to my nephew Ahmed Mohammed Omer *

SUMMARY

We develop a structure theory for nilpotent symplectic alternating algebras. We then give a classification of all nilpotent symplectic alternating algebras of dimension up to 10 over any field \mathbb{F} . The study reveals a new subclasses of powerful groups that we call *powerfully nilpotent groups*, *perfect nilpotent groups* and *powerfully soluble groups*.

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‘O mankind! We created you from a single (pair) of a male and a female, and made you into nations and tribes, that ye may know each other (not that ye may despise (each other). Verily the most honoured of you in the sight of Allah is (he who is) the most righteous of you. And Allah has full knowledge and is well acquainted (with all things)’. The Quran 49:13 (Surah Al-Hujurat)

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9.1 Contributions in Group Theory	119
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In this thesis we study certain algebraic structures called symplectic alternating algebras. Symplectic alternating algebras originate in a study of powerful 2-Engel groups [[13],[18]] although here we will study them purely as structures that are interesting in their own right with many beautiful properties. This thesis continues the development of the theory of symplectic alternating algebras that was started in [19]. Some general theory was also developed in [17]. The aim is to explore these algebraic structures and in particular to develop a theory for nilpotent symplectic alternating algebras.

Let \mathbb{F} be a field. A *Symplectic Alternating Algebra* over \mathbb{F} is a triple $(L, (\ , \), \cdot)$ where L is a symplectic vector space over \mathbb{F} with respect to a non-degenerate alternating form $(\ , \)$ and \cdot is a bilinear and alternating binary operation on L such that $(u \cdot v, w) = (v \cdot w, u)$ for all $u, v, w \in L$. We often denote Symplectic Alternating Algebra more shortly by SAA.

1.1 Connection with Engel groups and the Burnside Problem

As we said above SAA's originate in some work on powerful 2-Engel groups. We will not be exploring this connection in this thesis but will be primarily looking at SAA's as structures interesting in their own right. In this section we however briefly describe the origin as a background to our work. As a starting point we

first mention the famous Burnside problems from which Engel groups originate. These were posed in 1902 by William Burnside [4].

The General Burnside Problem Is a finitely generated periodic group necessarily finite?

The Burnside Problem If $B(r, n)$ is the largest r -generator group of exponent n . For what values of r and n is $B(r, n)$ finite?

The Restricted Burnside Problem For what values of r and n is there an upper bound on the orders of finite r -generator groups of exponent n ?

In 1964 Golod [6] answered the general Burnside problem by constructing a counter example that is a finitely generated infinite p -group. For the restricted Burnside problem the answer turns out to be that such an upper bound exists for all r and n . P. Hall and Higman [7] reduced this problem to the case when n is a prime power exponent. The solution was then completed in 1989 by Zel'manov [[22],[21]] .

We next turn to the Burnside problem, For $n = 2, 3, 4, 6$ it is known that $B(r, n)$ is finite. In general the answer is however negative and $B(r, n)$ is known to be infinite when $r \geq 2$ and n is large enough [[2], [9], [11]]. Surprisingly until now it is unknown whether $B(2, 5)$ or $B(2, 8)$ is finite or not.

The Burnside problems lead naturally to the Engel-identities. Engel groups have their origin in William Burnside's paper [4]. Recall that the commutator of two elements x and y in a group is defined as $[x, y] = x^{-1}y^{-1}xy$. We adopt the left normed convention for commutators of more than two elements. Thus $[x_1, x_2, \dots, x_n] = [\dots [[x_1, x_2], \dots], x_n]$. We also define $[y, _n x]$ recursively by $[y, _0 x] = y$ and $[y, _{n+1} x] = [[y, _n x], x]$.

Definition 1.1. Let G be a group. G is said to be an *Engel* group if for each pair $(x, y) \in G \times G$ there exists an integer $m = m(x, y)$ such that

$$[y, _m x] = 1.$$

A group is said to be an n -Engel group if $m(x, y) \leq n$ for all $x, y \in G$.

Remark 1.2. Obviously G is an 0-Engel group if and only if $G = \{e\}$ and 1-Engel groups are the abelian groups.

It is well known that groups of exponent 2 are abelian that implies that any r -generator group of exponent 2 is of order at most 2^r . Burnside showed in [4] that it is also true that groups of exponent 3 are locally finite. It was Burnside who observed that in groups of exponent 3, any two conjugates a, a^b commute but this property is equivalent to the 2-Engel identity $[[b, a], a] = 1$ and thus these groups are 2-Engel groups. It has been shown later in [5] by Burnside that the 2-Engel groups also satisfy the laws

$$\begin{aligned} [x, y, z] &= [y, z, x] \\ [x, y, z]^3 &= 1 \end{aligned}$$

and thus any 2-Engel group where there is no element of order 3 would be nilpotent of class at most 2. One can see furthermore that the following identity holds

$$[x, y, z, t] = 1$$

which apparently was noticed first by Hopkins [8]. The fact that these laws characterize 2-Engel groups is however usually attributed to Levi [10]. The last identity shows that 2-Engel groups are nilpotent of class at most 3. Further details can be found in [20]. That we have a complete understanding of 2-Engel groups is however no more true than saying that the law $xy = yx$ tells us all about abelian groups. There are still a number of open questions regarding 2-Engel groups. For example, the following well known problems were raised by Caranti [12].

Problem 1. (a) Let G be a group of which every element commutes with all its endomorphic images. Is G nilpotent of class at most 2?

(b) Does there exist a finite 2-Engel 3-group of class three such that $\text{Aut } G = \text{Aut}_c G \cdot \text{Inn } G$ where $\text{Aut}_c G$ is the group of central automorphisms of G ?

We have a positive answer for question (b). In 2010 Abdollahi, A., et al constructed such an automorphism group [1] using GAP and Magma computations.

As we mentioned before SAA's originate from a study of powerful 2-Engel groups.

Definition 1.3. A finite p -group, p odd, is said to be *powerful* if $[G, G] \leq G^p$. Or equivalently if G/G^p is abelian. If $p = 2$ then G is powerful if $[G, G] \leq G^4$.

Questions about p -groups can often be reduced to powerful p -groups. In 2008 some work was done by Moravec and Traustason in [13] on powerful 2-Engel groups. Now any powerful 3-group of exponent 3 is abelian and one might therefore expect that the class of powerful 2-Engel 3-groups would be smaller than 3.

In fact Moravec and Traustason showed that this is the case for 3-generator groups.

Proposition 1.4 ([13]). *Every 3-generator powerful 2-Engel group is nilpotent of class at most 2.*

We know that the class of 2-Engel groups is at most 3. Notice that as the class of powerful 2-Engel groups is not closed under taking subgroups, it does not follow from the last proposition that when the class of 2-Engel groups is furthermore powerful then the class will be reduced to 2.

In fact it turns out that there is a rich family of powerful 2-Engel groups of class 3.

Definition 1.5. A powerful 2-Engel 3-group of class 3 is *minimal* if all the proper powerful sections have class at most 2.

Moravec and Traustason classified powerful 2-Engel groups of class three that are minimal. The study reveals that there are infinitely many minimal groups of rank 5 and also of any even rank ≥ 4 .

Symplectic vector spaces play a role in the classification. One of the families considered has a richer structure which lead to related algebraic structures that we call "symplectic alternating algebras".

In fact the study in [18] reveals there is a one-to-one correspondence between symplectic alternating algebras over the field $\text{GF}(3)$ and a certain class of powerful 2-Engel 3-group of exponent 27. The groups form a class \mathcal{C} that consist of

powerful 2-Engel 3-groups G with the following extra properties :

- (a) $G = \langle x, H \rangle$ where $H = \{g \in G : g^9 = 1\}$ and $Z(G) = \langle x \rangle$ with $O(x) = 27$.
- (b) G is of rank $2r + 1$ and has order 3^{3+4r} .

The associated symplectic alternating algebra $L(G)$ is constructed as follows. First we consider $L(G) = H/G^3$ as a vector space over $\text{GF}(3)$. To this we associate a bilinear alternating form $(,)$ and a alternating binary multiplication as follows

$$[a, b]^3 = x^{9(\bar{a}, \bar{b})}$$

$$\bar{a} \cdot \bar{b} = \bar{c} \text{ where } [a, b]Z(G) = c^3Z(G) \text{ and } \bar{y} = yG^3.$$

One can show that these are well defined and turn $L(G)$ into a SAA. Suppose that $\bar{a} \cdot \bar{b} = \bar{d}$ and $\bar{b} \cdot \bar{c} = \bar{e}$. Then

$$\begin{aligned} x^{9(\bar{a} \cdot \bar{b}, \bar{c})} &= x^{9(\bar{d}, \bar{c})} = [d, c]^3 = [d^3, c] = [a, b, c] = [b, c, a] = [e^3, a] = [e, a]^3 = x^{9(\bar{e}, \bar{a})} \\ &= x^{9(\bar{b} \cdot \bar{c}, \bar{a})}. \end{aligned}$$

Notice that $L(G)$ is abelian if and only if G is nilpotent of class at most 2. Traustason showed that $L(G) \cong L(K)$ if and only if $G \cong K$.

Suppose $G = \langle x, h_1, \dots, h_{2r} \rangle$ and that $L(G) = \langle u_1, \dots, u_{2r} \rangle$ is the corresponding SAA where $u_i = h_iG^3$. Form the structure coefficients for $L(G)$

$$\begin{aligned} u_i \cdot u_j &= \alpha_{ij}(1)u_1 + \dots + \alpha_{ij}(2r)u_{2r} \\ (u_i, u_j) &= \beta_{ij} \end{aligned}$$

where $1 \leq i < j \leq 2r$. We get the following powerful commutator relations for G

$$[h_i, h_j] = h_1^{3\alpha_{ij}(1)} \dots h_{2r}^{3\alpha_{ij}(2r)} x^{3\beta_{ij}}.$$

Remark 1.6. Recall that a subgroup K of a 3-group G is said to be powerfully embedded if $[K, G] \leq K^3$. We then notice that powerful subgroups of G correspond to subalgebras and powerful embedded subgroups correspond to ideals.

Example. Consider the symplectic alternating algebra $L = \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}x_2 + \mathbb{F}y_2$ of dimension 4, over $\mathbb{F} = \text{GF}(3)$ where $(x_1, y_1) = (x_2, y_2) = 1$ and $(x_i, x_j) =$

$(y_i, y_j) = (x_i, y_j) = 0$ otherwise for $i, j \in \{1, 2\}$.

$$\begin{aligned}x_1x_2 &= 0 \\y_1y_2 &= -y_1 \\x_1y_1 &= x_2 \\x_1y_2 &= -x_1 \\x_2y_1 &= 0 \\x_2y_2 &= 0\end{aligned}$$

The corresponding group is $G(L) = \langle x_1, y_1, x_2, y_2, x \rangle$ with the relations

$$\begin{aligned}[x_1, x_2] &= 1 \\[y_1, y_2] &= y_1^{-3} \\[x_1, y_1] &= x_2^3x^3 \\[x_1, y_2] &= x_1^{-3} \\[x_2, y_1] &= 1 \\[x_2, y_2] &= x^3\end{aligned}$$

1.2 An overview of this thesis

We now give a detailed summary of the thesis. The thesis is divided into two parts. In the first part we develop a structure theory for nilpotent symplectic alternating algebras. We will first discuss some background material in Chapter 2, which we will need throughout the thesis. We then begin Chapter 3 by describing some results that in particular lead to specific type of presentations that we call nilpotent presentations. All algebras with a nilpotent presentation are nilpotent and conversely any nilpotent algebra will have a nilpotent presentation. In particular we will focus on the algebras that are of maximal class and we will see that their structure is very rigid. In Chapter 4 we also illustrate the theory by classifying all nilpotent SAA's of dimension up to 8 over an arbitrary field \mathbb{F} . The classification of the nilpotent symplectic alternating algebras of dimension up to 8 is implicit in [13] although this is not done explicitly and the context there is a more general setting. There are three algebras and one family of nilpotent Symplectic alternating algebras of dimension 8 over any field.

The second part of the thesis is to deal with the challenging classification of nilpotent symplectic alternating algebras of dimension 10 over any field. It turns out that the classification of algebras with a center that is not isotropic can be easily reduced to the classification of algebras of dimension 8. The main bulk of work is thus about algebras with isotropic center. The dimension of the center lies between 2 and 5 and we deal with these cases in turn. At some points there are interesting geometrical situations that arise, like when dealing with algebras that have an isotropic center of dimension 4. There are 22 such algebras when the field is algebraically closed. Over the field $\text{GF}(3)$, where there is a 1-1 correspondence with a class of powerful 2-Engel 3-groups, there are 25 algebras. In general the classifications depends strongly on the field.

1.3 Publication details

Part I of this thesis is joint work with Gunnar Traustason. This has been published in the Journal of Algebra, and forms reference [16].

Part II is is also joint work with Gunnar Traustason and the majority of this is currently being prepared for publication [14, 15].

Part I

General Theory

CHAPTER 2

BACKGROUND MATERIAL

2.1 Symplectic Alternating Algebras

Definition 2.1. Let \mathbb{F} be a field. A *Symplectic Alternating Algebra* over \mathbb{F} is a triple $(L, (\cdot, \cdot), \cdot)$ where L is a symplectic vector space over \mathbb{F} with respect to a non-degenerate alternating form (\cdot, \cdot) and \cdot is a bilinear and alternating binary operation on L such that

$$(u \cdot v, w) = (v \cdot w, u)$$

for all $u, v, w \in L$.

Notice that $(u \cdot x, v) = (x \cdot v, u) = -(v \cdot x, u) = (u, v \cdot x)$ and thus the multiplication from the right by x is self-adjoint with respect to the alternating form. As the alternating form is non-degenerate, L is of even dimension and we can pick a basis $x_1, y_1, \dots, x_n, y_n$ with the property that $(x_i, x_j) = (y_i, y_j) = 0$ and $(x_i, y_j) = \delta_{ij}$ for $1 \leq i \leq j \leq n$. We refer to a basis of this type as a *standard basis*.

Suppose we have any basis u_1, \dots, u_{2n} for L . The structure of L is then determined from

$$(u_i u_j, u_k) = \gamma_{ijk}, \quad 1 \leq i < j < k \leq 2n.$$

We refer to such data as a presentation for L . The convention is to only list those triple values that are non-zero. Alternatively we can describe L as follows, if we take the two isotropic subspaces $\mathbb{F}x_1 + \dots + \mathbb{F}x_n$ and $\mathbb{F}y_1 + \dots + \mathbb{F}y_n$ with respect to a given standard basis, then it suffices to write down only the products $x_i x_j, y_i y_j$, $1 \leq i < j \leq n$. The reason for this is that having determined these

products we have determined all the triples $(u_i u_j, u_k)$ where $1 \leq i < j < k \leq 2n$, since two of those are either some x_i, x_j or some y_i, y_j in which case the triple is determined from $x_i x_j$ or $y_i y_j$. Since $(x_i x_j, x_k) = (x_j x_k, x_i) = (x_k x_i, x_j)$ and $(y_i y_j, y_k) = (y_j y_k, y_i) = (y_k y_i, y_j)$, this put some more conditions on the products $x_i x_j$ and $y_i y_j$.

We adopt the left-normed convention for multiple products. Thus $x_1 x_2 \cdots x_n = (\cdots (x_1 x_2) \cdots) x_n$. Many of the terms that will be used are analogous to the corresponding terms for related structures. Thus a subspace I of a SAA L is an ideal if $IL \leq I$. Also $U \leq V$ stands for ‘ U is a subspace of V ’.

Now let L be a SAA of dimension $2n$. We next look at some general properties that hold for L .

Lemma 2.2 ([19]). *If I is an ideal of L then I^\perp is also an ideal. Furthermore any isotropic ideal I of a SAA L is abelian.*

Proof. As $(I^\perp \cdot L, I) = -(I \cdot L, I^\perp) = 0$, I^\perp is an ideal in L . For the latter suppose that I is an isotropic ideal of L . Thus $I \leq I^\perp$ and so $(I \cdot I, L) = (I \cdot L, I) = 0$ implies that I is abelian as required. \square

We define the lower central series in an analogous way to related structures like associative algebras and Lie algebras. Thus we define the lower central series recursively by

$$L^1 = L \text{ and } L^{n+1} = L^n L,$$

and the upper central series by

$$Z_0(L) = \{0\} \text{ and } Z_{n+1}(L) = \{x \in L : xL \in Z_n(L)\}.$$

It is readily seen that the terms of the lower and the upper central series are all ideals of L . The following beautiful property that shows relation between the upper and the lower central series will be used frequently.

Lemma 2.3 ([19]). $Z_n(L) = (L^{n+1})^\perp$.

Proof. We have $a \in Z_n(L) \Leftrightarrow a \underbrace{L \cdots L}_n = 0 \Leftrightarrow 0 = (a \underbrace{L \cdots L}_n, L) = (a, L^{n+1}) \Leftrightarrow a \in (L^{n+1})^\perp$. \square

Notice also that $\dim Z_n(L) + \dim L^{n+1} = \dim L$.

Lemma 2.4 ([19]). *Any one-dimensional ideal of L is contained in $Z(L)$ and any two-dimensional ideal is abelian and contains a non-trivial element from $Z(L)$.*

Proof. Let I be an ideal of dimension one. Then L/I^\perp is an alternating algebra of dimension one and hence abelian. It follows that $L^2 \leq I^\perp$ and hence $I \leq (L^2)^\perp = Z(L)$.

Now let I be an ideal of dimension two. Then L/I^\perp is an alternating algebra of dimension two. Thus $\dim (L/I^\perp)^2 \leq 1$ and so $(L/I^\perp)^2 < L/I^\perp$, that is $L > L^2 + I^\perp = ((L^2)^\perp \cap I)^\perp = (Z(L) \cap I)^\perp$. Hence $Z(L) \cap I > \{0\}$. \square

We define simplicity for SAA in the natural way. $L \neq \{0\}$ is simple if it has no proper nontrivial ideals.

Definition 2.5. Suppose that L is a SAA with ideals I_1, \dots, I_n which all are SAA's and where

$$L = I_1 \oplus I_2 \oplus \dots \oplus I_n.$$

We then say that L is the direct sum of the SAA's I_1, \dots, I_n .

Remark 2.6. *It follows that $I_i I_j \leq I_i \cap I_j = \{0\}$ when $i \neq j$.*

Definition 2.7. We say that a SAA is semi-simple if it is a direct sum of simple SAA's.

As we said before, some general theory was developed in [19] and [17]. In particular a well-known dichotomy property for Lie algebras also holds for SAA's. Thus a SAA is either semi-simple or has a non-trivial abelian ideal.

Theorem 2.8 ([19]). *Either L has a non-trivial abelian ideal or L is semi-simple. In the latter case the direct summands are uniquely determined as the minimal ideals of L .*

It is however still unknown whether there exist a non-trivial SAA L where $\text{Aut } L = \{\text{id}\}$. We are interested in the classification of SAA. Suppose that V is a symplectic vector space with a non-degenerate alternating form $(,)$. Let x_1, \dots, x_{2n} be a standard basis of V . Thus $(x_{2i}, x_{2i-1}) = 1$ but $(x_j, x_i) = 0$ otherwise for any $1 \leq i < j \leq 2n$. The next proposition show that in fact we can turn this into a SAA.

Proposition 2.9 ([19]). *Let $n \geq 2$ and for each (i, j, k) , $1 \leq i < j < k \leq 2n$, choose a number $\alpha(i, j, k)$ in the field \mathbb{F} . There is a unique SAA of dimension $2n$ over \mathbb{F} satisfying*

$$(x_i x_j, x_k) = \alpha(i, j, k)$$

for $1 \leq i < j < k \leq 2n$.

Proof. We only need to define a bilinear alternating product on V that interacts with the alternating form in such a way that $(uv, w) = (vw, u)$ for all $u, v, w \in V$. As the product and the alternating form are both bilinear, everything reduces to working with the basis vectors. Now extend the function α to all triples of pairwise disjoint numbers $1 \leq i, j, k \leq 2n$ such that $\alpha(i, j, k) = \alpha(j, k, i) = \alpha(k, i, j)$, $\alpha(j, i, k) = \alpha(k, j, i) = \alpha(i, k, j) = -\alpha(i, j, k)$ and we let every product $(x_i x_j, x_k) = 0$ if there is a repeated occurrence of a basis vector. A bilinear alternating product is determined completely from $x_j x_i$, $i < j$. Now let

$$x_j x_i = -\alpha(j, i, 2)x_1 + \alpha(j, i, 1)x_2 - \cdots - \alpha(j, i, 2n)x_{2n-1} + \alpha(j, i, 2n-1)x_{2n}$$

where $i < j$. We thus have that V is the unique SAA satisfying the stated conditions. \square

We next get from this some information about the growth of SAA's. The map $L^3 \rightarrow \mathbb{F}$, $(u, v, w) \mapsto (u \cdot v, w)$ is an alternating ternary form and each alternating ternary form on a given symplectic vector space, with a non-degenerate alternating form, defines a unique SAA. Classifying SAA's of dimension $2n$ over a field \mathbb{F} is then equivalent to finding all the $Sp(V)$ -orbits of $(\wedge^3 V)^*$ under the natural action, where V is a symplectic vector space of dimension $2n$ with a non-degenerate alternating form. Suppose that \mathbb{F} is a finite field and suppose that the disjoint $Sp(V)$ -orbits of $(\wedge^3 V)^*$ are $u_1^{Sp(V)}, \dots, u_m^{Sp(V)}$. Then

$$m \leq |\mathbb{F}|^{\binom{2n}{3}} = |(\wedge^3 V)^*| \leq m |Sp(V)| \leq m |\mathbb{F}|^{\binom{2n+1}{2}}.$$

It follows that $m = |\mathbb{F}|^{\frac{4n^3}{3} + O(n^2)}$. Because of the sheer growth, a general classification of SAA's seems impossible.

We end this section by looking at all the SAA's of dimension up to 4. It is clear that the only SAA of dimension 2 is the abelian one. Furthermore, it is easily seen that apart from the abelian one there is only one SAA of dimension

4 that can be described by the following multiplication table. (see [19]).

$$\begin{aligned}
 x_1x_2 &= 0 \\
 y_1y_2 &= -y_1 \\
 L : \quad x_1y_1 &= x_2 \\
 x_1y_2 &= -x_1 \\
 x_2y_1 &= 0 \\
 x_2y_2 &= 0
 \end{aligned}$$

The presentation is thus $(x_1y_1, y_2) = 1$. As we said before there is a close connection between SAA's over the field $\text{GF}(3)$ of three elements and a certain class of 2-Engel groups, and in [19] the SAA's over $\text{GF}(3)$ of dimension 6 were classified. There are 31 such algebras of dimension 6 of which 15 are simple. None of the 31 has a trivial automorphism group. We would like to mention here also the work of Atkinson [3] who in his thesis looked at alternating ternary forms over $\text{GF}(3)$ in order to study a certain class of groups of exponent 3.

2.2 Nilpotent Symplectic Alternating Algebras

Definition 2.10. A symplectic alternating algebra L is *nilpotent* if there exists an ascending chain of ideals I_0, \dots, I_n such that

$$\{0\} = I_0 \leq I_1 \leq \dots \leq I_n = L$$

and $I_s L \leq I_{s-1}$ for $s = 1, \dots, n$. The smallest possible n is then called the *nilpotence class* of L .

Definition 2.11. More generally, if $I_0 \leq I_1 \leq \dots \leq I_n$ is any chain of ideals of L then we say that this chain is central in L if $I_s L \leq I_{s-1}$ for $s = 1, \dots, n$.

Remark 2.12. *Equivalently we have that L is nilpotent of class $n \geq 0$ if n is the smallest non-negative integer such that $L^{n+1} = \{0\}$ or equivalently $Z_n(L) = L$.*

Another interesting property is that any SAA that is abelian-by-nilpotent must be nilpotent.

Proposition 2.13 ([17]). *Let L be a SAA. If L is abelian-by-(nilpotent of class $\leq n$) then it is nilpotent of class at most $2n + 1$.*

Proof. Let I be an abelian ideal of L such that L/I is nilpotent of class at most n . Then $L^{n+1} \leq I$. But this is equivalent to saying that $0 = (L^{n+1} \cdot L^{n+1}, L) = (L^{n+1}, L \cdot \underbrace{L \cdots L}_{n+1}) = (L, L^{2n+2})$ and L is nilpotent of class at most $2n + 1$. \square

Notice that this result is however not true if we assume that our algebra is nilpotent-by-abelian. The non-abelian SAA L of dimension 4 still provides a counter example

Example. [17] Consider

$$L : \begin{aligned} x_1x_2 &= 0 \\ y_1y_2 &= -y_1, \end{aligned}$$

the only nonabelian SAA of dimension 4 over a field \mathbb{F} . Notice that

$$Z(L) = \mathbb{F}x_2, \quad L^2 = Z(L)^\perp = \mathbb{F}x_1 + \mathbb{F}x_2 + \mathbb{F}y_1.$$

Notice that $(L^2)^3 = \{0\}$ and thus L is nilpotent-by-abelian. However L is not nilpotent as $y_1y_2^n = (-1)^ny_1$ for any integer $n \geq 1$.

3.1 Introduction

Here we develop a structure theory for nilpotent SAA's. As we said before some general theory was developed in [19] and [17]. We will describe some general results that in particular lead to specific type of presentations that we call later nilpotent presentations. All algebras with a nilpotent presentation are nilpotent and conversely any nilpotent algebra will have a nilpotent presentation. We will also focus on the algebras that are of maximal class and we will see that their structure is very rigid.

The lack of the Jacobi identity means that many properties that hold for Lie algebras do not hold for SAA's. As the following example shows, it is not true in general that the product of two ideals is an ideal. That example also shows that the formula $L^i L^j \leq L^{i+j}$ does not hold in general.

Example. Consider the 12-dimensional SAA which has a standard basis $x_1, y_1, x_2, y_2, x_3, y_3, x_4, y_4, x_5, y_5, x_6, y_6$ where

$$(x_3 y_5, y_6) = (x_2 y_4, y_6) = (x_1 y_4, y_5) = (y_1 y_2, y_3) = 1$$

and $(uv, w) = 0$ if u, v, w are basis elements where $\{u, v, w\} \notin \{\{x_3, y_5, y_6\}, \{x_2, y_4, y_6\}, \{x_1, y_4, y_5\}, \{y_1, y_2, y_3\}\}$. Notice that this implies that

$$\begin{aligned} x_3y_5 &= x_6, & x_1y_4 &= x_5, & y_2y_3 &= x_1, \\ x_3y_6 &= -x_5, & x_1y_5 &= -x_4, & y_4y_5 &= -y_1, \\ x_2y_4 &= x_6, & y_1y_2 &= x_3, & y_4y_6 &= -y_2, \\ x_2y_6 &= -x_4, & y_1y_3 &= -x_2, & y_5y_6 &= -y_3. \end{aligned}$$

From this one sees that

$$\begin{aligned} L^2 &= \mathbb{F}x_6 + \mathbb{F}x_5 + \cdots + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3, \\ L^3 &= \mathbb{F}x_6 + \mathbb{F}x_5 + \cdots + \mathbb{F}x_1, \\ L^4 &= \mathbb{F}x_6 + \mathbb{F}x_5 + \mathbb{F}x_4, \\ L^5 &= 0, \\ L^2L^2 &= \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1. \end{aligned}$$

In particular L is nilpotent of class 4, L^2L^2 is not an ideal and $L^2L^2 \not\subseteq L^4$.

This example indicates that SAA's do differ from Lie algebras. We are going to see in the following sections that there are some shared properties but the next lemma underlines the difference by showing that the two classes of algebras do not have many algebras in common when the characteristic is not 2. In fact only the SAA's that are obviously Lie algebras are there, namely those of class at most 2.

Lemma 3.1. *Let L be a SAA where $\text{char } L \neq 2$ and L is either associative or a Lie algebra. Then $L^3 = \{0\}$.*

Proof. Let us first assume that L is associative. We then have

$$0 = (xyz - x(yz), t) = (x, tzy - t(yz)) = (x, tzy - tyz)$$

for all $x, y, z, t \in L$. It follows that $tzy = tyz = -ytz$ for all $t, z, y \in L$. Using this last property repeatedly we get that

$$xyz = -zxy = yzx = -xyz$$

and thus $2xyz = 0$ for all $x, y, z \in L$. As $\text{char } L \neq 2$, it follows that $L^3 = 0$.

Now suppose L is a Lie algebra. We then have

$$0 = (xyz + yzx + zxy, t) = (x, tzy - t(yz) - tyz) = 2(x, tzy - tyz).$$

As $\text{char } L \neq 2$, it follows again that $tzy = tyz$ for all $t, z, y \in L$ and this implies again that $L^3 = \{0\}$. \square

One handicap that the SAA's have is that when I is an ideal then L/I is in general only an alternating algebra as there is no natural way of inducing an alternating form on this quotient. For example simply for the reason that the quotient can have odd dimension. There is however a weaker form of a quotient structure that we can associate to any ideal I of L that works. Thus for any ideal I we have that $(I^\perp + I)/I$ is a well defined SAA with the natural induced multiplication and where the induced alternating form is given by $(u + I, v + I) = (u, v)$ for $u, v \in I^\perp$. The reader can easily convince himself that this is well defined and that $((I^\perp + I)/I)^\perp = 0$. This algebra is also isomorphic to $I^\perp/(I \cap I^\perp)$ that has a similar naturally induced structure as a SAA.

Remark 3.2. *There are some familiar facts for Lie algebras that do not rely on the Jacobi identity and remain true for SAA's. Such properties are particularly useful as we can use them when dealing with quotients L/I where we only know that the resulting algebra is alternating. For example L^2 has co-dimension at least 2 in any nilpotent alternating algebra L of dimension greater than or equal to 2. From this and the duality given in Lemma 2.3, it follows immediately that the dimension of $Z(L)$ is at least 2 for any non-trivial nilpotent SAA which is something that we will also see later as a corollary of Lemma 3.13.*

3.2 Symplectic Alternating Algebras

Remark 3.3. *Let U, V be subspaces of L . Notice that*

$$UV = 0 \Leftrightarrow (UV, L) = 0 \Leftrightarrow (UL, V) = 0 \Leftrightarrow UL \leq V^\perp.$$

In other words we have that U annihilates V if and only if it annihilates L/V^\perp . This is useful property that we will be making use of later.

Lemma 3.4. *Let I and J be ideals in a SAA L . We have that $I \cdot L \leq J$ if and only if $I \cdot J^\perp = \{0\}$. In particular $L^m \cdot Z_m(L) = \{0\}$.*

Proof. From the property given in last remark, we know that I annihilates L/J if and only if I annihilates J^\perp . The second part follows this, the fact that L^m annihilates L/L^{m+1} , and the fact that $(L^{m+1})^\perp = Z_m(L)$. \square

Remark 3.5. *It follows in particular that $I \cdot I^\perp = \{0\}$ for any ideal I . In particular any isotropic ideal is abelian. Notice also that the property $L^m Z_m(L) = \{0\}$ is equivalent to the fact that $Z_m(L)$ annihilates $L/Z_{m-1}(L)$.*

Remark 3.6. *We have seen in the introduction that it is not true in general that $L^i L^j \leq L^{i+j}$. As $(L^m)^\perp = Z_{m-1}(L)$, we however have that*

$$\begin{aligned} L^i L^j \leq L^{i+j} &\Leftrightarrow (L^i L^j, Z_{i+j-1}(L)) = 0 \Leftrightarrow (L^i Z_{i+j-1}(L), L^j) = 0 \\ &\Leftrightarrow L^i Z_{i+j-1}(L) \leq Z_{j-1}(L). \end{aligned}$$

The obvious fact that $L^m L \leq L^{m+1}$ thus gives us the interesting fact from last lemma that $L^m Z_m(L) = \{0\}$.

Lemma 3.7. *Let I be an ideal of L . Then $IL \leq I^\perp$ if and only if I is abelian.*

Proof. We have that I annihilates I if and only if I annihilates L/I^\perp . \square

Remark 3.8. *As I is an ideal we have in fact that $IL \leq I^\perp$ if and only if $IL \leq I \cap I^\perp$. Here $I \cap I^\perp$ is the ‘isotropic part’ of I .*

Lemma 3.9. *Let I, J be ideals of a SAA L and let $x \in L$. We have $Jx \leq I$ if and only if $I^\perp x \leq J^\perp$.*

Proof. We have that $Jx \leq I$ is equivalent to $(ux, v) = 0$ for all $u \in J$ and $v \in I^\perp$. But this is equivalent to saying that $(vx, u) = 0$ for all $v \in I^\perp$ and $u \in J = (J^\perp)^\perp$ and this is the same as saying that $I^\perp x \leq J^\perp$. \square

In particular we have that

$$\{0\} = I_0 \leq I_1 \leq \dots \leq I_m = L$$

is an ascending central chain if and only if

$$L = I_0^\perp \geq I_1^\perp \geq \dots \geq I_m^\perp = \{0\}$$

is a descending central chain.

Proposition 3.10. *Let L be a SAA. No term of the upper central series has co-dimension 1. Equivalently, no term of the lower central series has dimension 1.*

Proof. The first fact is a well-known fact about alternating algebras and follows from the fact that if A is an alternating algebra then $A/Z(A)$ cannot be one-dimensional. Now the interesting second statement is a consequence of this and the duality $(L^r)^\perp = Z_{r-1}(L)$. \square

From the last proposition we know that no term of the lower central series of a SAA can be 1-dimensional. Next proposition shows that some of terms of the lower central series cannot be 2-dimensional.

Proposition 3.11. *Let L be a SAA we have that $\dim L^m \neq 2$ for $2 \leq m \leq 4$. Equivalently $Z_m(L)$ is not of co-dimension 2 if $1 \leq m \leq 3$.*

Proof. We first prove that $\dim L^2 \neq 2$. We argue by contradiction and suppose $\dim L^2 = 2$. Then

$$2 = \dim L^2 = \dim Z(L)^\perp = \dim L - \dim Z(L).$$

Suppose $L = Z(L) + \mathbb{F}u + \mathbb{F}v$. Then $L^2 = \mathbb{F}uv$, which contradicts $\dim L^2 = 2$.

