

# The Design of a Formal System of Science: A Compelling Basis for Fundamental Physics

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## Abstract

A formal system of science is presented as a more insightful and powerful basis for fundamental physics. Fundamental theories of physics are typically constructed axiomatically (e.g. Dirac Von-Neumann axioms of quantum mechanics, Special theory of relativity, etc); and are justified on scientific grounds. 'Scientific grounds', although well respected and crucial to the exercise, do not at present benefit from a formal construction: i.e. a verbal or written enumeration of notable experiments typically serves as the justification of the chosen axioms. Our idea here is to completely formalize said 'scientific grounds'; by designing a formal system of science able to support the scientific method comprehensively. Once done, our goal will then be to attempt, as a challenge, to derive the physics, this time not axiomatically, but as an actual theorem of the system; thus formalizing said justification. The formalization requirements are quite demanding, but are responsible for making this exercise surprisingly productive; correcting definitions and resolving numerous open problems spawning philosophy to physics. The first part of the paper consists of constructing an experimental basis that is purely mathematical. For this we employ the set of all halting programs and we leverage modern notions of undecidability to produce a system that never runs out of new knowledge to discover, thus supporting a perpetual application of the scientific method. The exercise culminates in a definition of the observer as a measure space over halting programs, from which the fundamental physics is entailed as a quantum theory of computation also supporting gravity; thus for the first time integrating the observer into the formalism of physics. Finally, the compatibility of our definition with that of the observer in physics is assessed, and testable predictions are proposed for the derived fundamental physics.

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## 1 Introduction

In classical philosophy an axiom is a statement which is (believed to be) self-evidently true such that it is accepted without controversy or question. But this definition has been retired in modern usage. Any so-called "self-evident" axiom can also be posited to be false and either choice of its truth-value yields a different model; the archetypal example being the parallel line postulate of Euclid, allowing for hyperbolic/spherical geometry when it is false. Consequently, in modern logic an axiom is demoted to simply be a starting point for a premise, and in mathematics an axiom is a sentence of a language that is held to be true by definition.

A long standing goal of philosophy has been to find necessarily true principles that could be used as the basis of knowledge. For instance, the universal doubt method of Descartes had such a goal in mind. The 'justified true belief' theory of epistemology is another attempt with a similar goal. But, so far, all such attempts have flaws and loopholes, the elimination of which is assumed, at best, to reduce the theory to a handful of statements, rendering it undesirable as a foundation to all knowledge.

In epistemology, the Gettier problem[1] is a well known objection to the belief that knowledge is that which is both true and justified, relating to a family of counter-examples. All such counter-examples rely on the same loophole: if the

justification is not 'air-tight' then there exists a case where one is right by pure luck, even if the claim were true and believed to be justified. For instance, if one glances at a field and sees a shape in the form of a dog, one might think he or she is justified in the belief that there is a dog in the field. Now suppose there is a dog elsewhere in the field, but hidden from view. The belief "there is a dog in the field" is now justified and true, but it is not knowledge because it is only true by pure luck.

Richard Kirkham[2] proposed to add the criteria of infallibility to the justification. What used to be "justified true belief" would now become "infallible true belief". This eliminates the loophole, but it is an unpopular solution because adding it is assumed to reduce knowledge to radical skepticism in which almost nothing is knowledge, thus rendering knowledge non-comprehensive.

Here, we will adopt the insight of Kirkham regarding the requirement of infallibility, whilst also resolving the non-comprehensiveness objection. To do so, we will structure our statements such that they are individually infallible, yet as a group form a Turing complete language. Turing completeness will guarantee comprehensiveness. Our tool of choice will be halting programs. As we will see, halting programs carry all desired features to make this possible. Using them, we will be able to tackle knowledge using infallible statements, as put forward by Kirkham. That may sound impressive, but there is a catch. Although we can describe the knowledge once it is acquired, acquiring it in the first place will be difficult, in some case even arbitrarily difficult. After-all, halting programs are of course subject to the halting problem. Indeed, we still have to identify those program that halts from those that do not, and because of the halting problem, as there exists no general algorithm able to do so, the system will be irreducibly experimental (more on that later).

With these two features, the set of all knowledge becomes well-defined and is in fact recursively enumerable. The implications of such a construction should not be underestimated as this was a long standing goal of the ancients of philosophy, even if attempts to crack it have generally fallen out of favour in modern times. In any case, our formal system of science will thoroughly exploit this construction.

Finally, let us state that attempts to find a logical basis for knowledge have been made ad nauseam in the past but they failed for primarily two reasons. First, they were attempted before Gödel-type theorems were known or appreciated, and attempts were directed at constructing *decidable* logical bases. Secondly, instead of directing efforts to recursively enumerable bases following the discovery of said theorems, efforts simply felt out of favour. However, it is possible to construct a logical basis for knowledge provided that such basis is recursively enumerable (and not decidable), and further the limitations induced by recursive enumeration ought to instead be seen as an opportunity; in this case, to create a formal system to map out knowledge, such that it may serve as the foundation to a formalization of science.

For more general information regarding the connection between mathematics, science and programs, we recommend the works of Gregory Chaitin[3, 4, 5]. A familiarity with his work is assumed. Let us now continue.

## 1.1 Halting Programs as Knowledge

How do we construct an infallible statement, so that it qualifies as an epistemic statement in the sense of Kirkham?

Let us take the example of a statement that may appear as an obvious true statement such as " $1 + 1 = 2$ ", but is in fact not infallible. Here, we will provide the correct definition of an infallible statement, but equally important, such that the set of all such statements is Turing complete, thus forming a language of maximum expressive power.

Specifically, the sentence " $1 + 1 = 2$ " halts on some Turing machine, but not on others and thus is not infallible. Instead consider the sentence  $\text{PA} \vdash [s(0) + s(0) = s(s(0))]$  to be read as "Peano's axioms prove that  $1 + 1 = 2$ ". Such a statement embeds as a prefix the set of axioms in which it is provable. One can deny that  $1 + 1 = 2$  (for example, an adversary could claim binary numbers, in which case  $1 + 1 = 10$ ), but if one specifies the exact axiomatic basis in which the claim is provable, said adversary would find it harder to find a loophole to fail the claim. Nonetheless, even with this improvement, an adversary can fail the claim by providing a Turing machine for which  $\text{PA} \vdash [s(0) + s(0) = s(s(0))]$  does not halt.

The key is to structure the statement so that all of context required to prove the statement is provided along with the statement itself; then the claim that the context entails the statement is infallible. If we use the tools of theoretical computer science we can produce statements free of all loopholes, thus ensuring they are infallible. Those statements are halting programs:

**Definition 1** (Halting Program). *Let  $\mathbb{L}$  be the set of all sentences with alphabet  $\Sigma$ . Let  $p = (\text{TM}, d)$ , a program, be a pair of sentences from  $\mathbb{L} \times \mathbb{L}$ . If  $p$  halts on a two-input universal Turing machine:  $\text{UTM}(\text{TM}, d) = \text{TM}(d)$ , then  $p$  is a halting program of UTM.*

Since a translation exists between universal Turing machine, a claim that  $d$  halts on TM, if true, entails  $p$  being a halting program is verifiable on all universal Turing machines, and requires no specific context.

The second objection is that the infallibility requirement is too demanding, preventing knowledge from being comprehensive by making it able at most to only tackle a handful of statements. However, the set of all halting programs constitutes the entire domain of the universal Turing machine, and thus the expressive power of halting programs must be on par with any Turing complete language. Since there exists no greater expressive power for a formal language than that of Turing completeness, then no reduction takes place. The resulting construction is both element-wise infallible, and comprehensive as a set.

## 1.2 Halting Images

We will now use halting programs to redefine the foundations of mathematics in terms of *halting images*, replacing *formal axiomatic systems*.

Before we do so, let us build up the intuition. In principle, one can use any Turing complete structure to re-define all of mathematics. The task is not particularly difficult but the work can in some cases be substantial. One generally has to build a translator between the two formulation, whose existence is interpreted as a proof of equivalence. For instance, one can write all of mathematics using the english language (if one were so included), or with using set theory (with arbitrary set equipment), or category theory, or using a computer language such as c++, or using arithmetic with multiplication, etc. If the language is Turing complete, then it is as expressive as any other Turing complete language, and a translator is guaranteed to exist. So why pick a particular basis over another? This is often due to other conveniences and constraints than pure expressive power. For instance, sets allow us to intuitively express a very large class of mathematical problems quite conveniently. Typical selection criterions are: can we express the problem at hand clearly?, elegantly?, are the solutions also clear and easier to formulate, than in alternative languages?

Here we will use and introduce the halting image formulation of mathematics, and, as we will see, its advantages are stunning. A halting image comprises a group of programs known to halt, and this group of programs defines a specific instance of mathematical knowledge.

**Definition 2** (Halting Image). *Let  $\text{Dom}(\text{UTM})$  be the set of all halting programs for a given universal Turing machine. A halting image  $\mathbf{m}$  of  $n$  halting program is an element of the  $n$ -fold Cartesian product of  $\text{Dom}(\text{UTM})$ :*

$$\mathbf{m} \in \text{Dom}(\text{UTM})^n, \quad \mathbf{m} := ((\text{TM}_1, p_1), \dots, (\text{TM}_n, p_n)) \quad (1)$$

*The tuple, in principle, can be empty  $\mathbf{m} := ()$ , finite  $n \in \mathbb{N}$  or countably infinite  $n = \infty$ .*

- *A halting image contains some, but not necessarily all, programs of the domain of the universal Turing machine.*

The programs comprising a halting image adopt the normal role of both axioms and theorems and instead form a single verifiable atomic concept constituting a unit of mathematical knowledge. Let us explicitly point out the difference between the literature definition of a formal system and ours: for the former, its theorems are a subset of the sentences of  $\mathbb{L}$  provable from the axioms — whereas for a halting image, its elements are pairs of  $\mathbb{L} \times \mathbb{L}$  which halts on a UTM.

Let us now explore some of the advantages of using halting images versus formal axiomatic systems. Halting images are more conducive to a description of the scientific process, including the accumulation of experimental data, than formal axiomatic systems are. Let us take an example. Suppose we wish to represent in real-time, and with live updates, the set of all knowledge produced by a group of 50,000ish (and growing!) mathematicians working in a decentralized manner (perhaps from their offices) over the course of at least many decades,

and perhaps even for an indefinite amount of time into the future. Some of the work they produced may build on each others', but it will also be the case that part of their work is incompatible. For instance, some might find contradictions in their assumptions and abandon large segments of their work. As one learns primarily from his or her errors, we may wish to catalogue these contradictions for posterity. Let us first try with formal axiomatic systems. Finding the 'correct' and singular formal axiomatic system to describe the totality of what they have discovered, including abandoned work and contradictions, will be quite a challenge. One challenge occurs whenever a new contradiction is found, as one would need to further isolate it within a wrapper of para-consistent logic, before inclusion within the all-encompassing formal axiomatic system. Another challenge occurs when mathematicians invent new, possibly more elegant, axiomatic basis outright. One would constantly need to adjust his or her proposed all-encompassing formal axiomatic system to account for new discoveries as they are made. Such an axiomatic basis would eventually grow to an unmaintainable level, not unlike the spaghetti codes of the early days of software engineering. And we have not even mentioned the problems spawned by general incompleteness theorems such as those of Gödel and Gregory Chaitin, and the negative resolution to Hilbert's second problem! What if someone proves a statement (using a new axiomatic basis) that is not provable from the "master" axiomatic basis; in this case re-adjustments are perpetually necessary. As mathematicians are a creative bunch, one would never be able to settle on a final axiomatic system as they could always decide to explore a sector of mathematical space not covered by the current system. Comparatively, using a halting image, the task is much easier: One simply need to push each new discovery at the end of the image; no adjustment is ever required after insertion, we never run out of space, and halting programs do not undermine each other even if they represent a contradiction. A halting image is conceptually similar to an empirical notebook of raw mathematical knowledge.

Formal axiomatic systems do not excel at pure description because they are more akin to an *interpretation* of mathematical knowledge based on a preference of some patterns or tools (we like sets, thus ZFC!, or we prefer categories, thus category theory!). New knowledge and new problems will eventually force one to challenge this preference. Not so with halting images! Halting images are the true starting point of the logical inquiry as they represent an infallible and non-preferential description of mathematical knowledge.

We will now explore the concept more rigorously.

Note on the notation: we will designate  $p_i = (TM_i, d_i)$  as an halting program element of  $\mathbf{m}$ , and  $\text{proj}_1(p_i)$  and  $\text{proj}_2(p_i)$  designate the first and second projection of the pair  $p_i$ , respectively. Thus  $\text{proj}_1(p_i)$  is the  $TM_i$  associated with  $p_i$ , and  $\text{proj}_2(p_i)$  is the input  $d_i$  associated with  $p_i$ . If applied to a tuple or set of pairs, then  $\text{proj}_1(\mathbf{m})$  returns the set of all TM in  $\mathbf{m}$  and  $\text{proj}_2(\mathbf{m})$  returns the set of all inputs  $d$  in  $\mathbf{m}$ .

**Theorem 1** (Incompleteness Theorem). *Let  $\mathbf{m}$  be a halting image. If  $\mathbf{m} = \text{Dom}(\text{UTM})$ , then  $\mathbf{m}$  is recursively enumerable (and non-decidable). The proof*

follows from the domain of a universal Turing machine being non-decidable.

The theorem implies that the system will never run out of new knowledge to discover.

