

Can questions be
directly disjointed?

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CLS 51

Agenda

- Observe that complement questions can be either directly or indirectly **con**joined, but they can only be indirectly **dis**joined.
- **What theories of questions and coordination predict this difference?**
- Look at **Partition theory** (Groenendijk & Stokhof 1984), **Inquisitive Semantics** (Groenendijk & Roelofsen 2009, Ciardelli et al. 2012)

Two ways to coordinate clauses A, B

- First coordinate, then possibly lift (direct method)

A and/or B =lift=> $\lambda P[P(A \text{ and/or } B)]$

$\lambda P[P(A \text{ and/or } B)]$ (Mary_found_out) =

Mary_found_out (A and/or B)

- First lift, then coordinate (indirect method)

A =lift=> $\lambda P[P(A)]$

B =lift=> $\lambda P[P(B)]$

$\lambda P[P(A)]$ and/or $\lambda P[P(B)]$ = $\lambda P[P(A) \text{ and/or } P(B)]$

$\lambda P[P(A) \text{ and/or } P(B)]$ (Mary_found_out) =

Mary_found_out A and/or **Mary_found_out** B

Lifting A to $\lambda P[P(A)]$ is nothing but designating A to be the argument of some P .

Is lifting just a free type-shifting operation?

Subordinating complementizers (i.e. not pure clause-typers) can be seen as lifters.

When lifting interacts with coordination, the two methods may make a semantic difference.

Some support from English that-complements, and from languages that have subordinators also in wh-complements (Hungarian, Korean, etc.).

Presence of subordinators correlates with what units are lifted

It surprised Sue

- (1a) **that** John was drunk and Mary was driving. =/=
- (1b) **that** John was drunk and **that** Mary was driving.
- (2a) **that** John drank or Mary gambled. =/=
- (2b) **that** John drank or **that** Mary gambled.
- (3a) I believe **that** John drinks or Mary gambles. =/=
- (3b) ?? I believe **that** John drinks or **that** Mary gambles.
- (4) He told me which girl he likes and
#(**that**) he is going to ask her out.

Hungarian hogy is an invariant subordinator

Tudom, hogy hol van Mari. wh-complement

know-I subord where is Mari

Tudom, hogy Mari Londonban van. declarative c.

know-I subord Mari London-in is

Tudom, hogy Mari Londonban van-e. polar int c.

know-I subord Mari London-in is-interrog

Azt akarom, hogy Mari Londonba menjen. subjunct.

that want-I subord Mari London-to go.subj.3sg

In complement questions, it is optional to have SUBORD in each conjunct, but obligatory to have SUBORD in each disjunct

János megtudta, ...

John found.out

hogy mit csinálsz és (hogy) hol laksz.

SUBORD what you.do and **SUBORD** where you.live

hogy mit csinálsz vagy #(hogy) hol laksz.

SUBORD what you.do or **SUBORD** where you.live

Korean, subordinator ci

na-nun ... alayo

I-top ... know

- A-ka etiey sal-ko B-ka etiey sal-nun-ci
A-nom where live-and B-nom where live-prs-subord
- # A-ka etiey sal-kena B-ka etiey sal-nun-ci
A-nom where live-or B-nom where live-prs-subord
- A-ka etiey sal-nun-ci kuliko B-ka etiey sal-nun-ci
and
- A-ka etiey sal-nun-ci hokun B-ka etiey sal-nun-ci
or

Agenda

- We found that complement questions can be either directly or indirectly **con**joined, but they can only be indirectly **dis**joined.
- **What theories of questions and coordination predict this difference?**
- Consider
Partition theory (Groenendijk & Stokhof 1984),
Inquisitive Semantics (Groenendijk & Roelofsen 2009, Ciardelli et al. 2012)

Question meanings

partition the set of worlds

(Groenendijk & Stokhof 1984 = G&S)

Semantically, a question demands a unique true and complete answer (although pragmatically, it accepts partial and mention-some answers).

[[Who sings?]]

= $\lambda w \lambda w' [\lambda x [\text{sing}'(w)(x)] = \lambda x [\text{sing}'(w')(x)]]$

worlds where just Mary sings
worlds where just Bill sings
worlds where both M & B sing
worlds where no one sings

Partition semantics gets the
conjunction of questions right

$$\begin{aligned} & [[\text{Who sings?}]] \cap [[\text{Who dances?}]] = \\ & \lambda w \lambda w' [\lambda x [\text{sing}'(w)(x)] = \lambda x [\text{sing}'(w')(x)] \wedge \\ & \quad \lambda x [\text{dance}'(w)(x)] = \lambda x [\text{dance}'(w')(x)]] \end{aligned}$$

Moreover, the conjunction qualifies as a question. It has a unique true and complete answer:

Mary and Bill sing and Bill dances.