Next we turn to showing that $\dim L^3 \neq 2$. We argue by contradiction and let L be a counter example of smallest dimension. We first notice that $Z(L)$ must be isotropic as otherwise $L = I \oplus I^\perp$ for some 2-dimensional ideal $I = \mathbb{F}u + \mathbb{F}v \leq Z(L)$ where $(u, v) = 1$. But then $M = I^\perp$ is a SAA of smaller dimension where $M^3 = L^3$ is of dimension 2. This however contradicts the minimality of L . We can thus assume that $Z(L)$ is isotropic. Notice that

$$2 = \dim L^3 = \dim Z_2(L)^\perp = \dim L - \dim Z_2(L).$$

Say, $L = Z_2(L) + \mathbb{F}x + \mathbb{F}y$. Then $L^2 = Z(L) + \mathbb{F}xy$ and, as $Z(L)$ is isotropic and $xy \in L^2 = Z(L)^\perp$, L^2 is isotropic. Thus $L^2 \leq (L^2)^\perp = Z(L)$ and we get the contradiction that $L^3 = \{0\}$.

It now only remains to deal with L^4 . For a contradiction, suppose that $\dim L^4 = 2$. Then

$$2 = \dim L^4 = \dim Z_3(L)^\perp = \dim L - \dim Z_3(L).$$

Say $L = Z_3(L) + \mathbb{F}u + \mathbb{F}v$. Then $L^2 \leq Z_2(L) + \mathbb{F}uv$ and using the fact that $Z_2(L) \cdot L^2 = \{0\}$ we get

$$L^2 \cdot L^2 \leq (Z_2(L) + \mathbb{F}uv) \cdot L^2 = \mathbb{F}uv \cdot L^2 \leq \mathbb{F}uv \cdot (Z_2(L) + \mathbb{F}uv) = \mathbb{F}(uv)(uv) = 0.$$

Thus $0 = (L, L^2 \cdot L^2) \Rightarrow (L^3, L^2) = 0 \Rightarrow (L^4, L) = 0$, that gives us the contradiction that $L^4 = \{0\}$. \square

Example. Let L be the nilpotent alternating algebra with presentation (We only list the triples that have non-zero value)

$$\mathcal{P} : \quad (x_2y_3, y_4) = r, \quad (x_1y_2, y_3) = 1, \quad (y_1y_2, y_4) = 1.$$

Then inspection shows that $\dim L^5 = 2$. The bound 4 in the last proposition is therefore the best one.

3.3 Nilpotent Symplectic Alternating Algebras

We next see that, like for Lie algebras, all minimal sets of generators have the same number of elements and we can thus introduce the notion of a rank.

Definition 3.12. Let L be a nilpotent SAA. We say that $\{x_1, \dots, x_r\}$ is a *minimal set of generators* if these generate L (as an algebra) and no proper subset generates L .

Lemma 3.13. *Let L be a nilpotent SAA. Any minimal set of generators has the same size which is $\dim L - \dim L^2$.*

Proof. Let $x_1, \dots, x_r \in L$ and let M be the subalgebra of L generated by these elements. It suffices to show that $L = M$ if and only if $x_1 + L^2, \dots, x_r + L^2$ generate L/L^2 as a vector space. Suppose first that $L = M$. Notice that $M = \mathbb{F}x_1 + \dots + \mathbb{F}x_r + M \cap L^2$ and thus it is clear that L/L^2 is generated by $x_1 + L^2, \dots, x_r + L^2$ as a vector space. Conversely suppose now that the images of x_1, \dots, x_r in L/L^2 generate L/L^2 as a vector space. An easy induction shows that

$$L = M + L^{s+1}, \quad L^s = M^s + L^{s+1}$$

for all integers $s \geq 1$. If the class of L is n , we get in particular that $L = M + L^{n+1} = M$. \square

Definition 3.14. Let L be a nilpotent SAA. The unique smallest number of generators for L , as an algebra, is called the *rank* of L and is denoted $r(L)$.

By last lemma we know that $r(L) = \dim L - \dim L^2$. This has the following curious consequence.

Corollary 3.15. *Let L be a nilpotent SAA. We have $r(L) = \dim Z(L)$. In particular if $L \neq \{0\}$ then $\dim Z(L) \geq 2$.*

Proof. From Lemma 2.3 we know that $Z(L) = (L^2)^\perp$. Therefore

$$r(L) = \dim L - \dim L^2 = \dim (L^2)^\perp = \dim Z(L).$$

Finally, we cannot have $r(L) = 1$ as then we would have that L is one-dimensional. Hence $\dim Z(L) \geq 2$. □

Lemma 3.16. *Let I, J be ideals of a nilpotent SAA where $I \leq J$. If $\dim J = \dim I + 1$ then $I \leq J$ is central. If I is an ideal such that $\dim I < 2n = \dim L$ then there exists an ideal J such that $\dim J = \dim I + 1$. If furthermore I is an isotropic ideal and $\dim I < n$ then J can be chosen to be isotropic.*

Proof. Suppose $J = I + \mathbb{F}x$ for some $x \in L$. Let $y \in L$. To show that $I \leq J$ is central, it suffices to show that $x \cdot y \in I$. Suppose that $xy = u_1 + ax$ for $u_1 \in I$ and $a \in \mathbb{F}$. As $I \trianglelefteq L$ it follows by induction that $xy^r = u_r + a^r x$ for some $u_r \in I$. If L is nilpotent of class at most m it follows that $0 = u_m + a^m x$ and hence $a = 0$.

For the latter part suppose first that I is any ideal such that $\dim I < 2n$. Let m be the largest positive integer such that $L^m \not\leq I$. Pick $u \in L^m \setminus I$. Then $J = I + \mathbb{F}u$ is the required ideal such that $I \leq J$ is central. Now suppose furthermore that I is isotropic and that $\dim I < n$. Then I^\perp is also an ideal and $I < I^\perp$. Let m be the largest non-negative integer such that $I^\perp \underbrace{L \cdots L}_m \not\leq I$. Let $u \in I^\perp \underbrace{L \cdots L}_m \setminus I$ and again the ideal $J = I + \mathbb{F}u$ is the one required. □

Lemma 3.17. *Let L be a nilpotent SAA with ideals I, J where $J = I + \mathbb{F}x + \mathbb{F}y$, $(x, y) = 1$ and $\mathbb{F}x + \mathbb{F}y \leq I^\perp$. Then $JL \leq I$. Furthermore if I is isotropic then J is abelian.*

Proof. As J is an ideal of L and as $(xt, x) = 0$ for all $t \in L$ we have that $I + \mathbb{F}x$ is an ideal of L . By Lemma 3.16 we have that $I \leq I + \mathbb{F}x$ is central. Similarly

$I \leq I + \mathbb{F}y$ is central and thus $JL \leq I$. For the second part notice that if I is isotropic then $I = J \cap J^\perp$ thus $JL \leq I = J \cap J^\perp$ and by Lemma 3.7 it follows that J is abelian. \square

Lemma 3.18. *Let L be a nilpotent SAA. Every ideal I of dimension 2 is contained in $Z(L)$. Equivalently, every ideal of codimension 2 must contain L^2 .*

Proof. The second statement is a trivial fact that holds in all nilpotent alternating algebras. The first statement is a consequence of this and that duality given by $I \leq Z(L) \Leftrightarrow L^2 = Z(L)^\perp \leq I^\perp$. \square

Remark 3.19. *Suppose that L is any nilpotent alternating algebra such that L/L^2 is 2-dimensional. Then it follows immediately that the dimension of L^2/L^3 is at most 1 and that the dimension of L^3/L^4 is at most 2. Using this general fact and Proposition 3.10 one can quickly show that all nilpotent SAA's of dimension up to 4 must be abelian. This is clear when the dimension is 2. Now suppose that L is a nilpotent SAA of dimension 4. We know that $\dim L/L^2 \geq 2$. If $\dim L^2 = 2$ then by the reasoning above, we would have that $\dim L^3 = 1$ that contradicts Proposition 3.10. By that proposition we neither can have that $\dim L^2 = 1$. Thus we must have $L^2 = 0$ and L is abelian.*

A Useful Inequality. Let L be a nilpotent SAA. Then

$$\dim Z_i(L) - \dim Z_{i-1}(L) \leq \frac{1}{2}(\dim Z_{i-1}(L) - \dim Z_{i-2}(L))(\dim Z_{i-1}(L) + \dim Z_{i-2}(L) - 1).$$

Proof. Let V_i be the complement of L^{i+1} in L^i . Then

$$\begin{aligned} L^i &= V_i \oplus L^{i+1} \\ L &= V_1 \oplus V_2 \oplus \cdots \oplus V_i \oplus L^{i+1} \\ U_i &= V_1 \oplus V_2 \oplus \cdots \oplus V_i. \end{aligned}$$

Thus it follows that we have

$$\begin{aligned} \dim V_i &= \dim Z_i(L) - \dim Z_{i-1}(L) \\ \dim U_i &= \dim (L^{i+1})^\perp = \dim Z_i(L). \end{aligned}$$

Now calculation gives that

$$\begin{aligned} V_i \oplus L^{i+1} = L^i = L^{i-1}L &= (V_{i-1} \oplus L^i)(U_{i-1} \oplus L^i) \\ &= V_{i-1}U_{i-1} + L^{i+1} \end{aligned}$$

and we see that we have

$$\begin{aligned} \dim V_i &\leq \dim V_{i-1}(U_{i-2} + V_{i-1}) \\ &\leq (\dim V_{i-1})(\dim U_{i-2}) + \binom{\dim V_{i-1}}{2}. \end{aligned}$$

It follows that

$$\begin{aligned} \dim Z_i(L) - \dim Z_{i-1}(L) &\leq \frac{1}{2}(\dim Z_{i-1}(L) - \dim Z_{i-2}(L))(\dim Z_{i-1}(L) + \\ &\dim Z_{i-2}(L) - 1). \end{aligned}$$

□

In particular as $\dim L^i - \dim L^{i+1} = \dim L^{i+1\perp} - \dim L^{i\perp} = \dim Z_i(L) - \dim Z_{i-1}(L)$, thus equivalently we have that

$$\dim L^{i+1} \geq \dim L^i - \frac{1}{2}(\dim Z_{i-1}(L) - \dim Z_{i-2}(L))(\dim Z_{i-1}(L) + \dim Z_{i-2}(L) - 1).$$

Theorem 3.20. *Let L be a nilpotent SAA of dimension $2n \geq 2$. There exists an ascending chain of isotropic ideals*

$$\{0\} = I_0 < I_1 < \cdots < I_{n-1} < I_n$$

such that $\dim I_r = r$ for $r = 0, \dots, n$. Furthermore, for $2n \geq 6$, I_{n-1}^\perp is abelian and the ascending chain

$$\{0\} < I_2 < I_3 < \cdots < I_{n-1} < I_{n-1}^\perp < I_{n-2}^\perp < \cdots < I_2^\perp < L$$

is a central chain. In particular L is nilpotent of class at most $2n - 3$.

Proof. Starting with the ideal $I_0 = \{0\}$, we can apply Lemma 3.16 iteratively to get the required chain

$$\{0\} = I_0 < I_1 < \cdots < I_n.$$

By Lemma 3.18 we have that $I_2 \leq Z(L)$. By this and Lemma 3.16 we thus have

that the chain

$$I_0 < I_2 < I_3 < \cdots < I_{n-1}$$

is central in L . By Lemma 3.9 it follows that the chain

$$I_{n-1}^\perp < I_{n-2}^\perp < \cdots < I_2^\perp < I_0^\perp$$

is also central. It is only remains to see that $I_{n-1} < I_{n-1}^\perp$ is central and that I_{n-1}^\perp is abelian. As $I_{n-1}^\perp = I_{n-1} + \mathbb{F}x + \mathbb{F}y$ for some $x, y \in L$ where $(x, y) = 1$ and as I_{n-1} is isotropic, this follows from Lemma 3.17. \square

Remark 3.21. *When $\dim Z(L) = r < n$, we can choose our chain such that $I_r = Z(L)$. We then get a central chain*

$$I_0 < I_r < I_{r+1} < \cdots < I_{n-1} < I_{n-1}^\perp < I_{n-2}^\perp < \cdots < I_r^\perp < L.$$

In particular the class is then at most $2n - 3 - 2(r - 2) = 2n - 2r + 1$.

Presentations of nilpotent symplectic alternating algebras. Last theorem tells us a great deal about the structure of nilpotent SAA's. A moments reflection should convince the reader that we can pick a standard basis $x_1, y_1, x_2, y_2, \dots, x_n, y_n$ such that

$$I_1 = \mathbb{F}x_n, \quad I_2 = \mathbb{F}x_n + \mathbb{F}x_{n-1}, \quad \dots, \quad I_n = \mathbb{F}x_n + \cdots + \mathbb{F}x_1,$$

$$I_{n-1}^\perp = I_n + \mathbb{F}y_1, \quad I_{n-2}^\perp = I_n + \mathbb{F}y_1 + \mathbb{F}y_2, \quad \dots, \quad I_0^\perp = L = I_n + \mathbb{F}y_1 + \cdots + \mathbb{F}y_n.$$

Now let u, v, w be three of the basis elements. Since I_n is abelian we have that $(uv, w) = 0$ whenever two of these three elements are from $\{x_1, \dots, x_n\}$. The fact that

$$\{0\} < I_1 < \cdots < I_n$$

is central also implies that $(x_i y_j, y_k) = 0$ if $i \geq k$. So we only need to consider the possible non-zero triples $(x_i y_j, y_k)$, $(y_i y_j, y_k)$ for $1 \leq i < j < k \leq n$. For each triple (i, j, k) with $1 \leq i < j < k \leq n$, let $\alpha(i, j, k)$ and $\beta(i, j, k)$ be some elements in the field \mathbb{F} . We refer to the data

$$\mathcal{P} : \quad (x_i y_j, y_k) = \alpha(i, j, k), \quad (y_i y_j, y_k) = \beta(i, j, k), \quad 1 \leq i < j < k \leq n$$

as a *nilpotent presentation*. We have just seen that every nilpotent SAA has a

presentation of this type. Conversely, given any nilpotent presentation, let

$$I_r = \mathbb{F}x_n + \mathbb{F}x_{n-1} + \cdots + \mathbb{F}x_{n+1-r}$$

and we get an ascending central chain of isotropic ideals $\{0\} = I_0 < I_1 < \cdots < I_n$ such that $\dim I_j = j$ for $j = 1, \dots, n$. By Lemma 3.9 we then get a central chain

$$\{0\} = I_0 < I_1 < \cdots < I_n < I_{n-1}^\perp < I_{n-2}^\perp < \cdots < I_0^\perp = L$$

and thus L is nilpotent. Thus every nilpotent presentation describes a nilpotent SAA.

Remark 3.22. Notice that there are $2^{\binom{n}{3}}$ parameters for these presentations. If \mathbb{F} is a finite field this thus gives the value $|\mathbb{F}|^{2^{\binom{n}{3}}}$ as the upper bound for the number of $2n$ -dimensional nilpotent SAA's over the field \mathbb{F} . Armed with this information it is not difficult to get some good information about the growth of nilpotent SAA's over a finite field \mathbb{F} . Let V be a $2n$ -dimensional vector space over \mathbb{F} and consider $(\wedge^3 V)^*$. After fixing a standard basis for V , each presentation of a SAA corresponds to an element in $(\wedge^3 V)^*$. Now let \mathcal{N} be the subset of $(\wedge^3 V)^*$ corresponding to all nilpotent presentations. The number of nilpotent SAA's of dimension $2n$ is the same as the number of $Sp(V)$ -orbits of $(\wedge^3 V)^*$ consisting of presentations that give nilpotent algebras. Suppose these are $u_i^{Sp(V)}$, $i = 1, \dots, m$. Then

$$\mathcal{N} \subseteq \bigcup_{i=1}^m u_i^{Sp(V)}$$

and thus $|\mathbb{F}|^{2^{\binom{n}{3}}} = |\mathcal{N}| \leq m \cdot |Sp(V)| \leq m \cdot |\mathbb{F}|^{\binom{2n+1}{2}}$. These calculations show that the number of nilpotent SAA's is

$$m = |\mathbb{F}|^{n^3/3 + O(n^2)}.$$

3.4 The structure of nilpotent symplectic alternating algebras of maximal class

We have seen previously that nilpotent SAA's of dimension $2n$ have class at most $2n - 3$. For every algebra of dimension $2n \geq 8$ this bound is attained. As well as demonstrating this we will see that the structure of these algebras of maximal class is very restricted.

Let L be a nilpotent SAA of dimension $2n \geq 8$ with an ascending chain of isotropic ideals

$$\{0\} = I_0 < I_1 < \cdots < I_n,$$

where $\dim I_j = j$ for $j = 1, \dots, n$.

Theorem 3.23. *Suppose L is of maximal class. Then*

$$I_2 = Z_1(L), I_3 = Z_2(L), \dots, I_{n-1} = Z_{n-2}(L),$$

$$I_{n-1}^\perp = Z_{n-1}(L), I_{n-2}^\perp = Z_n(L), \dots, I_2^\perp = Z_{2n-4}(L).$$

Furthermore $Z_0(L), Z_1(L), \dots, Z_{2n-3}(L)$ are the unique ideals of L of dimensions $0, 2, 3, \dots, n-1, n+1, n+2, \dots, 2n-2, 2n$.

Proof. Let $J_0 = \{0\}, J_1 = I_2, \dots, J_{n-2} = I_{n-1}, J_{n-1} = I_{n-1}^\perp, J_n = I_{n-2}^\perp, \dots, J_{2n-4} = I_2^\perp, J_{2n-3} = L$. By Theorem 3.20, the chain $J_0 < J_1 < \cdots < J_{2n-3}$ is central. We argue by contradiction and let i be the smallest integer between 1 and $2n-4$ where $J_i < Z_i(L)$. Let $u \in Z_i(L) \setminus J_i$ and let k be the smallest integer between i and $2n-4$ such that $u \in J_{k+1}$. Then

$$J_k < J_k + \mathbb{F}u \leq J_{k+1}.$$

If J_{k+1}/J_k has dimension 1 it follows that $J_{k+1} \leq Z_k(L)$ and we get the contradiction that the class is at most $2n-4$. We can thus suppose that J_{k+1}/J_k has dimension 2 and there are two cases to consider, either $k = n-2$ or $k = 2n-4$. In the former case we have

$$I_{n-1} < I_{n-1} + \mathbb{F}u \leq I_{n-1}^\perp$$

which implies that $I = I_{n-1} + \mathbb{F}u$ is an isotropic ideal of maximal dimension n . As $u \in Z_{n-2}(L)$, we have that $I_{n-2} < I$ is centralised by L . By Lemma 3.9 it follows that $I < I_{n-2}^\perp$ is also centralised by L and we get a central series

$$\{0\} = I_0 < I_2 < I_3 < \cdots < I_{n-2} < I < I_{n-2}^\perp < I_{n-3}^\perp < \cdots < I_2^\perp < I_0^\perp = L$$

of length $2n-4$ and we get again the contraction that the class is less than $2n-3$.

Finally suppose that $k = 2n - 4$. So we have

$$I_2^\perp < I_2^\perp + \mathbb{F}u < L$$

and $u \in Z_{2n-4}(L)$. Now let $v \in L \setminus (I_2^\perp + \mathbb{F}u)$. Then $L = I_2^\perp + \mathbb{F}u + \mathbb{F}v$ and $L^2 = (I_2^\perp + \mathbb{F}u)L \leq Z_{2n-5}(L)$. Hence $L \leq Z_{2n-4}(L)$ that again contradicts the assumption that L is of class $2n - 3$.

We now want to show that these terms of the upper central series are the unique ideals of dimensions $0, 2, 3, \dots, n - 1, n + 1, n + 2, \dots, 2n - 2, 2n$. First let I be an ideal of dimension 2. By Lemma 3.18 we have that $I \leq Z(L)$ and as we have seen that $Z(L)$ has dimension 2, it follows that $I = Z(L)$. Now suppose that for some $2 \leq k \leq n - 2$ we know that $Z_{k-1}(L)$ is the only ideal of dimension k . Let I be an ideal of dimension $k + 1$. As L is nilpotent we have that I contains an ideal J of dimension k . By the induction hypothesis we have that $J = Z_{k-1}(L)$ and as I/J is of dimension 1 we have that $I \leq Z_k(L)$. We have that $Z_k(L)$ has dimension $k + 1$ and thus $I = Z_k(L)$. We have thus seen that there are unique ideals of dimensions $0, 2, 3, \dots, n - 1$. Now let I be an ideal of dimension $i \in \{n + 1, n + 2, \dots, 2n - 2, 2n\}$. Then I^\perp is an ideal whose dimension is in $\{0, 2, 3, \dots, n - 1\}$. By what we have just seen I^\perp is unique and thus I as well. \square

Remark 3.24. (1) *In particular it follows that $Z_k(L)^\perp = Z_{2n-3-k}(L)$ for $0 \leq k \leq 2n - 3$.*

(2) *As $L^k = Z_{k-1}(L)^\perp$, it follows that L, L^2, \dots, L^{2n-2} are the unique ideals of dimensions $2n, 2n - 2, 2n - 3, \dots, n + 1, n - 1, n - 2, \dots, 2, 0$. Also*

$$L^k = Z_{k-1}(L)^\perp = Z_{2n-k-2}(L).$$

Remark 3.25. *Let L be any nilpotent SAA of dimension $2n \geq 6$ with the property that $\dim Z(L) = 2$. Notice that $Z(L)$ must be isotropic since otherwise we would have a 2-dimensional symplectic subalgebra I within $Z(L)$ and we would get a direct sum $I \oplus I^\perp$ of two SAA's. As I^\perp has non-trivial center this would contradict the assumption that $Z(L)$ is 2-dimensional. Now L has rank 2. Suppose it is generated by x, y . Then L is generated by x, y, xy modulo L^3 and thus $\dim Z_2(L) = \dim (L^3)^\perp = \dim L - \dim L^3 = 3$.*

The complete list of ideals of L . We have seen that there is a unique ideal of dimension k for any $0 \leq k \leq 2n$ apart from $k = 1, k = n$ and $k = 2n - 1$. Let us now turn to the remaining dimensions. Now every ideal of dimension 1 is contained in $Z(L)$ and conversely every subspace of dimension 1 in $Z(L)$ is an ideal.

Next consider an ideal I of dimension $2n - 1$. Then I^\perp is an ideal of dimension 1 and is thus any subspace of dimension 1 such that

$$\{0\} < I^\perp < Z(L)$$

Equivalently, I is any subspace of dimension $2n - 1$ such that

$$L^2 = Z(L)^\perp < I < \{0\}^\perp = L.$$

Finally consider an ideal I of dimension n . Since L is nilpotent there exists an ideal J of dimension $n + 1$ containing I . By last theorem we have that $J = L^{n-1} = Z_{n-2}(L)^\perp$. Also I contains an ideal of dimension $n - 1$ that we know is $Z_{n-2}(L)$. Thus

$$Z_{n-2}(L) < I < Z_{n-2}(L)^\perp.$$

We also know from our previous work that $Z_{n-2}(L)$ is an isotropic ideal of dimension $n - 1$. I is thus an isotropic ideal of the form

$$Z_{n-2}(L) + \mathbb{F}u$$

For some $u \in Z_{n-2}(L)^\perp \setminus Z_{n-2}(L)$. Conversely, as $Z_{n-2}(L)^\perp L \leq Z_{n-2}(L)$ we have that for any intermediate subspace I of dimension n between $Z_{n-2}(L)$ and $Z_{n-2}(L)^\perp$, I is an ideal.

We thus have a complete picture of the ideals of L .

We now focus on the characteristic ideals. It turns out that there are as well always characteristic ideals of dimension 1, n and $2n - 1$ when $2n \geq 10$.

Remark 3.26. Notice that if I is a characteristic ideal then the ideal I^\perp is also characteristic. To see this let ϕ be any automorphism of the SAA L and let $a \in I^\perp$. As ϕ is an automorphism we have that $\phi(a) \in \phi(I)^\perp = I^\perp$.

Theorem 3.27. *Let L be a nilpotent SAA of dimension $2n \geq 10$ that is of maximal class. L has a chain of characteristic ideals*

$$\{0\} = I_0 < I_1 < \cdots < I_n < I_{n-1}^\perp < \cdots < I_1^\perp < I_0^\perp = L$$

where for $0 \leq k \leq n$, I_k is isotropic of dimension k .

Proof. By Theorems 3.20 and 3.23, we know that we can get such a chain of ideals where all the ideals apart from I_1, I_n and I_{2n-1} are characteristic. We want to show that we can choose our chain such that I_1, I_n and I_{2n-1} are also characteristic. Let $x_1, y_1, \dots, x_n, y_n$ be a standard basis such that

$$I_k = \mathbb{F}x_n + \mathbb{F}x_{n-1} + \cdots + \mathbb{F}x_{n+1-k}$$

for $1 \leq k \leq n$. Then $I_4 I_2^\perp = \mathbb{F}x_{n-3}y_{n-2}$ is a characteristic ideal. We claim that this is non-trivial. Otherwise $x_{n-3}y_{n-2} = 0$ and then $(x_{n-3}u, y_{n-2}) = 0$ for all $u \in L$ that implies that $x_{n-3}L \leq \mathbb{F}x_n + \mathbb{F}x_{n-1}$ and we get the contradiction that $x_{n-3} \in Z_2(L) = I_3$. Thus we have got a characteristic ideal of dimension 1, namely $I_4 I_2^\perp = Z_3(L) \cdot L^2$. Notice that we are assuming here that $n \geq 5$. From this we get that $(I_4 I_2^\perp)^\perp$ is a characteristic ideal of dimension $2n - 1$.

It remains to find a characteristic ideal of dimension n . We know that $L^n = \mathbb{F}x_n + \mathbb{F}x_{n-1} + \cdots + \mathbb{F}x_2$, $L^{n-1} = I_{n-1}^\perp = \mathbb{F}x_n + \cdots + \mathbb{F}x_1 + \mathbb{F}y_1$ and $L^{n-2} = I_{n-2}^\perp = I_{n-1}^\perp + \mathbb{F}y_2$. As $L^{n-1} = L^{n-2} \cdot L$ it follows that $L^n + \mathbb{F}x_1 + \mathbb{F}y_1 = (L^{n-1} + \mathbb{F}y_2)L$ and thus

$$L^n + \mathbb{F}x_1 + \mathbb{F}y_1 = L^n + y_2 L.$$

Thus there exist $u, v \in L$ such that $y_2 u + L^n = x_1 + L^n$ and $y_2 v + L^n = y_1 + L^n$. Then

$$(y_2 u, x_1) = 0, (y_2 u, y_1) \neq 0, (y_2 v, x_1) \neq 0, (y_2 v, y_1) = 0.$$

Equivalently

$$(x_1 y_2, u) = 0, (x_1 y_2, v) \neq 0, (y_1 y_2, u) \neq 0, (y_1 y_2, v) = 0$$

and this implies that $x_1 y_2, y_1 y_2$ are linearly independent (something that will also be useful later). Consider next the 2-dimensional characteristic subspace

$$L^{n-1} L^{n-2} = \mathbb{F}x_1 y_2 + \mathbb{F}y_1 y_2.$$

Notice that $L^{n-1}L^{n-2} \leq I_{n-2}$. Let k be the smallest positive integer between 1 and $n-3$ such that $L^{n-1}L^{n-2} \leq I_{k+1}$. Let $J = L^{n-1}L^{n-2} \cap I_k$. Then $\dim J = 1$ and there is a unique one-dimensional subspace $\mathbb{F}u$ of $\mathbb{F}x_1 + \mathbb{F}y_1$ such that $\mathbb{F}uL^{n-2} = J$. Now $I = I_{n-1} + \mathbb{F}u$ is the characteristic ideal of dimension n that we wanted. Notice that $I = \{x \in I_{n+1} : xI_{n+2} \leq J\}$. \square

Remark 3.28. *If L is a nilpotent SAA of dimension 8 that is of maximal class then there is no characteristic ideal of dimension 1. The reader can convince himself of this by looking at the classification of these algebras given in the next chapter.*

Corollary 3.29. *Let L be a nilpotent SAA of maximal class and dimension $2n \geq 10$. The automorphism group of L is nilpotent-by-abelian.*

Proof. Consider a chain of characteristic ideals as given in the last theorem

$$\{0\} = I_0 < I_1 < \dots < I_n < I_{n-1}^\perp < I_{n-2}^\perp < \dots < I_0^\perp = L.$$

Consider the ordered basis $(x_n, x_{n-1}, \dots, x_1, y_1, \dots, y_n)$ associated with this chain, that is $I_k = \mathbb{F}x_n + \mathbb{F}x_{n-1} + \dots + \mathbb{F}x_{n+1-k}$. As the ideals in the chain are all characteristic we see that the matrix of any automorphism with respect to that ordered basis will be upper triangular. The result follows. \square

We next move on to presentations of nilpotent SAA's of maximal class. Suppose L is any nilpotent SAA with a presentation

$$\mathcal{P} : \quad (x_i y_j, y_k) = \alpha_{ijk}, \quad (y_i y_j, y_k) = \beta_{ijk}, \quad 1 \leq i < j < k \leq n.$$

We would like to read from the presentation whether the algebra is of maximal class. This turns out to be possible.

Theorem 3.30. *Let L be a nilpotent SAA of dimension $2n \geq 8$ given by some nilpotent presentation \mathcal{P} . The algebra is of maximal class if and only if $x_{n-2}y_{n-1}$, $x_{n-3}y_{n-2}$, \dots , x_2y_3 are non-zero and x_1y_2, y_1y_2 are linearly independent.*

Proof. Let us first see that these conditions are necessary. Suppose that L is of maximal class. In the proof of Theorem 3.27 we have already seen that x_1y_2 and y_1y_2 must be linearly independent. As before we let $I_k = \mathbb{F}x_n + \dots + \mathbb{F}x_{n+1-k}$. As

$x_{n-2} \notin Z(L)$, we have $(x_{n-2}y_{n-1}, y_n) \neq 0$ and thus $x_{n-2}y_{n-1} \neq 0$. As the terms of the central chain

$$I_0 < I_2 < I_3 < \cdots < I_{n-1} < I_{n-1}^\perp < I_{n-2}^\perp < \cdots < I_2^\perp < I_0^\perp$$

are the terms of the lower central series, we know that $I_{k+1}L = I_k$ for $2 \leq k \leq n-2$. Thus we have for $3 \leq k \leq n-2$ that

$$I_{k-1} + \mathbb{F}x_{n-k+1} = (I_k + \mathbb{F}x_{n-k})L.$$

From this it follows $I_{k-1} + \mathbb{F}x_{n-k+1} = I_{k-1} + x_{n-k}L$. In particular there exist $u \in L$ such that $I_{k-1} + x_{n-k+1} = I_{k-1} + x_{n-k}u$. It follows that $0 \neq (x_{n-k}u, y_{n-k+1}) = -(x_{n-k}y_{n-k+1}, u)$. Hence $x_{n-k}y_{n-k+1}$ is non-zero for $3 \leq k \leq n-2$.

Let us then see that these conditions are sufficient. We do this by showing that $I_2 = I_3L$, $I_3 = I_4L$, \dots , $I_{n-2} = I_{n-1}L$, $I_{n-1} = I_{n-1}^\perp L$, $I_{n-1}^\perp = I_{n-2}^\perp L$, \dots , $I_3^\perp = I_2^\perp L$, $I_2^\perp = I_0^\perp L$. This is sufficient as this would imply that $L^{2n-3} = I_2 \neq 0$ and thus L is nilpotent of class $2n-3$. Firstly as $x_{n-2}y_{n-1} \neq 0$ we have that $(x_{n-2}y_{n-1}, y_n) \neq 0$ and thus $I_3L = x_{n-2}L = \mathbb{F}x_n + \mathbb{F}x_{n-1} = I_2$. Now suppose we have already established that $I_k = I_{k+1}L$ for all $2 \leq k \leq m$ where $2 \leq m \leq n-3$. Then

$$I_{m+2}L = (I_{m+1} + \mathbb{F}x_{n-m-1})L = I_m + x_{n-m-1} \cdot L$$

As $x_{n-m-1}y_{n-m} \neq 0$ we have $(x_{n-m-1}u, y_{n-m}) = -(x_{n-m-1}y_{n-m}, u) \neq 0$ for some $u \in L$ and thus $I_{m+2}L = I_m + x_{n-m-1}L = I_m + \mathbb{F}x_{n-m} = I_{m+1}$. We have thus established by induction that

$$I_2 = I_3L, \dots, I_{n-2} = I_{n-1}L.$$

We next show that $I_{n-1}^\perp L = I_{n-1}$. As $x_1y_2 \neq 0$ we have that there exist $u \in L$ such that $0 \neq (x_1y_2, u) = -(x_1u, y_2)$ and

$$I_{n-1}^\perp L = (I_{n-1} + \mathbb{F}x_1 + \mathbb{F}y_1)L = I_{n-2} + \mathbb{F}x_2 = I_{n-1}.$$

Next we show that $I_{n-2}^\perp L = I_{n-1}^\perp$. As x_1y_2, y_1y_2 are linearly independent there exist $u, v \in L$ such that

$$(x_1y_2, u) = 0, (x_1y_2, v) \neq 0, (y_1y_2, u) \neq 0, (y_1y_2, v) = 0$$

and thus

$$(y_2u, x_1) = 0, (y_2u, y_1) \neq 0, (y_2v, x_1) \neq 0, (y_2v, y_1) = 0.$$

Hence

$$I_{n-2}^\perp L = (I_{n-1}^\perp + \mathbb{F}y_2)L = I_{n-1} + \mathbb{F}y_2L = I_{n-1} + \mathbb{F}x_1 + \mathbb{F}y_1 = I_{n-1}^\perp.$$

Now suppose that we have established that $I_{k-1}^\perp L = I_k^\perp$ for $m+1 \leq k \leq n-1$ where $3 \leq m \leq n-2$. As $x_{n-m}y_{n-m+1} \neq 0$ it follows that there exists $u \in L$ such that $0 \neq (x_{n-m}y_{n-m+1}, u) = (y_{n-m+1}u, x_{n-m})$. Thus

$$I_{m-1}^\perp L = (I_m^\perp + y_{n-m+1})L = I_{m+1}^\perp + y_{n-m+1}L = I_{m+1}^\perp + \mathbb{F}y_{n-m} = I_m^\perp.$$

It now only remains to see that $I_0^\perp L = I_2^\perp$. But this follows from $x_{n-2}y_{n-1} \neq 0$ that implies that $(y_{n-1}y_n, x_{n-2}) = (x_{n-2}y_{n-1}, y_n) \neq 0$. Thus

$$I_0^\perp L = (I_2^\perp + \mathbb{F}y_{n-1} + \mathbb{F}y_n)L = I_3^\perp + (\mathbb{F}y_{n-1} + \mathbb{F}y_n)L = I_3^\perp + \mathbb{F}y_{n-2} = I_2^\perp.$$

This finishes the proof. □

Remark 3.31. *In particular it follows that for each $2n \geq 8$ there exist a nilpotent symplectic alternating algebra of maximal class. One just needs to choose the presentation such that the conditions from Theorem 3.30 hold. One possibility is*

$$\mathcal{P} : (x_{n-2}y_{n-1}, y_n) = -1, (x_{n-3}y_{n-2}, y_n) = -1, \dots, (x_2y_3, y_n) = -1, (x_1y_2, y_n) = -1, \\ (y_1y_2, y_{n-1}) = -1.$$

In fact the conditions are not a strong constraint. In particular the values of (x_iy_j, y_k) , (y_iy_j, y_k) where $j - i \geq 2$ can be chosen freely. The number of such triples is $2\binom{n-1}{3}$ that is a polynomial in n of degree 3 with leading coefficient $1/3$. Let \mathbb{F} be any finite field. By a similar argument as we used for determining the growth of nilpotent SAA's we see that the number $m(n)$ of nilpotent SAA's of maximal class satisfies

$$m(n) = |\mathbb{F}|^{n^3/3 + O(n^2)}.$$

Remark 3.32. (1) *Let L be a nilpotent SAA of dimension $2n \geq 10$ that is of maximal class and consider a chain $\{0\} = I_0 < \dots < I_n$ of characteristic ideals*

where I_k is of dimension k . We have, for $4 \leq m \leq n-1$,

$$I_m I_{m-2}^\perp = \mathbb{F}x_{n+1-m}y_{n+2-m}$$

and thus we get that $\mathbb{F}x_2y_3, \mathbb{F}x_3y_4, \dots, \mathbb{F}x_{n-3}y_{n-2}$ are one-dimensional characteristic subspaces of L . Also,

$$I_{n-1}^\perp I_{n-2}^\perp = \mathbb{F}x_1y_2 + \mathbb{F}y_1y_2$$

is a characteristic subspace. So is $I_n^\perp I_{n-2}^\perp = \mathbb{F}x_1y_2$.