### 1.2.1 Connection to Formal Axiomatic Systems

We can, of course, connect our halting image formulation to the standard formal axiomatic system (FAS) formulation:

**Definition 3** (Enumerator (of a FAS)). *Let FAS be a formal axiomatic system and let  $s$  be a valid sentence of FAS. A function  $\text{enumerator}_{\text{FAS}}$  is an enumerator for FAS if it recursively enumerates the theorems of FAS. For instance:*

$$\text{enumerator}_{\text{FAS}}(s) = \begin{cases} 1 & \text{FAS} \vdash s \\ \#/\text{does-not-halt} & \text{otherwise} \end{cases} \quad (2)$$

**Definition 4** (Domain (of FAS)). *Let FAS be a formal axiomatic system, let  $\mathbf{m}$  be a halting image and let  $\text{enumerator}_{\text{FAS}}$  be a function which recursively enumerates the theorems of FAS. Then the domain of FAS, denoted as  $\text{Dom}(\text{FAS})$ , is the set of all sentences  $s \in \mathbb{L}$  which halts for  $\text{enumerator}_{\text{FAS}}$ .*

**Definition 5** (Formal Axiomatic Representation). *Let FAS be a formal axiomatic system, let  $\mathbf{m}$  be a halting image and let  $\text{enumerator}_{\text{FAS}}$  be a function which recursively enumerates the theorems of FAS. Then FAS is a formal axiomatic representation of  $\mathbf{m}$  iff:*

$$\text{Dom}(\text{FAS}) = \text{proj}_2(\mathbf{m}) \quad (3)$$

**Definition 6** (Factual Isomorphism). *Two formal axiomatic systems  $\text{FAS}_1$  and  $\text{FAS}_2$  are factually-isomorphic if and only if  $\text{Dom}(\text{FAS}_1) = \text{Dom}(\text{FAS}_2)$ .*

## 1.3 Discussion — The Mathematics of Knowledge

Each element of a halting image is a program-input pair representing an algorithm which is known to produce a specific result. Let us see a few examples.

How does one know how to tie one's shoes? One knows the algorithm required to produce a knot in the laces of the shoe. How does one train for a new job? One learns the internal procedures of the shop, which are known to produce the result expected by management. How does one impress management? One learns additional skills outside of work and applies them at work to produce results that exceed the expectation of management. How does one create a state in which there is milk in the fridge? One ties his shoes, walks to the store, pays for milk using the bonus from his or her job, then brings the milk back home and finally places it in the fridge. How does a baby learn about object permanence? One plays peak-a-boo repeatedly with a baby, until



it ceases to amuse the baby — at which point the algorithm which hides the parent, then shows him or her again, is learned as knowledge. How does one untie his shoes? One simply pulls on the tip of the laces. How does one untie his shoes if, after partial pulling, the knot accidentally tangles itself preventing further pulling? One uses his fingers or nails to untangle the knot, and then tries pulling again.

Knowledge can also be in more abstract form — for instance in the form of a definition that holds for a special case. How does one know that a specific item fits a given definition of a chair? One iterates through all properties referenced by the definition of the chair, each step confirming the item has the given property — then if it does for all properties, it is known to be a chair according to the given definition.

In all cases, knowledge is an algorithm along with an input, such that the algorithm halts for it, lest it is not knowledge. The set of all known pairs forms a halting image.

Let us consider a few edge cases. What if a halting image contains both "A" and "not A" as theorems? For instance, consider:

$$\mathbf{m} := ((\text{TM}_1, A), (\text{TM}_1, \neg A)) \quad (4)$$

Does allowing contradictions at the level of the theorems of  $\mathbf{m}$  create a problem? Should we add a few restrictions to avoid this unfortunate scenario? Let us try an experiment to see what happens — specifically, let me try to introduce  $A \wedge \neg A$  into my personal halting image, and then we will evaluate the damage I have been subjected to by this insertion. Consider the following implementation of  $\text{TM}_1$ :

```
fn main(input: String){
  if p=="A" {
    return;
  }
  if p=="not A"{
    return;
  }
  loop();
}
```

It thus appears that I can have knowledge that the above program halts for both "A" and "not A" and still survive to tell the tale. A-priori, the sentences "A" and "not A" are just symbols. Our reflex to attribute the law of excluded middle to these sentences requires the adoption of a deductive system. This occurs one step further at the selection of a specific formal axiomatic representation of the halting image, and not at the level of the image itself.

The only inconsistency that would create problems for this framework would be a proof that a given halting program both [HALTS] and [NOT HALTS] on a

UTM. By definition of a UTM, this cannot happen lest the machine was not a UTM to begin with. Thus, we are expected to be safe from such contradictions.

Now, suppose one has a sizeable halting image which may contain a plurality of pairs:

$$\mathbf{m} := ((\text{TM}_1, d_1), (\text{TM}_2, \neg d_1), (\text{TM}_1, d_2), (\text{TM}_2, d_1), (\text{TM}_2, \neg d_3)) \quad (5)$$

Here, the negation of some, but not all, is also present across the pairs: in this instance, the theorems  $d_1$  and  $d_3$  are negated but for different premises. What interpretation can we give to such elements of a halting image? For our example, let us call the sentences  $d_1, d_2, d_3$  the various flavours of ice cream. It could be that the Italians define ice cream in a certain way, and the British define it in a slightly different way. Recall that halting programs are pairs which contain a premise and a theorem. The premise contains the 'definition' under which the flavour qualifies as real ice cream. A flavour with a large spread is considered real ice cream by most definitions (i.e. vanilla or chocolate ice cream), and one with a tiny spread would be considered real ice cream by only very few definitions (i.e. tofu-based ice cream). Then, within this example, the presence of  $p_1$  and its negation simply means that tofu-based ice cream is ice cream according to one definition, but not according to another.

Reality is of a complexity such that a one-size-fits-all definition does not work for all concepts, and further competing definitions might exist: a chair may be a chair according to a certain definition, but not according to another. The existence of many definitions for one concept is a part of reality, and the mathematical framework which correctly describes its halting image ought to be sufficiently flexible to handle this, without itself exploding into a contradiction.

Even in the case where both  $A$  and its negation  $\neg A$  were to be theorems of  $\mathbf{m}$  while also having the same premise, is still knowledge. It means one has verified that said premise is inconsistent. One has to prove to oneself that a given definition is inconsistent by trying it out against multiple instances of a concept, and those 'trials' are all part of the halting image.

## 1.4 Discussion — Idempotency, and Epistemic Regress

Let us now take a well known axiomatic theory of physics to use as an example. Specifically, let us pick non-relativistic quantum mechanics. Typical formulations admit a number of axioms, and specifically the Von-Neumann formulation is as follows: the 1) physical states are the unit vectors  $\mathbf{u}$  of a complex Hilbert space, 2) observables are self-adjoint operators ( $O = O^\dagger$ ), 3) the expectation value of an observable is the 'Born rule' applied to a statistical sum weighted by the value of the observable (equivalent to the inner product over  $O$ :  $\langle O \rangle = \langle O\mathbf{u}|\mathbf{u} \rangle$ ).

Since people are naturally curious they may wonder: "is there a more fundamental explanation for these axioms? — can we derive, say, the Born rule more fundamentally?". A possible direction, currently under some amount of

investigation, is towards a non-local hidden variable theory. Now suppose one successfully invents an axiomatic non-local hidden variable theory which does derive those rules, allegedly, from "*first principles*", and further assumes that such a reformulation would gain sufficient traction to replace the current formulation. This may provide a few with intellectual relief, but surely it will not take long until the next generation eventually begins to wonder the same as we did but applied to this new formulation: "is there a more fundamental explanation for these axiomatic hidden-variables? — why this specific set of hidden-variables and not others?". The cycle of questioning is expected to simply repeat itself. The desire for the ultimate fundamental theory has not been satisfied.

In general all formal axiomatic systems are subject to a perpetual realization of epistemic regress: "a belief is justified because it is based on another belief that is also justified...". For an axiomatic system of physics, epistemic regress is simply imported from its mathematical origin as a formal axiomatic system; any FAS is logically entailed by infinitely-many other FAS, thus one is free to perpetually replace a given FAS by another, believed to be more fundamental, FAS while keeping the knowledge of system equivalent. Even if one were to invent an axiomatic basis able to derive the Born rule as theorem, and somehow show it to be an equivalent representation of quantum mechanics, then this new construction will depend upon a new set of axioms itself subject to the epistemic regress problem. One may perhaps fool himself or herself into believing a more fundamental theory has been achieved, however one has merely identified a new element out the set of all equivalent FAS for the given physical theory.

Now, let us try the same with halting images and see if they also are subject to the epistemic regress problem. Can one, as a challenge, produce a "more fundamental" halting image for a given halting image? Before we investigate, let us note that a halting image uniquely represents a specific instance of mathematical knowledge. To change the halting image with another, entails to represent a different instance of mathematical knowledge. For instance, say one has  $\mathbf{m}_a := (p_1, p_2)$ . Now suppose one wishes to produce a 'more fundamental' halting image of  $\mathbf{m}_a$ , calling it  $\mathbf{m}_b$ . Well either  $\mathbf{m}_a = \mathbf{m}_b$  at which point neither are more or less fundamental (they are the same), or  $\mathbf{m}_a \neq \mathbf{m}_b$  at which point they describe different systems. One cannot improve the fundamentality of the halting image in the typical sense of replacing it with an alternative, as all alternatives describe different systems.

What if we try to "improve the fundamentality" of a halting image by picking better formal axiomatic representations for it? In this case we are free to pick any of its formal axiomatic representations, but any such choice simply link back to the same halting image — the knowledge remains the same. We thus say that halting images are *idempotent* representations of knowledge. Here we use the word in the following sense: idem + potence = (same + power). Changing the formal axiomatic representation does not change the halting image.

The relationships and differences can be visualized by noting that a halting image admits infinitely-many possible formal axiomatic representations, whereas a formal axiomatic system admits a single halting image as a representation of its domain. Idempotency, with respects to a change of formal axiomatic rep-

resentation, occurs at the level of the halting image representation. Finally, epistemic regress immediately terminates for halting images due to this idempotency.

## 1.5 Axiomatic Information

The first result of interest will be the introduction of axiomatic information. Since halting images are idempotent (and thus admit no more-fundamental representations), any account for the elements of any particular halting image is relegated to having been 'randomly picked', according to a probability measure  $\rho$ , from the set of all possible halting programs. We can quantify the information of the pick using the entropy.

**Definition 7** (Axiomatic Information). *Let  $\mathbb{Q}$  be a set of halting programs. Then, let  $\rho : \mathbb{Q} \rightarrow [0, 1]$  be a probability measure that assigns a real in  $[0, 1]$  to each program in  $\mathbb{Q}$ . The axiomatic information of a single element of  $\mathbb{Q}$  is quantified as the entropy of  $\rho$ :*

$$S = - \sum_{p \in \mathbb{Q}} \rho(p) \ln \rho(p) \quad (6)$$

For instance, a well-known (non-computable) probability measure regarding a sum of prefix-free programs is the Halting probability[6] of computer science:

$$\Omega = \sum_{p \in \text{Dom}(\text{UTM})} 2^{-|p|} \implies \rho(p) = 2^{-|p|} \quad (7)$$

The quantity of axiomatic information of a given halting image (and especially its maximization), rather than any particular set of axioms, will be the primary quantity of interest for the production of a maximally informative theory in this framework. A strategy to gather mathematical knowledge which picks halting programs according to the probability measure which maximizes the entropy will be a maximally informative strategy.

## 2 The Formal System of Science

We now assign to our re-formulation of mathematics in terms of halting images, the interpretation of a purely mathematical system of science. As hinted previously, the primary motivation for constructing a system of science follows from the set of knowledge being recursively enumerable (as opposed to decidable) making its enumeration subject to the non-halting problem. Notably, in the general case, halting programs can only be identified by trial and error and this makes the approach irreducibly experimental.

At this point in the paper, I must now warn the reader that almost any of the definitions I choose to present next will likely either quickly induce at

least a feeling of uneasiness, or may even trigger an aversion in some readers. First and foremost, let me state that the definitions are, we believe, mathematically correct, scientifically insightful and productive, and thus we elected to fight against this aversion, rather than to deprive ourselves of said definitions. This uneasiness would present itself to a similar intensity regardless of which definition I now choose to present first, and so I might as well pick the simplest one. For instance, let us take the relatively simple definition of the scientific method, which will be:

**Definition 8** (Scientific method). *A function which recursively enumerates knowledge, is called a scientific method.*

Mathematically speaking, this is a very simple definition. First, it is indeed purely mathematical, and formal, and in fact coincide with the definition of a universal function — which is a non-controversial mathematical concept. We have previously defined knowledge as halting programs (this made it comprehensible) and its domain as that of a universal Turing machine (this made it comprehensive). Now we simply define a recursive enumeration function for said domain and we give it a name. The notion of the scientific method, a previously informal (naive<sup>1</sup>) construction, is now imported into pure mathematics and as such we have produced a net gain for science, compared to not having it.

The features of the scientific method are found implicitly in the definition. Indeed, implicit in said definition lies a requirement for the function to verify the input to be knowledge by running its corresponding program to completion, and reporting success once proven to halt. That it may or may not halt is the hypothesis, and the execution of the function is the 'experiment' which verifies the hypothesis. If an input runs for an abnormally long time, one may try a different hypothesis hoping to reach the conclusion differently. Since knowledge is element-wise infallible, each terminating experiments are formally reproducible as many times as one needs to, to be satisfied of its validity. All of the tenets of the scientific method are implicit in the definition, and its domain is that of knowledge itself, just as we would expect from the scientific method. Finally, the domain of the function is arbitrarily complex and countably infinite, therefore we never run out of new knowledge allowing for a perpetual and never ending application of the scientific method. Mathematically, it is a remarkably simple definition for such an otherwise rich concept.

But outside of mathematical land, the tone gets a bit more grim. Some readers may need a few more definitions before they start feeling the full weight induced by a *total commitment to formalization* on their worldview, but for many this definition will mark that point. Let us give a few comments to illustrate the type and intensity of the aversions that can plausibly be experienced:

1. Those who previously believed, or even nurtured the hope that, reality admitted elements of knowledge that are outside the scientific method

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<sup>1</sup>We refer to the word naive in the mathematical sense; i.e. as a theory which is not formalized. No negative connotation are implied.

\*must\* now find a flaw in our definitions, lest they have to correct their worldview. As scientific as most people claim to be, this forms a surprisingly large group. The unbiased response is, rather, to appreciate that what they thought was knowledge was in fact fallible (and thus simply a guess), whereas the scientific method does not output guesses, it outputs knowledge (which is infallible).