Hamblin and Karttunen don't get conjunction right.

$$\begin{aligned} & \{p: \exists x [p = \{w: \text{sing}'(w)(x)\}]\} \cap \{p: \exists x [p = \{w: \text{dance}'(w)(x)\}]\} = \\ & \{p: \exists x [p = \{w: \text{sing}'(w)(x)\}] \wedge p = \{w: \text{dance}'(w)(x)\}\} = \emptyset \end{aligned}$$

What does it say about **disjunction**?

$$\begin{aligned} & [[\text{Who sings?}] \cup [\text{Who dances?}]] = \\ & \lambda w \lambda w' [\lambda x [\text{sing}(w)(x)] = \lambda x [\text{sing}(w')(x)] \vee \\ & \quad \lambda x [\text{dance}(w)(x)] = \lambda x [\text{dance}(w')(x)]] \end{aligned}$$

But the disjunction does not qualify as a question. It does not have a unique true and complete answer. It offers a choice as to which question you answer:

Mary and Bill sing.

Bill dances.

R is not transitive, so not an equivalence, doesn't partition W.

w1	w2	w3	
sing(m)	sing(m)	\neg sing(m)	$\langle w1, w2 \rangle \in R$
\neg dance(b)	dance(b)	dance(b)	$\langle w2, w3 \rangle \in R$
			$\langle w1, w3 \rangle \notin R$

G&S: **Lift** before disjoining and thus **distribute** the embedding predicate over the complements

who_sings' =lift=> $\lambda P[P(w^*)(\text{who_sings}')]]$
 where **P** is the same type as
I_know', **I_wonder'**, **Tell_me'**, etc.

$[[\text{who sings or who dances}]] =$
 $\lambda P[P(w^*)(\text{who_sings}')] \cup \lambda P[P(w^*)(\text{who_dances}')] =$
 $\lambda P[P(w^*)(\text{who_sings}') \vee P(w^*)(\text{who_dances}')]]$

$\text{ans}(w^*)(p, Q) \text{ iff } \forall w[p(w) \rightarrow Q(w^*)(w)]$
 $\text{ANS}(w^*)(p, \text{who_sings_or_who_dances}') \text{ iff}$
 $\text{ans}(w^*)(p, \lambda w \lambda w' [\lambda x[\text{sing}'(w)(x)] = \lambda x[\text{sing}'(w')(x)]]) \text{ or}$
 $\text{ans}(w^*)(p, \lambda w \lambda w' [\lambda x[\text{dance}'(w)(x)] = \lambda x[\text{dance}'(w')(x)]])$

On the right track!

The partition theory predicts a conjunction – disjunction contrast. A remaining wrinkle:

Main vs. complement (Szabolcsi 1997, Krifka 2001)

Who sings **or** who dances? **dubious**

Who sings? **Or**, who dances? change of mind

We found out who sings **or** who dances. **perfect**

Who sings **and** who dances? perfect

We found out who sings **and** who dances. perfect

Lift complements only

Szabolcsi 1997

In G&S, lifting is unconstrained.

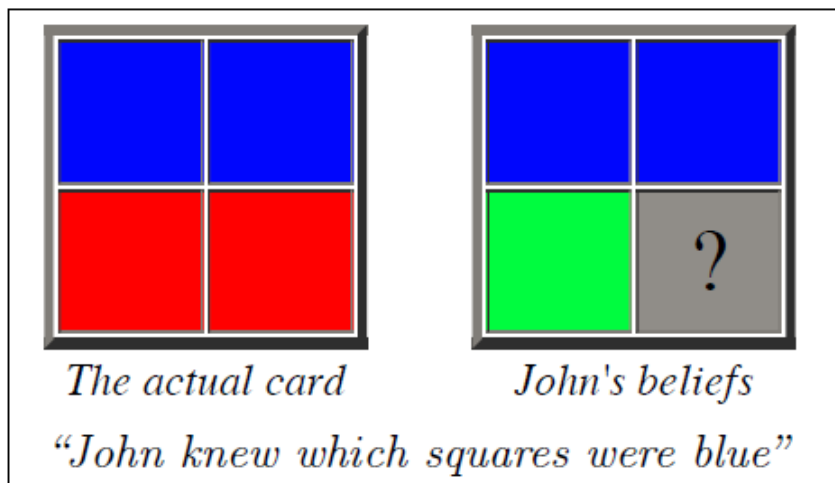
But, lifting A to $\lambda P[P(A)]$ is nothing but designating A to be an argument of some P . Not right for a main clause.