(2) If V is a characteristic subspace of dimension d then we get a chain of characteristic subspaces

$$V \cap I_1 \leq V \cap I_2 \leq \dots \leq V \cap I_n \leq V \cap I_{n-1}^\perp \leq \dots \leq V \cap I_0^\perp = V.$$

Thus there is a chain of characteristic subspaces $V_1 < V_2 < \dots < V_d$ where V_i is of dimension i .

CHAPTER 4

NILPOTENT SYMPLECTIC ALTERNATING ALGEBRA OF DIMENSION $2n \leq 8$

The classification of the nilpotent SAA's of dimensions at most 8 is implicit in [17] although this is not done explicitly and the context there is a more general setting. To demonstrate the machinery that we have developed we will offer a much shorter approach here. Throughout this chapter we will be working with an arbitrary field \mathbb{F} .

We have observed earlier that nilpotent SAA's of dimensions 2 or 4 must be abelian.

4.1 Algebras of dimension 6

Let L be a non-abelian nilpotent SAA of dimension 6 with a nilpotent presentation \mathcal{P} . There are at most two non-zero triple values

$$(x_1y_2, y_3) = a, (y_1y_2, y_3) = b.$$

As L is non-abelian, one of these must be non-zero and, by replacing x_1, y_1 by $-y_1, x_1$ if necessary, we can assume that $b \neq 0$. Replacing then x_3, y_3 by $bx_3, \frac{1}{b}y_3$ implies that we can further assume that $(y_1y_2, y_3) = 1$. Finally replacing x_1, y_1 by $x_1 - ay_1, y_1$ and we can also assume that $(x_1y_2, y_3) = 0$. Apart from the abelian

algebra, there is thus only one algebra of dimension 6 with presentation

$$\mathcal{P}_6^{(3,1)} : (y_1y_2, y_3) = 1.$$

(We will normally only write down those triples where the value is non-zero).

4.2 Algebras of dimension 8

First suppose that $Z(L)$ is not isotropic. We can then choose our standard basis such that $I = \mathbb{F}x_4 + \mathbb{F}y_4 \leq Z(L)$ and we get a direct sum $I \oplus I^\perp$ of SAA's of dimensions 2 and 6. From 4.1 we then know that apart from the abelian algebra, there is only one such algebra $L = \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3 + \mathbb{F}y_4$ with presentation

$$\mathcal{P}_8^{(5,1)} : (y_1y_2, y_3) = 1.$$

We then turn to the situation where $Z(L)$ is isotropic. Let us first see that $\dim Z(L) \neq 4$. We argue by a contradiction and suppose that $\dim Z(L) = 4$. Pick a standard basis such that $Z(L) = \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1$. Now L is not abelian and thus $(y_iy_j, y_k) \neq 0$ for some $1 \leq i < j < k \leq 4$. Without loss of generality, we can suppose that $(y_1y_2, y_3) = 1$. Suppose now that $(y_1y_2, y_4) = a$, $(y_2y_3, y_4) = b$ and $(y_3y_1, y_4) = c$. Let $\bar{y}_4 = y_4 - by_1 - cy_2 - ay_3$. Inspection shows that \bar{y}_4 is orthogonal to $L^2 = \mathbb{F}y_1y_2 + \mathbb{F}y_2y_3 + \mathbb{F}y_3y_1 + \mathbb{F}\bar{y}_4y_1 + \mathbb{F}\bar{y}_4y_2 + \mathbb{F}\bar{y}_4y_3$. Thus $\bar{y}_4 \in (L^2)^\perp = Z(L)$ and we get the contradiction that $\dim Z(L) \geq 5$. Thus we have shown that $\dim Z(L) \neq 4$ and as $\dim Z(L)$ is always at least 2, we have two cases to consider: $\dim Z(L) = 3$ and $\dim Z(L) = 2$.

4.2.1 Algebras with an isotropic center of dimension 3

We can choose the standard basis such that

$$\begin{aligned} Z(L) &= \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 \\ L^2 = Z(L)^\perp &= \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1. \end{aligned}$$

By Theorem 3.20, we know that $L^3 = L^2L \leq Z(L)$ and by Propositions 3.10 and 3.11 we must then have $L^3 = Z(L)$. As $x_1 \notin Z(L)$, we must have $(x_1y_i, y_j) \neq 0$ for some $2 \leq i < j \leq 4$. Without loss of generality $(x_1y_2, y_3) \neq 0$. By replacing y_4, y_1 by $y_4 + ax_1, y_1 + ax_4$ for a suitable a , we can assume that $(y_2y_4, y_3) = 0$.

Let $V = \mathbb{F}y_2 + \mathbb{F}y_3 + \mathbb{F}y_4$. Now $(y_2y_3, y_4) = 0$ and $L^2 = Z(L) + \mathbb{F}x_1 + \mathbb{F}y_1$. As $L = L^2 + V$ it follows that $V^2 = \mathbb{F}x_1 + \mathbb{F}y_1$ and as V^2 is not isotropic we must have that some two of y_2y_3, y_4y_3, y_2y_4 are not isotropic. Without loss of generality we can suppose that these are y_2y_3 and y_4y_3 . By replacing y_4, x_4 by $ay_4, \frac{1}{a}x_4$ for a suitable $a \in \mathbb{F}$, we can furthermore assume that $(y_2y_3, y_4y_3) = 1$. Thus

$$\mathbb{F}x_1 + \mathbb{F}y_1 = V^2 = \mathbb{F}y_2y_3 + \mathbb{F}y_4y_3$$

and $y_2y_4 = ay_2y_3 + by_4y_3$ for some $a, b \in \mathbb{F}$. It follows that $(y_2 + by_3)(y_4 - ay_3) = 0$. Now replace y_2, y_4, x_3 by $y_2 + by_3, y_4 - ay_3, x_3 - bx_2 + ax_4$ and then replace x_1, y_1 by y_2y_3, y_4y_3 . It follows that we get a new standard basis where

$$y_2y_3 = x_1, y_4y_3 = y_1, y_2y_4 = 0.$$

This implies that the only non-zero triples are $(y_1y_2, y_3) = 1$ and $(x_1y_3, y_4) = 1$. There is thus only one possible candidate here, the algebras L with presentation

$$\mathcal{P}_8^{(3,2)} : (y_1y_2, y_3) = 1, (x_1y_3, y_4) = 1.$$

Conversely, one sees by inspection that $Z(L) = \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2$ and this candidate is a genuine example with $\dim Z(L) = 3$.

4.2.2 Algebras with an isotropic center of dimension 2

We know that the class of L is at most $2 \cdot 4 - 3 = 5$ and thus $L^5 \leq Z(L)$. Let k be the smallest positive integer $2 \leq k \leq 5$ such that $L^k \leq Z(L)$. As $\dim L^k \leq 2$, it follows from Proposition 3.11 that $k = 5$. Hence L is of maximal class and by Theorem 3.23 can choose our standard basis such that, we get ideals $I_k = \mathbb{F}x_4 + \cdots + \mathbb{F}x_{4+1-k}$, $k = 0, \dots, 4$ where

$$\{0\} = I_0 < I_1 < \cdots < I_4 = I_4^\perp < I_3^\perp < \cdots < I_0^\perp = L$$

is a central series with $I_2 = Z(L) = L^5$, $I_3 = Z_2(L) = L^4$, $I_3^\perp = Z_3(L) = L^3$ and $I_2^\perp = Z_4(L) = L^2$. Thus we have

$$\begin{array}{c}
 L^5 = Z(L) \quad \begin{array}{|c|} \hline x_4 \\ \hline x_3 \\ \hline \end{array} \begin{array}{l} y_4 \\ y_3 \end{array} \\
 L^4 = Z_2(L) \quad \begin{array}{|c|c|} \hline x_2 & y_2 \\ \hline \end{array} L^2 = Z_4(L) \\
 \begin{array}{|c|c|} \hline x_1 & y_1 \\ \hline \end{array} L^3 = Z_3(L)
 \end{array}$$

By Theorem 3.30 we furthermore have that x_1y_2, y_1y_2 are linearly independent and thus a basis for $Z(L) = \mathbb{F}x_4 + \mathbb{F}x_3$. We can now pick our standard basis such that $x_1y_2 = x_4$ and $y_1y_2 = x_3$. As $x_2 \notin Z(L)$, we also have that $(x_2y_3, y_4) \neq 0$. This means that we have the non-zero triples $(x_1y_2, y_4) = 1$, $(y_1y_2, y_3) = 1$ and $(x_2y_3, y_4) = r \neq 0$. The only remaining triples that are possibly non-zero are (x_1y_3, y_4) , (y_1y_3, y_4) and (y_2y_3, y_4) . Replacing x_1, y_1 and y_2 by $x_1 - ax_2, y_1 - by_2$ and $y_2 + ay_1 - bx_1 - cx_2$ for suitable $a, b, c \in \mathbb{F}$, we can assume that these extra triples are zero. We can thus choose our basis so that our algebra L has presentation

$$\mathcal{P}_8^{(2,3)}(r) : (x_2y_3, y_4) = r, (x_1y_2, y_4) = 1, (y_1y_2, y_3) = 1. \quad (4.1)$$

We finally need to sort out when, for $r, s \in \mathbb{F}^* = \mathbb{F} \setminus \{0\}$, the two presentations $\mathcal{P}_8^{(2,3)}(r)$ and $\mathcal{P}_8^{(2,3)}(s)$ describe the same algebra L . We will see that this happens if and only if $s/r \in (\mathbb{F}^*)^3$. To see that this is a sufficient condition, suppose we have an algebra L that has a presentation $\mathcal{P}_8^{(2,3)}(r)$ with respect to some standard basis $x_1, y_1, x_2, y_2, x_3, y_3, x_4, y_4$. Suppose that $s = a^3r$ for some $a \in \mathbb{F}^*$. Let $\tilde{x}_1 = x_1$, $\tilde{y}_1 = y_1$, $\tilde{x}_2 = ax_2$, $\tilde{y}_2 = \frac{1}{a}y_2$, $\tilde{x}_3 = \frac{1}{a}x_3$, $\tilde{y}_3 = ay_3$, $\tilde{x}_4 = \frac{1}{a}x_4$ and $\tilde{y}_4 = ay_4$. Inspection shows that L has presentation $\mathcal{P}_8^{(2,3)}(s)$ with respect to the new basis. It remains to see that the condition is also necessary. Consider the algebra L with presentation $\mathcal{P}_8^{(2,3)}(r)$ and take an arbitrary new standard basis $\tilde{x}_1, \tilde{y}_1, \tilde{x}_2, \tilde{y}_2, \tilde{x}_3, \tilde{y}_3, \tilde{x}_4, \tilde{y}_4$ such that L satisfies the presentation $\mathcal{P}_8^{(2,3)}(s)$ for some $s \in \mathbb{F}^*$. We want to show that $s/r \in (\mathbb{F}^*)^3$. Now

$$\begin{aligned}
 \tilde{y}_3 &= ay_3 + by_4 + u, \\
 \tilde{y}_4 &= cy_3 + dy_4 + v,
 \end{aligned}$$

for some $u, v \in L^2$ and $a, b, c, d \in \mathbb{F}$ where $ad - bc \neq 0$. As $\dim L^2 - \dim L^3 = 1$ it follows readily that $L^2 L^2 \leq L^4$ and it follows that

$$\begin{aligned}\tilde{y}_3 \tilde{y}_4 \tilde{y}_3 &= (ay_3 + by_4)(cy_3 + dy_4)(ay_3 + by_4) + w, \\ \tilde{y}_3 \tilde{y}_4 \tilde{y}_4 &= (ay_3 + by_4)(cy_3 + dy_4)(cy_3 + dy_4) + z,\end{aligned}$$

for some $w, z \in L^4$. As $L^6 = 0$ we have that L^4 is orthogonal to L^3 and thus in the following direct calculations we can omit w and z . We have

$$-s^2 = (\tilde{y}_3 \tilde{y}_4 \tilde{y}_3, \tilde{y}_3 \tilde{y}_4 \tilde{y}_4) = -(ad - bc)^3 r^2.$$

Hence $s/r \in (\mathbb{F}^*)^3$.

Part II

The Classification of nilpotent SAA of dimension 10

This part will be mostly about extending the classification of nilpotent SAA's to algebras of dimension 10. Throughout this part we will be working with an arbitrary field \mathbb{F} . The classification depends strongly on the field we are working with. When the field is algebraically closed we will see that there are 22 such algebras of dimension 10. Over the field $\text{GF}(3)$, where there is a 1-1 correspondence with a class of powerful 2-Engel 3-groups, there are 25 algebras.

We will first consider the case when the center of L is not isotropic as then we can use our classification of algebras of lower dimension.

By a similar argument as in the previous chapter we see that L is a direct sum of an abelian algebra $\mathbb{F}x_5 + \mathbb{F}y_5$ of dimension 2 and an algebra of dimension 8. Our classification of the latter yields that we get the following presentations for algebras of dimension 10 with non-isotropic center:

$$\begin{aligned} \mathcal{Q}_{10}^{(7,1)} &: (y_1y_2, y_3) = 1. \\ \mathcal{Q}_{10}^{(5,1)} &: (y_1y_2, y_3) = 1, (x_1y_3, y_4) = 1. \\ \mathcal{Q}_{10}^{(4,1)}(r) &: (x_2y_3, y_4) = r, (x_1y_2, y_4) = 1, (y_1y_2, y_3) = 1, \end{aligned}$$

where $\mathcal{Q}_{10}^{(4,1)}(r)$ and $\mathcal{Q}_{10}^{(4,1)}(s)$ describe the same algebra L if and only if $s/r \in (\mathbb{F}^*)^3$.

We now turn into the case where the center $Z(L)$ is isotropic. We have that $\dim Z(L)$ is between 2 and 5. Thus we have 4 cases to deal with in the following 4 chapters.

CHAPTER 5

ALGEBRAS WITH AN ISOTROPIC CENTER OF DIMENSION 5

Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 5. We can then choose a standard basis $x_1, y_1, x_2, y_2, x_3, y_3, x_4, y_4, x_5, y_5$ such that

$$Z(L) = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1.$$

Here $x_1, y_1, x_2, y_2, x_3, y_3, x_4, y_4, x_5, y_5$ will be determined later such that some further conditions hold. The elements y_1, \dots, y_5 are not in $Z(L)$ and without loss of generality we can assume that $(y_1y_2, y_3) = 1$. Now suppose that $(y_iy_j, y_4) = \alpha_{ij}$ and $(y_iy_j, y_5) = \beta_{ij}$ for $1 \leq i, j \leq 3$. Replacing x_1, x_2, x_3, y_4, y_5 by

$$\begin{aligned} \tilde{x}_1 &= x_1 + \alpha_{23}x_4 + \beta_{23}x_5, & \tilde{y}_4 &= y_4 - \alpha_{12}y_3 - \alpha_{23}y_1 - \alpha_{31}y_2, \\ \tilde{x}_2 &= x_2 + \alpha_{31}x_4 + \beta_{31}x_5, & \tilde{y}_5 &= y_5 - \beta_{12}y_3 - \beta_{23}y_1 - \beta_{31}y_2, \\ \tilde{x}_3 &= x_3 + \alpha_{12}x_4 + \beta_{12}x_5, \end{aligned}$$

We can assume that our standard basis has the further property that $(y_iy_j, y_4) = (y_iy_j, y_5) = 0$ for $1 \leq i < j \leq 3$. As $y_4 \notin Z(L)$, we know that one of $(y_1y_4, y_5), (y_2y_4, y_5), (y_3y_4, y_5)$ is non-zero. Without loss of generality we can assume that $(y_1y_4, y_5) = 1$. The only triples whose values are not known are then $\alpha = (y_2y_4, y_5)$ and $\beta = (y_3y_4, y_5)$. Replacing x_1, y_2, y_3 by $\tilde{x}_1 = x_1 + \alpha x_2 + \beta x_3, \tilde{y}_2 = y_2 - \alpha y_1, \tilde{y}_3 = y_3 - \beta y_1$, we get a new standard basis where the only nonzero triple values are $(y_1y_2, y_3) = 1$ and $(y_1y_4, y_5) = 1$. We have thus proved the following result.

Proposition 5.1. *There is a unique nilpotent SAA of dimension 10 that has isotropic center of dimension 5. L can be described by the nilpotent presentation*

$$\mathcal{P}_{10}^{(5,1)} : (y_1 y_2, y_3) = 1, (y_1 y_4, y_5) = 1.$$

CHAPTER 6

ALGEBRAS WITH AN ISOTROPIC CENTER OF DIMENSION 4

The situation here is far more complicated and we will need to consider several subcases. Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 4. We can pick our standard basis such that

$$Z(L) = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2.$$

Then

$$L^2 = Z(L)^\perp = Z(L) + \mathbb{F}x_1 + \mathbb{F}y_1$$

and by Lemma 3.17 we know that $L^3 = L^2L \leq Z(L)$. As $L^2 \not\leq Z(L)$ we have $L^3 \neq \{0\}$ and by Propositions 3.10 and 3.11 we then have that $3 \leq \dim L^3 \leq 4$. We will consider the cases $L^3 < Z(L)$ and $L^3 = Z(L)$ separately.

6.1 The algebras where $L^3 < Z(L)$

In this case we can choose our basis such that

$$\begin{array}{l}
 L^3 \begin{array}{|l} x_5 \\ x_4 \\ x_3 \end{array} \begin{array}{l} y_5 \\ y_4 \\ y_3 \end{array} \\
 Z(L) \begin{array}{|l|l} x_2 & y_2 \end{array} Z_2(L) \\
 \begin{array}{|l|l} x_1 & y_1 \end{array} L^2 = Z(L)^\perp
 \end{array}
 \qquad
 \begin{array}{l}
 L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 \\
 Z(L) = L^3 + \mathbb{F}x_2 \\
 L^2 = Z(L)^\perp = L^3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 \\
 Z_2(L) = (L^3)^\perp = Z(L) + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2
 \end{array}$$

Notice that, as $Z_2(L) \cdot L^2 = \{0\}$, we have that $Z_2(L)$ is abelian and thus in particular $x_1y_2 = y_1y_2 = 0$. As $Z_2(L)$ is an abelian ideal we also have that $Z_2(L)L$ is orthogonal to $Z_2(L)$ and thus $Z_2(L) \cdot L \leq Z_2(L)^\perp = L^3$. It follows that

$$\mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 + L^3 = L^2 = \mathbb{F}y_3y_4 + \mathbb{F}y_3y_5 + \mathbb{F}y_4y_5 + L^3.$$

Suppose

$$x_2 + L^3 = \alpha y_3y_4 + \beta y_3y_5 + \gamma y_4y_5 + L^3.$$

Now at least one of α, β, γ is nonzero and by the symmetry in y_3, y_4, y_5 we can assume that $\alpha \neq 0$. Thus

$$x_2 + L^3 = (y_3 - \frac{\gamma}{\alpha}y_5)(\alpha y_4 + \beta y_5) + L^3.$$

By replacing x_4, x_5, y_3, y_4 by $\tilde{x}_4 = \frac{1}{\alpha}x_4, \tilde{x}_5 = x_5 - \frac{\beta}{\alpha}x_4 + \frac{\gamma}{\alpha}x_3, \tilde{y}_3 = y_3 - \frac{\gamma}{\alpha}y_5, \tilde{y}_4 = \alpha y_4 + \beta y_5$, we can then assume that $x_2 + L^3 = y_3y_4 + L^3$. In particular $(y_2y_3, y_4) = 1$. Suppose that $(y_3y_4, y_5) = \tau$. Replacing x_2, y_5 by $\tilde{x}_2 = x_2 + \tau x_5$ and $\tilde{y}_5 = y_5 - \tau y_2$ we can furthermore assume that $(y_3y_4, y_5) = 0$. If we let $V = \mathbb{F}y_3 + \mathbb{F}y_4 + \mathbb{F}y_5$, it follows that we now have

$$V^2 = \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 \quad \text{and} \quad y_3y_4 = x_2. \tag{6.1}$$

By (6.1) we know that

$$x_1 = \alpha y_3y_4 + \beta y_3y_5 + \gamma y_4y_5 = \alpha x_2 + \beta y_3y_5 + \gamma y_4y_5,$$

where without loss of generality we can assume that $\gamma \neq 0$. Then

$$x_1 = (y_4 + \frac{\beta}{\gamma}y_3)(-\alpha y_3 + \gamma y_5).$$

Replacing x_3, x_5, y_4, y_5 by $\tilde{x}_3 = x_3 - \frac{\beta}{\gamma}x_4 + \frac{\alpha}{\gamma}x_5, \tilde{x}_5 = \frac{1}{\gamma}x_5, \tilde{y}_4 = y_4 + \frac{\beta}{\gamma}y_3$ and $\tilde{y}_5 = -\alpha y_3 + \gamma y_5$, we obtain

$$y_4 y_5 = x_1. \tag{6.2}$$

Notice that (6.1) is not affected by these changes. Finally we know from (6.1) and (6.2) that

$$y_1 = -\alpha x_2 - \beta x_1 + \gamma y_5 y_3$$

for some $0 \neq \gamma \in \mathbb{F}$. Then

$$y_1 = (y_5 + \frac{\alpha}{\gamma}y_4)(\gamma y_3 + \beta y_4).$$

Now replace x_3, x_4, y_3, y_5 by $\tilde{x}_3 = \frac{1}{\gamma}x_3, \tilde{x}_4 = x_4 - \frac{\alpha}{\gamma}x_5 - \frac{\beta}{\gamma}x_3, \tilde{y}_3 = \gamma y_3 + \beta y_4$ and $\tilde{y}_5 = y_5 + \frac{\alpha}{\gamma}y_4$. This gives us

$$y_5 y_3 = y_1. \tag{6.3}$$

This does not affect (6.2) but instead of (6.1) we get $y_3 y_4 = \gamma x_2$. Now we make the final change by replacing x_2 and y_2 by γx_2 and $\frac{1}{\gamma}y_2$ and we can assume that (6.1), (6.2) and (6.3) hold. We had seen earlier that $Z_2(L)$ is abelian and thus all triple values involving two elements from $\{x_5, x_4, x_3, x_2, x_1, y_1, y_2\}$ is trivial. Thus all the nontrivial triple values involve two of y_3, y_4, y_5 but from (6.1), (6.2) and (6.3) we know what these are. We have thus proved

Proposition 6.1. *There is a unique nilpotent SAA of dimension 10 that has an isotropic center of dimension 4 and where $L^3 < Z(L)$. This algebra can be given by the nilpotent presentation*

$$\mathcal{P}_{10}^{(4,1)} : (y_2 y_3, y_4) = 1, (y_1 y_4, y_5) = 1, (x_1 y_3, y_5) = 1.$$

Remark 6.2. *Inspection shows that the algebra with that presentation has a center of dimension 4 and the property that $L^3 < Z(L)$.*

6.2 The algebras where $L^3 = Z(L)$

We will see that this case is quite intricate and we will need to consider several subcases.

$$L^3 = Z(L) \begin{array}{|l} x_5 \\ x_4 \\ x_3 \\ x_2 \end{array} \begin{array}{l} y_5 \\ y_4 \\ y_3 \\ y_2 \end{array}$$

$$\begin{array}{|l} x_1 \\ y_1 \end{array} L^2 = Z(L)^\perp = L^{3\perp} = Z_2(L)$$

$$Z(L) = L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2$$

$$Z_2(L) = L^2 = Z(L)^\perp = Z(L) + \mathbb{F}x_1 + \mathbb{F}y_1$$

In order to clarify the structure further, we will associate to any such algebra a family of new alternating forms that are defined as follows. For each $\bar{z} = z + Z(L) \in L^2/Z(L)$, we obtain the alternating form

$$\phi_{\bar{z}} : L/L^2 \times L/L^2 \longrightarrow \mathbb{F}$$

given by

$$\phi_{\bar{z}}(\bar{u}, \bar{v}) = (zu, v)$$

where $\bar{u} = u + L^2$ and $\bar{v} = v + L^2$. Notice that this is a well defined function as L^2 is abelian.

Remark 6.3. (1) *If $0 \neq \bar{z} = z + Z(L) \in L^2/Z(L)$, then $\phi_{\bar{z}} \neq 0$. Otherwise we would have $(zu, v) = 0$ for all $u, v \in L$ that would give the contradiction that $z \in Z(L)$ and thus $\bar{z} = 0$.*

(2) *There is no non-zero element in $V = L/L^2$ that is common to the isotropic part of $V = L/L^2$ with respect to all the alternating forms $\phi_{\bar{z}}$ with $\bar{z} \in L^2/Z(L)$. Otherwise there would be some $0 \neq t \in \mathbb{F}y_5 + \mathbb{F}y_4 + \mathbb{F}y_3 + \mathbb{F}y_2$ such that $(zt, u) = 0$ for all $z \in L^2$ and all $u \in L$. But then $ut \in (L^2)^\perp = Z(L)$ for all $u \in L$ that gives the contradiction that $t \in Z_2(L) = L^2$.*

We divide the algebras into three categories.

A. The algebras where there exists a basis \bar{z}, \bar{t} for $L^2/Z(L)$ such that the alternating forms $\phi_{\bar{z}}, \phi_{\bar{t}}$ are both degenerate.

B. The algebras where there exists $0 \neq \bar{z} \in L^2/Z(L)$ such that $\phi_{\bar{z}}$ is degenerate but $\phi_{\bar{t}}$ is non-degenerate for all $\bar{t} \in L^2/Z(L)$ that are not in $\mathbb{F}\bar{z}$.

C. The algebras where $\phi_{\bar{z}}$ is non-degenerate for all $0 \neq \bar{z} \in L^2/Z(L)$.

6.2.1 Algebras of type A

Pick $x_1, y_1 \in L^2 \setminus Z(L)$ such that ϕ_{x_1} and ϕ_{y_1} are degenerate and such that $(x_1, y_1) = 1$. By the remarks above we thus know that the isotropic part of L/L^2 with respect to both the alternating forms ϕ_{x_1} and ϕ_{y_1} is of dimension 2 and the intersection of the two is trivial. Thus we can pick a basis $\bar{y}_5 = y_5 + L^2, \bar{y}_4 = y_4 + L^2, \bar{y}_3 = y_3 + L^2, \bar{y}_2 = y_2 + L^2$ for L/L^2 such that

$\mathbb{F}\bar{y}_4 + \mathbb{F}\bar{y}_5$ is the isotropic part of L/L^2 with respect to ϕ_{x_1} .

$\mathbb{F}\bar{y}_3 + \mathbb{F}\bar{y}_2$ is the isotropic part of L/L^2 with respect to ϕ_{y_1} .

This shows that we can pick our standard basis such that

$$\begin{aligned} (x_1 y_2, y_3) &= 1 & (y_1 y_2, y_3) &= 0 \\ (x_1 y_2, y_4) &= 0 & (y_1 y_2, y_4) &= 0 \\ (x_1 y_2, y_5) &= 0 & (y_1 y_2, y_5) &= 0 \\ (x_1 y_3, y_4) &= 0 & (y_1 y_3, y_4) &= 0 \\ (x_1 y_3, y_5) &= 0 & (y_1 y_3, y_5) &= 0 \\ (x_1 y_4, y_5) &= 0 & (y_1 y_4, y_5) &= 1. \end{aligned}$$

To determine the structure fully we are only left with the triples $(y_i y_j, y_k) = r_{ijk}$ for $2 \leq i < j < k \leq 5$. Let

$$\begin{aligned} \tilde{y}_i &= y_i + \alpha_i x_1 + \alpha_i y_1, \\ \tilde{x}_1 &= x_1 - (\alpha_2 x_2 + \alpha_3 x_3 + \alpha_4 x_4 + \alpha_5 x_5), \\ \tilde{y}_1 &= y_1 + \alpha_2 x_2 + \alpha_3 x_3 + \alpha_4 x_4 + \alpha_5 x_5. \end{aligned}$$

Inspection shows that we can choose $\alpha_2, \dots, \alpha_5$ such that $(\tilde{y}_i \tilde{y}_j, \tilde{y}_k) = 0$ for all $2 \leq i < j < k \leq 5$. In fact this works for $\alpha_2 = -r_{245}, \alpha_3 = -r_{345}, \alpha_4 = -r_{234}$ and $\alpha_5 = -r_{235}$. We have thus proved the following result.

Proposition 6.4. *There is a unique nilpotent SAA of dimension 10 with an isotropic center of dimension 4 and where $L^3 = Z(L)$ that is of type A. This algebras can be given by the presentation*

$$\mathcal{P}_{10}^{(4,2)} : (x_1 y_2, y_3) = 1, (y_1 y_4, y_5) = 1.$$

Notice that inspection shows that the algebra with this presentation indeed has the properties stated in the proposition.

6.2.2 Algebras of type B

Suppose that $\phi_{\bar{x}_1}$ is degenerate and that the isotropic part of L/L^2 with respect to this alternating form is $\mathbb{F}\bar{y}_4 + \mathbb{F}\bar{y}_5$. We are now assuming that $\phi_{\bar{z}}$ is non-degenerate for all $\bar{z} \notin \mathbb{F}\bar{x}_1$. Pick $y_1 \in L^2$ such that $(x_1, y_1) = 1$.

Remark 6.5. *We must have $\phi_{\bar{y}_1}(\bar{y}_4, \bar{y}_5) = 0$. Otherwise we would get a basis $\bar{y}_2, \bar{y}_3, \bar{y}_4, \bar{y}_5$ for L/L^2 such that*

$$\phi_{\bar{y}_1}(\bar{y}_4, \bar{y}_5) = 1, \phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_3) = 1, \phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_3) = \alpha \neq 0$$

and where $\phi_{\bar{y}_1}(\bar{y}_i, \bar{y}_j) = 0$ and likewise $\phi_{\bar{x}_1}(\bar{y}_i, \bar{y}_j) = 0$ for any pair \bar{y}_i, \bar{y}_j such that $2 \leq i < j \leq 5$ that is not included above. But then inspection shows that $\phi_{\alpha\bar{y}_1 - \bar{x}_1}$ is degenerate where the corresponding isotropic part of L/L^2 is $\mathbb{F}\bar{y}_2 + \mathbb{F}\bar{y}_3$. But this contradicts our assumptions.