2. Those who nurture a worldview which is not "reducible" to our definition of knowledge in terms of halting program, \*must\* now argue that our definition contains gaps of knowledge, lest they have to correct their worldview. But our definition is simply the unique logical construction of knowledge with is both comprehensible and comprehensive. Thus, as comprehensiveness implies no gaps, their worldview is revealed to necessarily contain at least some elements that are incurably incomprehensible, or *it would be reducible* to our definition...
3. The elimination of all naive concepts or notions (no more "magic" or "handwaving") is now required. If one has a worldview that relies upon a plurality of non-formalizable concepts, then one's worldview will not survive this formalization. For many, this is interpreted as killing the "fun" or the "imagination" from reality. Since this is the first time a fully formalizable model of reality has been presented, then no one's pre-existing worldview is expected to survive (ouch!).

Does one even stand a chance at maintaining his or her informal (naive) worldview, when facing such definitions? Many of our base definitions were carefully chosen to merely *match* and *rebrand* pre-existing and well respected mathematical definitions; this was a strategic choice to make it incredibly difficult (not to say impossible) to find fatal flaws. In our experience the battery of aversion we typically receive boils down to an equivalent formulation of "I can't find the error, but it \*must\* be wrong because [my worldview] requires it to be different" or variations of "I just don't see it, bye!". Of course, no actual pinpointing of a fatal error is ever produced (otherwise we would either correct it, or immediately abandon the project altogether depending on the nature of the error presented).

Consider the alternative for a moment and let us try to be a crowd pleaser. How could we leave room for the naive (informal) so that people to not feel constrained by formalism, while remaining mathematically precise? Should we define the scientific method as a function that recursively enumerates 95% of knowledge, leaving a sympathetic 5% out for love, beauty and poetry? How would we possibly justify this mathematically. Functions which recursively enumerate one hundred percent of the domain do exist; should we just lie to ourselves and pretend they don't? Of course, we cannot. Whether a painting is or isn't beautiful, if not the result of an instantiation of infallible knowledge, is merely a guess. The scientific method does not output guesses, it outputs knowledge.

Now, there is a way to discuss, for instance, beauty scientifically: if one actually works out a precise definition of beauty, such as:

```
fn is_beautiful(painting: Object) -> bool{
  if (painting.colors.count()>=3){
    return true;
  }
  return false;
}
```

Then congratulations, one now has a definition of beauty that is actually comprehensible for the scientific method! The function returns true if the painting has 3 or more colours, otherwise it returns false. The scientific method can now use this definition to output all objects which are "beautiful" according to *said definition*.

Good luck getting everyone to agree to accept *this* definition as the be-all-end-all of beauty. However, all hope is not lost: the set of knowledge includes the totality of all possible comprehensible definitions of beauty and therefore if a 'good-one' does exist then by necessity of having them all it must be in there, otherwise it simply means the concept is fundamentally non-comprehensible (not formalizable as a halting program). Picking the 'good-one' from the set of all comprehensible definitions of beauty could merely be a social convention based on what everyone's concept of beauty coalesces into. Even under this more challenging description, which references a social convention, comprehensible definitions are still found in the purview of the scientific method, as one can use a function such as this:

```
fn is_beautiful(painting: Object, people: Vec<Person>) -> bool{
  for person in people{
    if person.is_beautiful(painting)==true{
      return true;
    }
  }
  return false;
}
```

This function returns true if at least one person thinks it's beautiful. In this case, the scientific method 'polls' every 'person' in 'people' and asks if the painting is beautiful, and as soon as one says yes, then it returns true, otherwise it returns false. In this case the definition of beauty is comprehensible provided that each 'person' in 'people' also produced a comprehensible implementation of the function **is\_beautiful**. The scientific method a-priori has no preference for which definition we end up agreeing (or disagreeing) upon, it simply verifies that which can be verified comprehensibly.

The scientific method's sole purpose is to convert comprehensible questions (or definitions) into knowledge.

Let us return to our discussion on aversion. At the other end of the aversion spectrum, we find some readers (it would be overly optimistic to expect it from all readers, but hopefully some) that accept and understand that the proposed system induces what amounts to a checkmate position for informal (naive) worldview. Of those readers, most will then condition themselves to accept a re-adjustment of their worldview such that it becomes conducive to complete formalisation. This will be no easy task, because many concepts central to mainstream science and physics are \*not\* formalizable absent of importing what people like Max Tegmark calls physical baggage (which, unless such baggage is re-expressible as programs, we consider it to be non-formalizable). For these readers, their desire for formalization is greater than their attachment to their informal (naive) worldview, and they are willing to make the necessary sacrifices to work completely formally. Just like the beginnings of mainstream science quickly displaced (most of) the charlatans and purveyors of quackery physical or medical products, formal science via its definition of knowledge displaces the charlatans and purveyors of informal "intellectual products". Resistance from those purveyors is of course inevitable.

Let us now reprise our lighter tonality to introduce and complete the formal system of science. Although the "magic" is now gone, we hope that the reader can find the will to smile again by immersing himself or herself in the cheerful world of formal terminating protocols, in lieu of said "magic".

## 2.1 Terminating Protocols (as Knowledge about Nature)

Both *Oxford Languages* and the *Collins dictionary* defines a protocol as

[Protocol]: A procedure for carrying out a scientific experiment

Comparatively, Wikipedia, interestingly more insightful in this case, describes it as follows:

[Protocol]: In natural and social science research, a protocol is most commonly a predefined procedural method in the design and implementation of an experiment. Protocols are written whenever it is desirable to standardize a laboratory method to ensure successful replication of results by others in the same laboratory or by other laboratories. Additionally, and by extension, protocols have the advantage of facilitating the assessment of experimental results through peer review.

The above description precisely hits all the right cords, making it especially delightful as an introduction of the concept. We will now make the case for a new description of nature, or natural processes, which is conducive to complete formalization. Of course, as we did for knowledge, we will require this description of nature to also be comprehensible and comprehensive in the same mathematical sense.



The proposed description will essentially require that one describes nature via the set of all protocols known to have terminated thus far. This type of description has a similar connotation to our previous formulation of mathematics in terms of halting images. This is on purpose; it is so the tools introduced for the former also be usable for the later. The proposed description is further familiar to a requirement well-known to peer-review, and should be already familiar to most readers. In the peer-reviewed literature, the typical requirement regarding the reproducibility of a protocol is that an expert of the field be able to reproduce the experiment, and this is of course a much lower standard than formal reproducibility which is a mathematically precise definition, but nonetheless serves as a good entry-level example.

Hinkelmann, Klaus and Kempthorne, Oscar in 'Design and Analysis of Experiments, Introduction to Experimental Design'[7] note the following:

If two observers appear to be following the same protocol of measurement and they get different results, then we conclude that the specification of the protocol of measurement is incomplete and is susceptible to different implementation by different observers. [...] If a protocol of measurement cannot be specified so that two trained observers cannot obtain essentially the same observation by following the written protocol of measurement, then the measurement process is not well-defined.

In practice it is tolerated to reference undefined, and perhaps even undefinable, physical baggage, as long as 'experts in the field' understand each other. For instance, one can say "take a photon-beam emitter" or one can reference an "electric wire", etc, without having to provide a formal baggage-free definition of either of these concepts. Those definitions of physical objects ultimately tie to a specific product ID, as made by a specific manufacturer, and said ID is often required to be mentioned in the research report explicitly. For the electric wire, a commonly used product, it is perhaps sufficient that the local hardware store sells them, and for more complex products, such as a specific laser or protein solutions, an exact ID from the manufacturer will likely be required for the paper to pass peer-review. If we attempted to explain to, say, an alien from another universe what an electric wire is, we would struggle unless our neighbourhood chain of hardware stores also as a local office in its universe for it to buy the same type of wire. In computer language terms, we would say we pass the concept of the electric wire to another expert by reference, not by value.

Appeal to the concept of 'expert' is a way for us to introduce and to tolerate informality into a protocol without losing face; as that which is understood by 'experts' does not need to be specified. In a formal system of science we will require a much higher standard of protocol repeatability than merely being communicable to a fellow expert. We aim for mathematically precise definitions. For a protocol to be completely well-defined, the protocol must specify all steps of the experiment including the complete inner workings of any instrumentation used for the experiment. The protocol must be described as an effective method equivalent to an abstract computer program.

Let us now produce a thought experiment to help us understand how the concepts connect to and how they organize into a formal system of science.

### 2.1.1 The Universal Verifier (Thought experiment)

Suppose that an industrialist, perhaps unsatisfied with the abysmal record of irreproducible publications in the experimental sciences (i.e. replication crisis), or for other motivations, were to construct what we would call a *Universal Verifier*; that is, a machine able to execute in nature the steps specified by any experimental protocol.

A Universal Verifier shares features with the Universal Constructor of Von-Neumann, as well as some hint of constructor theory concepts, but will be utilized from a different stand-point, making it particularly helpful as a tool to formalize the practice of science and to investigate its scope and limitations self-reflectively. Von-Neumann was particularly interested in the self-replicating features of such a construction, but self-replication will here not our primary focus of interest. Rather, the knowledge producible by such a machine will be our focus.

The Universal Constructor of Von-Neumann is a machine that is able to construct any physical item that can be constructed, including copies of itself. Whereas, a Universal Verifier is a machine that can execute any scientific protocol, and thus perform any scientific experiment. Of course, both machines are subject to the halting problem, and thus a non-terminating protocols (or an attempt to construct the non-constructible in the case of the Universal Constructor) will cause the machine to run forever.

Both the machine and the constructor can be seen as the equivalent of each other. Indeed, it is the case that a Universal Constructor is also a Universal Verifier (as said constructor can build a laboratory in which an arbitrary protocol is executed), and a Universal Verifier is also a Universal Constructor (as a protocol could call for the construction of a Universal Constructor, or even for a copy of itself, to experiment on).

Specifically, a Universal Verifier produces a result if the protocol it is instructed to follow terminates. A realization of such a machine would comprise possibly wheels or legs for movement, robotic arms and fingers for object manipulation, a vision system and other robotic appendages suitable for both microscopic and macroscopic manipulation. It must have memory in sufficient quantity to hold a copy of the protocol and a computing unit able to work out the steps and direct the appendages so that the protocol is realized in nature. It must be able to construct a computer, or more abstractly a Turing machine, and run computer simulation or other numerical calculation as may be specified by the protocol. The machine can thus conduct computer simulations as well as physical experiments. Finally, the machine must have the means to print out, or otherwise communicate electronically, the result (if any) of the experiment. Such result may be in the form of a numerical output, a series of measurements or even pictures where appropriate.

Toy models are easily able to implement universal verifiers; for instance Von

Neumann, to define an implementation universal constructor, created a 2-D grid 'universe', allocated a state to each element of the grid, then defined various simple rules of state-transformations, and showed that said rule applied on said grid allowed for various initial grid setups in which a constructor creates copies of itself. Popular games, such as Conway's Game of Life are able to support self-replication and even the implementation of a universal Turing machine, and thus would admit specific implementations of universal verifiers. In real life, the human body (along with its brain) is the closest machine I can think of that could act as a general verifier of experiments.

How would a theoretical physicist work with such a machine?

To put the machine to good use, a theoretical physicist must first write a protocol as a series of steps the machine can understand. For instance, the machine can include **move** instructions, using it to move its appendages in certain ways as well as a **capture** instruction to take snapshots of its environment, etc. In any case, the physicist will produce a sequence of instructions for the machine to execute. The physicist would also specify an initial setup, known as the *preparation*, such that the protocol is applied to a well-defined initial condition. The initial condition is specified in the list of instructions, as such it is created by the machine making the full experiment completely reproducible. Finally, the physicist would then upload the protocol to the machine, and wait for the output to be produced.

The mathematical definition of the protocol is as follows:

**Definition 9** (Protocol). *Let  $\text{prep}$  be a sentence of a language  $\mathbb{L}$ , which we call a preparation. A protocol is defined as a function:*

$$\begin{array}{rcl} \text{prot} & : & \mathbb{L} \longrightarrow \{\mathbb{L}, \# \} \\ & & \text{prep} \longmapsto r \end{array} \quad (8)$$

- *The domain of the protocol  $\text{Dom}(\text{prot})$  includes the set of all preparations which terminates for it.*
- *This is an abstract definition; a Turing machine, or specific sequence of instructions, would be an implementation of such.*

Let us now define the Universal Verifier. A Universal Verifier is able to construct any preparation and execute any protocol on it. If a protocol does not terminate, then the Universal Verifier will run forever, hence it is subject to the non-halting problem.

**Definition 10** (Universal Verifier). *Let  $\langle \text{prot} \rangle$ , the description of a protocol, and  $\text{prep}$ , the preparation, both be sentences of a language  $\mathbb{L}$ , called the instructions. Then a Universal Verifier is defined as:*

$$\text{UV}(\langle \text{prot} \rangle, \text{prep}) = \text{prot}(\text{prep}) \quad (9)$$

*To be worthy of the name universal, the relation must of course hold for all possible protocols, and all possible preparations.*

**Definition 11** (Experimental Image). *Let  $\text{Dom}(\text{UV})$  be the domain of a Universal Verifier. An experimental image of UV is a tuple of  $n$  elements of  $\text{Dom}(\text{UV})$ :*

$$\mathbf{m} := (\text{Dom}(\text{UV}))^n \quad (10)$$

- *The experimental image only contains protocol-preparation pairs that have terminated.*
- *The experimental image corresponds, intuitively, to a sequence of related or unrelated experiments, that have been verified by the machine.*
- *The experimental image corresponds to an instance of natural knowledge (knowledge about nature). It represents knowledge in the epistemological sense because the protocols maps to halting programs, and knowledge about nature specifically, because the machine performs the requested experiment in nature... just like an experimental physicist would.*
- *Finally, as the set of knowledge is comprehensive, then all systems which admits knowledge, physical or otherwise, can be represented in the form of a specific experimental image associated to a specific verifier, and said image constitutes a complete representation of the knowledge said system has produced thus far for its operator.*

For a UV to execute a protocol, both the protocol and its preparation must be completely described. Physical baggage such as a camera cannot be referenced informally in the specifications of the protocol, otherwise the UV cannot construct it. If the protocol calls for the usage of a camera, then the behaviour of the camera must also be completely specified in formal terms within the instructions. Consequently, all rules and/or physical laws which are required to be known, including any initial conditions, must be precisely provided in the description, so that the UV can construct the experiment. For some highly convoluted experiments, such as : "is this a good recipe for apple pie?"... the aphorism from Carl Sagan "If you wish to make an apple pie from scratch, you must first invent the universe" is adopted quite literally by the universal verifier. The Universal Verifier must create (or at least simulate) the universe, let interstellar matter accretes into stars, let biological evolution run its course, then finally conduct the experiment once the required actors are in play by feeding them apple pie. For a universal verifier, certain protocols, due to their arbitrary physical contexts requirements and general complexity, cannot be created more efficiently than from literal scratch and by going through the full sequence of events until the end of the experiment.