“Lift complements only” has important consequences for pair-list readings, which exhibit large-scale contrasts between main and complement clauses. That was the focus of Szabolcsi 1997; not pertinent here.

From now on, only complement coordinations will be considered, because the data are much clearer there.

The good prediction for conjunction vs. disjunction came from partition semantics

But Heim 1994, Beck & Rullmann 1999, Mascarenhas 2009, Groenendijk & Roelofsen 2009, Klinedinst & Rothschild 2011, Spector & Egré 2014, Theiler 2014, ... argue **against** it. Some complements lack strongly exhaustive (SE) readings, others are ambiguous btw SE and weakly (WE) or intermediate exhaustive (IE) ones. E.g.



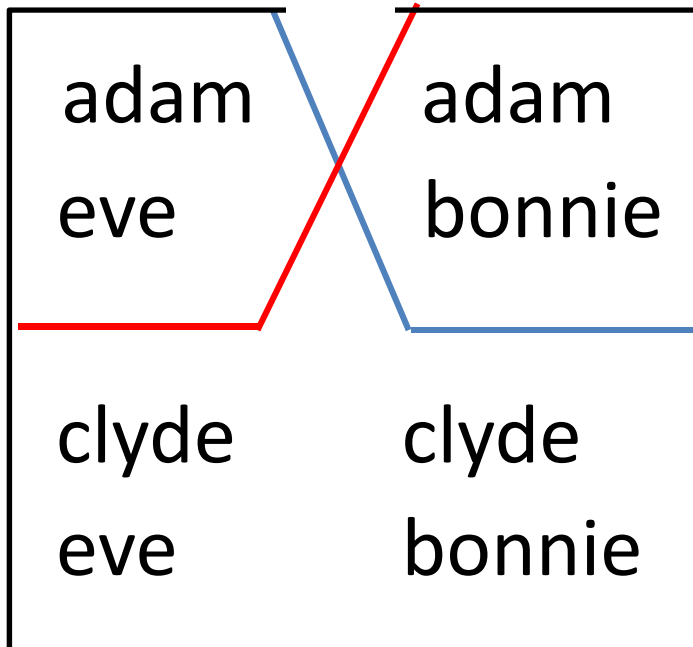
Cremers & Chemla 2014:
false (SE) and true (WE/IE)
judgments both significant

Inquisitive Semantics

<https://sites.google.com/site/inquisitivesemantics/Home>

In InqS, question meanings are **not required to partition** the set of worlds.

This affords an account of conditional questions:



If Adam is the father,
is Eve the mother /
who is the mother?

Could **Inquisitive Semantics** predict the conjunction-disjunction contrast?

Questions (and declaratives) are non-empty, downward closed sets of classical propositions.

adam

eve

clyde

eve

adam

bonnie

clyde

bonnie

Who is the mother?

$$\wp\{w: m_w(e)\} \cup \wp\{w: m_w(b)\}$$

$$= \{\{ae, ce\}, \{ae\}, \{ce\}, \emptyset\} \cup$$

$$\{\{ab, cb\}, \{ab\}, \{cb\}, \emptyset\}$$

Could Inquisitive Semantics predict the conjunction-disjunction contrast?