We thus know that $\phi_{\bar{y}_1}(\bar{y}_4, \bar{y}_5) = 0$. As $\phi_{\bar{y}_1}$ is non-degenerate we know that there exists some $\bar{y}_2 \in L/L^2$ such that

$$\phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_4) = 1. \tag{6.4}$$

Replacing y_5 and y_3 by some suitable $y_5 + \alpha y_4$ and $y_3 + \beta y_4 + \gamma y_2$ we can furthermore assume that

$$\phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_5) = \phi_{\bar{y}_1}(\bar{y}_3, \bar{y}_4) = \phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_3) = 0. \tag{6.5}$$

As $\phi_{\bar{x}_1}$ is non-zero we must have $\phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_3) \neq 0$ and by replacing \bar{y}_3 by a multiple

of itself we can assume that

$$\phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_3) = 1. \quad (6.6)$$

Notice that this does not affect (6.4) and (6.5). As $\phi_{\bar{y}_1}$ is non-degenerate we cannot have that \bar{y}_3 is isotropic to all vectors in L/L^2 with respect to this alternating form. Thus by (6.5) we must have $\phi_{\bar{y}_1}(\bar{y}_3, \bar{y}_5) \neq 0$ and by replacing \bar{y}_5 by a multiple of itself we can assume that

$$\phi_{\bar{y}_1}(\bar{y}_3, \bar{y}_5) = 1. \quad (6.7)$$

Again equations (6.4), (6.5) and (6.6) are not affected. We thus see that we can choose a standard basis such that

$$\begin{aligned} (x_1y_2, y_3) &= 1 & (y_1y_2, y_3) &= 0 \\ (x_1y_2, y_4) &= 0 & (y_1y_2, y_4) &= 1 \\ (x_1y_2, y_5) &= 0 & (y_1y_2, y_5) &= 0 \\ (x_1y_3, y_4) &= 0 & (y_1y_3, y_4) &= 0 \\ (x_1y_3, y_5) &= 0 & (y_1y_3, y_5) &= 1 \\ (x_1y_4, y_5) &= 0 & (y_1y_4, y_5) &= 0. \end{aligned}$$

As in case *A* we are only left with the triples $(y_iy_j, y_k) = r_{ijk}$ for all $2 \leq i < j < k \leq 5$. As in that case we let

$$\begin{aligned} \tilde{y}_i &= y_i + \alpha_i x_1 + \alpha_i y_1, \\ \tilde{x}_1 &= x_1 - (\alpha_2 x_2 + \alpha_3 x_3 + \alpha_4 x_4 + \alpha_5 x_5), \\ \tilde{y}_1 &= y_1 + \alpha_2 x_2 + \alpha_3 x_3 + \alpha_4 x_4 + \alpha_5 x_5. \end{aligned}$$

Inspection shows that we can choose $\alpha_2, \alpha_3, \alpha_4, \alpha_5$ such that $(\tilde{y}_i \tilde{y}_j, \tilde{y}_k) = 0$ for $2 \leq i < j < k \leq 5$. We thus get the following result.

Proposition 6.6. *There is a unique nilpotent SAA of dimension 10 with isotropic center of dimension 4 where $L^3 = Z(L)$ and L is of type *B*. This algebra can be given by the presentation*

$$\mathcal{P}_{10}^{(4,3)} : (x_1y_2, y_3) = 1, (y_1y_2, y_4) = 1, (y_1y_3, y_5) = 1.$$

Proof. We have already shown that this algebra is the only candidate. Inspection shows that conversely this algebra has isotropic center of dimension 4 and $L^3 =$

$Z(L)$. It remains to see that the algebra is of type B . Thus let $r \in \mathbb{F}$. We want to show that $\phi_{r\bar{x}_1+\bar{y}_1}$ is non-degenerate. Let $t = \alpha y_2 + \beta y_3 + \gamma y_4 + \delta y_5$ such that $\phi_{r\bar{x}_1+\bar{y}_1}(\bar{t}, \bar{u}) = 0$ for all $\bar{u} \in L/L^2$ where $\bar{t} = t + L^2$. Then

$$\begin{aligned} 0 &= \phi_{r\bar{x}_1+\bar{y}_1}(\bar{t}, \bar{y}_5) = \beta \\ 0 &= \phi_{r\bar{x}_1+\bar{y}_1}(\bar{t}, \bar{y}_4) = \alpha \\ 0 &= \phi_{r\bar{x}_1+\bar{y}_1}(\bar{t}, \bar{y}_3) = r\alpha - \delta = -\delta \\ 0 &= \phi_{r\bar{x}_1+\bar{y}_1}(\bar{t}, \bar{y}_2) = -r\beta - \gamma = -\gamma. \end{aligned}$$

Thus $\bar{t} = 0$. □

6.2.3 Algebras of type C

Here we are assuming that ϕ_z is non-degenerate for all $0 \neq z \in L^2/Z(L)$. Let L be any nilpotent SAA of type C . Notice that $L^2/Z(L) = L^2/(L^2)^\perp$ naturally becomes a 2-dimensional symplectic vector space with inherited alternating form from L . Thus $(u + Z(L), v + Z(L)) = (u, v)$ for $u, v \in L^2$. We pick a basis x, y for $L^2/Z(L)$ such that $(x, y) = 1$ and then choose some fixed elements $x_1, y_1 \in L^2$ such that $x = \bar{x}_1 = x_1 + Z(L)$ and $y = \bar{y}_1 = y_1 + Z(L)$. For any vector $u \in L/L^2$ we will denote by $\langle u \rangle_1^\perp$ the subspace of L/L^2 consisting of all the vectors that are isotropic to u with respect to $\phi_{\bar{x}_1}$. Likewise we will denote by $\langle u \rangle_2^\perp$ the subspace of L/L^2 consisting of all the vectors that are isotropic to u with respect to $\phi_{\bar{y}_1}$.

Definition 6.7. We say that a subspace of L/L^2 is *totally isotropic* if it is isotropic with respect to ϕ_z for all $z \in L^2/Z(L)$.

Lemma 6.8. For each $0 \neq u \in L/L^2$ there exists a unique totally isotropic plane through u .

Proof. We know that $\langle u \rangle_1^\perp$ and $\langle u \rangle_2^\perp$ are 3-dimensional. Thus if they are not equal then

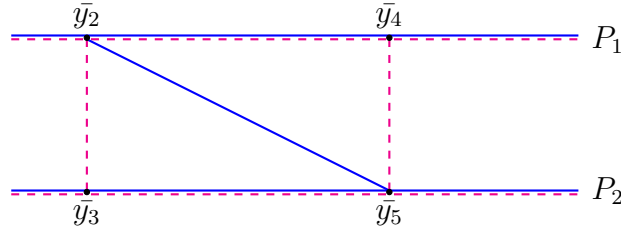
$$4 = \dim(\langle u \rangle_1^\perp + \langle u \rangle_2^\perp) = \dim \langle u \rangle_1^\perp + \dim \langle u \rangle_2^\perp - \dim(\langle u \rangle_1^\perp \cap \langle u \rangle_2^\perp).$$

Therefore $\dim(\langle u \rangle_1^\perp \cap \langle u \rangle_2^\perp) = 6 - 4 = 2$. Thus the collection of all the elements in L/L^2 that are isotropic to u with respect to ϕ_z for all $z \in L^2/Z(L)$, namely $\langle u \rangle_1^\perp \cap \langle u \rangle_2^\perp$, is a plane.

It remains to see that $\langle u \rangle_1^\perp \neq \langle u \rangle_2^\perp$. We argue by contradiction and pick a basis u, v, w for this common subspace and add a vector t to get a basis for L/L^2 . By replacing x_1 by some suitable $x_1 + \alpha y_1$, we can assume that $\phi_{\bar{x}_1}(u, t) = 0$. But then u is isotropic to all elements of L/L^2 with respect to $\phi_{\bar{x}_1}$ that contradicts the assumption that $\phi_{\bar{x}_1}$ is non-degenerate. \square

The alternating forms ϕ_z with $z \in L^2/Z(L)$ will help us understanding the structure of algebras of type C . We will next come up with a special type of presentations for algebras of type C based on the geometry arising from the family of the auxiliary alternating forms.

Let L be an algebra of type C . As a starting point we pick two distinct totally isotropic planes $P_1, P_2 \leq L/L^2$ and we pick some non-zero vector \bar{y}_2 on P_1 . By Lemma 6.8, we have that $P_1 \cap P_2 = \{0\}$ and thus $L/L^2 = P_1 \oplus P_2$. Now $\langle \bar{y}_2 \rangle_1^\perp$ is 3-dimensional and contains P_1 . Thus $\langle \bar{y}_2 \rangle_1^\perp \cap P_2$ is 1-dimensional and not contained in $\langle \bar{y}_2 \rangle_2^\perp$ by Lemma 6.8. Thus there is unique vector $\bar{y}_5 \in P_2$ where $\phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_5) = 0$ and $\phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_5) = 1$.



Similarly we have a unique element $\bar{y}_3 \in P_2$ such that $\phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_3) = 0$ and $\phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_3) = 1$. By Lemma 6.8 we have $\langle \bar{y}_5 \rangle_2^\perp \neq \langle \bar{y}_3 \rangle_2^\perp$. Thus there exists a unique $\bar{y}_4 \in P_1$ such that $\phi_{\bar{y}_1}(\bar{y}_4, \bar{y}_5) = 0$ and $\phi_{\bar{y}_1}(\bar{y}_3, \bar{y}_4) = 1$. Notice also that $\phi_{\bar{x}_1}(\bar{y}_4, \bar{y}_5) \neq 0$ and that $\bar{y}_2, \bar{y}_3, \bar{y}_4, \bar{y}_5$ form a basis for L/L^2 . It follows from the discussion that, for some $\alpha, \beta \in \mathbb{F}$ with $\beta \neq 0$, we have

$$\begin{aligned}
 \phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_3) &= 1 & \phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_3) &= 0 \\
 \phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_4) &= 0 & \phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_4) &= 0 \\
 \phi_{\bar{x}_1}(\bar{y}_2, \bar{y}_5) &= 0 & \phi_{\bar{y}_1}(\bar{y}_2, \bar{y}_5) &= 1 \\
 \phi_{\bar{x}_1}(\bar{y}_3, \bar{y}_4) &= \alpha & \phi_{\bar{y}_1}(\bar{y}_3, \bar{y}_4) &= 1 \\
 \phi_{\bar{x}_1}(\bar{y}_3, \bar{y}_5) &= 0 & \phi_{\bar{y}_1}(\bar{y}_3, \bar{y}_5) &= 0 \\
 \phi_{\bar{x}_1}(\bar{y}_4, \bar{y}_5) &= \beta & \phi_{\bar{y}_1}(\bar{y}_4, \bar{y}_5) &= 0.
 \end{aligned} \tag{6.8}$$

The matrix for the alternating form $\phi_{r\bar{x}_1+s\bar{y}_1}$ with respect to the ordered basis $(\bar{y}_2, \bar{y}_4, \bar{y}_3, \bar{y}_5)$ is then

$$r \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & -\alpha & \beta \\ -1 & \alpha & 0 & 0 \\ 0 & -\beta & 0 & 0 \end{bmatrix} + s \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{bmatrix}$$

with determinant $(\beta r^2 + \alpha r s + s^2)^2$. As we are dealing here with algebras of type C this determinant must be non-zero for all $(r, s) \neq (0, 0)$. Equivalently we must have that the polynomial

$$t^2 + \alpha t + \beta$$

is irreducible in $\mathbb{F}[t]$. Using this and (6.8) we will now obtain a full presentation for our algebra. As before we are only left with the triples $(y_i y_j, y_k) = r_{ijk}$ for $2 \leq i < j < k \leq 5$. We will see that we can choose a standard basis such that $x_1 + Z(L) = x$, $y_1 + Z(L) = y$ and $y_i + L^2 = \bar{y}_i$ for $2 \leq i \leq 5$. It turns out that we do not have to alter our basis elements x_5, \dots, x_2 of the center. We do this with a change of basis of the form

$$\begin{aligned} \tilde{x}_1 &= x_1 - (\alpha_2 x_2 + \alpha_3 x_3 + \alpha_4 x_4 + \alpha_5 x_5), \\ \tilde{y}_1 &= y_1 + (\alpha_2 x_2 + \alpha_3 x_3 + \alpha_4 x_4 + \alpha_5 x_5), \\ \tilde{y}_i &= y_i + \alpha_i x_1 + \alpha_i y_1. \end{aligned}$$

Inspection shows that the equations $(\tilde{y}_i \tilde{y}_j, \tilde{y}_k) = 0$, $2 \leq i < j < k \leq 5$ are equivalent to

$$\begin{aligned} \begin{bmatrix} -1 & 1 \\ \beta & \alpha + 1 \end{bmatrix} \begin{bmatrix} \alpha_3 \\ \alpha_5 \end{bmatrix} &= \begin{bmatrix} -r_{235} \\ -r_{345} \end{bmatrix}, \\ \begin{bmatrix} \alpha + 1 & 1 \\ \beta & -1 \end{bmatrix} \begin{bmatrix} \alpha_2 \\ \alpha_4 \end{bmatrix} &= \begin{bmatrix} -r_{234} \\ -r_{245} \end{bmatrix}. \end{aligned}$$

Notice that we cannot have that $\alpha + \beta + 1 = 0$ since otherwise 1 is a root of $t^2 + \alpha t + \beta$ that is absurd as the polynomial is irreducible. We thus have solution $(\alpha_2, \alpha_3, \alpha_4, \alpha_5)$ to the equation system and we arrive at a standard basis that

gives us the following presentation

$$\begin{aligned} \mathcal{P}_{10}^{(4,4)}(\alpha, \beta) : \quad & (x_1 y_2, y_3) = 1, \quad (y_1 y_2, y_5) = 1, \\ & (x_1 y_3, y_4) = \alpha, \quad (y_1 y_3, y_4) = 1, \\ & (x_1 y_4, y_5) = \beta, \end{aligned} \tag{6.9}$$

where the polynomial $t^2 + \alpha t + \beta$ is irreducible. Conversely, inspection shows that any algebra with such presentation, where $t^2 + \alpha t + \beta$ is irreducible, gives us an algebra of type C .

We next turn to the isomorphism problem. That is we want to understand when two pairs (α, β) and $(\tilde{\alpha}, \tilde{\beta})$ describe the same algebra. As a first step we first prove the following lemma.

Lemma 6.9. *Let x, y be elements in $L^2/Z(L)$ such that $(x, y) = 1$. We have that the values of α and β remain the same for all presentations of the form $\mathcal{P}_{10}^{(4,4)}(\alpha, \beta)$ where, for the given standard basis, $x_1 + Z(L) = x$ and $y_1 + Z(L) = y$.*

Proof. Our method for producing α and β was based on choosing some distinct totally isotropic planes P_1, P_2 and some non-zero vector \bar{y}_2 on P_1 . From this we came up with a procedure that provided us with unique vectors $\bar{y}_2, \bar{y}_4 \in P_1$ and $\bar{y}_3, \bar{y}_5 \in P_2$ from which the values α and β can be calculated as

$$\alpha = \phi_x(\bar{y}_3, \bar{y}_4), \quad \beta = \phi_x(\bar{y}_4, \bar{y}_5).$$

We want to show that if $x_1 + Z(L), y_1 + Z(L)$ are kept fixed the procedure will always produce the same value for α and β . As a starting point we will see that the values do not depend on which vector \bar{y}_2 from P_1 we choose. We do this in two steps. First notice that if we choose $\tilde{y}_2 = a\bar{y}_2$ for some $0 \neq a \in \mathbb{F}$, then the procedure gives us the new vectors $\tilde{y}_3 = \frac{1}{a}\bar{y}_3, \tilde{y}_5 = \frac{1}{a}\bar{y}_5$ and $\tilde{y}_4 = a\bar{y}_4$ and this gives us the values

$$\begin{aligned} \tilde{\alpha} &= \phi_{\tilde{x}_1}(\tilde{y}_3, \tilde{y}_4) = \phi_{\tilde{x}_1}\left(\frac{1}{a}\bar{y}_3, a\bar{y}_4\right) = \alpha, \\ \tilde{\beta} &= \phi_{\tilde{x}_1}(\tilde{y}_4, \tilde{y}_5) = \phi_{\tilde{x}_1}(a\bar{y}_4, \frac{1}{a}\bar{y}_5) = \beta. \end{aligned}$$

It remains to consider the change $\tilde{y}_2 = \bar{y}_4 + a\bar{y}_2$. Following the mechanical

procedure above produces the elements

$$\begin{aligned}\tilde{y}_5 &= \frac{-\beta}{a^2 - a\alpha + \beta} \bar{y}_3 + \frac{a - \alpha}{a^2 - a\alpha + \beta} \bar{y}_5, \\ \tilde{y}_3 &= \frac{a}{a^2 - a\alpha + \beta} \bar{y}_3 + \frac{1}{a^2 - a\alpha + \beta} \bar{y}_5, \\ \tilde{y}_4 &= -\beta \bar{y}_2 + (a - \alpha) \bar{y}_4.\end{aligned}$$

Inspection shows that again we have $\phi_{\bar{x}_1}(\tilde{y}_3, \tilde{y}_4) = \alpha$ and $\phi_{\bar{x}_1}(\tilde{y}_4, \tilde{y}_5) = \beta$.

We have thus seen that for a given pair P_1, P_2 of distinct totally isotropic planes we get unique values $\alpha(P_1, P_2)$ and $\beta(P_1, P_2)$ not depending on which vector \bar{y}_2 from P_1 we choose for the procedure above. The next step is to see that $\alpha(P_2, P_1) = \alpha(P_1, P_2)$ and $\beta(P_2, P_1) = \beta(P_1, P_2)$. So suppose we have some standard basis with respect to the pair P_1, P_2 that gives us the presentation $\mathcal{P}_{10}^{(4,4)}(\alpha, \beta)$. Now consider $\tilde{y}_2 = \bar{y}_5, \tilde{y}_4 = \beta \bar{y}_3 \in P_2$ and $\tilde{y}_3 = \frac{-1}{\beta} \bar{y}_4, \tilde{y}_5 = -\bar{y}_2 \in P_1$. Inspection shows that this is standard basis for the pair P_2, P_1 and

$$\begin{aligned}\alpha(P_2, P_1) &= \phi_{\bar{x}_1}(\tilde{y}_3, \tilde{y}_4) = \phi_{\bar{x}_1}\left(\frac{-1}{\beta} \bar{y}_4, \beta \bar{y}_3\right) = \alpha(P_1, P_2) \\ \beta(P_2, P_1) &= \phi_{\bar{x}_1}(\tilde{y}_4, \tilde{y}_5) = \phi_{\bar{x}_1}(\beta \bar{y}_3, -\bar{y}_2) = \beta(P_1, P_2).\end{aligned}$$

Now pick any totally isotropic plane P_3 that is distinct from P_1, P_2 . The aim is to show that $\alpha(P_3, P_2) = \alpha(P_1, P_2)$ and $\beta(P_3, P_2) = \beta(P_1, P_2)$. Take any basis for P_3 . This must be of the form $u_1 + v_1, u_2 + v_2$ with $u_1, u_2 \in P_1$ and $v_1, v_2 \in P_2$. Notice first that $u_1 + P_2, u_2 + P_2$ are linearly independent vectors in $P_1 + P_2/P_2$. To see this, take $a, b \in \mathbb{F}$ such that

$$P_2 = au_1 + bu_2 + P_2 = a(u_1 + v_1) + b(u_2 + v_2) + P_2.$$

Then $a(u_1 + v_1) + b(u_2 + v_2) \in P_2 \cap P_3 = \{0\}$. As the vectors $u_1 + v_1, u_2 + v_2$ are linearly independent it follows that $a = b = 0$. In particular we can choose our basis for P_3 to be of the form $\bar{y}_2 + u, \bar{y}_4 + v$ with $u, v \in P_2$. Inspection shows that for $\tilde{y}_2 = \bar{y}_2 + u, \tilde{y}_4 = \bar{y}_4 + v \in P_3$ and $\bar{y}_3, \bar{y}_5 \in P_2$ we have a standard basis with respect to the pair P_3, P_2 . Furthermore the corresponding parameters are $\phi_{\bar{x}_1}(\tilde{y}_3, \tilde{y}_4) = \alpha$ and $\phi_{\bar{x}_1}(\tilde{y}_4, \tilde{y}_5) = \beta$.

We have now all the input we need to finish the proof of the lemma. Take any four totally isotropic planes P_1, P_2, P_3, P_4 in L/L^2 such that $P_1 \neq P_2$ and

$P_3 \neq P_4$. If these planes are not all distinct then we get directly from the analysis above that $\alpha(P_3, P_4) = \alpha(P_1, P_2)$ and $\beta(P_3, P_4) = \beta(P_1, P_2)$. Now suppose the planes are distinct. Then $\alpha(P_3, P_4) = \alpha(P_1, P_4) = \alpha(P_1, P_2)$ and $\beta(P_3, P_4) = \beta(P_1, P_4) = \beta(P_1, P_2)$. This finishes the proof of the lemma. \square

It follows from the lemma that if we want to obtain a new presentation for some given algebra L , then we must choose different vectors x, y for $L^2/Z(L)$. We thus only need to consider a change of standard basis for L of the form $\tilde{x}_1 = ax_1 + by_1, \tilde{y}_1 = cx_1 + dy_1$ where $1 = (\tilde{x}_1, \tilde{y}_1) = ad - bc$. Suppose that we have a presentation $\mathcal{P}_{10}^{(4,4)}(\alpha, \beta)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$ and let \tilde{x}_1, \tilde{y}_1 be given as above. Going again through the standard procedure with respect to $P_1 = \mathbb{F}\bar{y}_2 + \mathbb{F}\bar{y}_4, P_2 = \mathbb{F}\bar{y}_3 + \mathbb{F}\bar{y}_5$ and $\bar{y}_2 \in P_1$ gives us the new basis $\bar{y}_2, \tilde{y}_3, \tilde{y}_5, \tilde{y}_4$ where

$$\begin{aligned}\tilde{y}_5 &= -b\bar{y}_3 + a\bar{y}_5 \\ \tilde{y}_3 &= d\bar{y}_3 - c\bar{y}_5 \\ \tilde{y}_4 &= \frac{-abc - \beta ac - bd}{\beta c^2 + \alpha cd + d^2} \bar{y}_2 + \frac{1}{\beta c^2 + \alpha cd + d^2} \bar{y}_4.\end{aligned}$$

From this we can calculate the new parameters $\tilde{\alpha}$ and $\tilde{\beta}$ and we obtain the following proposition.

Proposition 6.10. *Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 4 that is of type C. Then L has a presentation of the form*

$$\begin{aligned}\mathcal{P}_{10}^{(4,4)}(\alpha, \beta) : \quad & (x_1 y_2, y_3) = 1, \\ & (x_1 y_3, y_4) = \alpha, \quad (x_1 y_4, y_5) = \beta, \\ & (y_1 y_2, y_5) = 1, \quad (y_1 y_3, y_4) = 1,\end{aligned}$$

where the polynomial $t^2 + \alpha t + \beta$ is irreducible in $\mathbb{F}[t]$. Conversely any such presentation gives us an algebra of type C. Furthermore two presentations $\mathcal{P}_{10}^{(4,4)}(\alpha, \beta)$ and $\mathcal{P}_{10}^{(4,4)}(\tilde{\alpha}, \tilde{\beta})$ describe the same algebra if and only if

$$\begin{aligned}\tilde{\alpha} &= \frac{(ad + bc)\alpha + 2ac\beta + 2bd}{d^2 + cd\alpha + c^2\beta} \\ \tilde{\beta} &= \frac{b^2 + ab\alpha + a^2\beta}{d^2 + cd\alpha + c^2\beta}\end{aligned}$$

for some $a, b, c, d \in \mathbb{F}$ where $ad - bc = 1$.

6.2.4 Further analysis of algebras of type C and some examples

In order to get a more transparent picture of the algebras of type C , it turns out to be useful to consider the case when the characteristic is 2 and the case when the characteristic is not 2 separately.

Lemma 6.11. *Let L be an algebra of type C over a field \mathbb{F} of characteristic that is not 2. Then L has a presentation of the form $\mathcal{P}_{10}^{(4,4)}(0, \beta)$ with respect to some standard basis.*

Proof. By Proposition 6.10 we know that we can choose a standard basis for L so that L has a presentation of the form $\mathcal{P}_{10}^{(4,4)}(\alpha, \beta)$ with respect to this basis. Now let $a = 0, b = 1, c = -1$ and $d = \alpha/2$. Then $ad - bc = 1$ and by Proposition 6.10 again we know that there is presentation for L of the form $\mathcal{P}_{10}^{(4,4)}(\tilde{\alpha}, \tilde{\beta})$ where $\tilde{\alpha} = 0$. \square

Proposition 6.12. *Let L be a nilpotent SAA of type C over a field \mathbb{F} of characteristic that is not 2. Then L has a presentation of the form*

$$\mathcal{P}(\beta) : \quad (x_1y_2, y_3) = 1, \quad (x_1y_4, y_5) = \beta, \quad (y_1y_2, y_5) = 1, \quad (y_1y_3, y_4) = 1,$$

where $\beta \notin -\mathbb{F}^2$. Conversely any such presentation gives us an algebra of type C . Furthermore two such presentations $\mathcal{P}(\beta)$ and $\mathcal{P}(\tilde{\beta})$ describe the same algebra if and only if

$$\tilde{\beta}/\beta = (a^2 + b^2\beta)^2$$

for some $(a, b) \in \mathbb{F} \times \mathbb{F} \setminus \{(0, 0)\}$.

Proof. From Lemma 6.11 we know that such a presentation exists and the polynomial $t^2 + \beta$ is irreducible if and only if $\beta \notin -\mathbb{F}^2$. By Proposition 6.10 we then know that $\mathcal{P}(0, \beta)$ and $\mathcal{P}(0, \tilde{\beta})$ describe the same algebra if and only if there are $a, b, c, d \in \mathbb{F}$ such that

$$0 = ac\beta + bd, \quad ad - bc = 1$$

and

$$\tilde{\beta} = \frac{b^2 + a^2\beta}{d^2 + c^2\beta}.$$

Solving these together shows that these conditions are equivalent to saying that

$$\tilde{\beta} = \left(\frac{b}{\beta}\right)^2\beta + a^2)^2\beta$$

for some $a, b \in \mathbb{F}$. As $\frac{b}{\beta}$ is arbitrary, the second part of the proposition follows. \square

Examples. (1) If $\mathbb{F} = \mathbb{C}$ then there are no algebras of type C . This holds more generally for any field \mathbb{F} whose characteristic is not 2 and where all elements in \mathbb{F} have a square root in \mathbb{F} .

(2) Suppose $\mathbb{F} = \mathbb{R}$. Here $\beta \notin -\mathbb{R}^2$ if and only if $\beta > 0$ in which case there exist $a \in \mathbb{R}$ such that $\beta = a^4$. Hence, by Proposition 6.12, $\mathcal{P}(\beta)$ describes the same algebra as $\mathcal{P}(1)$. This shows that there is only one algebra of type C over \mathbb{R} that can be given by the presentation

$$\mathcal{P}(1) : (x_1y_2, y_3) = 1, (x_1y_4, y_5) = 1, (y_1y_2, y_5) = 1, (y_1y_3, y_4) = 1.$$

(3) Let \mathbb{F} be a finite field of some odd characteristic p . Suppose that $|\mathbb{F}| = p^n$. The non-zero elements form a cyclic group \mathbb{F}^* of order $p^n - 1$ that is divisible by 2. Thus there are two cosets of $(\mathbb{F}^*)^2$ in \mathbb{F}^* and

$$\mathbb{F}^* = -(\mathbb{F}^*)^2 \cup \beta(\mathbb{F}^*)^2$$

for some $\beta \in \mathbb{F}^*$. Suppose $\tilde{\beta} = \beta c^2$ is an arbitrary field element that is not in $-\mathbb{F}^2$. As there are $(|\mathbb{F}| + 1)/2$ squares in \mathbb{F} we have that the set $c - \mathbb{F}^2$ and $\beta\mathbb{F}^2$ intersect. Hence there exist $a, b \in \mathbb{F}$ such that $c = a^2 + b^2\beta$ and thus $\tilde{\beta} = (a^2 + b^2\beta)^2\beta$. Hence the situation is like in (2) and we get only one algebra with presentation

$$\mathcal{P}(\beta) : (x_1y_2, y_3) = 1, (x_1y_4, y_5) = \beta, (y_1y_2, y_5) = 1, (y_1y_3, y_4) = 1,$$

where β is any element not in $-\mathbb{F}^2$.

Remark 6.13. Let $\beta \in \mathbb{F}$ that is not in $-\mathbb{F}^2$ and consider a splitting field $\mathbb{F}[\gamma]$ of the polynomial $t^2 + \beta$ in $\mathbb{F}[t]$ where $\gamma^2 = -\beta$. So $a^2 + b^2\beta$ is the norm $N(a + \gamma b)$ of $a + \gamma b$ that is a multiplicative function and thus

$$G(\beta) = \{(a^2 + b^2\beta)^2 : (a, b) \in \mathbb{F} \times \mathbb{F} \setminus \{(0, 0)\}\}$$

is a subgroup of $(\mathbb{F}^*)^2$. Let $S = \{\beta \in \mathbb{F} : \beta^2 \notin -\mathbb{F}^2\}$, we now have a relation on S given by

$$\tilde{\beta} \sim \beta \text{ if and only if } \tilde{\beta}/\beta \in G(\beta)$$

From Proposition 6.12, we know that this is an equivalence relation. We can also

see this directly. First notice that

$$(a^2 + b^2\beta)^2 = (a^2 + (b/c)^2\beta c^2)^2$$

for all $c \in \mathbb{F}^*$. Hence $G(\beta) = G(\beta c^2)$ for all $c \in \mathbb{F}^*$. In particular we have that $G(\tilde{\beta}) = G(\beta)$ if $\tilde{\beta} \sim \beta$. Let us now see that \sim is an equivalence relation. Firstly it is reflexive as $\beta/\beta = 1 \in G(\beta)$, the latter being a group. To see that \sim is symmetric, notice that $G(\tilde{\beta}) = G(\beta)$ is a group and thus $\tilde{\beta}/\beta \in G(\beta)$ if and only if $\beta/\tilde{\beta} \in G(\tilde{\beta})$. Finally to see that \sim is transitive, let $\alpha, \beta, \delta \in S$ such that $\alpha \sim \beta$ and $\beta \sim \delta$. Then $G(\alpha) = G(\beta) = G(\delta)$ and $\beta/\alpha, \delta/\beta \in G(\alpha)$ implies that their product $\delta/\alpha \in G(\alpha)$.

Let us now move to the case when the characteristic of \mathbb{F} is 2. We first see that the algebras here split naturally into two classes.

Lemma 6.14. *Let L be an algebra of type C over a field \mathbb{F} of characteristic 2. Then L cannot have both a presentation of the form $\mathcal{P}_{10}^{(4,4)}(0, \beta)$ and $\mathcal{P}_{10}^{(4,4)}(\alpha, \gamma)$ where $\alpha \neq 0$.*

Proof. We argue by contradiction and suppose we have an algebra satisfying both types of presentations. By Proposition 6.10 we then have $0 = (ad + bc)\alpha = (ad - bc)\alpha = \alpha$ that contradicts our assumption that $\alpha \neq 0$. \square

For the algebras where $\alpha = 0$, the same analysis works as for algebras with $\text{char } \mathbb{F} \neq 2$ and we get the same result as in Proposition 6.12. This leaves us with algebras where $\text{char } \mathbb{F} = 2$ and where $\alpha \neq 0$. Notice that Proposition 6.10 tells us here that $\mathcal{P}_{10}^{(4,4)}(\alpha, \beta)$ and $\mathcal{P}_{10}^{(4,4)}(\tilde{\alpha}, \tilde{\beta})$ describe the same algebra if and only if

$$\begin{aligned} \tilde{\alpha} &= \frac{\alpha}{d^2 + cd\alpha + c^2\beta} \\ \tilde{\beta} &= \frac{b^2 + ab\alpha + a^2\beta}{d^2 + cd\alpha + c^2\beta} \end{aligned}$$

for some $a, b, c, d \in \mathbb{F}$ where $ad + bc = 1$.

We don't take the analysis further but end by considering an example, the finite fields of characteristic 2. Let \mathbb{F} be the finite field of order 2^n . As a first step we show that we can always in that case, choose our presentation such that $\beta = 1$. To see this take first some arbitrary α and β such that L satisfies the presentation $\mathcal{P}_{10}^{(4,4)}(\alpha, \beta)$. The groups of units \mathbb{F}^* is here a cyclic group of odd

order $2^n - 1$ and thus $(\mathbb{F}^*)^2 = \mathbb{F}^*$. Now pick $b \in \mathbb{F}^*$ such that $b^2 = \beta/\alpha$ and let $a = 0$, $c = 1/b$ and $d = b$. Then $ad + bc = 1$ and

$$\tilde{\beta} = \frac{b^2}{b^2 + \alpha + \beta/b^2} = 1.$$

Thus we can assume from now on that $\beta = 1$. Now let $b \in \mathbb{F}$ be arbitrary and let $a = b + 1$, $c = b$ and $d = b + 1$. Then $ad + bc = 1$, $\tilde{\beta} = 1$ and $\tilde{\alpha} = \frac{\alpha}{b(b+1)\alpha+1}$. The number of such values of $\tilde{\alpha}$ is 2^{n-1} that gives us all possible values such that $t^2 + \tilde{\alpha}t + 1$ is irreducible (easy to count the number of reducible polynomials of the form $t^2 + ut + 1$ is 2^{n-1}). We thus conclude that there is only one algebra of type C in this case.

CHAPTER 7

ALGEBRAS WITH AN ISOTROPIC CENTER OF DIMENSION 3

In this chapter we will be assuming that $Z(L)$ is isotropic of dimension 3. First we derive some properties that hold for these algebras. Here throughout

$$\begin{aligned} Z(L) &= \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 \\ L^2 &= Z(L) + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2. \end{aligned}$$

Lemma 7.1. $Z(L) \leq L^3$.

Proof. Otherwise $Z_2(L) = (L^3)^\perp \not\leq Z(L)^\perp = L^2$. Without loss of generality we can suppose that $y_3 \in Z_2(L) \setminus L^2$. As $Z_2(L) \cdot L^2 = \{0\}$, we then have $y_3 \cdot L^2 = \{0\}$. Now also $x_2 \cdot L^2 = \{0\}$. Let $\alpha = (x_2y_4, y_5)$ and $\beta = (y_3y_4, y_5)$. Notice that $\alpha, \beta \neq 0$ as $x_2, y_3 \notin Z(L)$. But then

$$((\beta x_2 - \alpha y_3)y_4, y_5) = 0$$

that implies that $\beta x_2 - \alpha y_3 \in Z(L)$. This is absurd. \square

Lemma 7.2. $\dim L^3 \geq 5$.