## 2.2 Classification of Scientific Theories

**Definition 12** (Scientific Theory). *Let  $\mathbf{m}$  be an experimental image of UV, and let ST be a formal axiomatic system. If*

$$\text{proj}_2(\mathbf{m}) \cap \text{Dom}(\text{ST}) \neq \emptyset \quad (11)$$

*then ST is a scientific theory of  $\mathbf{m}$ .*

**Definition 13** (Empirical Theory). *Let  $\mathbf{m}$  be an experimental image of UV and let ST be a scientific theory. If*

$$\text{proj}_2(\mathbf{m}) = \text{Dom}(\text{ST}) \quad (12)$$

*then ST is an empirical theory of  $\mathbf{m}$ .*

**Definition 14** (Scientific Field). *Let  $\mathbf{m}$  be an experimental image of UV and let ST be a scientific theory. If*

$$\text{Dom}(\text{ST}) \subset \text{proj}_2(\mathbf{m}) \quad (13)$$

*then ST is a scientific field of  $\mathbf{m}$ .*

**Definition 15** (Predictive Theory). *Let  $\mathbf{m}$  be an experimental image of UV and let ST be a scientific theory. If*

$$\text{proj}_2(\mathbf{m}) \subset \text{Dom}(\text{ST}) \quad (14)$$

*then ST is a predictive theory of  $\mathbf{m}$ .*

*Specifically, the predictions of ST are given as follows:*

$$\mathbb{S} := \text{Dom}(\text{ST}) \setminus \mathbf{m} \quad (15)$$

Scientific theories that are predictive theories are supported by experiments, but may diverge outside of this support.

### 2.2.1 The Fundamental Theorem of Science

With these definitions we can prove, from first principle, that the possibility of falsification is a necessary consequence of the scientific method.

**Theorem 2** (The Fundamental Theorem of Science). *Let  $\mathbf{m}_1$  and  $\mathbf{m}_2$  be two halting images, such that the later includes and is larger than the former:  $\mathbf{m}_1 \subset \mathbf{m}_2$ . If  $\text{ET}_2$  is an empirical theory of  $\mathbf{m}_2$ , then it follows that  $\text{ET}_2$  is a predictive theory of  $\mathbf{m}_1$ . Finally, up to factual-isomorphism,  $\text{Dom}(\text{ET}_2)$  has measure 0 over the set of all distinct domains spawned by the predictive theories of  $\mathbf{m}_2$ .*

*Proof.*  $\text{Dom}(\text{ET}_2)$  is unique. Yet, the number of distinct domains spawned by the set of all possible predictive theories of  $\mathbf{m}_1$  is infinite. Finally, the measure of one element of an infinite set is 0.  $\square$

Consequently, the fundamental theorem of science leads to the concept of falsification, as commonly understood in the philosophy of science and as given in the sense of Popper. It is (almost) certain that a predictive scientific theory will eventually be falsified.

### 2.3 Completing the Model (Final Details)

We now introduce a few more definitions to complete the formal system of science.

**Definition 16** (Experiment). *A protocol-preparation pair  $(\text{prot}, \text{prep})$ , if it terminates, is called an experiment, which we identify with the symbol  $p$ .*

**Definition 17** (Domain of science). *We note  $\mathbb{D}$  as the domain (Dom) of science. We can define  $\mathbb{D}$  in reference to a Universal Verifier UV as follows:*

$$\mathbb{D} := \text{Dom}(\text{UV}) \quad (16)$$

*Thus, for all protocol-preparation pairs  $(\text{prot}, \text{prep})$ , if  $\text{UV}(\langle \text{prot} \rangle, p)$  terminates, then  $(\text{prot}, \text{prep}) \in \mathbb{D}$ . It follows that all possible experiments are elements of the domain of science.*

**Definition 18** (Halting Space). *Let  $\mathbf{m}$  be an experimental image comprised of  $n$  experiments, and let  $\mathbb{M}_{\mathbf{m}} = \bigcup_{i=1}^n \text{proj}_i(\mathbf{m})$  be the set comprised of the experiments of  $\mathbf{m}$ . The halting space  $\mathbb{E}$  of  $\mathbf{m}$  is the "powertuple" of  $\mathbf{m}$ :*

$$\mathbb{E}_{\mathbf{m}} := \bigcup_{i=0}^n (\mathbb{M}_{\mathbf{m}})^i \quad (17)$$

- *Put simply, halting space is the set of all possible experimental images (including the empty experimental image).*
- *Conceptually, a powertuple is similar to a powerset where the notion of the set is replaced by that of the tuple.*
- *All elements of a halting space are experimental images, and all "subtuples" of an experimental image are elements of its halting space.*

**Theorem 3** (Scientific method (Constructive proof of existence)). *Existence of the scientific method spawning the entire set of knowledge.*

*Proof.* Consider a dovetail program scheduler which works as follows.

1. Sort all pairs of sentences of  $\mathbb{L} \times \mathbb{L}$  in shortlex. Let the ordered pairs  $(\text{prot}_1, \text{prep}_1)$ ,  $(\text{prot}_2, \text{prep}_1)$ ,  $(\text{prot}_1, \text{prep}_2)$ ,  $(\text{prot}_2, \text{prep}_2)$ ,  $(\text{prot}_3, \text{prep}_1)$ ,  $\dots$  be the elements of the sort.
2. Take the first element of the sort,  $\text{UV}(\text{prot}_1, \text{prep}_1)$ , then run it for one iteration.
3. Take the second element of the sort,  $\text{UV}(\text{prot}_2, \text{prep}_1)$ , then run it for one iteration.
4. Go back to the first element, then run it for one more iteration.

5. Take the third element of the sort,  $UV(\text{prot}_1, \text{prep}_2)$ , then run it for one iteration.
6. Continue with the pattern, performing iterations one by one, with each cycle adding a new element of the sort.
7. Make note of any pair  $(\text{prot}_i, \text{prep}_j)$  which halts.

This scheduling strategy is called dovetailing and allows one to enumerate the domain of a universal Turing machine recursively (or in this case that of a Universal Verifier), without getting stuck by any singular program that may not halt. Progress will eventually be made on all programs... thus producing a recursive enumeration.  $\square$

Dovetailing is of course a simple/non-creative approach to the scientific method. The point here was only to show existence of such an algorithm, not to find the optimal one. This will be done in the following section.

## 2.4 Axiomatic Model of Physics

Typically in science, one notes a new observation, then postulates an axiomatic basis able to account for said observation, extract predictions, falsify some, and finally refine the basis. But here, we have constructed a formal system of science. Does our construction offer us more powerful tools to derive fundamental physics? The theory that we are about to present is both exceedingly simple and also quite complete, and thus is remarkably at the end of two extremes. However, perhaps as a victim of its own axiomatic simplicity, it can be difficult to understand why it works so simply, why it works so completely and, last but not least, why it works at all, and thus challengingly runs counters to many's intuitions and expectations. We advise the reader to read this part, read the main result, then read this part again, and perhaps repeat the process as needed, as it significantly helps train and re-train one's intuition to accommodate the new information, the techniques and the strategies that are at play in this derivation.

The base space of the fundamental physics derived here-in coincide with a coalescence of three mathematically related but philosophically distinct concepts: the Universal Turing Machine, the Universal Constructor and the Universal Verifier, and thus are able to account for all construction and verification rules whether physical, simulated or mathematical and over all possible knowledge-bearing states of any system. This setup will allow us to derive fundamental physics under incredibly strong claims of comprehensiveness. This level of proof exceeds anything else available in the present arsenal of physics that the author is aware of.

To produce the laws of physics entirely from first principles, and as a result of the practice of formalized science, it suffices simply to (correctly) define the observer. The departure here from typical practice and intuition is exceptional; let us note that the observer in modern theoretical physics is considered by many to be the last element of quantum physics that is not yet mathematically

integrated into the formalism. Whereas here, is it the *only* element that we define, and is sufficient by itself to entail the totality of fundamental physics. It is the system's only axiom:

**Axiom 1** (Observer). *An observer of  $\mathbf{m}$ , denoted as  $\mathcal{O}$ , is a measure space over the halting space of  $\mathbf{m}$ :*

$$\mathcal{O} := (\mathbf{m}, \mathbb{E}, \rho : \mathbb{E} \rightarrow [0, 1]) \quad (18)$$

where  $\rho$  is a probability measure,  $\mathbf{m}$  is a halting image, and  $\mathbb{E}$  is the halting space of  $\mathbf{m}$ .

The definition is reminiscent of a measure space in measure theory, with the notable difference that the elements of  $\mathbb{E}$  are tuples and not sets. The consequences of this difference will be investigated in the main result section, where a prescription to derive a measure from this triplet is provided.

Just like we did earlier with a minimalistic definition of the scientific method, and then showed that the richness of the concept was implicit in the relatively simple definition, here a similar richness will be recovered but for the domain of physics as a consequence of this minimal definition. But not just unbounded richness mind you, a perfectly well balanced richness; although it is still obscure at this point, this definition of the observer will exactly coincide with what we understand an observer to be in quantum physics, and also to what we understand it to be in general relativity.

In a nutshell, axiom 1 is the absolute minimum required to define an observer in the most general or abstract sense possible such that, at minimum, it is able to acquire a sub-tuple of knowledge, from a larger tuple of knowledge. An observer that 'knows' anything at all about the world, must adhere to said definition. Specifically, the halting image  $\mathbf{m}$  referenced in the axiom is to be interpreted as the available knowledge (say, in the universe), and the observer knows, via a measure, one of the possible sub-tuples of said knowledge. Said known sub-tuple can be empty (if the observer knows nothing) or equal to the halting image itself (if the observer knows everything the universe has to offer), or anything in between.

To obtain the laws of physics, as an exact formulation, we will have to maximize the entropy of the measure referenced in axiom 1. The laws of physics will be a specialization of the definition of the observer, in the sense that an observer admits a measure over halting space, and the laws of physics are the entropy-maximized measure of the same measure space.

**Thesis 1** (Fundamental Physics). *The probability measure that maximizes the entropy of  $\mathcal{O}$  constitutes the fundamental physics, or simply 'the laws of physics'.*

Let us now discuss an overview of the axiom, the thesis, and their motivation.

In Theorem 3 we have used a dovetailing algorithm as an implementation of the scientific method, and we claimed that although it was a possible strategy, it was not necessarily the optimal one. What is the optimal one? Perhaps



we would find it attractive to optimize for an efficient algorithm, or the most elegant one, or the one that uses the least amount of memory, etc., but thinking in those terms would be a trap — we must think a bit more abstractly than postulating or arguing for a specific property of the algorithm which implements the strategy.

The 'perfect strategy' will in fact be to maximize the axiomatic information of the model. But before we look into what that entails, let us review some preliminaries. Notice that we do not import any physical baggage as axioms. We do not import say; energy, spin, the wave-function or anything of the sort. At no point in the paper do we say : "Let us take these physical axioms, such as the wave-function or the Born rule, as a 'given' and plausible foundation to [...]". In fact, we are completely opposed to this kind of axiomatic parachuting, and the entire purpose of this paper was to avoid doing this by understanding science to a new level of depth and precision to produce a sounder methodology, in which the whole theory — including its axiomatic formulation — is entailed by knowledge.

So, how does the magic happens? Which axioms, if any, do we pick to define the physics? If our constraint is to not pick the axioms ourselves, then the solution is to produce a system which allows for the implementation of an algorithm (the scientific method) that will pick them for us optimally. For the result of this algorithm to be convincing, the algorithm must be an optimization (of some carefully chosen quantity) so that there is no doubt in our minds that the algorithm produced the best possible answer... the algorithm must pick the axioms in a fundamentally un-improvable manner.

This optimal strategy is implemented by the observer via Thesis 1, and represents an informationally optimal implementation of the scientific method. Understanding how it works requires understanding axiomatic information and its relationship with idempotency as well as the effect of maximizing the entropy. Here, axiomatic information refers to the series of yes/no questions (more precisely, it is expressed in nats instead of bits) such that they, along with their answers, are sufficient to identify the state of knowledge known by the observer, as a specific sub-tuple randomly picked from the powertuple of a halting image. In algorithmic information theory, axiomatic (i.e. random) yes/no questions are sometimes called "bits of axioms". Maximizing said entropy thus makes each bit of axiom maximally informative for the observer, thus outperforming all other possible choices.

Axiomatic information is manifest under the assumption that the halting image, as it is an idempotent formulation of knowledge admitting no more-fundamental representation, cannot have an earlier deterministic reason (as that would of course be a more-fundamental representation). Axiomatic information does not represent knowledge itself, rather it encodes the *state* of knowledge the observer possesses.

Let us compare the role of fundamental physics to that of the scientific theories classified earlier and note the difference. The fundamental physics is to be interpreted as the rules that support and limit the act of observation, as the observer carries out the scientific method in nature to produce knowledge. Note

the hint of self-reference... the perceived inviolability of the laws of physics by the observer are a consequence that they are entailed by its very definition! An observer violating fundamental physics would mean to violate its on definition, thus ceasing to be a measure of knowledge.

Fundamental physics differs substantially from common scientific theories. Comparatively, the purpose of a scientific theory is to explain via a formal axiomatic system the halting image (or a sub-tuple thereof), and thus its purpose is purely explanatory. Unlike the fundamental physics, a scientific theory does not directly bound or limit nor does it provide for the observer. This is why if an observer "violates" a scientific theory, said theory is simply falsified, whereas an observer cannot violate the fundamental physics without violating its own definition. More on that in the discussion section. Let us now attack the main result.

### 3 Main Result

Let us now use the definition of the observer (Axiom 1) to derive the fundamental physics.