In InqS, questions (and declaratives) are non-empty, downward closed sets of classical propositions.

adam	adam
eve	bonnie

Who is the father?

$$\wp \{w: f_w(a)\} \cup \wp \{w: f_w(c)\}$$

clyde	clyde
eve	bonnie

Q1 \cap Q2

In InqS, questions (and declaratives) are non-empty, downward closed sets of classical propositions.

adam
eve

adam
bonnie

Who is the father?

$$\wp \{w: f_w(a)\} \cup \wp \{w: f_w(c)\}$$



clyde
eve

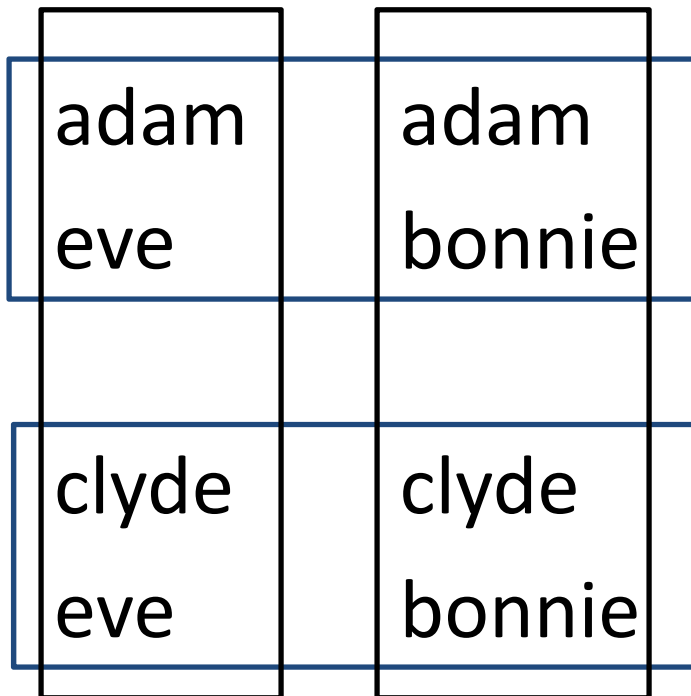
clyde
bonnie

Who is the mother?

$$\wp \{w: m_w(e)\} \cup \wp \{w: m_w(b)\}$$

Q1 \cup Q2

Questions (and declaratives) are non-empty, downward closed sets of classical propositions.



Who is the father?

$$\wp\{w: f_w(a)\} \cup \wp\{w: f_w(c)\}$$

\cup

Who is the mother?

$$\wp\{w: m_w(e)\} \cup \wp\{w: m_w(b)\}$$

Not there yet...

If the disjunction is simply the join, \cup of the two questions, then it is predicted to be as good as the conjunction (meet, \cap). There is no necessity to lift-and-distribute in either case.

But Groenendijk & Roelofsen 2009, AnderBois 2012 make distinctions beyond plain algebraic ones:

A **question** is both **inquisitive** and **non-informative**.

ϕ is inquisitive iff it contains more than one alternative.

ϕ is non-informative iff its alternatives cover the set of worlds (do not exclude any possibility).

If OR flattened out the disjuncts,
then each disjunct would look like this:

adam	adam
eve	bonnie
clyde	clyde
eve	bonnie

Their \cup would be
the same.
Then Q1 OR Q2
would not qualify as a
question.

Note that now OR \neq \cup ,
just something defined in terms of \cup .

Does OR flatten out the disjuncts?

Roelofsen & Farkas 2014:

“Following Zimmermann (2000), Pruitt (2007), Biezma (2009), Biezma and Rawlins (2012), and Roelofsen (2013b), we will think of these types of sentences as *lists*. ... The only non-standard provision is that the **non-inquisitive projection operator, !**, is applied to every list item. The rationale for this is that every list item is to be seen, intuitively speaking, as one block, i.e., as contributing a single possibility to the proposition expressed by the list as a whole. This is ensured by applying **!, which, roughly speaking, takes a set of possibilities and returns its union...**

Rule for translating the body of a list:

$[item_1 \text{ or } \dots \text{ or } item_n] \approx \Rightarrow !\varphi_1 \vee \dots \vee !\varphi_n .$ ”

Further potential support for flattening

- from Dynamic Semantics, where at least the baseline version of OR is internally and externally static, in distinction to AND:

Mary has finished a book and/or she has thrown it away.

Mary got this from the NYT or a French paper.
?? She bought it at the airport.

- from sluicing as anaphora to issues (inquisitive propositions), AnderBois 2010:

Bill saw Joe or {some girl / Mary or Sue},
but we have no idea which #(girl / of M or S).

Both connections call for further investigation.

The need to lift is back 😊

In sum,

The conjunction—disjunction contrast does not fall out from the basic semantics of questions and disjunctions.

However, if theories impose constraints on what meanings qualify as question meanings (cf. partitional / inquisitive) and perhaps elaborate on what OR does, in addition to invoking \cup , then, luckily, there is more than one way to predict the contrast.

Selected references

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