Proof. Otherwise $\dim L^3 \leq 4$ and as $Z(L) \leq L^3 \leq L^2 = Z(L)^\perp$ we can choose our standard basis such that $Z(L) = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3$ and

$$L^3 \leq \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2.$$

This implies that $\mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 \leq (L^3)^\perp = Z_2(L)$ and (notice that $Z_2(L) \leq L^2$ as $Z(L) \leq L^3$) $L^2 = Z_2(L) + \mathbb{F}y_2$ that implies that L^2 is abelian. Then for any $x \in L^2$ and $a, b, c \in L$, we have

$$(x, abc) = -(x(ab), c) = -(0, c) = 0$$

and $L^3 \leq (L^2)^\perp = Z(L)$. Hence $L^3 = Z(L)$ and $Z_2(L) = L^2$. Suppose $L = Z_2(L) + \mathbb{F}u_1 + \mathbb{F}u_2 + \mathbb{F}u_3$. Then $L^2 = Z(L) + \mathbb{F}u_1u_2 + \mathbb{F}u_1u_3 + \mathbb{F}u_2u_3$ and we get the contradiction that $4 = \dim L^2 - \dim Z(L) \leq 3$. \square

Lemma 7.3. *If $\dim L^3 = 5$, then L^3 is isotropic.*

Proof. Otherwise we can choose our basis such that $L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_1 + \mathbb{F}y_1$ and then $Z_2(L) = (L^3)^\perp = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}y_2$ and as $L^2 \cdot Z_2(L) = \{0\}$, it follows that $x_1y_2 = y_1y_2 = 0$. Then L^2 is abelian and thus we get the contradiction that $L^3 \leq Z(L)$. \square

Lemma 7.4. $Z(L) \leq L^4$.

Proof. We have seen that $\dim L^3 \geq 5$. So we can choose our standard nilpotent basis such that either

$$L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1$$

or

$$L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1.$$

We consider the two cases in turn beginning with the first case. If $Z(L) \not\leq L^4$, then $\dim Z(L) \cap L^4 \leq 2$ and thus $\dim L^2 + Z_3(L) = \dim (Z(L) \cap L^4)^\perp \geq 8$. Suppose $L = L^2 + Z_3(L) + \mathbb{F}u + \mathbb{F}v$. Then $L^2 = L^3 + Z_2(L) + \mathbb{F}uv = L^3 + \mathbb{F}uv$ and we get the contradiction that $\dim L^2 \leq 5 + 1 = 6$. We now turn to the second case where $L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1$. We argue by contradiction and suppose that $Z(L) \cap L^4 < Z(L)$. Then we can choose our basis such that

$$L^4 \leq \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_2$$

and $Z(L) \cap L^4 \leq \mathbb{F}x_5 + \mathbb{F}x_4$. Now $y_3 \in (L^4)^\perp = Z_3(L)$ and as $Z_3(L) \cdot L^3 = \{0\}$, it follows that

$$x_1y_3 = x_2y_3 = y_1y_3 = 0.$$

It follows from this that $x_1y_2, y_1y_2, y_3y_2 \in \mathbb{F}x_5 + \mathbb{F}x_4$. Thus in particular these three elements are linearly dependent and we have $(\alpha x_1 + \beta y_1 + \gamma y_3)y_2 = 0$ where not all of α, β, γ are zero. Then $x_2, \alpha x_1 + \beta y_1 + \gamma y_3$ commute with all the basis elements except possibly y_4 and y_5 . Suppose

$$\begin{aligned} (x_2y_4, y_5) &= r \\ ((\alpha x_1 + \beta y_1 + \gamma y_3)y_4, y_5) &= s. \end{aligned}$$

If $r = 0$ then we get the contradiction that $x_2 \in Z(L)$ and if $r \neq 0$, we get the contradiction that $-sx_2 + r\alpha x_1 + r\beta y_1 + r\gamma y_3 \in Z(L)$. \square

After these more general results we classify all the algebras where $Z(L)$ is isotropic of dimension 3. We consider the two subcases $\dim L^3 = 5$ and $\dim L^3 = 6$ separately.

7.1 The algebras where $\dim L^3 = 5$

We have seen that L^3 must be isotropic and thus in particular we have that $L^3 = (L^3)^\perp = Z_2(L)$ that implies that $L^4 \leq Z(L)$. By Lemma 7.4 we thus have $L^4 = Z(L)$. We have thus determined the terms of the lower and the upper central series

$$\begin{array}{l} L^2 \cdot L^2 \quad \boxed{x_5} \quad y_5 \\ Z(L) = L^4 \quad \begin{array}{|c|c|} \hline x_4 & y_4 \\ \hline x_3 & y_3 \\ \hline \end{array} \quad (L^2 \cdot L^2)^\perp \\ Z_2(L) = L^3 \quad \begin{array}{|c|c|} \hline x_2 & y_2 \\ \hline x_1 & y_1 \\ \hline \end{array} \quad Z_3(L) = L^2 \end{array} \quad \begin{aligned} Z(L) &= L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 \\ Z_2(L) &= L^3 = Z(L) + \mathbb{F}x_2 + \mathbb{F}x_1 \\ Z_3(L) &= L^2 = Z_2(L) + \mathbb{F}y_1 + \mathbb{F}y_2 \end{aligned}$$

Remark 7.5. As $L^2 \cdot Z_2(L) = \{0\}$ we see that $x_1y_2 = 0$. Now L^2 is not abelian as this would imply that $L^3 \leq Z(L)$. It follows that $y_1y_2 \neq 0$ and we get a one dimensional characteristic subspace

$$L^2 \cdot L^2 = \mathbb{F}y_1y_2.$$

Notice that $y_1y_2 \in Z(L)$. We choose our basis such that $y_1y_2 = x_5$. We will also work with the 9 dimensional characteristic subspace

$$V = (L^2 \cdot L^2)^\perp = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3 + \mathbb{F}y_4.$$

As $x_1y_2 = 0$ we have that $x_1y_3, x_1y_4 \perp y_2$. As $y_1y_2 = x_5$ we also have that $y_1y_3, y_1y_4 \perp y_1, y_2$ and $y_2y_3, y_2y_4 \perp y_1, y_2$. It follows that

$$V^2 + L^4 = (L^2 + \mathbb{F}y_3 + \mathbb{F}y_4)(L^2 + \mathbb{F}y_3 + \mathbb{F}y_4) + L^4 = \mathbb{F}y_3y_4 + L^4.$$

We consider few subcases.

7.1.1 Algebras where $V^2 \leq L^4$

Notice then that $y_3y_4 \in \mathbb{F}x_5$ and thus $x_1y_3, x_2y_3, x_1y_4, x_2y_4 \in \mathbb{F}x_5$. As $L^3 = L^4 + \mathbb{F}x_2 + \mathbb{F}x_1$, we have

$$L^4 = (\mathbb{F}x_2 + \mathbb{F}x_1)(\mathbb{F}y_3 + \mathbb{F}y_4 + \mathbb{F}y_5) = \mathbb{F}x_5 + \mathbb{F}x_2y_5 + \mathbb{F}x_1y_5.$$

Pick x_5, y_5, x_2, x_1, y_1 satisfying the conditions above and let

$$x_4 = -x_2y_5, \quad x_3 = -x_1y_5$$

We can then extend $x_5, x_4, x_3, y_1, y_2, y_5$ to a standard basis $x_5, x_4, x_3, x_2, x_1, y_1, y_2, y_3, y_4, y_5$ satisfying the conditions above. All triples involving both x_1 and y_2 are 0. The remaining ones are

$$\begin{aligned} (x_1y_3, y_5) &= 1 & (x_1y_3, y_4) &= 0 & (x_1y_4, y_5) &= 0 \\ (x_2y_3, y_4) &= 0 & (x_2y_3, y_5) &= 0 & (x_2y_4, y_5) &= 1 \\ (y_1y_2, y_3) &= 0 & (y_1y_2, y_4) &= 0 & (y_1y_2, y_5) &= 1 \\ (y_2y_3, y_4) &= 0 & (y_2y_3, y_5) &= \alpha & (y_2y_4, y_5) &= \beta \\ (y_1y_3, y_4) &= 0 & (y_1y_3, y_5) &= \gamma & (y_1y_4, y_5) &= \delta \\ (y_3y_4, y_5) &= r \end{aligned}$$

Now let

$$\begin{aligned}
 \tilde{y}_3 &= y_3 + \alpha y_1 - \gamma y_2 - s x_2 - s \gamma x_3 - s \delta x_4 \\
 \tilde{y}_4 &= y_4 + \beta y_1 - \delta y_2 \\
 \tilde{x}_1 &= x_1 - \alpha x_3 - \beta x_4 \\
 \tilde{x}_2 &= x_2 + \gamma x_3 + \delta x_4 \\
 \tilde{y}_2 &= y_2 - s x_3
 \end{aligned}$$

where $s = r + \alpha\delta - \beta\gamma$. One checks readily that we get a new standard basis with a presentation like the one above where $\tilde{\alpha} = \tilde{\beta} = \tilde{\gamma} = \tilde{\delta} = \tilde{r} = 0$.

So we arrive at a unique algebra with presentation

$$\begin{aligned}
 \mathcal{P}_{10}^{(3,1)} : \quad & (x_1 y_3, y_5) = 1 \\
 & (x_2 y_4, y_5) = 1 \\
 & (y_1 y_2, y_5) = 1.
 \end{aligned}$$

One can check that the center has dimension 3 and that L^3 has dimension 5. Also $((L^2 \cdot L^2)^\perp)^2 = \mathbb{F}x_5 \leq L^4$.

7.1.2 Algebras where $V^2 \not\leq L^4$ but $V^2 \leq L^3$

Here we can pick our basis such that

$$V^2 + L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2.$$

Notice that $V^3 = \mathbb{F}x_2 y_3 + \mathbb{F}x_2 y_4$ and as $(y_3 y_4, x_2) = 0$ we have that $V^3 \leq \mathbb{F}x_5$. As $x_2 \notin Z(L)$, we furthermore must have that $\dim V^3 = 1$. This means that there is a characteristic ideal W of codimension 1 in V such that $x_2 W = V^2 W = \{0\}$. We choose our basis such that

$$W = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3.$$

It follows that we have a chain of characteristic ideals :

$$\begin{array}{l}
 L^2 \cdot L^2 \quad \boxed{x_5} \quad y_5 \\
 W^\perp \quad \boxed{x_4 \quad y_4} \quad V \\
 Z(L) = L^4 \quad \boxed{x_3 \quad y_3} \quad W \\
 V^2 + L^4 \quad \boxed{x_2 \quad y_2} \quad Z_3(L) = L^2 \\
 Z_2(L) = L^3 \quad \boxed{x_1 \quad y_1} \quad (V^2)^\perp \cap L^2
 \end{array}
 \qquad
 \begin{array}{l}
 L^2 \cdot L^2 = \mathbb{F}x_5 \\
 W^\perp = \mathbb{F}x_5 + \mathbb{F}x_4 \\
 Z(L) = L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 \\
 V^2 + L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 \\
 Z_2(L) = L^3 = Z(L) + \mathbb{F}x_2 + \mathbb{F}x_1 \\
 (V^2)^\perp \cap L^2 = L^3 + \mathbb{F}y_1 \\
 Z_3(L) = L^2 = Z_2(L) + \mathbb{F}y_1 + \mathbb{F}y_2 \\
 W = L^3 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3 \\
 V = L^3 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3 + \mathbb{F}y_4
 \end{array}$$

We want to show that there is again a unique algebra satisfying these conditions. We modify the basis and reach a unique presentation. Notice that $V^2W = \{0\}$ and $L^2 \cdot Z_2(L) = \{0\}$ imply that

$$x_1y_2 = x_2y_3 = 0.$$

We have also chosen our basis such that

$$y_1y_2 = x_5. \tag{7.1}$$

Notice next that $x_2y_3 = 0$ implies that x_2y_4 is orthogonal to y_3 and y_4 and thus $x_2y_4 = rx_5$ where r must be nonzero as $x_2 \notin Z(L)$. By replacing y_4 and x_4 by ry_4 and $\frac{1}{r}x_4$, we can assume that

$$x_2y_4 = x_5. \tag{7.2}$$

As $y_3y_4 \in V^2 \leq L^3$ and as $x_1y_2 = 0$ we have that x_1y_4 is orthogonal to y_2, y_3, y_4 . Thus $x_1y_4 = \alpha x_5$ for some $\alpha \in \mathbb{F}$. Replacing x_1, y_2 by $x_1 - \alpha x_2$ and $y_2 + \alpha y_1$ we get a new standard basis where

$$x_1y_4 = 0. \tag{7.3}$$

Notice that the change in y_2 does not affect (7.1). We next turn our attention to x_1y_3 . As $x_1y_2 = 0$ and $x_1y_4 = 0$, we have that x_1y_3 is orthogonal to y_1, y_2, y_3, y_4 and thus $x_1y_3 = rx_5$ where r is nonzero since $x_1 \notin Z(L)$. By replacing y_3 and x_3

by ry_3 and $\frac{1}{r}x_3$ we get

$$x_1y_3 = x_5. \quad (7.4)$$

Now we see, as $y_1y_2 = x_5$ and $y_3y_4 \in L^4 + V^2$, that y_1y_3 is orthogonal to y_1, y_2, y_3 and y_4 . Thus $y_1y_3 = ax_5$ for some $a \in \mathbb{F}$. Replacing y_1 by $y_1 - ax_1$ we can assume that

$$y_1y_3 = 0. \quad (7.5)$$

As $x_1y_2 = 0$ the change in y_1 does not affect (7.1). From the discussion above we know that y_1y_4 is orthogonal to y_1, y_2, y_3 and y_4 and thus $y_1y_4 = ax_5$ for some $a \in \mathbb{F}$. Replacing y_4, x_2 by $y_4 - ay_2, x_2 + ax_4$, we get a new standard basis where

$$y_1y_4 = 0. \quad (7.6)$$

These changes do not affect (7.2) and (7.3). As $y_3y_4 \in V^2 + L^4$ but not in L^4 we know that $(y_2y_3, y_4) = r$ for some nonzero $r \in \mathbb{F}$. Suppose also that $(y_3y_4, y_5) = \alpha$. Then $y_3y_4 = rx_2 + \alpha x_5$. Replace x_2 and y_5 by $x_2 + \frac{\alpha}{r}x_5$ and $y_5 - \frac{\alpha}{r}y_2$. Then

$$y_3y_4 = rx_2. \quad (7.7)$$

The changes do not affect (7.2). Then consider the triples

$$(y_2y_3, y_5) = a, \quad (y_2y_4, y_5) = b.$$

Replacing y_5, x_4, x_3 by $y_5 - \frac{a}{r}y_4 + \frac{b}{r}y_3, x_4 + \frac{a}{r}x_5$ and $x_3 - \frac{b}{r}x_5$ we can assume that

$$(y_2y_3, y_5) = (y_2y_4, y_5) = 0. \quad (7.8)$$

We have then arrived at a presentation where the only nonzero triples are

$$(x_2y_4, y_5) = 1, \quad (x_1y_3, y_5) = 1, \quad (y_1y_2, y_5) = 1, \quad (y_2y_3, y_4) = r.$$

Replacing $x_1, y_1, x_2, y_2, x_3, y_3, x_4, y_4$ by $\frac{1}{r}x_1, ry_1, rx_2, \frac{1}{r}y_2, \frac{1}{r}x_3, ry_3, rx_4, \frac{1}{r}y_4$, we get

a unique algebra with presentation:

$$\mathcal{P}_{10}^{(3,2)} : \begin{aligned} (x_2y_4, y_5) &= 1 \\ (x_1y_3, y_5) &= 1 \\ (y_1y_2, y_5) &= 1 \\ (y_2y_3, y_4) &= 1. \end{aligned}$$

One can easily check that conversely this algebra belongs to the category that we have been studying.

7.1.3 Algebras where $V^2 \leq L^2$ but $V^2 \not\leq L^3$

Pick our basis such that

$$V^2 + L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}y_1$$

Notice then that

$$\mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 = (V^2 + L^4)^\perp \cap L^3 = (V^2)^\perp \cap L^3$$

is a characteristic ideal of L . As $y_3y_4 \in V^2 + L^4$ we have that $x_2y_3 \perp y_4$ and $x_2y_4 \perp y_3$. Thus $x_2V \leq \mathbb{F}x_5$. As $x_2 \notin Z(L)$, we must furthermore have that $x_2V = ((V^2)^\perp \cap L^3)V = \mathbb{F}x_5$. This implies that the centraliser of $(V^2)^\perp \cap L^3$ in V is a characteristic ideal W of codimension 1. We can choose our basis such that

$$W = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3.$$

We now get a chain of characteristic ideals as before

$$\begin{array}{l}
 L^2 \cdot L^2 \quad \boxed{x_5} \quad y_5 \\
 W^\perp \quad \boxed{x_4 \quad y_4} \quad V \\
 Z(L) = L^4 \quad \boxed{x_3 \quad y_3} \quad W \\
 (V^2)^\perp \cap L^3 \quad \boxed{x_2 \quad y_2} \quad Z_3(L) = L^2 \\
 Z_2(L) = L^3 \quad \boxed{x_1 \quad y_1} \quad V^2 + L^3
 \end{array}
 \qquad
 \begin{array}{l}
 L^2 \cdot L^2 = \mathbb{F}x_5 \\
 W^\perp = \mathbb{F}x_5 + \mathbb{F}x_4 \\
 Z(L) = L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 \\
 (V^2)^\perp \cap L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 \\
 Z_2(L) = L^3 = Z(L) + \mathbb{F}x_2 + \mathbb{F}x_1 \\
 V^2 + L^3 = L^3 + \mathbb{F}y_1 \\
 Z_3(L) = L^2 = Z_2(L) + \mathbb{F}y_1 + \mathbb{F}y_2 \\
 W = L^3 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3 \\
 V = L^3 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3 + \mathbb{F}y_4
 \end{array}$$

As $((V^2)^\perp \cap L^3)W = \{0\}$ and $L^2 \cdot Z_2(L) = \{0\}$, we see that

$$x_1y_2 = x_2y_3 = 0.$$

We have also chosen our basis such that

$$y_1y_2 = x_5. \tag{7.9}$$

Notice next that $x_2y_3 = 0$ implies that x_2y_4 is orthogonal to y_3 and y_4 and thus $x_2y_4 = rx_5$ where r must be nonzero as $x_2 \notin Z(L)$. By replacing y_4 and x_4 by ry_4 and $\frac{1}{r}x_4$, we can assume that

$$x_2y_4 = x_5. \tag{7.10}$$

As $y_3y_4 \in V^2 + L^4$ and $y_1y_2 = x_5$, we have that y_1y_4 is orthogonal to y_2, y_3, y_4 . Thus $y_1y_4 = ax_5$ for some $a \in \mathbb{F}$. Replacing y_4, x_2 by $y_4 - ay_2$ and $x_2 + ax_4$ we get

$$y_1y_4 = 0. \tag{7.11}$$

Notice that the change does not affect (7.10). Next notice similarly that y_1y_3 is orthogonal to y_2, y_3, y_4 and thus $y_1y_3 = ax_5$ for some $a \in \mathbb{F}$. Replacing y_3 and x_2 by $y_3 - ay_2$ and $x_2 + ax_3$ we get

$$y_1y_3 = 0. \tag{7.12}$$

Notice that (7.11) is not affected by this change. We know that $x_1y_2 = 0$. The possible nonzero triples involving x_1 are then

$$(x_1y_3, y_4) = r, (x_1y_3, y_5) = a, (x_1y_4, y_5) = b.$$

Notice that as $y_3y_4 \in (Z(L) + \mathbb{F}y_1) \setminus Z(L)$ we must have that $r \neq 0$. Replace y_5, x_4, x_3 by $y_5 - \frac{a}{r}y_4 + \frac{b}{r}y_3$, $x_4 + \frac{a}{r}x_5$ and $x_3 - \frac{b}{r}x_5$ and we get a new standard basis where

$$x_1y_3 = rx_4, \quad x_1y_4 = -rx_3.$$

Replacing y_3, x_3 by $ry_3, \frac{1}{r}x_3$ gives

$$x_1y_3 = x_4, \quad x_1y_4 = -x_3. \tag{7.13}$$

It follows that $(y_2y_3, y_4) = (y_3y_4, y_5) = 0$. Suppose $(y_2y_3, y_5) = a$, $(y_2y_4, y_5) = b$. Replace y_3, y_4, x_1 by $y_3 + ay_1$, $y_4 + by_1$ and $x_1 - ax_3 - bx_4$. Notice that these changes do not affect the equations above and we now arrive at a unique algebra with presentation:

$$\begin{aligned} & (x_2y_4, y_5) = 1 \\ \mathcal{P}_{10}^{(3,3)} : & (x_1y_3, y_4) = 1 \\ & (y_1y_2, y_5) = 1. \end{aligned}$$

Calculations show that conversely this algebra belongs to the relevant category. There are thus exactly three algebras where $Z(L)$ is isotropic of dimension 3 and where the dimension of L^3 is 5.

Proposition 7.6. *There are exactly three nilpotent SAA's of dimension 10 that have an isotropic center of dimension 3 and where $\dim L^3 = 5$. These are given by the presentations:*

$$\begin{aligned} \mathcal{P}_{10}^{(3,1)} : & (x_2y_4, y_5) = 1, (x_1y_3, y_5) = 1, (y_1y_2, y_5) = 1. \\ \mathcal{P}_{10}^{(3,2)} : & (x_2y_4, y_5) = 1, (x_1y_3, y_5) = 1, (y_1y_2, y_5) = 1, (y_2y_4, y_3) = 1. \\ \mathcal{P}_{10}^{(3,3)} : & (x_2y_4, y_5) = 1, (x_1y_3, y_4) = 1, (y_1y_2, y_5) = 1. \end{aligned}$$

7.2 The algebras where $\dim L^3 = 6$

Here we are thus assuming that

$$L^3 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1.$$

Lemma 7.7. *We have $\dim L^4 = 4$.*

Proof. By Lemma 7.4, we know that $Z(L) \leq L^4$ and we also have that $L^4 \leq \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2$. Thus if the dimension of L^4 is not 4, then $L^4 = Z(L) = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3$ and $Z_3(L) = (L^4)^\perp = L^3 + \mathbb{F}y_2$. As $Z_3(L) \cdot L^3 = \{0\}$, it follows that $x_1y_2 = y_1y_2 = 0$ and L^2 is abelian. Hence we get the contradiction that $L^3 \leq Z(L)$. \square

It follows that we have $L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2$.

Lemma 7.8. *We have $\dim L^5 = 2$.*

Proof. We have an alternating form

$$\phi : L/L^2 \times L/L^2 \longrightarrow \mathbb{F}$$

given by $\phi(\bar{y}, \bar{z}) = (x_2y, z)$. As L/L^2 has odd dimension we know that the isotropic part must be non-trivial. Thus we can then choose our standard basis such that $(x_2y_3, y_4) = (x_2y_3, y_5) = 0$ and thus $x_2y_3 = 0$. It follows that $L^5 = \mathbb{F}x_2(y_3 + y_4 + y_5) = \mathbb{F}x_2y_4 + \mathbb{F}x_2y_5$ and thus of dimension at most 2. As $L^4 \not\leq Z(L)$ we have $\dim L^5 > 0$ and as we know by Proposition 3.10 that $\dim L^5 \neq 1$ we must have that $\dim L^5 = 2$. \square

We thus have determined the lower and upper central series of L . We have

$$\begin{array}{l}
 L^5 \begin{array}{|c|} \hline x_5 \\ \hline x_4 \\ \hline \end{array} \begin{array}{l} y_5 \\ y_4 \end{array} \\
 Z(L) \begin{array}{|c|c|} \hline x_3 & y_3 \\ \hline \end{array} Z_4(L) \\
 L^4 = Z_2(L) \begin{array}{|c|c|} \hline x_2 & y_2 \\ \hline \end{array} L^2 \\
 \begin{array}{|c|c|} \hline x_1 & y_1 \\ \hline \end{array} L^3 = Z_3(L)
 \end{array}
 \qquad
 \begin{array}{l}
 L^5 = \mathbb{F}x_5 + \mathbb{F}x_4 \\
 Z(L) = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 \\
 Z_2(L) = L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 \\
 Z_3(L) = L^3 = Z(L) + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1 \\
 L^2 = L^3 + \mathbb{F}y_2 \\
 Z_4(L) = L^3 + \mathbb{F}y_2 + \mathbb{F}y_3
 \end{array}$$

Notice that $x_2 \in L^4$ and $y_3 \in Z_4(L)$ and thus $x_2y_3 = 0$. Also

$$L^3L^2 = \mathbb{F}x_1y_2 + \mathbb{F}y_1y_2 \leq Z(L).$$

Furthermore x_1y_2 and y_1y_2 are linearly independent. To see this we argue by contradiction and suppose that $0 = ax_1y_2 + by_1y_2$ for some $a, b \in \mathbb{F}$ where not both a, b are zero. Then $(ax_1 + by_1)L \leq Z(L)$ that would give us the contradiction that $ax_1 + by_1 \in Z_2(L)$.

We thus have that L^3L^2 is a 2-dimensional subspace of $Z(L)$ and we consider two possible cases namely $L^3L^2 = L^5$ and $L^3L^2 \neq L^5$. We consider the latter first.

7.2.1 Algebras where $L^3 \cdot L^2 \neq L^5$

Here $L^3L^2 \cap L^5$ is one dimensional and we can choose our standard basis such that $L^3L^2 \cap L^5 = \mathbb{F}x_5$. In order to clarify the structure further we introduce the following isotropic characteristic ideal of dimension 5:

$$U = \{x \in L^3 : xL^2 \leq L^3L^2 \cap L^5\}.$$

Now L^3L^2 is of dimension 2 and $L^4L^2 = 0$ and thus U is of codimension 1 in L^3 and contains L^4 . We can thus choose our standard basis such that $U = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}x_1$. We thus have the following picture

$$\begin{array}{c}
 L^5 \cap L^3L^2 \quad \boxed{x_5} \quad y_5 \\
 L^5 \quad \boxed{x_4} \quad \boxed{y_4} \quad (L^5 \cap L^3L^2)^\perp \\
 Z(L) \quad \boxed{x_3} \quad \boxed{y_3} \quad Z_4(L) \\
 L^4 = Z_2(L) \quad \boxed{x_2} \quad \boxed{y_2} \quad L^2 \\
 U \quad \boxed{x_1} \quad \boxed{y_1} \quad L^3 = Z_2(L)
 \end{array}$$

Notice that $UZ_4(L) = \mathbb{F}x_1y_2 + \mathbb{F}x_1y_3 = \mathbb{F}x_5 + \mathbb{F}x_1y_3$, where $x_1y_3 \in L^5$. Again we consider two possible cases.

I. Algebras where $UZ_4(L)$ is 1-dimensional

Here $UZ_4(L) = x_1Z_4(L) = \mathbb{F}x_5$ and there is a characteristic subspace V of codimension 1 in $Z_4(L)$ that contains L^3 given by the formula

$$V = \{x \in Z_4(L) : Ux = 0\}.$$

We can then choose our standard basis such that

$$V = L^3 + \mathbb{F}y_3 = U + \mathbb{F}y_1 + \mathbb{F}y_3.$$

Notice that in particular $x_1y_3 = 0$. From this we also get a 1-dimensional characteristic subspace $V^2 = \mathbb{F}y_1y_3$. Notice that $(y_1y_3, y_2) \neq 0$ as otherwise $y_1y_2 \in L^5 \cap L^3L^2$ that contradicts our assumption that $L^3L^2 \neq L^5$. Thus $y_1y_3 \in L^4 \setminus Z(L)$ and we can choose our standard basis such that $\mathbb{F}y_1y_3 = \mathbb{F}x_2$. In fact it is not difficult to see that with the data we have acquired so far we can choose our standard basis such that

$$x_1y_2 = x_5, \quad y_1y_2 = x_3, \quad x_1y_3 = 0, \quad y_1y_3 = -x_2. \tag{7.14}$$

This deals with all triple values apart from

$$\begin{aligned}
 (x_1y_4, y_5) &= a, & (y_2y_3, y_4) &= c, & (y_2y_4, y_5) &= e, & (x_2y_4, y_5) &= r, \\
 (y_1y_4, y_5) &= b, & (y_2y_3, y_5) &= d, & (y_3y_4, y_5) &= f,
 \end{aligned}$$

Notice that $r \neq 0$ as $x_2y_3 = 0$ but $x_2 \notin Z(L)$. We will show that we can choose a new standard basis so that the values of $a = b = c = d = e = f = 0$ and $r = 1$. First by replacing x_2, x_1, y_4 by $x_2 + ax_4, x_1 + cx_4, y_4 - ay_2 - cy_1$ we can assume that $a = c = 0$, that is

$$(x_1y_4, y_5) = 0, (y_2y_3, y_4) = 0. \quad (7.15)$$

Inspection shows that (7.14) still holds under these changes. Next replacing y_1, y_2 by $y_1 - (b/r)x_2, y_2 - (b/r)x_1$ we can assume that $b = 0$ and thus

$$(y_1y_4, y_5) = 0. \quad (7.16)$$

Again (7.14) and (7.15) are not affected by the changes. We continue in this manner always making sure that the previously established identities still hold. We first replace x_1, y_2, y_5 by $x_1 + dx_5, y_2 - (e/r)x_2, y_5 - dy_1$ that gives

$$(y_2y_3, y_5) = 0, (y_2y_4, y_5) = 0, \quad (7.17)$$

then replace y_2, y_3 by $y_2 - (f/r)x_3, y_3 - (f/r)x_2$ that gives furthermore that

$$(y_3y_4, y_5) = 0. \quad (7.18)$$

Finally replacing x_4, y_4 by $rx_4, (1/r)y_4$ gives us a presentation with $r = 1$. Thus we have that we get a unique algebra.

Proposition 7.9. *There is a unique nilpotent SAA L of dimension 10 with an isotropic center of dimension 3 and where $\dim L^3 = 6$ that has the further properties that $L^3L^2 \neq L^5$ and $\dim UZ_4(L) = 1$. This algebra is given by the presentation*

$$\mathcal{P}_{10}^{(3,4)} : (x_1y_2, y_5) = 1, (y_1y_2, y_3) = 1, (x_2y_4, y_5) = 1.$$

Remark 7.10. *As before, inspection shows that the algebra with the presentation above satisfies all the properties listed.*

II. Algebras where $UZ_4(L)$ is 2-dimensional

Here we can pick our standard basis such that $UZ_4(L) = \mathbb{F}x_5 + \mathbb{F}x_4$. As $L^3L^2 \neq L^5$ we know that $(y_1y_2, y_3) \neq 0$ and from this one sees that $L^3Z_4(L) = L^4$.

Furthermore it is not difficult to see that we can choose our standard basis such that

$$x_1y_2 = x_5, \quad x_1y_3 = x_4, \quad y_1y_2 = x_3, \quad y_1y_3 = -x_2. \quad (7.19)$$

In order to clarify the structure further we are only left with the triple values

$$\begin{aligned} (x_1y_4, y_5) &= a, & (y_2y_3, y_4) &= c, & (y_2y_4, y_5) &= e, & (x_2y_4, y_5) &= r, \\ (y_1y_4, y_5) &= b, & (y_2y_3, y_5) &= d, & (y_3y_4, y_5) &= f, \end{aligned}$$

We show as before that one can change the basis such that $a = b = c = d = e = f = 0$ and $r = 1$. First we replace x_1, y_2 by $x_1 - (a/r)x_2, y_2 = y_2 + (a/r)y_1$ that gives furthermore

$$(x_1y_4, y_5) = 0, \quad (7.20)$$

and then we replace y_1, y_2 by $y_1 - (b/r)x_2, y_2 - (b/r)x_1$ that gives

$$(y_1y_4, y_5) = 0. \quad (7.21)$$

Next we replace x_1, y_4, y_5 by $x_1 + cx_4 + dx_5, y_4 - cy_1, y_5 - dy_1$ that allows us to further assume that

$$(y_2y_3, y_4) = 0, \quad (y_2y_3, y_5) = 0 \quad (7.22)$$

and then y_2, y_3 by $y_2 - (e/r)x_2 - (f/r)x_3, y_3 - (f/r)x_2$ that gives

$$(y_2y_4, y_5) = 0, \quad (y_3y_4, y_5) = 0. \quad (7.23)$$

Finally changing $x_2, x_3, x_4, x_5, y_2, y_3, y_4, y_5$ by $(1/r)x_2, rx_3, (1/r)x_4, rx_5, ry_2, (1/r)y_3, ry_4, (1/r)y_5$ gives us $(x_2y_4, y_5) = 1$ and thus we see again that we have a unique algebra.

Proposition 7.11. *There is a unique nilpotent SAA L of dimension 10 with an isotropic center of dimension 3 and where $\dim L^3 = 6$ that has the further properties that $L^3L^2 \neq L^5$ and $\dim UZ_4(L) = 2$. This algebra can be given by the presentation*

$$\mathcal{P}_{10}^{(3,5)} : (x_1y_2, y_5) = 1, \quad (y_1y_2, y_3) = 1, \quad (x_1y_3, y_4) = 1, \quad (x_2y_4, y_5) = 1.$$

7.3 Algebras where $L^3L^2 = L^5$

L^5	x_5	y_5	y_4	$L^5 = \mathbb{F}x_5 + \mathbb{F}x_4$
$Z(L)$	x_3	y_3	$Z_4(L)$	$Z(L) = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3$
$L^4 = Z_2(L)$	x_2	y_2	L^2	$Z_2(L) = L^4 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2$
	x_1	y_1	$L^3 = Z_3(L)$	$Z_3(L) = L^3 = Z(L) + \mathbb{F}x_2 + \mathbb{F}x_1 + \mathbb{F}y_1$
				$L^2 = L^3 + \mathbb{F}y_2$
				$Z_4(L) = L^3 + \mathbb{F}y_2 + \mathbb{F}y_3$

Here we are assuming that $L^5 = L^3L^2 = \mathbb{F}x_1y_2 + \mathbb{F}y_1y_2$ and thus in particular we know that x_1y_2, y_1y_2 is a basis for L^5 . We will now introduce some linear maps that will help us in understanding the structure. Consider first the linear maps

$$\begin{aligned} \phi : L^3/L^4 &\longrightarrow L^5, \bar{u} = u + L^4 \longmapsto u \cdot y_2 \\ \psi : L^3/L^4 &\longrightarrow L^5, \bar{u} = u + L^4 \longmapsto u \cdot y_3. \end{aligned}$$

As $L^4Z_4(L) = \{0\}$, these maps are well defined. As $L^3L^2 = L^5$ we also know that ϕ is bijective. We thus have the linear map

$$\tau = \psi\phi^{-1} : L^5 \longrightarrow L^5.$$

It is the map τ that will be our key towards understanding the structure of the algebra.