Our starting point will be the definition of the observer that we will use to maximize the entropy of  $\rho$  using the method of the Lagrange multipliers. We recall that our definition of the observer is:

$$\mathcal{O} := (\mathbf{m}, \mathbb{E}, \rho : \mathbb{E} \rightarrow [0, 1]) \quad (19)$$

where  $\mathbf{m}$  is a n-tuple,  $\mathbb{E}$  is a "powertuple" and  $\rho$  is a (probability) measure over  $\mathbb{E}$ .

Note the similarity between our definition of the observer to that of a measure space. Comparatively, the definition of a measure space is:

$$M := (X, \Sigma, \mu(X)) \quad (20)$$

where  $X$  is a set,  $\Sigma$  is (often) taken to be the powerset of  $X$ , and  $\mu$  is a measure over  $\Sigma$ . The difference is simply that sets have been replaced by tuples. Consequently, we must adapt the definition of a measure space from set to tuples. To do so, we will use the following prescription:

1. We assign a non-negative number to each element of  $\mathbb{E}$ .
2. We equip said numbers with the addition operation, converting the construction to a vector space.
3. We maximize the entropy of a single halting program under the effect of constraints, by using the method of the Lagrange multipliers.
4. We prescribe that any and all constraints on said entropy must remain invariant with respect to a change of basis of said vector space.

5. We use the tensor product n-times over said vector space to construct a probability measure of n-tuples of halting programs.
6. We use the direct sum to complete the measure over the whole of halting space by combining the measures of different sizes as a single measure.

Explicitly, we maximize the entropy:

$$S = - \sum_{p \in \mathbf{m}} \rho(p) \ln \rho(p) \quad (21)$$

subject to these constraints:

$$\sum_{p \in \mathbf{m}} \rho(p) = 1 \quad (22)$$

$$\sum_{p \in \mathbf{m}} \rho(p) \operatorname{tr} \mathbf{M}(p) = \operatorname{tr} \overline{\mathbf{M}} \quad (23)$$

where the notation  $\sum_{p \in \mathbf{m}}$  designate a sum over the elements of the experimental image  $\mathbf{m}$ , where  $\mathbf{M}(p)$  are a matrix-valued maps from the elements of  $\mathbf{m}$  to  $\mathbb{C}^{n \times n}$  representing the linear transformations of the vector space and where  $\overline{\mathbf{M}}$  is a element-by-element average matrix.

Usage of the trace of a matrix as a constraint imposes an invariance with respect to a similarity transformation, accounting for all possible linear reordering of the elements of the tuples of the sum, thus allowing the creation of a measure of a tuple or group of tuples form within a space of tuples, invariantly with respect to the order of the elements of the tuples.

Similarity transformation invariance on the trace is the result of this identity:

$$\operatorname{tr} \mathbf{M} = \operatorname{tr} \mathbf{B} \mathbf{M} \mathbf{B}^{-1} \quad (24)$$

We now use the Lagrange multiplier method to derive the expression for  $\rho$  that maximizes the entropy, subject to the above mentioned constraints. Maximizing the following equation with respect to  $\rho$  yields the answer:

$$\mathcal{L} = -k_B \sum_{p \in \mathbf{m}} \rho(p) \ln(p) + \alpha \left( 1 - \sum_{p \in \mathbf{m}} \rho(p) \right) + \tau \left( \operatorname{tr} \overline{\mathbf{M}} - \sum_{p \in \mathbf{m}} \rho(p) \operatorname{tr} \mathbf{M}(p) \right) \quad (25)$$

where  $\alpha$  and  $\tau$  are the Lagrange multipliers. The explicit derivation is made available in Annex B. Except for the presence of the trace and matrices, using the Lagrangian multiplier method on the entropy is standard and shown in most introductory textbooks of statistical physics to derive the Gibbs measure, where

the quantities are simpler scalars. With the trace and matrices, the result of the maximization process is:

$$\rho(p, \tau) = \frac{1}{Z(\tau)} \det \exp(-\tau \mathbf{M}(p)) \quad (26)$$

where

$$Z(\tau) = \sum_{p \in \mathbf{m}} \det \exp(-\tau \mathbf{M}(p)) \quad (27)$$

**Prior:** A probability measure requires a prior. The prior, which accounts for an arbitrary preparation of the ensemble, ought to be —for purposes of preserving the scope of the theory— of the same kind as the elements of the probability measure. Let us thus introduce the prior as the map  $\mathbf{P}$  from the elements of  $\mathbf{m}$  to  $\mathbb{C}^{n \times n}$  and inject it into the probability measure as well as into the partition function:

$$\rho(p) = \frac{1}{Z} \det \exp(\mathbf{P}(p)) \det \exp(-\tau \mathbf{M}(p)) \quad (28)$$

where

$$Z = \sum_{p \in \mathbf{m}} \det \exp(\mathbf{P}(p)) \det \exp(-\tau \mathbf{M}(p)) \quad (29)$$

### 3.1 Completing the Measure over Halting Space

We have produced a measure over a sum of single experiments. Whereas the measure we are after is a sum over the whole of the halting space of a given experimental image, which contains all sub-tuples of the experimental image. Completing the measure over said space will require us to sum over differently-sized tuples. To do so, first, we will use the tensor product to produce measures summing over multiple elements, and second, we will use the direct sum to combine the differently-sized measures into a single final measure.

#### 3.1.1 Split to Amplitude / Probability Rule

Before we are able to proceed with both the tensor product and the direct sum, we must introduce a split over the mathematical operations present in the measure. I was hoping to avoid doing this until deeper into the section on the physics, because it implies an element of physics that is too delicious not to discuss right away, but I do not see an easy way to perform the upcoming operation (tensor product/direct sum) without splitting the measure into two operations.

We begin by splitting the probability measure into a first step, which is linear with respect to a 'probability amplitude', and a second which connects the amplitude to the probability. We thus write the probability measure as:

$$\rho(p, \tau) = \frac{1}{Z} \det \psi(p, \tau) \quad (30)$$

where

$$\psi(p, \tau) = \exp(\mathbf{P}(p)) \exp(-\tau \mathbf{M}(p)) \quad (31)$$

Here, the determinant is interpreted as a generalization of the Born rule and reduces to exactly it when  $\mathbf{M}$  is the matrix representation of the complex numbers (more on that in the physics section). In the general case where  $\mathbf{M}$  are arbitrary  $n \times n$  matrices,  $\psi(p, \tau)$  will be called the *general linear probability amplitude*.

We can write  $\psi(p, \tau)$  as a column vector:

$$|\psi\rangle := \begin{pmatrix} \psi(p_1, \tau) \\ \psi(p_2, \tau) \\ \vdots \\ \psi(p_n, \tau) \end{pmatrix} = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \vdots \\ \psi_n \end{pmatrix} \quad (32)$$

### 3.1.2 Tensor Product

How do we extend the measure to experimental images containing multiple experiments? We have to use a Cartesian product on the sets of experimental images and a tensor product on the probability amplitudes. For instance, let us consider the following sets of experiments:

$$\mathbf{M}_1 = \{p_{1a}, p_{1b}\} \quad (33)$$

$$\mathbf{M}_2 = \{p_{2a}, p_{2b}\} \quad (34)$$

The Cartesian product produces experimental images comprised of two elements:

$$\mathbf{m} \in \mathbb{M}_1 \times \mathbb{M}_2 = \{(p_{1a}, p_{2a}), (p_{1a}, p_{2b}), (p_{1b}, p_{2a}), (p_{1b}, p_{2b})\} \quad (35)$$

At the level of the probability amplitude, the Cartesian product of sets translates to the tensor product. For instance, we start with a column vector where each entry is one experiment;

$$|\psi_1\rangle = \begin{pmatrix} \exp \mathbf{P}(p_{1a}) \\ \exp \mathbf{P}(p_{1b}) \end{pmatrix} \quad (36)$$

Adding a program-step via a linear transformation produces:

$$\mathbf{T} |\psi_1\rangle = \begin{pmatrix} T_{00} \exp \mathbf{P}(p_{1a}) + T_{01} \exp \mathbf{P}(p_{1b}) \\ T_{10} \exp \mathbf{P}(p_{1a}) + T_{11} \exp \mathbf{P}(p_{1b}) \end{pmatrix} \quad (37)$$

We then introduce another column vector:

$$|\psi_2\rangle = \begin{pmatrix} \exp \mathbf{P}(p_{2a}) \\ \exp \mathbf{P}(p_{2b}) \end{pmatrix} \quad (38)$$

along with a program-step:

$$\mathbf{T}' |\psi_2\rangle = \begin{pmatrix} T'_{00} \exp \mathbf{P}(p_{2a}) + T'_{01} \exp \mathbf{P}(p_{2b}) \\ T'_{10} \exp \mathbf{P}(p_{2a}) + T'_{11} \exp \mathbf{P}(p_{2b}) \end{pmatrix} \quad (39)$$

Then the tensor product of these states produces the probability measure of an experimental image as follows:

$$\mathbf{T} |\psi_1\rangle \otimes \mathbf{T}' |\psi_2\rangle = \begin{pmatrix} (T_{00} \exp \mathbf{P}(p_{1a}) + T_{01} \exp \mathbf{P}(p_{1b}))(T'_{00} \exp \mathbf{P}(p_{2a}) + T'_{01} \exp \mathbf{P}(p_{2b})) \\ (T_{00} \exp \mathbf{P}(p_{1a}) + T_{01} \exp \mathbf{P}(p_{1b}))(T'_{10} \exp \mathbf{P}(p_{2a}) + T'_{11} \exp \mathbf{P}(p_{2b})) \\ (T_{10} \exp \mathbf{P}(p_{1a}) + T_{11} \exp \mathbf{P}(p_{1b}))(T'_{00} \exp \mathbf{P}(p_{2a}) + T'_{01} \exp \mathbf{P}(p_{2b})) \\ (T_{10} \exp \mathbf{P}(p_{1a}) + T_{11} \exp \mathbf{P}(p_{1b}))(T'_{10} \exp \mathbf{P}(p_{2a}) + T'_{11} \exp \mathbf{P}(p_{2b})) \end{pmatrix} \quad (40)$$

Now, each element of the resulting vector is an experimental image of two programs, but its probability is a sum over a path. One can repeat the process  $n$  times.

### 3.1.3 Direct Sum

In the previous section, we have introduced a way to produce measures of fixed sizes  $n$  by using the tensor product. Here, we wish to produce a measure with elements of different sizes. Taking the direct sum of the measures of different sizes (where each individual size is produced from the tensor product), accomplishes the goal and yields an amplitude given as follows:

$$|\psi\rangle = |\psi_1\rangle \oplus (|\psi'_1\rangle \otimes |\psi'_2\rangle) \otimes (|\psi''_1\rangle \otimes |\psi''_2\rangle \otimes |\psi''_3\rangle) \oplus \dots \quad (41)$$

In quantum field theory, in the limiting case  $n \rightarrow \infty$  and when  $\mathbf{M}(p)$  is reduced to the complex field, these are the states of a Fock Space, which we have obtained here simply by maximizing the entropy of the measure associated with our simple definition of the observer (Axiom 1).

### 3.2 Discussion - Fock Space, Measures over Tuples

Some may consider it even more fundamental to interpret our result from the angle of measure theory in the sense that an entropy-maximized measure over the tuples of a tuple-space (as an extension to typical measure theory defined for the subsets of a set) induces a Fock Space, along with the appropriate probability rule (Born rule) for use in quantum mechanics. The measures used in quantum mechanics would thus result quite intuitively from this simple extension of measure theory, previously defined for sets, to tuples, and then simply maximizing the entropy.

We should mention that, although tuples can represent anything, in our system Axiom 1 requires the tuples to represent experimental images (or halted programs). But this is a very unrestrictive constraint; it simply enforces, while introducing no other constraints, that all states of the measure are comprehensible to the scientific method.

### 3.3 Overview

We will first provide a small overview of the fundamental physics entailed by the main result, then we will provide a more thorough investigation of the main result.

#### 3.3.1 Connection to Computation

Let us begin by reviewing the basics of quantum computation. One starts with a state vector:

$$|\psi_a\rangle = \begin{pmatrix} 0 \\ \vdots \\ n \end{pmatrix} \quad (42)$$

Which evolves unitarily to a final state:

$$|\psi_b\rangle = U_0 U_1 \dots U_m |\psi_a\rangle \quad (43)$$

Clever use of the unitary transformations, often arranged as simple 'gates', allows one to execute a program, but technically speaking any arrangements of unitary transformations qualify abstractly as a program (without or without gates). The input to the program is the state  $|\psi_a\rangle$  and the output is the state  $|\psi_b\rangle$ . One would note that, so defined and if the sequence of unitary transformation is finite, such a program must always halt, and thus its complexity must be bounded. One can however get out of this predicament by taking the final state  $|\psi_b\rangle$  to instead be an intermediary state, and then to add more gates in order continue with a computation:

$$\text{step 1} \quad |\psi_b\rangle = U_0 U_1 \dots U_p |\psi_a\rangle \quad (44)$$

$$\text{step 2} \quad |\psi_c\rangle = U'_0 U'_1 \dots U'_q |\psi_b\rangle \quad (45)$$

$\vdots$

$$\text{step k} \quad |\psi_{k'}\rangle = U'_0 U'_1 \dots U'_v |\psi_k\rangle \quad (46)$$

$\vdots$

For a quantum computation to simulate a universal Turing machine it must be able to add more steps until a halting state is reached (or continue to add steps indefinitely if the program never halts). But note, that each step represents a state of nature and is itself a completed program. Quantum computation flows from halting image to halting image.

Comparatively, the linear transformations of our main result are here interpreted in the same manner as those used in quantum computations, but extended to the general linear group.

As discussed we can split our main result into a first step which is linear with respect to a 'probability amplitude', and a second which connects the amplitude to the probability:

$$\rho(p, \tau) = \frac{1}{Z} \det \psi(p, \tau) \quad (47)$$

where

$$\psi(p, \tau) = \exp(\mathbf{P}(p)) \exp(-\tau \mathbf{M}(p)) \quad (48)$$

We can write  $\psi(p, \tau)$  as a column vector:

$$|\psi\rangle := \begin{pmatrix} \psi(p_1, \tau) \\ \psi(p_2, \tau) \\ \vdots \\ \psi(p_n, \tau) \end{pmatrix} = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \vdots \\ \psi_n \end{pmatrix} \quad (49)$$

Protocols are executed by chaining transformations on a preparation:

$$\underbrace{|\psi_b\rangle}_{\text{result}} = \underbrace{\mathbf{T}_1 \mathbf{T}_2 \dots \mathbf{T}_n}_{\text{protocol}} \underbrace{|\psi_a\rangle}_{\text{preparation}} \quad (50)$$

Comparatively, quantum mechanical computations are simply a special cases when the transformations are unitary:

$$\underbrace{|\psi_b\rangle}_{\text{final state}} = \underbrace{\mathbf{U}_1 \mathbf{U}_2 \dots \mathbf{U}_n}_{\text{computing steps}} \underbrace{|\psi_a\rangle}_{\text{initial state}} \quad (51)$$

but are otherwise equivalent.



### 3.3.2 Matrix-Valued Vector and Transformations

To work with the general linear probability amplitude, we will use vectors whose elements are matrices. An example of such a vector is:

$$|\psi\rangle = \begin{pmatrix} \mathbf{M}_1 \\ \vdots \\ \mathbf{M}_m \end{pmatrix} \quad (52)$$

Likewise a linear transformation of this space will be expressed as a matrix of matrices:

$$\mathbf{T} = \begin{pmatrix} \mathbf{M}_{00} & \dots & \mathbf{M}_{0m} \\ \vdots & \ddots & \vdots \\ \mathbf{M}_{m0} & \dots & \mathbf{M}_{mm} \end{pmatrix} \quad (53)$$

Note: The scalar elements of the vector space are given as:

$$a|\psi\rangle = \begin{pmatrix} a\mathbf{M}_1 \\ \vdots \\ a\mathbf{M}_m \end{pmatrix} \quad (54)$$

We are now ready to begin investigating the main result as a physical theory.

## 4 Foundation of Physics

Based on our main result, we will introduce an *algebra of natural states* and we will use it to classify the linear transformations on said amplitude. We will start with the 2D case, then the 4D case. In all cases, the probability amplitude transforms linearly with respect to general linear transformations and the probability measure, obtained from the determinant, is positive-definite. We will see that the 2D case automatically reduces to standard non-relativistic quantum mechanics when the general linear group is reduced to the spinor group, and the 4D case reduces to relativistic quantum mechanics automatically also when the general linear group is reduced to the spinor group. Finally, we will show that the general linear group entails gravity as a gauge invariant theory.

### 4.1 Algebra of Natural States, in 2D

The notation of our upcoming definitions will be significantly improved if we use a geometric representation for matrices. Let us therefore introduce a geometric representation of  $2 \times 2$  matrices.

#### 4.1.1 Geometric Representation of $2 \times 2$ matrices

Let  $\mathbb{G}(2, \mathbb{R})$  be the two-dimensional geometric algebra over the reals. We can write a general multi-vector of  $\mathbb{G}(2, \mathbb{R})$  as follows:

$$\mathbf{u} = A + \mathbf{X} + \mathbf{B} \quad (55)$$

where  $A$  is a scalar,  $\mathbf{X}$  is a vector and  $\mathbf{B}$  is a pseudo-scalar. Each multi-vector has a structure-preserving (addition/multiplication) matrix representation. Explicitly, the multi-vectors of  $\mathbb{G}(2, \mathbb{R})$  are represented as follows:

**Definition 19** (Geometric representation of a matrix ( $2 \times 2$ )).

$$A + X\hat{\mathbf{x}} + Y\hat{\mathbf{y}} + B\hat{\mathbf{x}} \wedge \hat{\mathbf{y}} \cong \begin{pmatrix} A + X & -B + Y \\ B + Y & A - X \end{pmatrix} \quad (56)$$

And the converse is also true, each  $2 \times 2$  real matrix is represented as a multi-vector of  $\mathbb{G}(2, \mathbb{R})$ .

We can define the determinant solely using constructs of geometric algebra[8].

**Definition 20** (Clifford conjugate (of a  $\mathbb{G}(2, \mathbb{R})$  multi-vector)).

$$\mathbf{u}^\dagger := \langle \mathbf{u} \rangle_0 - \langle \mathbf{u} \rangle_1 - \langle \mathbf{u} \rangle_2 \quad (57)$$

Then the determinant of  $\mathbf{u}$  is:

**Definition 21** (Geometric representation of the determinant (of a  $2 \times 2$  matrix)).

$$\begin{aligned} \det & : \mathbb{G}(2, \mathbb{R}) \longrightarrow \mathbb{R} \\ \mathbf{u} & \longmapsto \mathbf{u}^\dagger \mathbf{u} \end{aligned} \quad (58)$$

For example:

$$\det \mathbf{u} = (A - \mathbf{X} - \mathbf{B})(A + \mathbf{X} + \mathbf{B}) \quad (59)$$

$$= A^2 - X^2 - Y^2 + B^2 \quad (60)$$

$$= \det \begin{pmatrix} A + X & -B + Y \\ B + Y & A - X \end{pmatrix} \quad (61)$$

Finally, we define the Clifford transpose:

**Definition 22** (Clifford transpose (of a matrix of  $2 \times 2$  matrix elements)). *The Clifford transpose is the geometric analogue to the conjugate transpose. Like the conjugate transpose can be interpreted as a transpose followed by an element-by-element application of the complex conjugate, here the Clifford transpose is*

a transpose, followed by an element-by-element application of the Clifford conjugate:

$$\begin{pmatrix} \mathbf{u}_{00} & \dots & \mathbf{u}_{0n} \\ \vdots & \ddots & \vdots \\ \mathbf{u}_{m0} & \dots & \mathbf{u}_{mn} \end{pmatrix}^{\dagger} = \begin{pmatrix} \mathbf{u}_{00}^{\dagger} & \dots & \mathbf{u}_{m0}^{\dagger} \\ \vdots & \ddots & \vdots \\ \mathbf{u}_{m0} & \dots & \mathbf{u}_{nm}^{\dagger} \end{pmatrix} \quad (62)$$

If applied to a vector, then:

$$\begin{pmatrix} \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_m \end{pmatrix}^{\dagger} = \begin{pmatrix} \mathbf{v}_1^{\dagger} & \dots & \mathbf{v}_m^{\dagger} \end{pmatrix} \quad (63)$$

#### 4.1.2 Axiomatic Definition of the Algebra, in 2D

Let  $\mathbb{V}$  be an  $m$ -dimensional vector space over  $\mathbb{G}(2, \mathbb{R})$ . A subset of vectors in  $\mathbb{V}$  forms an algebra of natural states  $\mathcal{A}(\mathbb{V})$  iff the following holds:

1.  $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$ , the bilinear map:

$$\begin{aligned} \langle \cdot, \cdot \rangle &: \mathbb{V} \times \mathbb{V} \longrightarrow \mathbb{G}(2, \mathbb{R}) \\ \langle \mathbf{u}, \mathbf{v} \rangle &\longmapsto \mathbf{u}^{\dagger} \mathbf{v} \end{aligned} \quad (64)$$

is positive-definite:

$$\langle \boldsymbol{\psi}, \boldsymbol{\psi} \rangle \in \mathbb{R}_{>0} \quad (65)$$

2.  $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$ , then for each element  $\psi(q) \in \boldsymbol{\psi}$ , the function:

$$\rho(\psi(q), \boldsymbol{\psi}) = \frac{1}{\langle \boldsymbol{\psi}, \boldsymbol{\psi} \rangle} \psi(q)^{\dagger} \boldsymbol{\psi}(q) \quad (66)$$

is positive-definite:

$$\rho(\psi(q), \boldsymbol{\psi}) \in \mathbb{R}_{>0} \quad (67)$$

We note the following comments and definitions:

- From (1) and (2) it follows that  $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$ , the probabilities sum to unity:

$$\sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \boldsymbol{\psi}) = 1 \quad (68)$$

- $\boldsymbol{\psi}$  is called a *natural* (or physical) state.

- $\langle \psi, \psi \rangle$  is called the *partition function* of  $\psi$ .
- $\rho(q, \psi)$  is called the *probability measure* (or generalized Born rule) of  $\psi(q)$ .
- The set of all matrices  $\mathbf{T}$  acting on  $\psi$ , as  $\mathbf{T}\psi \rightarrow \psi'$ , which leaves the sum of probabilities normalized (invariant):

$$\sum_{\psi(q) \in \psi} \rho(\psi(q), \mathbf{T}\psi) = \sum_{\psi(q) \in \psi} \rho(\psi(q), \psi) = 1 \quad (69)$$

are the *natural* transformations of  $\psi$ .

- A matrix  $\mathbf{O}$  such that  $\forall \mathbf{u} \forall \mathbf{v} \in \mathcal{A}(\mathbb{V})$  :

$$\langle \mathbf{O}\mathbf{u}, \mathbf{v} \rangle = \langle \mathbf{u}, \mathbf{O}\mathbf{v} \rangle \quad (70)$$

is called an observable.

- The expectation value of an observable  $\mathbf{O}$  is:

$$\langle \mathbf{O} \rangle = \frac{1}{\langle \psi, \psi \rangle} \langle \mathbf{O}\psi, \psi \rangle \quad (71)$$

#### 4.1.3 Observable, in 2D — Self-Adjoint Operator

Let us now investigate the general case of an observable is 2D. A matrix  $\mathbf{O}$  is an observable iff it is a self-adjoint operator; defined as:

$$\langle \mathbf{O}\phi, \psi \rangle = \langle \phi, \mathbf{O}\psi \rangle \quad (72)$$

$$\forall \mathbf{u} \forall \mathbf{v} \in \mathbb{V}.$$

**Setup:** Let  $\mathbf{O} = \begin{pmatrix} O_{00} & O_{01} \\ O_{10} & O_{11} \end{pmatrix}$  be an observable. Let  $\phi$  and  $\psi$  be 2 two-state vectors  $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$  and  $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$ . Here, the components  $\phi_1, \phi_2, \psi_1, \psi_2, O_{00}, O_{01}, O_{10}, O_{11}$  are multi-vectors of  $\mathbb{G}(2, \mathbb{R})$ .

**Derivation:** 1. Let us now calculate  $\langle \mathbf{O}\phi, \psi \rangle$ :

$$\begin{aligned} 2\langle \mathbf{O}\phi, \psi \rangle &= (O_{00}\phi_1 + O_{01}\phi_2)^\dagger \psi_1 + \psi_1^\dagger (O_{00}\phi_1 + O_{01}\phi_2) \\ &\quad + (O_{10}\phi_1 + O_{11}\phi_2)^\dagger \psi_2 + \psi_2^\dagger (O_{10}\phi_1 + O_{11}\phi_2) \end{aligned} \quad (73)$$

$$\begin{aligned} &= \phi_1^\dagger O_{00}^\dagger \psi_1 + \phi_2^\dagger O_{01}^\dagger \psi_1 + \psi_1^\dagger O_{00} \phi_1 + \psi_1^\dagger O_{01} \phi_2 \\ &\quad + \phi_1^\dagger O_{10}^\dagger \psi_2 + \phi_2^\dagger O_{11}^\dagger \psi_2 + \psi_2^\dagger O_{10} \phi_1 + \psi_2^\dagger O_{11} \phi_2 \end{aligned} \quad (74)$$

2. Now,  $\langle \phi, \mathbf{O}\psi \rangle$ :

$$\begin{aligned}
2\langle\phi, \mathbf{O}\psi\rangle &= \phi_1^\dagger(O_{00}\psi_1 + O_{01}\psi_2) + (O_{00}\psi_1 + O_{01}\psi_2)^\dagger\phi_1 \\
&\quad + \phi_2^\dagger(O_{10}\psi_1 + O_{11}\psi_2) + (O_{10}\psi_1 + O_{11}\psi_2)^\dagger\phi_1 \quad (75)
\end{aligned}$$

$$\begin{aligned}
&= \phi_1^\dagger O_{00}\psi_1 + \phi_1^\dagger O_{01}\psi_2 + \psi_1^\dagger O_{00}^\dagger\phi_1 + \psi_2^\dagger O_{01}^\dagger\phi_1 \\
&\quad + \phi_2^\dagger O_{10}\psi_1 + \phi_2^\dagger O_{11}\psi_2 + \psi_1^\dagger O_{10}^\dagger\phi_1 + \psi_2^\dagger O_{11}^\dagger\phi_1 \quad (76)
\end{aligned}$$

For  $\langle\mathbf{O}\phi, \psi\rangle = \langle\phi, \mathbf{O}\psi\rangle$  to be realized, it follows that these relations must hold:

$$O_{00}^\dagger = O_{00} \quad (77)$$

$$O_{01}^\dagger = O_{10} \quad (78)$$

$$O_{10}^\dagger = O_{01} \quad (79)$$

$$O_{11}^\dagger = O_{11} \quad (80)$$

Therefore, it follows that it must be the case that  $\mathbf{O}$  must be equal to its own Clifford transpose. Thus,  $\mathbf{O}$  is an observable iff:

$$\mathbf{O}^\dagger = \mathbf{O} \quad (81)$$

which is the equivalent of the self-adjoint operator  $\mathbf{O}^\dagger = \mathbf{O}$  of complex Hilbert spaces.