Lemma 7.12. *The minimal polynomial of $\tau = \psi\phi^{-1}$ must be of degree 2.*

Proof. We argue by contradiction and suppose that $\tau = \lambda \text{id}$. Replacing y_3, x_2 by $y_3 - \lambda y_2, x_2 + \lambda x_3$ gives us a new standard basis where $\tau = 0$. Pick our standard basis such that $\bar{x}_1 = x_1 + L^4 = \phi^{-1}(x_4)$ and $\bar{y}_1 = y_1 + L^4 = \phi^{-1}(x_5)$. We then have

$$x_1y_2 = x_4, \quad y_1y_2 = x_5, \quad x_1y_3 = 0, \quad y_1y_3 = 0.$$

Now $y_2y_3 \perp x_1, y_1, y_2, y_3$ and thus

$$y_2y_3 = ax_4 + bx_5$$

for some $a, b \in \mathbb{F}$. Replacing y_3 by $y_3 + ax_1 + by_1$, x_1 by $x_1 - bx_3$ and y_1 by $y_1 + ax_3$, we can assume that $y_2y_3 = 0$.

Now suppose that $(y_3y_4, y_5) = a$ and $(x_2y_4, y_5) = b$. Notice that $b \neq 0$ as $x_2 \notin Z(L)$ and $x_2y_3 = 0$. Replace y_3, y_2 by $y_3 - (a/b)x_2$, $y_2 - (a/b)x_3$ and we get a new standard basis where all the previous identities hold but also $(y_3y_4, y_5) = 0$. We thus get the contradiction that $y_3 \in Z(L)$. \square

Notice next that if we have an alternative standard basis $\tilde{x}_1, \tilde{x}_2, \dots, \tilde{y}_5$, then $\tilde{y}_2 = cy_2 + u$ and $\tilde{y}_3 = ay_3 + by_2 + v$ where $a, c \neq 0$ and where $u, v \in L^3$. If the minimal polynomial of τ with respect to the old basis is $f(t)$ then the minimal polynomial with respect to the new basis is a multiple of $f((c/a)(t - (b/c)))$. In particular we have the following possible distinct scenarios that do not depend on what standard basis we choose.

- A. The minimal polynomial of τ has two distinct roots in \mathbb{F} .
- B. The minimal polynomial of τ has a double root in \mathbb{F} .
- C. The minimal polynomial of τ is irreducible in $\mathbb{F}[t]$.

7.3.1 Algebras of type A.

Suppose the two distinct roots of the minimal polynomial of $\tau = \psi\phi^{-1}$ are λ and μ . Pick some eigenvectors x_4 and x_5 with respect to the eigenvalues λ and μ respectively. Thus

$$\begin{aligned}\psi\phi^{-1}(x_4) &= \lambda x_4, \\ \psi\phi^{-1}(x_5) &= \mu x_5.\end{aligned}$$

Replacing y_3, x_2 by $y_3 - \lambda y_2, x_2 + \lambda x_3$ we see that $\psi\phi^{-1}(x_4) = 0$ and we can assume that $\lambda = 0$. Then replace y_3, x_3 by $(1/\mu)y_3, \mu x_3$ and we get that $\psi\phi^{-1}(x_5) = x_5$ and we can now assume that $\mu = 1$.

We would like to pick our standard basis such that $\bar{x}_1 = x_1 + L^4 = \phi^{-1}(x_4)$ and $\bar{y}_1 = y_1 + L^4 = \phi^{-1}(x_5)$. The only problem here is that we need $(x_1, y_1) = 1$ but this can be easily arranged. If $(x_1, y_1) = \sigma$ then we just need to replace

y_1, x_5, y_5 by $(1/\sigma)y_1, (1/\sigma)x_5, \sigma y_5$. We have thus seen that we can choose our standard basis such that

$$x_1y_2 = x_4, \quad y_1y_2 = x_5, \quad x_1y_3 = 0, \quad y_1y_3 = x_5. \quad (7.24)$$

Recall also that $x_2y_3 = 0$ since $L^4Z_4(L) = 0$. In order to fully determine the structure of the algebra we are only left with the following triple values

$$\begin{aligned} (x_1y_4, y_5) &= a, & (y_2y_3, y_4) &= c, & (y_2y_4, y_5) &= e, & (x_2y_4, y_5) &= r, \\ (y_1y_4, y_5) &= b, & (y_2y_3, y_5) &= d, & (y_3y_4, y_5) &= f. \end{aligned}$$

Notice that $r \neq 0$ as $x_2y_3 = 0$ but $x_2 \notin Z(L)$. We will show that we can choose our basis such that $a = b = c = d = e = f = 0$. First replace x_1, y_1, y_2 by $x_1 - (a/r)x_2, y_1 - (b/r)x_2, y_2 + (a/r)y_1 - (b/r)x_1$ and we see that we can assume that $a = b = 0$, that is

$$(x_1y_4, y_5) = (y_1y_4, y_5) = 0. \quad (7.25)$$

Inspection shows that (7.24) remains same under these changes. Then replacing x_1, y_1, y_3 by $x_1 - dx_3, y_1 + cx_3, y_3 + cx_1 + dy_1$ we can furthermore assume that

$$(y_2y_3, y_4) = (y_2y_3, y_5) = 0. \quad (7.26)$$

Finally replacing x_1, y_2, y_3, y_4 by $x_1 - ex_4, y_2 - ((f-e)/r)x_3, y_3 - ((f-e)/r)x_2, y_4 + ey_1$ and we can also assume that

$$(y_2y_4, y_5) = (y_3y_4, y_5) = 0. \quad (7.27)$$

We have thus seen that L has a presentation of the form $\mathcal{P}_{10}^{(3,6)}(r)$ as described in the next proposition.

Proposition 7.13. *Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 3 that has the further properties that $\dim L^3 = 6$, $L^3L^2 = L^5$ and L is of type A. Then L has a presentation of the form*

$$\mathcal{P}_{10}^{(3,6)}(r) : \quad (x_2y_4, y_5) = r, \quad (x_1y_2, y_4) = 1, \quad (y_1y_2, y_5) = 1, \quad (y_1y_3, y_5) = 1$$

where $r \neq 0$. Furthermore the presentations $\mathcal{P}_{10}^{(3,6)}(r)$ and $\mathcal{P}_{10}^{(3,6)}(s)$ describe the same algebra if and only if $s/r \in (\mathbb{F}^*)^3$.

Proof. We have already seen that all such algebras have a presentation of the form $\mathcal{P}_{10}^{(3,6)}(r)$ for some $0 \neq r \in \mathbb{F}$. Straightforward calculations show that conversely any algebra with such a presentation has the properties stated in the Proposition. It remains to prove the isomorphism property. To see that the property is sufficient, suppose that we have an algebra L with presentation $\mathcal{P}_{10}^{(3,6)}(r)$ with respect to some given standard basis. Let s be any element in \mathbb{F}^* such that $s/r = b^3 \in (\mathbb{F}^*)^3$. Replace the basis with a new standard basis $\tilde{x}_1, \dots, \tilde{y}_5$ where $\tilde{x}_1 = x_1$, $\tilde{y}_1 = y_1$, $\tilde{x}_2 = bx_2$, $\tilde{y}_2 = (1/b)x_2$, $\tilde{x}_3 = bx_3$, $\tilde{y}_3 = (1/b)y_3$, $\tilde{x}_4 = (1/b)x_4$, $\tilde{y}_4 = by_4$, $\tilde{x}_5 = (1/b)x_5$, $\tilde{y}_5 = by_5$. Direct calculations show that L has presentation $\mathcal{P}_{10}^{(3,6)}(s)$ with respect to the new basis.

It remains to see that the property is necessary. Consider again an algebra L with presentation $\mathcal{P}_{10}^{(3,6)}(r)$ and suppose that L has also a presentation $\mathcal{P}_{10}^{(3,6)}(s)$ with respect to some other standard basis $\tilde{x}_1, \dots, \tilde{y}_5$. We want to show that $s/r \in (\mathbb{F}^*)^3$. We know that $L = \mathbb{F}\tilde{y}_5 + \mathbb{F}\tilde{y}_4 + Z_4(L) = \mathbb{F}y_5 + \mathbb{F}y_4 + Z_4(L)$. Thus

$$\begin{aligned}\tilde{y}_4 &= ay_4 + by_5 + u \\ \tilde{y}_5 &= cy_4 + dy_5 + v\end{aligned}$$

for some $u, v \in Z_4(L)$ and $a, b, c, d \in \mathbb{F}$ where $ad - bc \neq 0$. As $L^3L^2 = L^5 \perp Z_4(L)$ and as $Z_4(L)L^4 = 0$ we have $(Z_4(L)L^2, L^3) = (Z_4(L)L, L^4) = 0$ and thus $Z_4(L)L^2 \leq (L^3)^\perp = L^4$ and $Z_4(L)L \leq (L^4)^\perp = L^3$. It follows that

$$\begin{aligned}\tilde{y}_4\tilde{y}_5\tilde{y}_5 &= (ay_4 + by_5)(cy_4 + dy_5)(cy_4 + dy_5) + w \\ \tilde{y}_5\tilde{y}_4\tilde{y}_4 &= (cy_4 + dy_5)(ay_4 + by_5)(ay_4 + by_5) + z\end{aligned}$$

for some $w, z \in L^4$. Using the fact that $(L^4, L^3) = 0$, as $L^6 = 0$, we then see that

$$s^2 = (\tilde{y}_4\tilde{y}_5\tilde{y}_5, \tilde{y}_5\tilde{y}_4\tilde{y}_4) = r^2(ad - bc)^3.$$

Hence $s/r \in (\mathbb{F}^*)^3$. □

Remark 7.14. Notice that it follows that we have only one algebra if $(\mathbb{F}^*)^3 = \mathbb{F}^*$. This includes all fields that are algebraically closed as well as \mathbb{R} . For a finite field of order p^n there are 3 algebras if $3|p^n - 1$ but otherwise one. For \mathbb{Q} there are infinitely many algebras.

7.3.2 Algebras of type B

Suppose that the double root of the minimal polynomial of $\tau = \psi\phi^{-1}$ is λ . We can then have a basis x_4, x_5 for L^5 such that

$$\begin{aligned}\psi\phi^{-1}(x_4) &= \lambda x_4 \\ \psi\phi^{-1}(x_5) &= \lambda x_5 + x_4.\end{aligned}$$

If we replace y_3, x_2 by $y_3 - \lambda y_2, x_2 + \lambda x_3$ then we can furthermore assume that $\lambda = 0$. We want to pick our standard basis such that $\bar{x}_1 = x_1 + L^4 = \phi^{-1}(x_4)$ and $\bar{y}_1 = y_1 + L^4 = \phi^{-1}(x_5)$. Again the only problem is to arrange for $(x_1, y_1) = 1$. But if $(x_1, y_1) = \sigma$ then we replace x_5, x_3, y_1, y_3, y_5 by $(1/\sigma)x_5, (1/\sigma)x_3, (1/\sigma)y_1, \sigma y_3, \sigma y_5$ and that gives $(x_1, y_1) = 1$. We have thus seen that we can choose our standard basis such that

$$x_1 y_2 = x_4, \quad y_1 y_2 = x_5, \quad x_1 y_3 = 0, \quad y_1 y_3 = x_4. \quad (7.28)$$

As before we have furthermore $x_2 y_3 = 0$ and we are only left with the following triple values

$$\begin{aligned}(x_1 y_4, y_5) &= a, & (y_2 y_3, y_4) &= c, & (y_2 y_4, y_5) &= e, & (x_2 y_4, y_5) &= r, \\ (y_1 y_4, y_5) &= b, & (y_2 y_3, y_5) &= d, & (y_3 y_4, y_5) &= f.\end{aligned}$$

Notice that $r \neq 0$ as $x_2 y_3 = 0$ but $x_2 \notin Z(L)$. We will show that we can choose a new standard basis so that all the other values are zero. First by replacing x_1, y_1, y_2 by $x_1 - (a/r)x_2, y_1 - (b/r)x_2, y_2 + (a/r)y_1 - (b/r)x_1$, we can assume that $a = b = 0$. That is

$$(x_1 y_4, y_5) = (y_1 y_4, y_5) = 0. \quad (7.29)$$

These changes do not affect (7.28). Then replace x_1, y_1, y_3 by $x_1 - dx_3, y_1 + cx_3, y_3 + cx_1 + dy_1$ we can furthermore assume that

$$(y_2 y_3, y_4) = (y_2 y_3, y_5) = 0. \quad (7.30)$$

Finally replacing x_1, y_4, y_5 by $x_1 - ex_4 + fx_5, y_4 + ey_1, y_5 - fy_1$ further allows us to assume that

$$(y_2y_4, y_5) = (y_3y_4, y_5) = 0. \quad (7.31)$$

We thus arrive at a presentation of the form $\mathcal{P}_{10}^{(3,7)}(r)$ as given in the next proposition

Proposition 7.15. *Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 3 that has the further properties that $\dim L^3 = 6$, $L^3L^2 = L^5$ and L is of type B. Then L has a presentation of the form*

$$\mathcal{P}_{10}^{(3,7)}(r) : (x_2y_4, y_5) = r, (x_1y_2, y_4) = 1, (y_1y_2, y_5) = 1, (y_1y_3, y_4) = 1$$

where $r \neq 0$. Furthermore the presentations $\mathcal{P}_{10}^{(3,7)}(r)$ and $\mathcal{P}_{10}^{(3,7)}(s)$ describe the same algebra if and only if $s/r \in (\mathbb{F}^*)^3$.

Proof. Similar to the proof of Proposition 7.13. □

7.3.3 Algebras of type C

It turns out to be useful to consider the cases $\text{char } \mathbb{F} \neq 2$ and $\text{char } \mathbb{F} = 2$ separately.

a. The algebras where $\text{char } \mathbb{F} \neq 2$

Suppose the minimal polynomial of $\tau = \psi\phi^{-1}$ is $t^2 + at + b$ with respect to some y_2, y_3 . Replacing y_3 by $y_3 + (a/2)y_2$, one gets a minimal polynomial of the form $t^2 - s$ with $s \notin \mathbb{F}^2$.

Remark 7.16. *Let $\tilde{y}_3 = \alpha y_3 + u$ where $\alpha \neq 0$ and $u \in L^2$. For the minimal polynomial of τ to have trivial linear term we must have $u \in L^3$. Thus $\mathbb{F}y_3 + L^3$ is a characteristic subspace of L .*

Pick any $0 \neq x_5 \in L^5$ and let $x_4 = \psi\phi^{-1}(x_5)$. Then $\psi\phi^{-1}(x_4) = sx_5$. We want to pick our standard basis such that $\phi^{-1}(x_4) = x_1 + L^4$, $\phi^{-1}(x_5) = y_1 + L^4$. For this to work out we need $(x_1, y_1) = 1$. Again this can be easily arranged. If $(x_1, y_1) = \sigma$, then we replace x_5, y_1, y_3 by $(1/\sigma)x_5, (1/\sigma)y_1, \sigma y_3$ and we get $(x_1, y_1) = 1$ and $\psi\phi^{-1}(x_4) = (\sigma^2s)x_5$. We have thus seen that we can choose our standard basis such that

$$x_1y_2 = x_4, y_1y_2 = x_5, x_1y_3 = sx_5, y_1y_3 = x_4 \quad (7.32)$$

for some $s \notin \mathbb{F}^2$. In order to clarify the structure further we are only left with the following triple values

$$\begin{aligned} (x_1y_4, y_5) &= a, & (y_2y_3, y_4) &= c, & (y_2y_4, y_5) &= e, & (x_2y_4, y_5) &= r, \\ (y_1y_4, y_5) &= b, & (y_2y_3, y_5) &= d, & (y_3y_4, y_5) &= f. \end{aligned}$$

Notice that $r \neq 0$ as $x_2y_3 = 0$ but $x_2 \notin Z(L)$. We will show that the remaining values are zero. First by replacing x_1, y_1, y_2 by $x_1 - (a/r)x_2, y_1 - (b/r)x_2, y_2 + (a/r)y_1 - (b/r)x_1$ we can assume that $a = b = 0$, that is

$$(x_1y_4, y_5) = (y_1y_4, y_5) = 0. \quad (7.33)$$

These changes do not affect (7.32). Next replace x_1, y_1, y_3 by $x_1 - dx_3, y_1 + cx_3, y_3 + cx_1 + dy_1$ we see that we can further assume that

$$(y_2y_3, y_4) = (y_2y_3, y_5) = 0. \quad (7.34)$$

Finally replacing x_1, y_4, y_5 by $x_1 - ex_4 + fx_5, y_4 + ey_1, y_5 - fy_1$ we can also assume that

$$(y_2y_4, y_5) = (y_3y_4, y_5) = 0. \quad (7.35)$$

Thus L has a presentation of the form $\mathcal{P}_{10}^{(3,8)}(r, s)$ as described in the next proposition.

Proposition 7.17. *Let L be a nilpotent SAA of dimension 10 over a field of characteristic that is not 2 that has an isotropic center of dimension 3. Suppose also that L has the further properties that $\dim L^3 = 6$, $L^3L^2 = L^5$ and L is of type C. Then L has a presentation of the form*

$$\begin{aligned} \mathcal{P}_{10}^{(3,8)}(r, s) : & (x_2y_4, y_5) = r, \quad (x_1y_3, y_5) = s, \quad (x_1y_2, y_4) = 1, \quad (y_1y_2, y_5) = 1, \\ & (y_1y_3, y_4) = 1 \end{aligned}$$

where $r \neq 0$ and $s \notin \mathbb{F}^2$. Furthermore the presentations $\mathcal{P}_{10}^{(3,8)}(\tilde{r}, \tilde{s})$ and $\mathcal{P}_{10}^{(3,8)}(r, s)$ describe the same algebra if and only if $\frac{\tilde{r}}{r} \in (\mathbb{F}^*)^3$ and $\frac{\tilde{s}}{s} \in G(s)$ where $G(s) = \{(x^2 - y^2s)^2 : (x, y) \in \mathbb{F} \times \mathbb{F} \setminus \{(0, 0)\}\}$.

Proof. We have already seen that any such algebra has a presentation of the given form. Direct calculations show that an algebra with a presentation $\mathcal{P}_{10}^{(3,8)}(r, s)$

has the properties stated. We turn to the isomorphism property. To see that the condition is sufficient, suppose we have an algebra L that has presentation $\mathcal{P}_{10}^{(3,8)}(r, s)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Suppose that $\frac{\tilde{r}}{r} = \frac{1}{\beta^3}$ and $\frac{\tilde{s}}{s} = [(b/\beta)^2 - s(a/\beta)^2]^2$ for some $(a, b) \in \mathbb{F} \times \mathbb{F} \setminus \{(0, 0)\}$. Consider a new standard basis

$$\begin{aligned} \tilde{x}_1 &= (\alpha/\beta^2)(bx_1 + asy_1), & \tilde{y}_1 &= (1/\beta)(by_1 + ax_1), \\ \tilde{x}_2 &= (1/\beta)x_2, & \tilde{y}_2 &= \beta y_2, \\ \tilde{x}_3 &= (1/\alpha)x_3, & \tilde{y}_3 &= \alpha y_3, \\ \tilde{x}_4 &= (\alpha/\beta)(bx_4 + asx_5), & \tilde{y}_4 &= (1/\beta^2)(by_4 - ay_5), \\ \tilde{x}_5 &= ax_4 + bx_5, & \tilde{y}_5 &= (\alpha/\beta^3)(by_5 - asy_4), \end{aligned}$$

where $\alpha = \frac{\beta^3}{b^2 - a^2s}$. Calculations show that L has then presentation $\mathcal{P}_{10}^{(3,8)}(\tilde{r}, \tilde{s})$ with respect to the new standard basis.

It remains to see that the conditions are also necessary. Consider an algebra L with presentation $\mathcal{P}_{10}^{(3,8)}(r, s)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Take some arbitrary new standard basis $\tilde{x}_1, \tilde{y}_1, \dots, \tilde{x}_5, \tilde{y}_5$ such that L satisfies the presentation $\mathcal{P}_{10}^{(3,8)}(\tilde{r}, \tilde{s})$ with respect to the new basis for some $0 \neq \tilde{r} \in \mathbb{F}$ and $\tilde{s} \notin \mathbb{F}^2$. Notice that

$$\begin{aligned} \tilde{x}_5 &= ax_4 + bx_5 \\ \tilde{y}_2 &= \beta y_2 + u \\ \tilde{y}_3 &= \gamma y_3 + v, \end{aligned}$$

such that $u, v \in L^3$ and $0 \neq \alpha, \beta \in \mathbb{F}$. The reader can convince himself that $\tilde{r}/r \in (\mathbb{F}^*)^3$ and $s/\tilde{s} \in G(s)$. \square

Examples. (1) If $\mathbb{F} = \mathbb{C}$ then as any quadratic polynomial is reducible, there are not algebras of type C . This holds more generally for any field \mathbb{F} of characteristic that is not 2 and where all elements in \mathbb{F} have a square root in \mathbb{F} .

(2) Suppose $\mathbb{F} = \mathbb{R}$. Let $s \notin \mathbb{R}^2$ and $0 \neq r \in \mathbb{R}$. Then $r/1 = r \in (\mathbb{R}^*)^3$ and $s < 0$. Also $s/(-1) = a^4 = (a^2 - 0^2(-1))^2$ for some $a \in \mathbb{R}$. We thus have that $\mathcal{P}_{10}^{(3,8)}(r, s)$ describes the same algebra as $\mathcal{P}_{10}^{(3,8)}(1, -1)$. There is thus a unique algebra in this case.

(3) Let \mathbb{F} be a finite field of some odd characteristic p . Suppose that $|\mathbb{F}| = p^n$. Let s be any element that is not in $(\mathbb{F}^*)^2$. Notice then that $\mathbb{F}^* = (\mathbb{F}^*)^2 \cup s(\mathbb{F}^*)^2$ and thus for any \tilde{s} that is not in \mathbb{F}^2 , we have $s/\tilde{s} \in (\mathbb{F}^*)^2 = G(s)$. We can keep s fixed and each algebra has a presentation of the form $\mathcal{Q}(r) = \mathcal{P}_{10}^{(3,8)}(r, s)$ where $\mathcal{Q}(\tilde{r})$ and $\mathcal{Q}(r)$ describe the same algebra if and only if $\tilde{r}/r \in (\mathbb{F}^*)^3$. There are thus either three or one algebra according to whether 3 divides $p^n - 1$ or not.

b. The algebras where $\text{char } \mathbb{F} = 2$

If the irreducible minimal polynomial of $\psi\phi^{-1}$ is $t^2 + rt + s$ with respect to y_2, y_3 then the minimal polynomial with respect to $ay_2, by_3 + cy_2$, where $a, b \neq 0$, is

$$t^2 + r(b/a)t + [(c/a)^2 - r(c/a)(b/a) + (b/a)^2s].$$

Thus we have two distinct subcases (that do not depend on the choice of the basis). Let $m = m(y_2, y_3)$ be the minimal polynomial of $\psi\phi^{-1}$ with respect to a given standard basis for L .

- (1) The minimal polynomial m is of the form $t^2 - s$ for some $s \notin \mathbb{F}^2$.
- (2) The minimal polynomial m is of the form $t^2 + rt + s$ where $r \neq 0$ and the polynomial is irreducible.

For case (1) we get the same situation as in Proposition 7.17.

Proposition 7.18. *Let L be nilpotent SAA of dimension 10 over a field of characteristic 2 that has an isotropic center of dimension 3. Suppose also that L has the further properties that $\dim L^3 = 6$, $L^3L^2 = L^5$ and L is of type C where the minimal polynomial $m(y_2, y_3)$ is of the form $t^2 - s$ for some $s \notin \mathbb{F}^2$. Then L has a presentation of the form*

$$\begin{aligned} \mathcal{P}_{10}^{(3,8)}(r, s) : \quad & (x_2y_4, y_5) = r, \quad (x_1y_3, y_5) = s, \quad (x_1y_2, y_4) = 1, \quad (y_1y_2, y_5) = 1, \\ & (y_1y_3, y_4) = 1, \end{aligned}$$

where $r \neq 0$ and $s \notin \mathbb{F}^2$. Furthermore the presentations $\mathcal{P}_{10}^{(3,8)}(\tilde{r}, \tilde{s})$ and $\mathcal{P}_{10}^{(3,8)}(r, s)$ describe the same algebra if and only if $\frac{\tilde{r}}{r} \in (\mathbb{F}^*)^3$ and $\frac{\tilde{s}}{s} \in G(s)$, where $G(s) = \{(x^2 - y^2s)^2 : (x, y) \in \mathbb{F} \times \mathbb{F} \setminus \{(0, 0)\}\}$.

Example. Consider the field $\mathbb{Z}_2(x)$ of rational functions in one variable over \mathbb{Z}_2 . Notice that

$$\mathbb{Z}_2(x)^* = \{f(x)^2 + xg(x)^2 : (f(x), g(x)) \in \mathbb{Z}_2(x) \times \mathbb{Z}_2(x) \setminus \{(0, 0)\}\}.$$

Thus $G(x) = (\mathbb{Z}_2(x)^*)^2$ and last proposition tells us that $\mathcal{P}_{10}^{(3,8)}(\tilde{r}(x), \tilde{s}(x))$ and $\mathcal{P}_{10}^{(3,8)}(r(x), s(x))$ describe the same algebra if and only if $\tilde{r}(x)/r(x) \in (\mathbb{Z}_2(x)^*)^3$ and $s(x)/\tilde{s}(x) \in (\mathbb{Z}_2(x)^*)^2$. We thus have infinitely many algebras here.

We then move to the latter collection of algebras. For the rest of this subsection we will be assuming that the minimal polynomial of $\psi\phi^{-1}$ is an irreducible polynomial of the form $t^2 + rt + s$ where $r \neq 0$.

Pick $0 \neq x_5 \in L^5$ and let $x_4 = \psi\phi^{-1}(x_5)$. Then $\psi\phi^{-1}(x_4) = rx_4 + sx_5$. We want to pick our standard basis such that $\bar{x}_1 = x_1 + L^4 = \phi^{-1}(x_4)$ and $\bar{y}_1 = y_1 + L^4 = \phi^{-1}(x_5)$. The only constraint to worry about is, as before, that $(x_1, y_1) = 1$. If $(x_1, y_1) = \sigma$, we just need to then replace y_3 by $(1/\sigma)y_3$. Notice that this changes the minimal polynomial of $\psi\phi^{-1}$ to $t^2 + (r/\sigma)t + (s/\sigma^2)$. In any case this shows that we can choose our standard basis such that

$$x_1y_2 = x_4, \quad y_1y_2 = x_5, \quad x_1y_3 = rx_4 + sx_5, \quad y_1y_3 = x_4 \quad (7.36)$$

for some $r, s \in \mathbb{F}$ where $r \neq 0$ and $t^2 + rt + s$ is irreducible. As before we also know that $x_2y_3 = 0$. In order to clarify the structure further we are only left with the following triple values:

$$\begin{aligned} (x_1y_4, y_5) &= a, & (y_2y_3, y_4) &= c, & (y_2y_4, y_5) &= e, & (x_2y_4, y_5) &= \alpha, \\ (y_1y_4, y_5) &= b, & (y_2y_3, y_5) &= d, & (y_3y_4, y_5) &= f. \end{aligned}$$

Notice that $\alpha \neq 0$ as $x_2y_3 = 0$ but $x_2 \notin Z(L)$. We will show that we can choose a new standard basis so that the remaining values are zero. First replace x_1, y_1, y_2 by $x_1 - (a/\alpha)x_2, y_1 - (b/\alpha)x_2, y_2 + (a/\alpha)y_1 - (b/\alpha)x_1$ and we can assume that $a = b = 0$, that is

$$(x_1y_4, y_5) = (y_1y_4, y_5) = 0. \quad (7.37)$$

Next replace x_1, y_1, y_3 by $x_1 - dx_3, y_1 + cx_3, y_3 + cx_1 + dy_1$ and we can furthermore

assume that

$$(y_2y_3, y_4) = (y_2y_3, y_5) = 0. \quad (7.38)$$

Finally replacing x_1, y_4, y_5 by $x_1 - ex_4 + fx_5, y_4 + ey_1, y_5 - fy_1$ allows us to further assume that

$$(y_2y_4, y_5) = (y_3y_4, y_5) = 0. \quad (7.39)$$

We have thus arrived at a presentation of the form $\mathcal{P}_{10}^{(3,9)}$ as described in the next proposition. Before stating that proposition we introduce two groups that are going to play a role.

Definition 7.19. For each minimal polynomial $t^2 + rt + s$, we let

$$\begin{aligned} H(r) &= \{x^2 + rx : x \in \mathbb{F}\} \\ G(r, s) &= \{x^2 + rxy + sy^2 : (x, y) \in \mathbb{F} \times \mathbb{F} \setminus \{(0, 0)\}\}. \end{aligned}$$

Remark 7.20. (1) $H(r)$ is a subgroup of the additive group \mathbb{F} .

(2) Consider the splitting field $\mathbb{F}[\gamma]$ of the polynomial $t^2 + rt + s$ in $\mathbb{F}[t]$. Then $a^2 + abr + b^2s$ is the norm $N(a + b\gamma) = (a + b\gamma)(a + b(\gamma + r))$ of $a + b\gamma$. As this is a multiplicative function we have that $G(r, s)$ is a multiplicative subgroup of \mathbb{F}^* .

Proposition 7.21. Let L be a nilpotent SAA of dimension 10 over a field of characteristic 2 that has an isotropic center of dimension 3. Suppose also that L has the further properties that $\dim L^3 = 6$, $L^3L^2 = L^5$ and L is of type C where the minimal polynomial $m(y_2, y_3)$ is irreducible with a non-zero linear term. Then L has a presentation of the form

$$\begin{aligned} \mathcal{P}_{10}^{(3,9)}(\gamma, r, s) : \quad &(x_2y_4, y_5) = \gamma, \quad (x_1y_3, y_4) = r, \quad (x_1y_3, y_5) = s, \\ &(x_1y_2, y_4) = 1, \quad (y_1y_2, y_5) = 1, \quad (y_1y_3, y_4) = 1 \end{aligned}$$

where $\gamma, r \neq 0$ and $t^2 + rt + s$ is irreducible. Furthermore the presentations $\mathcal{P}_{10}^{(3,9)}(\tilde{\gamma}, \tilde{r}, \tilde{s})$ and $\mathcal{P}_{10}^{(3,9)}(\gamma, r, s)$ describe the same algebra if and only if $\frac{\tilde{\gamma}}{\gamma} \in (\mathbb{F}^*)^3$, $\frac{\tilde{r}}{r} \in G(r, s)$ and $\tilde{s} - (\frac{\tilde{r}}{r})^2s \in H(\tilde{r})$.

Proof. We have already seen that any such algebra has a presentation of the given form. Direct calculations show that conversely any algebra with a presentation for this type satisfies all the properties listed. It remains to deal with the isomorphism property. To see that the condition is sufficient, suppose we have an algebra L that has a presentation $\mathcal{P}_{10}^{(3,9)}(\gamma, r, s)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Suppose that $\frac{\tilde{\gamma}}{\gamma} = \frac{1}{\beta^3}$, $\frac{r}{\tilde{r}} = (\frac{b}{\beta})^2 + (\frac{b}{\beta})(\frac{a}{\beta})r + (\frac{a}{\beta})^2s$ and $\tilde{s} - (\frac{\tilde{r}}{r})^2s = (\frac{\delta}{\beta})^2 + (\frac{\delta}{\beta})\tilde{r}$ for some $a, b, \delta, \beta \in F$ where $\beta \neq 0$. We let $\alpha = \beta / ((\frac{b}{\beta})^2 + (\frac{b}{\beta})(\frac{a}{\beta})r + (\frac{a}{\beta})^2s)$. Consider the new standard basis

$$\begin{aligned} \tilde{x}_1 &= \frac{1}{\beta^2}[(\alpha ar + \alpha b + \delta a)x_1 + (\delta b + \alpha as)y_1], & \tilde{y}_1 &= \frac{1}{\beta}(ax_1 + by_1), \\ \tilde{x}_2 &= \frac{1}{\alpha\beta}(\alpha x_2 + \delta x_3), & \tilde{y}_2 &= \beta y_2, \\ \tilde{x}_3 &= \frac{1}{\alpha}x_3, & \tilde{y}_3 &= \alpha y_3 + \delta y_2, \\ \tilde{x}_4 &= \frac{1}{\beta}[(\alpha ar + \alpha b + \delta a)x_4 + (\delta b + \alpha as)x_5], & \tilde{y}_4 &= \frac{1}{\beta^2}(by_4 + ay_5), \\ \tilde{x}_5 &= ax_4 + bx_5, & \tilde{y}_5 &= \frac{1}{\beta^3}[(\alpha ar + \alpha b + \delta a)y_5 + \\ & & & (\delta b + \alpha as)y_4]. \end{aligned}$$

Calculations show that L has then presentation $\mathcal{P}_{10}^{(3,9)}(\tilde{\gamma}, \tilde{r}, \tilde{s})$ with respect to the new standard basis.