#### 4.1.4 Observable, in 2D — Eigenvalues / Spectral Theorem

Let us show how the spectral theorem applies to  $\mathbf{O}^\dagger = \mathbf{O}$ , such that its eigenvalues are real. Consider:

$$\mathbf{O} = \begin{pmatrix} a_{00} & a - xe_1 - ye_2 - be_{12} \\ a + xe_1 + ye_2 + be_{12} & a_{11} \end{pmatrix} \quad (82)$$

In this case, it follows that  $\mathbf{O}^\dagger = \mathbf{O}$ :

$$\mathbf{O}^\dagger = \begin{pmatrix} a_{00} & a - xe_1 - ye_2 - be_{12} \\ a + xe_1 + ye_2 + be_{12} & a_{11} \end{pmatrix} \quad (83)$$

This example is the most general  $2 \times 2$  matrix  $\mathbf{O}$  such that  $\mathbf{O}^\dagger = \mathbf{O}$ . The eigenvalues are obtained as follows:

$$0 = \det(\mathbf{O} - \lambda I) = \det \begin{pmatrix} a_{00} - \lambda & a - xe_1 - ye_2 - be_{12} \\ a + xe_1 + ye_2 + be_{12} & a_{11} - \lambda \end{pmatrix} \quad (84)$$

implies:

$$0 = (a_{00} - \lambda)(a_{11} - \lambda) - (a - xe_1 - ye_2 - be_{12})(a + xe_1 + ye_2 + be_{12} + a_{11}) \quad (85)$$

$$0 = (a_{00} - \lambda)(a_{11} - \lambda) - (a^2 - x^2 - y^2 + b^2) \quad (86)$$

finally:

$$\lambda = \left\{ \frac{1}{2} \left( a_{00} + a_{11} - \sqrt{(a_{00} - a_{11})^2 + 4(a^2 - x^2 - y^2 + b^2)} \right), \right. \quad (87)$$

$$\left. \frac{1}{2} \left( a_{00} + a_{11} + \sqrt{(a_{00} - a_{11})^2 + 4(a^2 - x^2 - y^2 + b^2)} \right) \right\} \quad (88)$$

We note that in the case where  $a_{00} - a_{11} = 0$ , the roots would be complex iff  $a^2 - x^2 - y^2 + b^2 < 0$ , but we already stated that the determinant of real matrices must be greater than zero because the exponential maps to the orientation-preserving general linear group — therefore it is the case that  $a^2 - x^2 - y^2 + b^2 \geq 0$ , as this expression is the determinant of the multi-vector. Consequently,  $\mathbf{O}^\dagger = \mathbf{O}$  — implies, for orientation-preserving<sup>2</sup> transformations, that its roots are real-valued, and thus constitute a 'geometric' observable in the traditional sense of an observable whose eigenvalues are real-valued.

## 4.2 Algebra of Natural States, in 4D

We will now consider the general case for a vector space over  $4 \times 4$  matrices.

### 4.2.1 Geometric Representation (in 4D)

The notation will be significantly improved if we use a geometric representation of matrices. Let  $\mathbb{G}(4, \mathbb{R})$  be the two-dimensional geometric algebra over the reals. We can write a general multi-vector of  $\mathbb{G}(4, \mathbb{R})$  as follows:

$$\mathbf{u} = A + \mathbf{X} + \mathbf{F} + \mathbf{V} + \mathbf{B} \quad (89)$$

where  $A$  is a scalar,  $\mathbf{X}$  is a vector,  $\mathbf{F}$  is a bivector,  $\mathbf{V}$  is a pseudo-vector, and  $\mathbf{B}$  is a pseudo-scalar. Each multi-vector has a structure-preserving (addition/multiplication) matrix representation. Explicitly, the multi-vectors of  $\mathbb{G}(4, \mathbb{R})$  are represented as follows:

---

<sup>2</sup>We note the exception that a geometric observable may have real eigenvalues even in the case of a transformation that reverses the orientation if the elements  $a_{00} - a_{11}$  are not zero and up to a certain magnitude, whereas transformations in the natural orientation are not bounded by a magnitude — thus creating an orientation-based asymmetry.

**Definition 23** (Geometric representation of a matrix  $(4 \times 4)$ ).

$$\begin{aligned}
& A + T\gamma_0 + X\gamma_1 + Y\gamma_2 + Z\gamma_3 \\
& + F_{01}\gamma_0 \wedge \gamma_1 + F_{02}\gamma_0 \wedge \gamma_2 + F_{03}\gamma_0 \wedge \gamma_3 + F_{23}\gamma_2 \wedge \gamma_3 + F_{13}\gamma_1 \wedge \gamma_3 + F_{12}\gamma_1 \wedge \gamma_2 \\
& + V_i\gamma_1 \wedge \gamma_2 \wedge \gamma_3 + V_x\gamma_0 \wedge \gamma_2 \wedge \gamma_3 + V_y\gamma_0 \wedge \gamma_1 \wedge \gamma_3 + V_z\gamma_0 \wedge \gamma_1 \wedge \gamma_2 \\
& + B\gamma_0 \wedge \gamma_1 \wedge \gamma_2 \wedge \gamma_3 \\
& \cong \begin{pmatrix} A + X_0 - iF_{12} - iV_3 & F_{13} - iF_{23} + V_2 - iV_1 & -iB + X_3 + F_{03} - iV_0 & X_1 - iX_2 + F_{01} - iF_{02} \\ -F_{13} - iF_{23} - V_2 - iV_1 & A + X_0 + iF_{12} + iV_3 & X_1 + iX_2 + F_{01} + iF_{02} & -iB - X_3 - F_{03} - iV_0 \\ -iB - X_3 + F_{03} + iV_0 & -X_1 + iX_2 + F_{01} - iF_{02} & A - X_0 - iF_{12} + iV_3 & F_{13} - iF_{23} - V_2 + iV_1 \\ -X_1 - iX_2 + F_{01} + iF_{02} & -iB + X_3 - F_{03} + iV_0 & -F_{13} - iF_{23} + V_2 + iV_1 & A - X_0 + iF_{12} - iV_3 \end{pmatrix}
\end{aligned} \tag{90}$$

And the converse is also true, each  $4 \times 4$  real matrix is represented as a multi-vector of  $\mathbb{G}(4, \mathbb{R})$ .

We can define the determinant solely using constructs of geometric algebra[8].

**Definition 24** (Clifford conjugate (of a  $\mathbb{G}(4, \mathbb{R})$  multi-vector)).

$$\mathbf{u}^\dagger := \langle \mathbf{u} \rangle_0 - \langle \mathbf{u} \rangle_1 - \langle \mathbf{u} \rangle_2 + \langle \mathbf{u} \rangle_3 + \langle \mathbf{u} \rangle_4 \tag{91}$$

and  $[\mathbf{m}]_{\{3,4\}}$  as the blade-conjugate of degree 3 and 4 (flipping the plus sign to a minus sign for blade 3 and blade 4):

$$[\mathbf{u}]_{\{3,4\}} := \langle \mathbf{u} \rangle_0 + \langle \mathbf{u} \rangle_1 + \langle \mathbf{u} \rangle_2 - \langle \mathbf{u} \rangle_3 - \langle \mathbf{u} \rangle_4 \tag{92}$$

The, the determinant of  $\mathbf{u}$  is:

**Definition 25** (Geometric representation of the determinant (of a  $4 \times 4$  matrix)).

$$\begin{aligned}
\det & : \mathbb{G}(4, \mathbb{R}) \longrightarrow \mathbb{R} \\
\mathbf{u} & \longmapsto [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{u}
\end{aligned} \tag{93}$$

#### 4.2.2 Axiomatic Definition of the Algebra, in 4D

Let  $\mathbb{V}$  be a  $m$ -dimensional vector space over the  $4 \times 4$  real matrices. A subset of vectors in  $\mathbb{V}$  forms an algebra of natural states  $\mathcal{A}(\mathbb{V})$  iff the following holds:

1.  $\forall \psi \in \mathcal{A}(\mathbb{V})$ , the quadri-linear form:

$$\begin{aligned}
\langle \cdot, \cdot, \cdot, \cdot \rangle & : \mathbb{V} \times \mathbb{V} \times \mathbb{V} \times \mathbb{V} \longrightarrow \mathbb{G}(4, \mathbb{R}) \\
\langle \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x} \rangle & \longmapsto [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{w}^\dagger \mathbf{x}
\end{aligned} \tag{94}$$

is positive-definite:

$$\langle \psi, \psi, \psi, \psi \rangle \in \mathbb{R}_{>0} \tag{95}$$

2.  $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$ , then for each element  $\psi(q) \in \boldsymbol{\psi}$ , the function:

$$\rho(\psi(q), \boldsymbol{\psi}) = \frac{1}{\langle \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle} [\psi(q)^\dagger \psi(q)]_{3,4} \psi(q)^\dagger \psi(q) \quad (96)$$

is positive-definite:

$$\rho(\psi(q), \boldsymbol{\psi}) \in \mathbb{R}_{>0} \quad (97)$$

We note the following properties, features and comments:

- $\boldsymbol{\psi}$  is called a *natural* (or physical) state.
- $\langle \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle$  is called the *partition function* of  $\boldsymbol{\psi}$ .
- $\rho(\psi(q), \boldsymbol{\psi})$  is called the *probability measure* (or generalized Born rule) of  $\psi(q)$ .
- The set of all matrices  $\mathbf{T}$  acting on  $\boldsymbol{\psi}$  such as  $\mathbf{T}\boldsymbol{\psi} \rightarrow \boldsymbol{\psi}'$  which leaves the sum of probabilities normalized (invariant):

$$\sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \mathbf{T}\boldsymbol{\psi}) = \sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \boldsymbol{\psi}) = 1 \quad (98)$$

are the *natural* transformations of  $\boldsymbol{\psi}$ .

- A matrix  $\mathbf{O}$  such that  $\forall \mathbf{u} \forall \mathbf{v} \forall \mathbf{w} \forall \mathbf{x} \in \mathbb{V}$  :

$$\langle \mathbf{O}\mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x} \rangle = \langle \mathbf{u}, \mathbf{O}\mathbf{v}, \mathbf{w}, \mathbf{x} \rangle = \langle \mathbf{u}, \mathbf{v}, \mathbf{O}\mathbf{w}, \mathbf{x} \rangle = \langle \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{O}\mathbf{x} \rangle \quad (99)$$

is called an observable.

- The expectation value of an observable  $\mathbf{O}$  is:

$$\langle \mathbf{O} \rangle = \frac{\langle \mathbf{O}\boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle}{\langle \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle} \quad (100)$$

## 4.3 Probability-Preserving Transformation

### 4.3.1 Left Action in 2D

A left action on a wave-function :  $\mathbf{T}|\psi\rangle$ , connects to the bilinear form as follows:  $\langle \psi | \mathbf{T}^\dagger \mathbf{T} | \psi \rangle$ . The invariance requirement on  $\mathbf{T}$  is as follows:

$$\langle \psi | \mathbf{T}^\dagger \mathbf{T} | \psi \rangle = \langle \psi | \psi \rangle \quad (101)$$

We are thus interested in the group of matrices such that:

$$\mathbf{T}^\dagger \mathbf{T} = I \quad (102)$$



Let us consider a two-state system. A general transformation is:

$$\mathbf{T} = \begin{pmatrix} u & v \\ w & x \end{pmatrix} \quad (103)$$

where  $u, v, w, x$  are multi-vectors of 2 dimensions. The expression  $\mathbf{G}^\dagger \mathbf{G}$  is:

$$\mathbf{T}^\dagger \mathbf{T} = \begin{pmatrix} v^\dagger & u^\dagger \\ w^\dagger & x^\dagger \end{pmatrix} \begin{pmatrix} v & w \\ u & x \end{pmatrix} = \begin{pmatrix} v^\dagger v + u^\dagger u & v^\dagger w + u^\dagger x \\ w^\dagger v + x^\dagger u & w^\dagger w + x^\dagger x \end{pmatrix} \quad (104)$$

For the results to be the identity, it must be the case that:

$$v^\dagger v + u^\dagger u = 1 \quad (105)$$

$$v^\dagger w + u^\dagger x = 0 \quad (106)$$

$$w^\dagger v + x^\dagger u = 0 \quad (107)$$

$$w^\dagger w + x^\dagger x = 1 \quad (108)$$

This is the case if

$$\mathbf{T} = \frac{1}{\sqrt{v^\dagger v + u^\dagger u}} \begin{pmatrix} v & u \\ -e^\varphi u^\dagger & e^\varphi v^\dagger \end{pmatrix} \quad (109)$$

where  $u, v$  are multi-vectors of 2 dimensions, and where  $e^\varphi$  is a unit multi-vector. Comparatively, the unitary case is obtained with  $\mathbf{X} \rightarrow 0$ , and is:

$$\mathbf{U} = \frac{1}{\sqrt{|a|^2 + |b|^2}} \begin{pmatrix} a & b \\ -e^{i\theta} b^\dagger & e^{i\theta} a^\dagger \end{pmatrix} \quad (110)$$

We can show that  $\mathbf{G}^\dagger \mathbf{G} = I$  as follows:

$$\Rightarrow \mathbf{T}^\dagger \mathbf{T} = \frac{1}{v^\dagger v + u^\dagger u} \begin{pmatrix} v^\dagger & -e^{-\varphi} u \\ u^\dagger & e^{-\varphi} v \end{pmatrix} \begin{pmatrix} v & u \\ -e^\varphi u^\dagger & e^\varphi v^\dagger \end{pmatrix} \quad (111)$$

$$= \frac{1}{v^\dagger v + u^\dagger u} \begin{pmatrix} v^\dagger v + u^\dagger u & v^\dagger u - v^\dagger u \\ u^\dagger v - u^\dagger v & u^\dagger u + v^\dagger v \end{pmatrix} \quad (112)$$

$$= I \quad (113)$$

In the case where  $\mathbf{T}$  and  $|\psi\rangle$  are  $n$ -dimensional, we can find an expression for it starting from a diagonal matrix:

$$\mathbf{D} = \begin{pmatrix} e^{x_1 \hat{\mathbf{x}} + y_1 \hat{\mathbf{y}} + ib_1} & 0 \\ 0 & e^{x_2 \hat{\mathbf{x}} + y_2 \hat{\mathbf{y}} + ib_2} \end{pmatrix} \quad (114)$$

where  $\mathbf{T} = P\mathbf{D}P^{-1}$ . It follows quite easily that  $D^\dagger D = I$ , because each diagonal entry produces unity:  $e^{-x_1 \hat{\mathbf{x}} - y_1 \hat{\mathbf{y}} - ib_1} e^{x_1 \hat{\mathbf{x}} + y_1 \hat{\mathbf{y}} + ib_1} = 1$ .