It remains to see that the conditions are also necessary. Consider an algebra L with presentation $\mathcal{P}_{10}^{(3,9)}(\gamma, r, s)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Take some arbitrary new standard basis $\tilde{x}_1, \tilde{y}_1, \dots, \tilde{x}_5, \tilde{y}_5$ such that L has presentation $\mathcal{P}_{10}^{(3,9)}(\tilde{\gamma}, \tilde{r}, \tilde{s})$ with respect to the new basis where $\tilde{\gamma}, \tilde{r}, \tilde{s} \neq 0$ and where $t^2 + \tilde{r}t + \tilde{s}$ is irreducible. Then

$$\begin{aligned} \tilde{x}_5 &= ax_4 + bx_5, \\ \tilde{y}_2 &= \beta y_2 + u, \\ \tilde{y}_3 &= \alpha y_3 + \delta y_2 + v, \end{aligned}$$

for some $u, v \in L^3$, $\alpha, \beta, \delta \in \mathbb{F}$ where $\alpha, \beta \neq 0$. The reader can convince himself that new standard basis that we get satisfies the conditions stated. \square

Before we give an example to an algebra of this form. We list some useful properties of the groups $G(r, s)$ and $H(r)$.

Lemma 7.22. *For any irreducible polynomials $t^2 + rt + s$ and $t^2 + \tilde{r}t + \tilde{s}$, we have that*

- (1) $H(\tilde{r}) = (\tilde{r}/r)^2 H(r)$.
- (2) $G(\tilde{r}, \tilde{s}) = G(r, s)$ if $\tilde{s} - (\tilde{r}/r)^2 s \in H(\tilde{r})$.

Proof. Straightforward calculations. □

Example. Let \mathbb{F} be the finite field of order 2^n . Let $\gamma, r, s, \tilde{\gamma}, \tilde{r}, \tilde{s}$ be as in last lemma. Then $G(\tilde{r}, \tilde{s}) = G(r, s) = \mathbb{F}^*$ and thus $\tilde{r}/r \in G(r, s)$. Also $[\mathbb{F} : H(\tilde{r})] = 2$ and thus $\tilde{s} - (\tilde{r}/r)^2 s \in H(\tilde{r})$. It follows from last proposition that the presentations $\mathcal{P}_{10}^{(3,9)}(\gamma, r, s)$ and $\mathcal{P}_{10}^{(3,9)}(\tilde{\gamma}, \tilde{r}, \tilde{s})$ describe the same algebra if and only if $\tilde{\gamma}/\gamma \in (\mathbb{F}^*)^3$. There are thus either three algebras or one algebra according to whether 3 divides $2^n - 1$ or not.

We end this section by giving a direct explanation why the relation

$$(\tilde{r}, \tilde{s}) \sim (r, s) \text{ if and only if } \frac{\tilde{r}}{r} \in G(r, s), \tilde{s} - \left(\frac{\tilde{r}}{r}\right)^2 s \in H(\tilde{r})$$

is an equivalence relation.

First it is easy to show $(r, s) \sim (r, s)$ as $1 \in G(r, s)$ and $0 \in H(r)$. Next if $(\tilde{r}, \tilde{s}) \sim (r, s)$ then, as $G(r, s) = G(\tilde{r}, \tilde{s})$ is a group, we have that $r/\tilde{r} \in G(\tilde{r}, \tilde{s})$ and $s - (r/\tilde{r})^2 \tilde{s} = (r/\tilde{r})^2 (\tilde{s} - (\tilde{r}/r)^2 s) \in (r/\tilde{r})^2 H(\tilde{r}) = H(r)$. This shows that \sim is symmetric. Finally suppose $(r^*, s^*) \sim (\tilde{r}, \tilde{s})$ and $(\tilde{r}, \tilde{s}) \sim (r, s)$. Then we have that $r^*/r = r^*/\tilde{r} \cdot \tilde{r}/r \in G(r, s)$ and $s^* - (r^*/r)^2 s = [s^* - (r^*/\tilde{r})^2 \tilde{s}] + [(r^*/\tilde{r})^2 \tilde{s} - (r^*/r)^2 s] = [s^* - (r^*/\tilde{r})^2 \tilde{s}] + (r^*/\tilde{r})^2 [\tilde{s} - (\tilde{r}/r)^2 s]$ is in $H(r^*) + (r^*/\tilde{r})^2 H(\tilde{r}) = H(r^*)$. Hence \sim is also transitive and we have an equivalence relation.

CHAPTER 8

ALGEBRAS WITH AN ISOTROPIC CENTER OF DIMENSION 2

In this chapter we will be assuming that $Z(L)$ is isotropic of dimension 2. Notice that if $L = \mathbb{F}u + \mathbb{F}v + L^2$, then $L^2 = \mathbb{F}uv + L^3$. It follows that $L^2 = Z(L)^\perp$ is of dimension 8 and that L^3 is of dimension 7. We can then pick our standard basis such that

$$\begin{aligned}Z(L) &= \mathbb{F}x_5 + \mathbb{F}x_4, \\L^2 &= \mathbb{F}x_5 + \cdots + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3, \\L^3 &= \mathbb{F}x_5 + \cdots + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2.\end{aligned}$$

Furthermore $L^3 = \mathbb{F}uvu + \mathbb{F}uvv + L^4$ and thus $\dim L^4 \in \{5, 6\}$. Let k be the nilpotence class of L . We know that the maximal class is 7 and as $\dim L^k \neq 1$ and $L^k \leq Z(L)$, we must have that $L^k = Z(L)$. Moreover, we know that $\dim L^s \neq 2$ for $1 \leq s \leq 4$ and thus $5 \leq k \leq 7$. If $L^5 = Z(L)$ then $\dim Z_2(L) - \dim Z(L) = \dim L^2 - \dim L^3 = 1$ and we get the contradiction that $L^4 = Z_2(L)$ is of dimension 3. Thus $6 \leq k \leq 7$. We will deal with the two cases separately.

8.1 The algebras of class 6

As the class is 6, it follows that $(L^4, L^4) = (L^7, L) = 0$ and thus L^4 is isotropic. We have seen that the dimension of L^4 is at least 5 and thus $\dim L^4 = 5$. We can thus now furthermore choose our standard basis such that

$$\begin{array}{l}
 L^6 = Z(L) \begin{array}{|l} x_5 \\ x_4 \end{array} \begin{array}{l} y_5 \\ y_4 \end{array} \\
 L^5 = Z_2(L) \begin{array}{|l} x_3 \\ x_2 \end{array} \begin{array}{|l} y_3 \\ y_2 \end{array} \quad L^2 = Z_5(L) \\
 L^4 = Z_3(L) \begin{array}{|l} x_1 \\ x_2 \end{array} \begin{array}{|l} y_1 \\ y_2 \end{array} \quad L^3 = Z_4(L)
 \end{array}
 \qquad
 \begin{array}{l}
 Z(L) = L^6 = \mathbb{F}x_5 + \mathbb{F}x_4 \\
 Z_2(L) = L^5 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 \\
 Z_3(L) = L^4 = \mathbb{F}x_5 + \cdots + \mathbb{F}x_1 \\
 Z_4(L) = L^3 = L^4 + \mathbb{F}y_1 + \mathbb{F}y_2 \\
 Z_5(L) = L^2 = L^4 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_3.
 \end{array}$$

As $L^4Z_4(L) = 0$ we must have

$$x_1y_2 = 0.$$

It then follows that $L^4L^3 = 0$ and then

$$L^3L^3 = \mathbb{F}y_1y_2.$$

Notice that $L^3L^3 \neq 0$ since this would imply that $(L^6, L) = (L^3, L^4) = (L^3L^3, L) = 0$ and we would get the contradiction that the class of L is at most 5. Next let us see that x_1y_3 and x_2y_3 are linearly independent. To see this we argue by contradiction and suppose that $(ax_1 + bx_2)y_3 = 0$ for some $a, b \in \mathbb{F}$ where not both a, b are zero. But this would imply that $(ax_1 + bx_2)L \in Z(L)$ and we would thus get the contradiction that $ax_1 + bx_2 \in Z_2(L) = L^5$. Thus

$$L^4L^2 = \mathbb{F}x_1y_3 + \mathbb{F}x_2y_3 = Z(L).$$

Notice that $L^3L^3 = \mathbb{F}y_1y_2$ is a one-dimensional characteristic subspace of $Z_2(L)$. We consider two possibilities: $L^3L^3 \leq Z(L)$ and $L^3L^3 \not\leq Z(L)$.

8.1.1 Algebras where $L^3L^3 \leq Z(L)$

We pick our standard basis such that

$$L^3L^3 = \mathbb{F}y_1y_2 = \mathbb{F}x_5. \tag{8.1}$$

We have seen above that $Z(L) = \mathbb{F}x_2y_3 + \mathbb{F}x_1y_3 = L^4L^2$. In order to clarify the structure of L we introduce the characteristic subspace

$$W = \{x \in L^4 : xL^2 \leq L^3L^3\}.$$

Notice that W is the kernel of the surjective linear map $L^4 \rightarrow Z(L)/L^3L^3$, $x \mapsto xy_3 + L^3L^3$ and thus W is of codimension 1 in L^4 . Also $L^5 < W$. We can thus pick our standard basis such that

$$W = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2.$$

From this one sees that we have a chain of characteristic ideals of L

$$\begin{array}{c} L^3L^3 \quad \boxed{x_5} \quad y_5 \\ L^6 = Z(L) \quad \boxed{x_4} \quad \boxed{y_4} \quad (L^3L^3)^\perp \\ L^5 = Z_2(L) \quad \boxed{x_3} \quad \boxed{y_3} \quad L^2 = Z_5(L) \\ W \quad \boxed{x_2} \quad \boxed{y_2} \quad L^3 = Z_4(L) \\ L^4 = Z_3(L) \quad \boxed{x_1} \quad \boxed{y_1} \quad W^\perp \end{array}$$

Notice that $\mathbb{F}x_2y_3 = \mathbb{F}x_5$. We continue considering characteristic subspaces. Let

$$S = \{x \in L^3 : x \cdot L^2 \leq L^3L^3\}.$$

Notice that $L^3L^2 = Z(L)$ and that S is the kernel of the surjective linear map $L^3 \rightarrow Z(L)/L^3L^3$, $x \mapsto x \cdot y_3 + L^3L^3$ and is thus of codimension 1 in L^3 . Notice also that $x_1 \notin S$ whereas $W \leq S$. It follows that we can pick our standard basis such that

$$S = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2 + \mathbb{F}y_1 + \mathbb{F}y_2.$$

In particular we have $y_1y_3, y_2y_3 \in L^3L^3$. Notice that

$$S^\perp = L^5 + \mathbb{F}y_1$$

and that $L^2S^\perp = L^2y_1 = \mathbb{F}y_1y_2 + \mathbb{F}y_1y_3 = L^3L^3$. Let

$$T = \{x \in L^2 : xS^\perp = 0\}.$$

Then T is the kernel of the surjective linear map $L^2 \rightarrow L^3L^3$, $x \mapsto y_1x$. Notice that $W^\perp \leq T$ but that $y_2 \notin T$. We can then pick our standard basis such that

$$T = W^\perp + \mathbb{F}y_3.$$

In particular $y_1y_3 = 0$. We now have a characteristic isotropic subspace $T^\perp = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_2$ where $T^\perp \cdot (L^3L^3)^\perp = x_2(L^3L^3)^\perp = L^3L^3$. We now let

$$R = \{x \in (L^3L^3)^\perp : xT^\perp = 0\}.$$

This is the kernel of the surjective linear map $(L^3L^3)^\perp \rightarrow L^3L^3$, $x \mapsto x_2x$ that contains L^3 . We now refine our standard basis such that

$$R = L^3 + \mathbb{F}y_4$$

and we have in particular $x_2y_4 = 0$. Let us summarize. For every standard basis that respects the list of characteristic subspaces above, we have

$$\begin{aligned} x_2y_4 &= 0 \\ \mathbb{F}x_2y_3 &= \mathbb{F}x_5 \\ x_1y_2 &= 0 \\ \mathbb{F}x_5 + \mathbb{F}x_1y_3 &= \mathbb{F}x_5 + \mathbb{F}x_4 \\ \mathbb{F}x_5 + \mathbb{F}x_1y_4 &= \mathbb{F}x_5 + \mathbb{F}x_3 \\ \mathbb{F}y_1y_2 &= \mathbb{F}x_5 \\ y_1y_3 &= 0 \\ y_2y_3 &\in \mathbb{F}x_5 \end{aligned}$$

It is not difficult to see that we can furthermore refine our basis such that

$$x_2y_3 = x_5, \quad x_2y_4 = 0, \quad x_1y_2 = 0, \quad x_1y_3 = x_4, \quad x_1y_4 = -x_3, \quad y_1y_2 = x_5, \quad y_1y_3 = 0. \quad (8.2)$$

This deals with all triple values apart from

$$\begin{aligned} (y_1y_4, y_5) &= a, & (y_2y_3, y_5) &= c, & (x_3y_4, y_5) &= r, \\ (y_3y_4, y_5) &= b, & (y_2y_4, y_5) &= d, \end{aligned}$$

where $r \neq 0$ as $x_3 \notin Z(L)$. Replace x_2, y_4 by $x_2 + ax_4, y_4 - ay_2$ and we can assume that

$$(y_1y_4, y_5) = 0. \quad (8.3)$$

This does not affect (8.2). Then replace y_2, y_3 by $y_2 - (d/r)x_3 - cx_2, y_3 - (b/r)x_3 - (d/r)x_2$ gives furthermore that

$$(y_2y_3, y_5) = 0, (y_2y_4, y_5) = 0, (y_3y_4, y_5) = 0. \quad (8.4)$$

Again these changes do not affect (8.2) and (8.3). Finally replacing $x_1, x_2, x_4, x_5, y_1, y_2, y_4, y_5$ by $r^2x_1, (1/r)x_2, r^2x_4, (1/r)x_5, (1/r^2)y_1, ry_2, (1/r^2)y_4, ry_5$ implies that we can assume that $(x_3y_4, y_5) = 1$ while (8.2)-(8.4) remain unaffected. We thus arrive at a unique presentation.

Proposition 8.1. *There is a unique nilpotent SAA L of dimension 10 with an isotropic center of dimension 2 that has the further properties that L is nilpotent of class 6 and $L^3L^3 \leq Z(L)$. This algebra can be given by the presentation*

$$\mathcal{P}_{10}^{(2,1)} : (x_3y_4, y_5) = 1, (x_2y_3, y_5) = 1, (x_1y_3, y_4) = 1, (y_1y_2, y_5) = 1.$$

One readily verifies that the algebra with the presentation above has the properties stated.

8.1.2 Algebras where $L^3L^3 \not\leq Z(L)$

Recall that $x_1y_2 = 0$. As we had observed before, $\mathbb{F}y_1y_2 = L^3L^3 \leq Z_2(L) = Z(L) + \mathbb{F}x_3$. We had also seen that $\mathbb{F}x_1y_3 + \mathbb{F}x_2y_3 = Z(L)$. We can now pick our standard basis such that

$$x_1y_2 = 0, y_1y_2 = x_3, x_1y_3 = x_4, x_2y_3 = x_5. \quad (8.5)$$

This leaves us with the following list of triple values to determine.

$$\begin{aligned} (x_1y_4, y_5) &= a, & (y_2y_3, y_4) &= c, & (y_1y_3, y_4) &= f, & (y_1y_4, y_5) &= h, \\ (x_2y_4, y_5) &= b, & (y_2y_3, y_5) &= d, & (y_1y_3, y_5) &= g, & (y_3y_4, y_5) &= k, \\ (x_3y_4, y_5) &= r, & (y_2y_4, y_5) &= e, \end{aligned}$$

where $r \neq 0$ as $x_3 \notin Z(L)$. We show that we can further refine the basis so that $a = b = c = d = e = f = g = h = k = 0$. To start with replace x_1, x_2, y_3 by $x_1 - (a/r)x_3, x_2 - (b/r)x_3, y_3 + (a/r)y_1 + (b/r)y_2$ and we see that we can assume that

$$(x_1y_4, y_5) = (x_2y_4, y_5) = 0. \quad (8.6)$$

These changes do not affect (8.5). Next we replace y_1, y_2, y_3 by $y_1 - cx_2, y_2 - cx_1 - dx_2 - (e/r)x_3, y_3 - (e/r)x_2$ that gives us

$$(y_2y_3, y_4) = (y_2y_3, y_5) = (y_2y_4, y_5) = 0, \quad (8.7)$$

while these changes have no affect on (8.5), (8.6). Now replace x_2, y_4, y_5 by $x_2 - fx_4 - gx_5, y_4 + fy_2, y_5 + gy_2$ and we can now assume that

$$(y_1y_3, y_4) = (y_1y_3, y_5) = 0. \quad (8.8)$$

As before this has no effect on the previous established equations. Finally we replace y_1, y_3 by $y_1 - (h/r)x_3, y_3 - (h/r)x_1 - (k/r)x_3$ and one sees readily that we can furthermore assume that $(y_1y_4, y_5) = (y_3y_4, y_5) = 0$. We thus arrive at a family of algebras given by the presentation $\mathcal{P}_{10}^{(2,2)}$ given in the next Proposition.

Proposition 8.2. *Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 2 with the further properties that L is of nilpotence class 6 and $L^3L^3 \not\subseteq Z(L)$. Then L has a presentation of the form*

$$\mathcal{P}_{10}^{(2,2)}(r) : (x_3y_4, y_5) = r, (x_2y_3, y_5) = 1, (x_1y_3, y_4) = 1, (y_1y_2, y_3) = 1,$$

where $r \neq 0$. Furthermore the presentations $\mathcal{P}_{10}^{(2,2)}(r)$ and $\mathcal{P}_{10}^{(2,2)}(s)$ describe the same algebra if and only if $s/r \in (\mathbb{F}^*)^4$.

Proof. We have already seen that all such algebras have a presentation of the form $\mathcal{P}_{10}^{(2,2)}(r)$ for some $0 \neq r \in \mathbb{F}$. Straightforward calculations show that conversely any algebra with such a presentation has the properties stated in the Proposition. It remains to prove the isomorphism property. To see that it is sufficient, suppose that we have an algebra L with presentation $\mathcal{P}_{10}^{(2,2)}(r)$ with respect to some given standard basis. Let s be any element in \mathbb{F}^* such that $s/r = b^4 \in (\mathbb{F}^*)^4$. Replace the basis for L with a new standard basis $\tilde{x}_1, \dots, \tilde{y}_5$ where $\tilde{x}_1 = x_1, \tilde{y}_1 = y_1, \tilde{x}_2 = (1/b)x_2, \tilde{y}_2 = by_2, \tilde{x}_3 = bx_3, \tilde{y}_3 = (1/b)y_3, \tilde{x}_4 = (1/b)x_4, \tilde{y}_4 = by_4, \tilde{x}_5 = (1/b^2)x_5$ and $\tilde{y}_5 = b^2y_5$. Direct calculations show that L has the presentation $\mathcal{P}_{10}^{(2,2)}(s)$ with respect to this new basis.

It remains to see that the condition is necessary. Consider again an algebra L with presentation $\mathcal{P}_{10}^{(2,2)}(r)$ and suppose that L has also a presentation $\mathcal{P}_{10}^{(2,2)}(s)$ with respect to some other standard basis $\tilde{x}_1, \dots, \tilde{y}_5$. We want to show that

$s/r \in (\mathbb{F}^*)^4$. We know that $L = \mathbb{F}\tilde{y}_5 + \mathbb{F}\tilde{y}_4 + L^2 = \mathbb{F}y_5 + \mathbb{F}y_4 + L^2$. Thus

$$\begin{aligned}\tilde{y}_4 &= ay_4 + by_5 + u_4 \\ \tilde{y}_5 &= cy_4 + dy_5 + u_5,\end{aligned}$$

for some $u_4, u_5 \in L^2$ and $a, b, c, d \in \mathbb{F}$ where $ad - bc \neq 0$. We know that $L^2L^2 \leq L^4$ and thus

$$\begin{aligned}\tilde{y}_5\tilde{y}_4\tilde{y}_4 &= (cy_4 + dy_5)(ay_4 + by_5)(ay_4 + by_5) + w \\ \tilde{y}_4\tilde{y}_5\tilde{y}_5 &= (ay_4 + by_5)(cy_4 + dy_5)(cy_4 + dy_5) + z,\end{aligned}$$

where $w, z \in L^4$. We use the fact that $L^7 = 0$ and $L^3L^3 \leq L^5$ in the following calculation. We have

$$s^3 = (\tilde{y}_4\tilde{y}_5\tilde{y}_5 \cdot (\tilde{y}_4\tilde{y}_5), \tilde{y}_5\tilde{y}_4^2) = (ad - bc)^4 r^3.$$

Hence $s/r \in (\mathbb{F}^*)^4$. □

Remark 8.3. (1) *It thus depends on the field \mathbb{F} , how many algebras there are of this type. When $(\mathbb{F}^*)^4 = \mathbb{F}^*$ there is just one algebra. This includes the case when \mathbb{F} is algebraically closed or finite field of characteristic 2.*

(2) *Let \mathbb{F} be a finite field of order p^n where p is an odd prime. If $p \equiv 1 \pmod{4}$ then there are 4 algebras and if $p \equiv -1 \pmod{4}$ then there are 4 algebras when n is even and 2 algebras when n is odd.*

(3) *For $\mathbb{F} = \mathbb{R}$ there are two algebras, one for $r < 0$ and one for $r > 0$. For $\mathbb{F} = \mathbb{Q}$ there are infinitely many algebras.*

8.2 The algebras of class 7

Here we are dealing with algebras of maximal class and thus we can make use of the general theory concerning these. In particular we know that we can choose

our standard basis such that

$$\begin{aligned} L^7 = Z(L) &= \mathbb{F}x_5 + \mathbb{F}x_4, \\ L^6 = Z_2(L) &= \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3, \\ L^5 = Z_3(L) &= \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_2. \end{aligned}$$

We also know that $L^4 = Z_4(L) = (L^5)^\perp$, $L^3 = Z_5(L) = (L^6)^\perp$ and $L^2 = Z_6(L) = (L^7)^\perp$. Furthermore we know that we can also get characteristic ideals of dimension 1, 5 and 9 in the following way.

Firstly, we know from the general theory that $x_3y_4, x_2y_3 \neq 0$. As a result $L^5L^2 = \mathbb{F}x_2y_3 \neq 0$. This gives us a characteristic ideal of dimension 1 and then $(L^5L^2)^\perp$ is a characteristic ideal of dimension 9.

We now turn to the description of a characteristic ideal of dimension 5. From the general theory we also know that x_1y_2, y_1y_2 are linearly independent. Thus $L^4L^3 = \mathbb{F}x_1y_2 + \mathbb{F}y_1y_2$ is a 2-dimensional characteristic subspace of L^6 . Let $I_1 = L^5L^2, I_2 = L^7$ and $I_3 = L^6$. Let k be smallest such that $I_k \cap L^4L^3 \neq \{0\}$. Then

$$U = \{x \in L^4 : xL^3 \leq I_k\}$$

is a characteristic ideal of dimension 5. We can thus further refine our basis such that we have the following situation.

$$\begin{array}{c} L^5L^2 \begin{array}{|c|} \hline x_5 \\ \hline \end{array} y_5 \\ L^7 = Z(L) \begin{array}{|c|c|} \hline x_4 & y_4 \\ \hline \end{array} (L^5L^2)^\perp \\ L^6 = Z_2(L) \begin{array}{|c|c|} \hline x_3 & y_3 \\ \hline \end{array} L^2 = Z_6(L) \\ L^5 = Z_3(L) \begin{array}{|c|c|} \hline x_2 & y_2 \\ \hline \end{array} L^3 = Z_5(L) \\ U \begin{array}{|c|c|} \hline x_1 & y_1 \\ \hline \end{array} L^4 = Z_4(L) \end{array}$$

There are now few separate cases to consider according to whether $L^4L^3 = L^7$ or $L^4L^3 \neq L^7$ and whether or not $L^4L^3 \cap L^5L^2 \neq \{0\}$.

8.2.1 Algebras where $L^4L^3 = L^7$

In this case we have

$$\mathbb{F}x_2y_3 = \mathbb{F}x_1y_2 = \mathbb{F}x_5, \quad \mathbb{F}x_5 + \mathbb{F}y_1y_2 = \mathbb{F}x_5 + \mathbb{F}x_4.$$

Now consider the characteristic subspace $UL^2 = \mathbb{F}x_5 + \mathbb{F}x_1y_3$. There are again two subcases to consider as either UL^2 has dimension 1 or 2.

I. Algebras where UL^2 is 1-dimensional

In this case we have that $x_1y_3 \in \mathbb{F}x_5$ and it follows that $L^4L^2 = UL^2 + y_1L^2 = \mathbb{F}x_5 + y_1L^2 = Z(L)$. Consider the characteristic subspace

$$V = \{x \in L^2 : L^4x \leq L^5L^2\}.$$

Then V is of codimension 1 in L^2 and $L^4 \leq V$. Also $y_2 \notin V$. One sees readily that we can refine our choice of basis further such that

$$V = L^4 + \mathbb{F}y_3.$$

In particular $y_1y_3 \leq \mathbb{F}x_5$. Next consider the characteristic subspace

$$W = \{x \in L^4 : xV = 0\}.$$

We have that $L^6 \leq W$ and that $x_2 \notin W$. Also W is the kernel of the surjective linear map $L^4 \rightarrow L^5L^2$, $x \mapsto xy_3$ and thus of codimension 1 in L^4 . We can now pick our basis further such that

$$W = L^6 + \mathbb{F}x_1 + \mathbb{F}y_1.$$

It is not difficult to see that such a choice is compatible to what we have done so far. Notice that it follows that $y_1y_3 = x_1y_3 = 0$. Next one notices that $L^3V = Z(L)$ and that $L^3U \leq L^5L^2$. Let

$$Z = \{x \in V : L^3x \leq L^5L^2\}.$$

Then Z is of codimension 1 in V and $y_1 \notin Z$. We can now further refine the basis such that

$$Z = U + \mathbb{F}y_3.$$

The reader can convince himself that this is compatible to our choice so far. In particular $y_2y_3 \in \mathbb{F}x_5$. Replacing y_2 by a suitable $y_2 - \alpha x_2$, we can furthermore assume that $y_2y_3 = 0$. With this choice of basis we thus have $x_1y_3 = y_1y_3 = y_2y_3 = 0$ as well as $\mathbb{F}x_1y_2 = \mathbb{F}x_2y_3 = \mathbb{F}x_5$ and $\mathbb{F}x_5 + \mathbb{F}x_4 = \mathbb{F}x_5 + \mathbb{F}y_1y_2$. It is not difficult to see that we can further refine our basis such that

$$x_1y_3 = y_1y_3 = y_2y_3 = 0, \quad x_1y_2 = x_2y_3 = x_5, \quad y_1y_2 = x_4. \quad (8.9)$$

We are then only left with the following triple values

$$\begin{aligned} (x_1y_4, y_5) &= a, & (y_1y_4, y_5) &= c, & (y_3y_4, y_5) &= e, \\ (x_2y_4, y_5) &= b, & (y_2y_4, y_5) &= d, & (x_3y_4, y_5) &= r, \end{aligned}$$

where $r \neq 0$. Replacing $x_3, x_2, y_1, y_3, y_4, y_5$ by $x_3 + bx_4, x_2 + ax_4 - cx_5, y_1 + dx_4, y_3 - (e/r)x_3 - (be/r)x_4, y_4 = y_4 - by_3 - ay_2 + dx_1, y_5 + cy_2$, gives us a new standard basis where we can assume that $a = b = c = d = e = 0$. The reader can check that (8.9) is not affected by these changes. Finally by replacing x_1, x_2, \dots, y_5 by $(1/r^3)x_1, (1/r)x_2, rx_3, r^4x_4, (1/r^2)x_5, r^3y_1, ry_2, (1/r)y_3, (1/r^4)y_4, r^2y_5$, we can furthermore assume that $r = 1$. We thus arrive at a unique presentation for L .

Proposition 8.4. *There is a unique nilpotent SAA L of dimension 10 that has isotropic center of dimension 2 with the further properties that the class is 7, $L^4L^3 = L^7$ and $\dim UL^2 = 1$. This algebra can be given by the presentation*

$$\mathcal{P}_{10}^{(2,3)} : \quad (x_3y_4, y_5) = 1, \quad (x_2y_3, y_5) = 1, \quad (x_1y_2, y_5) = 1, \quad (y_1y_2, y_4) = 1.$$

Direct calculations show that the algebra with this presentation has the properties stated.

II. Algebras where UL^2 is 2-dimensional

In this case $\mathbb{F}x_2y_3 = \mathbb{F}x_1y_2 = \mathbb{F}x_5$ and $\mathbb{F}y_1y_2 + \mathbb{F}x_5 = \mathbb{F}x_1y_3 + \mathbb{F}x_5 = \mathbb{F}x_4 + \mathbb{F}x_5$. It is not difficult to see that we can choose our standard basis such that

$$x_1y_2 = x_2y_3 = x_5, \quad y_1y_2 = x_4. \quad (8.10)$$

Now $y_1y_3 = ax_5 + bx_4$ for some $a, b \in \mathbb{F}$. Replacing x_2, y_1, y_2, y_3 by $x_2 + bx_3, y_1 - ax_2, y_2 - ax_1, y_3 - by_2 + abx_1$ gives

$$y_1y_3 = 0, \tag{8.11}$$

and the changes do not affect (8.10). Next consider $y_2y_3 = ax_4 + bx_5$ and replace x_1, y_2, y_3 by $x_1 - ax_3, y_2 - bx_2, y_3 + ay_1$. These changes imply that we can assume furthermore that

$$y_2y_3 = 0. \tag{8.12}$$

Now consider $x_1y_3 = ax_5 + bx_4$ (where $b \neq 0$ by our assumptions). Replacing x_1, y_2 by $x_1 - ax_2, y_2 + ay_1$ we can assume that $a = 0$. Then replace x_1, \dots, y_5 by $(1/b)x_1, (1/b^2)x_2, (1/b^3)x_3, b^3x_4, bx_5, by_1, b^2y_2, b^3y_3, (1/b^3)y_4, (1/b)y_5$ we can assume that $b = 1$. Thus

$$x_1y_3 = x_4. \tag{8.13}$$

This leaves us with the following triples.

$$\begin{aligned} (x_1y_4, y_5) &= a, & (y_1y_4, y_5) &= c, & (y_3y_4, y_5) &= e, \\ (x_2y_4, y_5) &= b, & (y_2y_4, y_5) &= d, & (x_3y_4, y_5) &= r, \end{aligned}$$

First replace x_3, x_2, y_1, y_4 by $x_3 + bx_4, x_2 + ax_4, y_1 + dx_4, y_4 - ay_2 + dx_1 - by_3$. These changes imply that we can assume that

$$(x_1y_4, y_5) = (x_2y_4, y_5) = (y_2y_4, y_5) = 0. \tag{8.14}$$

Finally replace x_2, y_3, y_5 by $x_2 - cx_5, y_3 - (e/r)x_3, y_5 + cy_2$ and we furthermore assume that

$$(y_1y_4, y_5) = (y_3y_4, y_5) = 0. \tag{8.15}$$

Thus L has a presentation of the form $\mathcal{P}_{10}^{(2,4)}(r)$ as described in the next proposition.

Proposition 8.5. *Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 2 that is of class 7 and has the further properties that $L^4L^3 = L^7$ and $\dim UL^2 = 2$. This algebra can be given by a presentation of the form*

$$\begin{aligned} \mathcal{P}_{10}^{(2,4)}(r) : \quad & (x_3y_4, y_5) = r, \quad (x_2y_3, y_5) = 1, \quad (x_1y_2, y_5) = 1, \quad (x_1y_3, y_4) = 1, \\ & (y_1y_2, y_4) = 1, \end{aligned}$$

where $r \neq 0$. Furthermore two such presentations $\mathcal{P}_{10}^{(2,4)}(r)$ and $\mathcal{P}_{10}^{(2,4)}(s)$ describe the same algebra if and only if $s/r \in (\mathbb{F}^*)^{11}$.

Proof. We have already seen that any such algebra has such a presentation. Direct calculations show that an algebra with a presentation $\mathcal{P}_{10}^{(2,4)}(r)$ has the properties stated. We turn to the isomorphism property. To see that the condition is sufficient, suppose we have an algebra L with a presentation $\mathcal{P}_{10}^{(2,4)}(r)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Suppose that $s/r = a^{11}$ for some $a \in \mathbb{F}^*$. Consider a new standard basis $\tilde{x}_1 = ax_1$, $\tilde{y}_1 = (1/a)y_1$, $\tilde{x}_2 = a^3x_2$, $\tilde{y}_2 = (1/a^3)y_2$, $\tilde{x}_3 = a^5x_3$, $\tilde{y}_3 = (1/a^5)y_3$, $\tilde{x}_4 = (1/a^4)x_4$, $\tilde{y}_4 = a^4y_4$, $\tilde{x}_5 = (1/a^2)x_5$, $\tilde{y}_5 = a^2y_5$. Calculations show that L has then presentation $\mathcal{P}_{10}^{(2,4)}(s)$ with respect to the new standard basis.

It is only remains now to see that the conditions is also necessary. Consider an algebra L with presentation $\mathcal{P}_{10}^{(2,4)}(r)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Take some arbitrary new standard basis $\tilde{x}_1, \tilde{y}_1, \dots, \tilde{x}_5, \tilde{y}_5$ such that L satisfies the presentation $\mathcal{P}_{10}^{(2,4)}(s)$ with respect to the new basis. Using the fact that we have an ascending chain of characteristic ideals we know that

$$\begin{aligned} \tilde{y}_1 &= ay_1 + \beta_{11}x_1 + \dots + \beta_{15}x_5, \\ \tilde{y}_2 &= by_2 + \alpha_{21}y_1 + \beta_{21}x_1 + \dots + \beta_{25}x_5, \\ \tilde{y}_3 &= cy_3 + \alpha_{32}y_2 + \alpha_{31}y_1 + \beta_{31}x_1 + \dots + \beta_{35}x_5, \\ \tilde{y}_4 &= dy_4 + \alpha_{43}y_3 + \alpha_{42}y_2 + \alpha_{41}y_1 + \beta_{41}x_1 + \dots + \beta_{45}x_5, \\ \tilde{y}_5 &= ey_5 + \alpha_{54}y_4 + \dots + \alpha_{51}y_1 + \beta_{51}x_1 + \dots + \beta_{55}x_5, \\ \tilde{x}_1 &= (1/a)x_1 + \gamma_{12}x_2 + \dots + \gamma_{15}x_5, \\ \tilde{x}_2 &= (1/b)x_2 + \gamma_{23}x_3 + \gamma_{24}x_4 + \gamma_{25}x_5, \\ \tilde{x}_3 &= (1/c)x_3 + \gamma_{34}x_4 + \gamma_{35}x_5, \\ \tilde{x}_4 &= (1/d)x_4 + \gamma_{45}x_5, \\ \tilde{x}_5 &= (1/e)x_5, \end{aligned}$$

for some $\alpha_{ij}, \beta_{ij}, \gamma_{ij}, a, b, c, d, e$ where $a, b, c, d, e \neq 0$. Direct calculations show

that

$$\begin{aligned}
 1 &= (\tilde{x}_1\tilde{y}_2, \tilde{y}_5) = be/a \Rightarrow e = a/b \\
 1 &= (\tilde{y}_1\tilde{y}_2, \tilde{y}_4) = abd \Rightarrow d = 1/(ab) \\
 1 &= (\tilde{x}_1\tilde{y}_3, \tilde{y}_4) = cd/a \Rightarrow c = a^2b \\
 1 &= (\tilde{x}_2\tilde{y}_3, \tilde{y}_5) = ce/b \Rightarrow b = a^3.
 \end{aligned}$$

Thus $b = a^3$, $c = a^5$, $d = 1/a^4$, $e = 1/a^2$ and it follows that

$$s = (\tilde{x}_3\tilde{y}_4, \tilde{y}_5) = (de/c)r = (1/a)^{11}r.$$

Hence $s/r \in (\mathbb{F}^*)^{11}$. □

Remark 8.6. *It follows that if $(\mathbb{F}^*)^{11} = \mathbb{F}^*$ then there is only one algebra of this type. This includes any algebraically closed field and \mathbb{R} . If \mathbb{F} is a finite field of order p^n , then the number of algebras is either 11 or 1 according to whether 11 divides $p^n - 1$ or not. Notice also that there are infinitely many algebras over \mathbb{Q} .*

8.2.2 Algebras where $L^4L^3 \neq L^7$ and $L^5L^2 \leq L^4L^3$

Here we pick our standard basis such that

$$L^4L^3 = \mathbb{F}x_5 + \mathbb{F}x_3.$$

Notice also that as before $U = \{x \in L^4 : xL^3 \leq L^5L^2\}$ and thus again $x_1y_2 \in \mathbb{F}x_5$. Notice also that

$$(L^4L^3)^\perp = \mathbb{F}x_5 + \cdots + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_2 + \mathbb{F}y_4.$$

Then $L^5(L^4L^3)^\perp = (\mathbb{F}x_3 + \mathbb{F}x_2)y_4 = L^5L^2$. Consider the characteristic subspace

$$V = \{x \in L^5 : x(L^4L^3)^\perp = 0\}.$$

Here $x_3y_4 \neq 0$ and thus V is the kernel of a surjective linear map $L^5 \rightarrow L^5L^2$, $x \mapsto xy_4$ and has codimension 1 in L^5 . We pick our standard basis such that

$$V = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_2.$$

In particular

$$x_2y_4 = 0. \tag{8.16}$$

Here we have again $UL^2 = \mathbb{F}x_5 + \mathbb{F}x_1y_3$ and thus either the dimension of UL^2 is 1 or 2. We consider these cases separately.

I. Algebras where UL^2 is 1-dimensional

Notice that

$$V^\perp = \mathbb{F}x_5 + \cdots + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_3.$$

and that $UV^\perp = \mathbb{F}x_5 = L^5L^2$. Let

$$W = \{x \in U : xV^\perp = 0\}.$$

Here $x_2y_3 \neq 0$ and W is the kernel of the surjective linear map $U \rightarrow L^5L^2$, $x \mapsto xy_3$. We choose our standard basis further such that

$$W = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_1.$$

In particular

$$x_1y_3 = 0. \tag{8.17}$$

Next look at $L^4V^\perp = \mathbb{F}x_5 + \mathbb{F}y_1y_3$. Notice that $y_1y_3 \in V$ and that $(y_1y_3, y_2) \neq 0$ (as $\mathbb{F}y_1y_2 + \mathbb{F}x_5 = \mathbb{F}x_3 + \mathbb{F}x_5$). We choose our basis further such that

$$L^4V^\perp = \mathbb{F}x_5 + \mathbb{F}x_2.$$

In particular

$$(y_1y_3, y_4) = 0. \tag{8.18}$$

Now consider the characteristic subspace

$$T = L^4V^\perp + L^4L^3 = \mathbb{F}x_5 + \mathbb{F}x_3 + \mathbb{F}x_2.$$

Notice that $T^\perp = L^4 + \mathbb{F}y_4$ and $WT^\perp = \mathbb{F}x_3y_4 + \mathbb{F}x_1y_4$. Let

$$R = \{x \in W : xT^\perp = 0\}.$$

We have $x_3y_4 \neq 0$ and R is the kernel of the surjective linear map $W \rightarrow L^5L^2$, $x \mapsto xy_4$. We now refine our basis further such that

$$R = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_1.$$

In particular $x_1y_4 = 0$. We have thus got a basis where $x_1y_3 = x_1y_4 = x_2y_4 = 0$ and where $(y_1y_3, y_4) = 0$. It is not difficult that we can furthermore assume that

$$\begin{aligned} x_3y_4 = x_5, \quad x_2y_3 = rx_5, \quad x_2y_4 = 0, \quad x_1y_2 = x_5, \\ x_1y_3 = 0, \quad x_1y_4 = 0, \quad y_1y_2 = x_3, \quad (y_1y_3, y_4) = 0. \end{aligned}$$

We still need to consider the following triples.

$$\begin{aligned} (y_3y_4, y_5) = a, \quad (y_1y_4, y_5) = c, \quad (y_2y_3, y_5) = e, \\ (y_1y_3, y_5) = b, \quad (y_2y_3, y_4) = d, \quad (y_2y_4, y_5) = f, \\ (x_2y_3, y_5) = r, \end{aligned}$$

We start by replacing x_2, x_1, y_4, y_5 by $x_2 - bx_5, x_1 + dx_4, y_4 - dy_1, y_5 + by_2$ and

$$(y_1y_3, y_5) = (y_2y_3, y_4) = 0. \tag{8.19}$$

Then replace y_1, y_2, y_3 with $y_1 - cx_3, y_2 - [(e - c)/r]x_2 - fx_3, y_3 - cx_1 - fx_2 - ax_3$ and we can furthermore assume that

$$(y_1y_4, y_5) = (y_2y_4, y_5) = (y_2y_3, y_5) = (y_3y_4, y_5) = 0. \tag{8.20}$$

It follows that L has a presentation of the form $\mathcal{P}_{10}^{(2,5)}(r)$ as in the following proposition.

Proposition 8.7. *Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 2 that is of class 7 and the further properties that $L^4L^3 \neq L^7$, $L^5L^2 \leq L^4L^3$ and UL^2 is 1-dimensional. This algebra can be given by a presentation of the form*

$$\mathcal{P}_{10}^{(2,5)}(r) : (x_2y_3, y_5) = r, (x_3y_4, y_5) = 1, (x_1y_2, y_5) = 1, (y_1y_2, y_3) = 1,$$

where $r \neq 0$. Furthermore two such presentations $\mathcal{P}_{10}^{(2,5)}(r)$ and $\mathcal{P}_{10}^{(2,5)}(s)$ describe the same algebra if and only if $s/r \in (\mathbb{F}^*)^3$.

Proof. We have already seen that any such algebra has a presentation of this form. Conversely, direct calculations show that any algebra with a presentation $\mathcal{P}_{10}^{(2,5)}(r)$ satisfies the properties stated. We turn to the isomorphism property. To see that the condition is sufficient, suppose we have an algebra L with presentation $\mathcal{P}_{10}^{(2,5)}(r)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Suppose that $s/r = a^3$ for some $a \in \mathbb{F}^*$. Consider a new standard basis $\tilde{x}_1 = x_1, \tilde{y}_1 = y_1, \tilde{x}_2 = ax_2, \tilde{y}_2 = (1/a)y_2, \tilde{x}_3 = (1/a)x_3, \tilde{y}_3 = ay_3, \tilde{x}_4 = x_4, \tilde{y}_4 = y_4, \tilde{x}_5 = (1/a)x_5, \tilde{y}_5 = ay_5$. Calculations show that L has then the presentation $\mathcal{P}_{10}^{(2,5)}(s)$ with respect to the new basis.

It only remains to see that the condition is also necessary. Consider an algebra L with presentation $\mathcal{P}_{10}^{(2,5)}(r)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Take some arbitrary new standard basis $\tilde{x}_1, \tilde{y}_1, \dots, \tilde{x}_5, \tilde{y}_5$ such that L also satisfies the presentation $\mathcal{P}_{10}^{(2,5)}(s)$ with respect to the new basis. Using the fact that we have an ascending chain of characteristic ideals as well as the fact that $L^4L^3 = \mathbb{F}x_5 + \mathbb{F}x_3, L^4V^\perp = \mathbb{F}x_5 + \mathbb{F}x_2, R = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_1, W^\perp = \mathbb{F}y_2 + U, (R + L^4V^\perp)^\perp = \mathbb{F}y_3 + U$ and $T^\perp = \mathbb{F}y_4 + \mathbb{F}y_1 + U$ are characteristic subspaces, we know that

$$\begin{aligned}\tilde{y}_1 &= (1/a)y_1 + \beta_{11}x_1 + \dots + \beta_{15}x_5, \\ \tilde{y}_2 &= (1/b)y_2 + \beta_{21}x_1 + \dots + \beta_{25}x_5, \\ \tilde{y}_3 &= (1/c)y_3 + \beta_{31}x_1 + \dots + \beta_{35}x_5, \\ \tilde{y}_4 &= (1/d)y_4 + \alpha_{41}y_1 + \beta_{41}x_1 + \dots + \beta_{45}x_5, \\ \tilde{y}_5 &= (1/e)y_5 + \alpha_{54}y_4 + \dots + \alpha_{51}y_1 + \beta_{51}x_1 + \dots + \beta_{55}x_5, \\ \tilde{x}_1 &= ax_1 + \gamma_{14}x_4 + \gamma_{15}x_5, \\ \tilde{x}_2 &= bx_2 + \gamma_{25}x_5, \\ \tilde{x}_3 &= cx_3 + \gamma_{35}x_5, \\ \tilde{x}_4 &= dx_4 + \gamma_{45}x_5, \\ \tilde{x}_5 &= ex_5,\end{aligned}$$

for some $a, b, c, d, e, \alpha_{ij}, \beta_{ij}, \gamma_{ij}$ where $a, b, c, d, e \neq 0$. It follows that

$$\begin{aligned} 1 &= (\tilde{x}_3\tilde{y}_4, \tilde{y}_5) = c/(de) \\ 1 &= (\tilde{x}_1\tilde{y}_2, \tilde{y}_5) = a/(be) \\ 1 &= (\tilde{y}_1\tilde{y}_2, \tilde{y}_3) = 1/(abc). \end{aligned}$$

This gives $c = 1/(ab)$, $e = a/b$, $d = 1/a^2$ and then

$$s = (\tilde{x}_2\tilde{y}_3, \tilde{y}_5) = br/(ce) = b^3r.$$

This finishes the proof. □

Remark 8.8. *Again we just got one algebra if $(\mathbb{F}^*)^3 = \mathbb{F}^*$. This includes all fields that are algebraically closed as well as \mathbb{R} . For a finite field of order p^n there are 3 algebras if $3|p^n - 1$ but otherwise one. For \mathbb{Q} there are infinitely many algebras.*

II. Algebras where UL^2 is 2-dimensional

Recall that $UL^2 = \mathbb{F}x_5 + \mathbb{F}x_1y_3$, $L^4L^3 = \mathbb{F}x_5 + \mathbb{F}x_3$ and $x_2y_4 = 0$. It is not difficult to see that one can further refine the basis such that

$$x_3y_4 = \alpha x_5, \quad x_2y_3 = rx_5, \quad x_2y_4 = 0, \quad x_1y_2 = x_5, \quad x_1y_3 = x_4, \quad y_1y_2 = x_3. \quad (8.21)$$

Replacing $x_1, y_1, \dots, x_5, y_5$ by $\alpha x_1, (1/\alpha)y_1, (1/\alpha^3)x_2, \alpha^3y_2, \alpha^2x_3, (1/\alpha^2)y_3, (1/\alpha)x_4, \alpha y_4, \alpha^4x_5, (1/\alpha^4)y_5$, implies that we can furthermore assume that $\alpha = 1$. We have also the following triples to sort out.

$$\begin{aligned} (y_1y_3, y_4) &= a, & (y_2y_3, y_4) &= d, & (x_1y_4, y_5) &= g, \\ (y_1y_3, y_5) &= b, & (y_2y_3, y_5) &= e, & (y_3y_4, y_5) &= h, \\ (y_1y_4, y_5) &= c, & (y_2y_4, y_5) &= f, \end{aligned}$$

First we replace x_2, x_1, y_4, y_5 by $x_2 - ax_4 - bx_5, x_1 + dx_4, y_4 + ay_2 - dy_1, y_5 + by_2$ and we see that we can assume that

$$(y_1y_3, y_4) = (y_1y_3, y_5) = (y_2y_3, y_4) = 0. \quad (8.22)$$

Next replace y_1, y_2, y_3 by $y_1 - cx_3, y_2 - fx_3, y_3 - cx_1 - fx_2$ and we can also assume that

$$(y_1y_4, y_5) = (y_2y_4, y_5) = 0. \quad (8.23)$$

Then replace x_1, y_5 by $x_1 + ex_5, y_5 - ey_1$ and we see now add

$$(y_2y_3, y_5) = 0. \quad (8.24)$$

Finally replace x_1, y_3 by $x_1 - gx_3, y_3 + gy_1 - hx_3$ and we see that we can now add

$$(x_1y_4, y_5) = (y_3y_4, y_5) = 0. \quad (8.25)$$

We have thus see that L has a presentation $\mathcal{P}_{10}^{(2,6)}(r)$ as in the following proposition.

Proposition 8.9. *Let L be a nilpotent SAA of dimension 10 with an isotropic center of dimension 2 that is of class 7 and has further properties that $L^4L^3 \neq L^7$, $L^5L^2 \leq L^4L^3$ and UL^2 is 2-dimensional. This algebra can be given by a presentation of the form*

$$\begin{aligned} \mathcal{P}_{10}^{(2,6)}(r) : \quad & (x_2y_3, y_5) = r, \quad (x_3y_4, y_5) = 1, \quad (x_1y_2, y_5) = 1, \quad (x_1y_3, y_4) = 1, \\ & (y_1y_2, y_3) = 1 \end{aligned}$$

where $r \neq 0$. Furthermore two such presentations $\mathcal{P}_{10}^{(2,6)}(r)$ and $\mathcal{P}_{10}^{(2,6)}(s)$ describe the same algebra if and only if $s/r \in (\mathbb{F}^*)^{12}$.

Proof. We have already seen that any such algebra has a presentation of this form. Conversely, direct calculations show that any algebra with a presentation $\mathcal{P}_{10}^{(2,6)}(r)$ satisfies the properties stated. We turn to the isomorphism property. To see the condition is sufficient, suppose we have an algebra L with presentation $\mathcal{P}_{10}^{(2,5)}(r)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Suppose that $s/r = a^{12}$ for some $a \in \mathbb{F}^*$. Consider a new standard basis $\tilde{x}_1 = (1/a)x_1, \tilde{y}_1 = ay_1, \tilde{x}_2 = a^4x_2, \tilde{y}_2 = (1/a^4)y_2, \tilde{x}_3 = (1/a^3)x_3, \tilde{y}_3 = a^3y_3, \tilde{x}_4 = a^2x_4, \tilde{y}_4 = (1/a^2)y_4, \tilde{x}_5 = (1/a^5)x_5, \tilde{y}_5 = a^5y_5$. Calculations show that L has then the presentation $\mathcal{P}_{10}^{(2,6)}(s)$ with respect to the new basis.

It only remains to see that the condition is also necessary. Consider an algebra L with presentation $\mathcal{P}_{10}^{(2,6)}(r)$ with respect to some standard basis $x_1, y_1, \dots, x_5, y_5$. Take some arbitrary new standard basis $\tilde{x}_1, \tilde{y}_1, \dots, \tilde{x}_5, \tilde{y}_5$ such that L also sat-

isfies the presentation $\mathcal{P}_{10}^{(2,6)}(s)$ with respect to the new basis. Using the fact that we have an ascending chain of characteristic ideals as well as the fact that $L^4L^3 = \mathbb{F}x_5 + \mathbb{F}x_3$, $V = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_2$, are characteristic subspaces, we know that

$$\begin{aligned}\tilde{y}_1 &= (1/a)y_1 + \beta_{11}x_1 + \cdots + \beta_{15}x_5, \\ \tilde{y}_2 &= (1/b)y_2 + \alpha_{21}y_1 + \beta_{21}x_1 + \cdots + \beta_{25}x_5, \\ \tilde{y}_3 &= (1/c)y_3 + \alpha_{31}y_1 + \beta_{31}x_1 + \cdots + \beta_{35}x_5, \\ \tilde{y}_4 &= (1/d)y_4 + \alpha_{42}y_2 + \alpha_{41}y_1 + \beta_{41}x_1 + \cdots + \beta_{45}x_5, \\ \tilde{y}_5 &= (1/e)y_5 + \alpha_{54}y_4 + \cdots + \alpha_{51}y_1 + \beta_{51}x_1 + \cdots + \beta_{55}x_5, \\ \tilde{x}_1 &= ax_1 + \gamma_{12}x_2 + \cdots + \gamma_{15}x_5, \\ \tilde{x}_2 &= bx_2 + \gamma_{24}x_4 + \gamma_{25}x_5, \\ \tilde{x}_3 &= cx_3 + \gamma_{35}x_5, \\ \tilde{x}_4 &= dx_4 + \gamma_{45}x_5, \\ \tilde{x}_5 &= ex_5,\end{aligned}$$

for some $a, b, c, d, e, \alpha_{ij}, \beta_{ij}, \gamma_{ij}$ where $a, b, c, d, e \neq 0$. It follows that

$$\begin{aligned}1 &= (\tilde{x}_3\tilde{y}_4, \tilde{y}_5) = c/(de) \\ 1 &= (\tilde{x}_1\tilde{y}_2, \tilde{y}_5) = a/(be) \\ 1 &= (\tilde{y}_1\tilde{y}_2, \tilde{y}_3) = 1/(abc) \\ 1 &= (\tilde{x}_1\tilde{y}_3, \tilde{y}_4) = a/(cd).\end{aligned}$$

This gives $b = (1/a^4)$, $c = a^3$, $d = (1/a^2)$, $e = a^5$ and then

$$s = (\tilde{x}_2\tilde{y}_3, \tilde{y}_5) = br/(ce) = (1/a^{12})r.$$

This finishes the proof. □

Remark 8.10. *The number of algebras depends thus again on the underlying field. In particular there is one algebra over the field \mathbb{C} , two algebras over \mathbb{R} and infinitely many over \mathbb{Q} . When \mathbb{F} is a finite field of order p^n then the number can be 12, 6, 4, 3, 2 or 1 depending on what the value of p^n is modulo 12.*

8.2.3 Algebras where $L^4L^3 \neq L^7$ and $L^5L^2 \not\leq L^4L^3$

First we pick our standard basis such that

$$L^4L^3 \cap L^7 = \mathbb{F}x_4, \quad L^4L^3 = \mathbb{F}x_4 + \mathbb{F}x_3.$$

Thus in particular $\mathbb{F}x_1y_2 = \mathbb{F}x_4$. From this one sees that $U(L^5L^2)^\perp = L^7 + \mathbb{F}x_1y_4$ where $(x_1y_4, y_2) = -(x_1y_2, y_4) \neq 0$. We further refine our basis such that

$$U \cdot (L^5L^2)^\perp = L^7 + \mathbb{F}x_2 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_2.$$

Then notice that $(U(L^5L^2)^\perp)L = L^5L^2 + \mathbb{F}x_2y_5$, where $(x_2y_5, y_3) = -(x_2y_3, y_5) \neq 0$. We refine our basis further such that

$$(U(L^5L^2)^\perp)L = L^5L^2 + \mathbb{F}x_3 = \mathbb{F}x_5 + \mathbb{F}x_3.$$

Notice that in particular $x_2y_5 \in \mathbb{F}x_5 + \mathbb{F}x_3$ and thus $(x_2y_4, y_5) = -(x_2y_5, y_4) = 0$. We have as well $(x_2y_4, y_3) = -(x_2y_3, y_4) = 0$ and thus

$$x_2y_4 = 0. \tag{8.26}$$

We also have

$$\mathbb{F}x_3 = (\mathbb{F}x_4 + \mathbb{F}x_3) \cap (\mathbb{F}x_5 + \mathbb{F}x_3) = (L^4L^3) \cap ((U(L^5L^2)^\perp)L).$$

Next consider the characteristic subspace

$$V = \{x \in L^4 : xL^3 \leq (L^4L^3) \cap ((U(L^5L^2)^\perp)L)\}.$$

Notice that $x_1y_2 \neq 0$ and thus V is the kernel of a surjective linear map $L^4 \rightarrow L^4L^3/\mathbb{F}x_3$, $x \mapsto xy_2 + \mathbb{F}x_3$ and thus of codimension 1 in L^4 . Notice also that $L^5 \leq V$. We refine our basis further such that

$$V = L^5 + \mathbb{F}y_1 = \mathbb{F}x_5 + \dots + \mathbb{F}x_2 + \mathbb{F}y_1.$$

It follows in particular that

$$\mathbb{F}y_1y_2 = \mathbb{F}x_3. \tag{8.27}$$

Notice that

$$(U(L^5L^2)^\perp)^\perp = \mathbb{F}x_5 + \dots + \mathbb{F}x_1 + \mathbb{F}y_1 + \mathbb{F}y_3$$

and then $U \cdot (U(L^5L^2)^\perp)^\perp = L^5L^2 + \mathbb{F}x_1y_3$. Now $(x_1y_3, y_2) = -(x_1y_2, y_3) = 0$ but also $x_1y_4 \in U(L^5L^2)^\perp = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_2$ and thus $(x_1y_3, y_4) = -(x_1y_4, y_3) = 0$. It follows that $x_1y_3 \in \mathbb{F}x_5 = L^5L^2$. It follows that $U \cdot (U(L^5L^2)^\perp)^\perp = L^5L^2$. Consider the characteristic subspace

$$R = \{x \in U : x(U(L^5L^2)^\perp)^\perp = 0\}.$$

We have that $x_2y_3 \neq 0$ and thus R is the kernel of the surjective linear map $U \rightarrow L^5L^2$, $x \mapsto xy_3$. Thus R is of codimension 1 in U and contains L^6 . Now choose our basis further such that

$$R = L^6 + \mathbb{F}x_1 = \mathbb{F}x_5 + \mathbb{F}x_4 + \mathbb{F}x_3 + \mathbb{F}x_1.$$

In particular

$$x_1y_3 = 0. \tag{8.28}$$

Next consider $L^4 \cdot (U(L^5L^2)^\perp)^\perp = L^5L^2 + \mathbb{F}y_1y_3$. Notice that $(y_1y_3, y_2) = -(y_1y_2, y_3) \neq 0$. We can refine our basis further such that

$$L^4 \cdot (U(L^5L^2)^\perp)^\perp = \mathbb{F}x_5 + \mathbb{F}x_2.$$

In particular

$$(y_1y_3, y_4) = 0. \tag{8.29}$$

It is not difficult to see that we can now choose our basis such that

$$\begin{aligned} x_3y_4 = x_5, \quad x_2y_3 = rx_5, \quad x_2y_4 = 0, \\ x_1y_2 = x_4, \quad x_1y_3 = 0, \quad y_1y_2 = x_3, \quad (y_1y_3, y_4) = 0. \end{aligned}$$

Replacing $x_1, y_1, \dots, x_5, y_5$ by $(1/r)x_1, ry_1, rx_2, (1/r)y_2, x_3, y_3, (1/r^2)x_4, r^2y_4, r^2x_5, (1/r^2)y_5$, we see that we can furthermore assume that $r = 1$. We are now left with the triples

$$\begin{aligned} (x_1y_4, y_5) = a, \quad (y_2y_3, y_4) = d, \quad (y_3y_4, y_5) = h, \\ (y_1y_3, y_5) = b, \quad (y_2y_3, y_5) = e, \\ (y_1y_4, y_5) = c, \quad (y_2y_4, y_5) = f, \end{aligned}$$

We now show that we can further refine the basis such that all these values are zero. First replace x_2, x_1, y_5 by $x_2 - bx_5, x_1 + ex_5, y_5 + by_2 - ey_1$ and we can assume that

$$(y_1y_3, y_5) = (y_2y_3, y_5) = 0. \quad (8.30)$$

Then replace x_1, y_1, y_2, y_3 by $x_1 - ax_3, y_1 - cx_3, y_2 - fx_3, y_3 + ay_1 - cx_1 - fx_2$ and we can furthermore assume

$$(x_1y_4, y_5) = (y_1y_4, y_5) = (y_2y_4, y_5) = 0. \quad (8.31)$$

Finally replace x_1, y_3, y_4 by $x_1 + dx_4, y_3 - gx_3, y_4 - dy_1$ and we also have

$$(y_2y_3, y_4) = (y_3y_4, y_5) = 0. \quad (8.32)$$

We have thus arrived at a unique presentation.

Proposition 8.11. *There is a unique nilpotent SAA of dimension 10 with an isotropic center of dimension 2 that is of class 7 and has the further properties that $L^4L^3 \neq L^7$, $L^5L^2 \not\leq L^4L^3$. This algebra can be given by the presentation*

$$\mathcal{P}_{10}^{(2,7)} : (x_2y_3, y_5) = 1, (x_3y_4, y_5) = 1, (x_1y_2, y_4) = 1, (y_1y_2, y_3) = 1.$$

Proof. We have already seen that any such algebra must have a presentation of this form and conversely direct calculations show that the algebra with the given presentation satisfies all the properties stated. □

Part III

Powerfully nilpotent groups and powerfully soluble groups

INTRODUCTION

This part will be mostly about the connection between Part II and Part I. Thus throughout this part we will be working with an arbitrary field $\text{GF}(3)$. We also assume that the symplectic alternating algebras is furthermore nilpotent unless stated otherwise.

The study in Part II reveals some new classes of groups that we call *powerfully nilpotent group*, *powerfully perfect nilpotent group* and *powerfully soluble group*.

As we have seen before, nilpotent symplectic alternating algebras over the field $\text{GF}(3)$ have a 1-1 correspondence with a class \mathcal{C} of powerful 2-Engel 3-groups.

Our classification of algebras of nilpotent symplectic alternating algebras of dimension up to 10 over $\text{GF}(3)$ yields that there are 25 powerfully nilpotent group over class \mathcal{C} of rank at most 11.

In general the situation here like a more further work to be done, which is currently under consideration. We will only here first consider some general definitions and then in particular describe briefly what is it happening in class \mathcal{C} based on the new language revealed from classification in Part II.

CHAPTER 9

CONTRIBUTIONS IN GROUP THEORY AND FURTHER WORK

Here we discuss some further directions that would be interesting and compatible with our work in this thesis. One natural consequence step would be to investigate the groups that correspond to nilpotent SAA's in class \mathcal{C} . Also what is it happening there when the SAA is further nil-algebras over $\text{GF}(3)$. For instance we know such a correspondence exist.

A different direction is having the nil SAA's are classified up to $\dim 8$ which are exactly the same as the nilpotent SAA's of \dim up to 8. One could investigate some general properties that holds for nil SAA's. Also what could we read more from the additional algebras that we have got as we know that there are nil SAA's, are they all nil-4 SAA's and bounded by nil-degree 4.

We however in the rest of this part we continue describing some few things that is just a starting point for an ongoing new work.

9.1 Contributions in Group Theory

As we said before this is a more general setting now that is open and under investigation for a further work to be done. We however describe only what a powerfully nilpotent group is and in particular the connection between the previous parts of this thesis. Similarly we define a powerfully soluble group.

Recall that there is a one-to-one correspondence between symplectic alternating algebras over the field of three elements and a certain rich class \mathcal{C} of powerful 2-Engel 3-group of exponent 27. Namely, the groups form a class \mathcal{C} consist of all powerful 2-Engel 3-groups G with the following extra properties:

- 1) $G = \langle x, H \rangle$, where $H = \{g \in G : g^9 = 1\}$ and $Z(G) = \langle x \rangle$ with $O(x) = 27$,
- 2) G is of rank $2r + 1$ and has order 3^{3+4r} .

The associated symplectic alternating algebra $L(G)$ is constructed as follows. First we consider $L(G) = H/G^3$ as a vector space over $\text{GF}(3)$. To this we associate a bilinear alternating form $(,)$ and an alternating binary multiplication as follows: for any $\bar{a} = aG^3, \bar{b} = bG^3$ and $\bar{c} = cG^3$ in $L(G)$,

$$[a, b]^3 = x^{9(\bar{a}, \bar{b})}$$

$$\bar{a} \cdot \bar{b} = \bar{c} \text{ where } [a, b]Z(G) = c^3Z(G).$$

Next we identify the subclass of \mathcal{C} that consists of all groups in \mathcal{C} that has an extra group theoretical property that we call *powerfully nilpotent*. This subclass corresponds to nilpotent SAA's.

Definition 9.1. A finite p -group G is said to be a *powerfully nilpotent group* if there is an ascending chain of powerfully embedded subgroups H_0, H_1, \dots, H_n such that

$$\{1\} = H_0 \leq H_1 = Z(G) \leq H_2 \leq H_3 \leq \dots \leq H_n = G$$

and $[H_i, G] = H_{i-1}^p$ for $i = 1, \dots, n$. We refer to such a chain as a powerfully central chain and n is the length of the chain. Further, if G is powerfully nilpotent then the smallest possible length of a powerfully central chain for G is called its *powerful nilpotence class*.

Remark 9.2. Notice that if G is powerfully nilpotent group, then the nilpotent class of the group G is different from the Powerfully nilpotent class of G .

We then define the upper powerfully nilpotent series, lower powerfully nilpotent series and the derived powerfully nilpotent series as usual like in Group.

9.2 Connection between nilpotent symplectic alternating algebras and powerfully nilpotent groups

Let L be a nilpotent SAA over $\text{GF}(3)$ of dimension $2n \geq 6$. We have seen from the general theory that L has a the central ascending chain

$$\{0\} < I_2 < I_3 < \cdots < I_{n-1} < I_{n-1}^\perp < I_{n-2}^\perp < \cdots < I_2^\perp < L$$

such that $\dim I_r = r$ for $r = 2, \dots, n-1$. In particular L is nilpotent of class at most $2n-3$.

We now move to groups that consist \mathcal{C} . Let $G = \langle x, H \rangle$ be such group.

Here the groups consist \mathcal{C} are 2-Engel, thus they are nilpotent of class at most 3. We however here interest of those that are of class 3.

From our previous work we know that if the SAA L is abelian then the corresponding group $G(L)$ in class \mathcal{C} would have a nilpotent class exactly 2. This is because $G(L)$ being 2-Engel has class at most 3 and that the derived group $[G, G] \neq 1$ since we have a non-degenerate alternating form. It is however clear that the groups that are of class 3 corresponds to non-abelian SAA over $\text{GF}(3)$. The reason for that is the latter must have some non-zero triples, say $(uv, w) = 1$ but the corresponding commutator would be, say $[a, b, c] = 1$ and hence $G(L)$ has class 3.

We first start by the following remark that set up the connection between the SAA over $\text{GF}(3)$ and groups of class \mathcal{C} that is of class 3.

Lemma 9.3 ([18]). *Let $G(L)$ be a group of class \mathcal{C} that has nilpotent class 3. $G(L)$ is isomorphic to $G(K)$ if and only if L is isomorphic to K .*

Recall that ideals in SAA correspond to powerfully embedded subgroups and subalgebra correspond to powerfully subgroup. We also have that $[aZ(G), bZ(G)] = c^3Z(G)$ for any $a, b, c \in H$. We next lists few simple consequences.

Let G be a group from \mathcal{C} of rank $2n + 1$ that has nilpotent class 3. Then

- 1) G is powerfully nilpotent group if and only if $L(G)$ is a nilpotent SAA.
- 2) The powerfully nilpotent class of G is the same as the nilpotent class of $L(G)$.
- 3) the center $Z(G)$ of G and $Z_2(G)$ correspond to the isotropic subspace of L and the center of L consequently.
- 4) $\text{rank } G = \dim Z_2(G)/Z(G)$.
- 5) The structure of G is fully determined by the structure of L .

Now let G be in the class \mathcal{C} of powerful 2-Engel 3-groups. For any K such that $G^3 \leq K \leq G$ we let $\bar{K} = K/G^3$. Notice that

$$\bar{A} \cdot L(G) \leq \bar{B} \text{ if and only if } [\langle A, x \rangle, G] \leq \langle B, x \rangle^3.$$

Thus if $G^3 \leq H_i$ for $i = 1, \dots, n$, then

$$\{0\} = \bar{H}_0 \leq \bar{H}_1 \leq \dots \leq \bar{H}_n = L(G)$$

is a central chain of ideals in $L(G)$ if and only if

$$\{1\} \leq \langle x \rangle \leq \langle H_0, x \rangle \leq \dots \leq \langle H_n, x \rangle = G$$

is a powerfully central chain. The classification of the nilpotent symplectic alternating algebras of dimension 10 over $\text{GF}(3)$ gives us thus the classification for the powerfully nilpotent groups in \mathcal{C} that are of rank 11. The classification reveals that there are 25 such groups.

It is also extremely interesting to see that there is a class of *maximal powerfully nilpotent groups* consists of powerfully nilpotent groups in \mathcal{C} that corresponds to the maximal class of nilpotent SAA's of class $2n - 3$. We seems to have also a dual class of *minimal powerfully nilpotent groups* too.

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