### 4.3.2 Adjoint Action in 2D

The left action case can recover at most the special linear group. For the general linear group itself, we require the adjoint action. Since the elements of  $|\psi\rangle$  are matrices, in the general case, the transformation is given by adjoint action:

$$\mathbf{T} |\psi\rangle \mathbf{T}^{-1} \quad (115)$$

The bilinear form is:

$$(\mathbf{T} |\psi\rangle \mathbf{T}^{-1})^\dagger (\mathbf{T} |\psi\rangle \mathbf{T}^{-1}) = (\mathbf{T}^{-1})^\dagger \langle \psi | \mathbf{T}^\dagger \mathbf{T} | \psi \rangle \mathbf{T}^{-1} \quad (116)$$

and the invariance requirement on  $\mathbf{T}$  is as follows:

$$(\mathbf{T}^{-1})^\dagger \langle \psi | \mathbf{T}^\dagger \mathbf{T} | \psi \rangle \mathbf{T}^{-1} = \langle \psi | \psi \rangle \quad (117)$$

With a diagonal matrix, this occurs for general linear transformations:

$$\mathbf{D} = \begin{pmatrix} e^{a_1 + x_1 \hat{\mathbf{x}} + y_1 \hat{\mathbf{y}} + ib_1} & 0 & 0 \\ 0 & e^{a_2 + x_2 \hat{\mathbf{x}} + y_2 \hat{\mathbf{y}} + ib_2} & 0 \\ 0 & 0 & \ddots \end{pmatrix} \quad (118)$$

where  $\mathbf{T} = P\mathbf{D}P^{-1}$ .

Taking a single diagonal entry as an example, the reduction is:

$$e^{-a_1 + x_1 \hat{\mathbf{x}} + y_1 \hat{\mathbf{y}} + ib_1} \psi_1^\dagger e^{a_1 - x_1 \hat{\mathbf{x}} - y_1 \hat{\mathbf{y}} - ib_1} e^{a_1 + x_1 \hat{\mathbf{x}} + y_1 \hat{\mathbf{y}} + ib_1} \psi_1 e^{-a_1 - x_1 \hat{\mathbf{x}} - y_1 \hat{\mathbf{y}} - ib_1} \quad (119)$$

$$= e^{-a_1 + x_1 \hat{\mathbf{x}} + y_1 \hat{\mathbf{y}} + ib_1} \psi_1^\dagger e^{2a_1} \psi_1 e^{-a_1 - x_1 \hat{\mathbf{x}} - y_1 \hat{\mathbf{y}} - ib_1} \quad (120)$$

We note that  $\psi^\dagger \psi$  is a scalar, therefore

$$= \psi_1^\dagger \psi_1 e^{2a_1} e^{-a_1 + x_1 \hat{\mathbf{x}} + y_1 \hat{\mathbf{y}} + ib_1} e^{-a_1 - x_1 \hat{\mathbf{x}} - y_1 \hat{\mathbf{y}} - ib_1} \quad (121)$$

$$= \psi_1^\dagger \psi_1 e^{2a_1} e^{-a_1} e^{-a_1} = \psi_1^\dagger \psi_1 \quad (122)$$

## 5 Applications

### 5.1 The Dirac-Von Neumann Axioms

Non-relativistic quantum mechanics, specifically the Dirac-Von axioms, are a special case of the 2D general linear case, and are derived as a theorem of Axiom 1. In 2D, the multi-vector wave-function is:

$$\psi = \exp(A + \mathbf{X} + \mathbf{B}) \quad (123)$$

It is fairly easy to see that if we reduce the expression of our multi-vectors  $(A + \mathbf{X} + \mathbf{B})|_{\mathbf{X} \rightarrow 0} = A + \mathbf{B}$  and further restrict  $\langle \psi, \psi \rangle \in \mathbb{R}_{>0}$  to  $\langle \psi, \psi \rangle = 1$ , then we recover the unit vectors of the complex Hilbert spaces:

- Reduction to the conjugate transpose:

$$\left( \langle \mathbf{u}, \mathbf{v} \rangle = \mathbf{u}^\dagger \mathbf{v} \right) \Big|_{\mathbf{x} \rightarrow 0} \implies \langle \mathbf{u}, \mathbf{v} \rangle = \mathbf{u}^\dagger \mathbf{v} \quad (124)$$

- Reduction to the unitary transformations:

$$(\langle \mathbf{T}\mathbf{u}, \mathbf{T}\mathbf{v} \rangle = \langle \mathbf{u}, \mathbf{v} \rangle) \Big|_{\mathbf{x} \rightarrow 0} \implies \mathbf{T}^\dagger \mathbf{T} = I \implies \mathbf{T} \text{ is unitary} \quad (125)$$

- Reduction to the Born rule:

$$\left( \rho(q, \psi) = \frac{1}{\langle \psi, \psi \rangle} \psi(q)^\dagger \psi(q) \right) \Big|_{\mathbf{x} \rightarrow 0} \implies \rho(q, \psi) = \frac{1}{\langle \psi, \psi \rangle} \psi(q)^\dagger \psi(q) \quad (126)$$

- Reduction of observables to Hermitian operators:

$$(\langle \mathbf{O}\mathbf{u}, \mathbf{v} \rangle = \langle \mathbf{u}, \mathbf{O}\mathbf{v} \rangle) \Big|_{\mathbf{x} \rightarrow 0} \implies \mathbf{O}^\dagger = \mathbf{O} \quad (127)$$

Under this reduction, the formalism becomes equivalent to the Dirac-Von-Neumann formalism of quantum mechanics.

### 5.2 Dirac Current and the Bilinear Covariants

Let us take a group reduction from the general linear group to the Spinor group, in 4D. As such we pose  $\mathbf{X} \rightarrow 0$  and  $\mathbf{V} \rightarrow 0$ . The wave-function becomes:

$$\psi = \exp(A + \mathbf{F} + \mathbf{B}) \quad (128)$$

We recall that in 4D, the probability is given as follows:

$$\det \psi = [\psi^\dagger \psi]_{3,4} \psi^\dagger \psi = \exp 4A = \rho \quad (129)$$

but, since we eliminated  $\mathbf{X} \rightarrow 0$  and  $\mathbf{V} \rightarrow 0$ , we can drop the blade inversion of degree 3, and the rule reduces to:

$$\det \psi = (\psi^\dagger)^* \psi^* \psi^\dagger \psi = \exp 4A = \rho \quad (130)$$

Let us now recover the familiar Dirac theory.

First, we will expand the probability rule, while injecting  $\gamma_0$  and  $\gamma_\mu$  as follows:

$$(\psi^\dagger)^* \gamma_0 \psi^* \psi^\dagger \gamma_\mu \psi = (e^A e^{-\mathbf{B}} e^{-\mathbf{F}}) \gamma_0 (e^A e^{-\mathbf{B}} e^{\mathbf{F}}) (e^A e^{\mathbf{B}} e^{-\mathbf{F}}) \gamma_\mu (e^A e^{\mathbf{B}} e^{\mathbf{F}}) \quad (131)$$

But before we continue, let us introduce the notation of David Hestenes. We write  $e^{\mathbf{F}} = R$ , a rotor, and  $e^{-\mathbf{F}} = \tilde{R}$ , its reverse. The pseudo-scalar term will also be written as  $e^{\mathbf{B}} = e^{ib}$ . Finally, we write  $e^{4A} = \rho$ . Consequently, we obtain:

$$= \rho^{\frac{1}{4}} e^{-ib} \tilde{R} \gamma_0 \rho^{\frac{1}{4}} e^{-ib} R \rho^{\frac{1}{4}} e^{ib} \tilde{R} \gamma_\mu \rho^{\frac{1}{4}} e^{ib} R \quad (132)$$

$$= \rho e^{-ib} \tilde{R} \gamma_0 \gamma_\mu e^{ib} R \quad (133)$$

$$= \rho \tilde{R} \gamma_0 \gamma_\mu R \quad (134)$$

$$= (\rho, \vec{J}) \quad (135)$$

This is simply the Dirac current expressed with Tetrads. The Dirac equation describes the dynamics with preserve this current. The base wave-function in canonical form is:

$$\psi = \rho^{\frac{1}{4}} e^{ib} R \quad (136)$$

Comparatively, David Hestenes' wave-function is  $\psi = \rho^{\frac{1}{2}} e^{ib} R$  which is very similar. To male the full Dirac theory standout, we can introduce an intermediary form of the wave-function, as follows:

$$(\psi^\dagger)^* \gamma_0 \psi^* \psi^\dagger \gamma_\mu \psi = \rho^{\frac{1}{4}} e^{-ib} \tilde{R} \gamma_0 \rho^{\frac{1}{4}} e^{-ib} R \rho^{\frac{1}{4}} e^{ib} \tilde{R} \gamma_\mu \rho^{\frac{1}{4}} e^{ib} R \quad (137)$$

$$= \underbrace{\rho^{\frac{1}{2}} e^{-ib} \tilde{R} \gamma_0}_{\bar{\phi}} \gamma_\mu \underbrace{\rho^{\frac{1}{2}} e^{ib} R}_{\phi} \quad (138)$$

Specifically,

$$\bar{\phi} := \rho^{\frac{1}{2}} e^{-ib} \tilde{R} \gamma_0 \quad (139)$$

$$\phi := \rho^{\frac{1}{2}} e^{ib} R \quad (140)$$

and thus

$$\det \psi = (\psi^\dagger)^* \psi^* \psi^\dagger \psi = \bar{\phi} \gamma_0 \phi = \rho \quad (141)$$

The Bilinear covariants are:

	General Linear Measure	$\phi$ -notation	Standard Form	Result
scalar	$(\psi^\dagger)^* \gamma_0 \psi^* \psi^\dagger \psi$	$\bar{\phi} \phi$	$\langle \bar{\psi}   \psi \rangle$	$\rho \cos be_0$
vector	$(\psi^\dagger)^* \gamma_0 \psi^* \psi^\dagger \gamma_\mu \psi$	$\bar{\phi} \gamma_\mu \phi$	$\langle \bar{\psi}   \gamma_\mu   \psi \rangle$	$J_\mu$
bivector	$(\psi^\dagger)^* \gamma_0 \psi^* \psi^\dagger I \gamma_\mu \gamma_\nu \psi$	$\bar{\phi} I \gamma_\mu \gamma_\nu \phi$	$\langle \bar{\psi}   i \gamma_\mu \gamma_\nu   \psi \rangle$	$S$
pseudo-vector	$(\psi^\dagger)^* \gamma_0 \psi^* \psi^\dagger \gamma_\mu I \psi$	$\bar{\phi} \gamma_\mu I \phi$	$\langle \bar{\psi}   \gamma_\mu \gamma_5   \psi \rangle$	$s_\mu$
pseudo-scalar	$(\psi^\dagger)^* \gamma_0 \psi^* \psi^\dagger I \psi$	$\bar{\phi} I \phi$	$\langle \bar{\psi}   i \gamma_5   \psi \rangle$	$-\rho \sin be_0$ (142)

Simply extending the Born rule from the complex norm to the determinant is both sufficient for and equivalent to the full Dirac theory, in 4D.

### 5.3 General Linear Gauge Theory of Gravity

#### 5.3.1 Unitary Gauge (Recap)

The typical gauge theory in quantum electrodynamics is obtained by the production of a gauge covariant derivative over a  $U(1)$  invariance associated with the use of the complex norm in any probability measure of quantum mechanics. Localizing the invariance group  $\theta \rightarrow \theta(x)$  yields the corresponding covariant derivative:

$$D_\mu = \partial_\mu + iqA_\mu(x) \quad (143)$$

Where  $A_\mu(x)$  is the gauge field. The  $U(1)$  invariance results from the usage of the complex norm to construct a probability measure in a quantum theory, and the presence of the derivative is the result of constructing said probability measure as the Lagrangian of a Dirac field. If one then applies a gauge transformation to  $\psi$  and  $A_\mu$ :

$$\psi \rightarrow e^{-iq\theta(x)} \psi \quad \text{and} \quad A_\mu \rightarrow A_\mu + \partial_\mu \theta(x) \quad (144)$$

Then, applies the covariant derivation, one gets:

$$D_\mu \psi = \partial_\mu \psi + iqA_\mu \psi \quad (145)$$

$$\rightarrow \partial_\mu (e^{-iq\theta(x)} \psi) + iq(A_\mu + \partial_\mu \theta(x))(e^{-iq\theta(x)} \psi) \quad (146)$$

$$= e^{-iq\theta(x)} D_\mu \psi \quad (147)$$

Finally, the field is given as follows:

$$F_{\mu\nu} = [\mathcal{D}_\mu, \mathcal{D}_\nu] \quad (148)$$

where  $\mathcal{D}_\mu$  is the covariant derivative with respect to the potential one-form  $A_\mu = A_\mu^\alpha T_\alpha$ , and where  $T_\alpha$  are the generators of the lie algebra of  $U(1)$ .

### 5.3.2 General Linear Gauge

The fundamental invariance group of our measure is the orientation-preserving general linear group  $GL^+(n, \mathbb{R})$ , if the algebra is even, or the complex general linear group  $GL(n, \mathbb{C})$  if the algebra is odd, rather than  $U(1)$ . Gauging the  $GL^+(n, \mathbb{R})$  group is known to substantially connect to general relativity, as the resulting  $GL(4, \mathbb{R})$ -valued field can be viewed as the Christoffel symbols  $\Gamma^\mu$ , and the commutator of the covariant derivatives as the Riemann tensor. Expressing gravity via the general linear gauge is not a new result: This is a result dating back from the 1956 with Utiyama[9], in 1961 with Kibble[10], as well as the more recent work of David Hestenes[11] specifically with geometric algebras.

A general linear transformation of  $\psi$ :

$$\psi'(x) \rightarrow g\psi(x)g^{-1} \quad (149)$$

leaves the probability measure invariant.

The gauge-covariant derivative is:

$$D_\mu \psi = \partial_\mu \psi - [iqA_\mu, \psi] \quad (150)$$

Finally, the field is given as follows:

$$R_{\mu\nu} = [D_\mu, D_\nu] \quad (151)$$

where  $R_{\mu\nu}$  is the Riemann tensor.

## 6 Testable Prediction

Certain linear transformations of the wave-function, under the general linear group and its subgroups, would produce richer interference patterns than what is possible merely with complex interference. The possibility of richer interference patterns has been proposed before; specifically, I note the work of B. I. Lev.[12] which suggests (theoretically) the possibility of an extended interference pattern associated with the David Hestenes form of the relativistic wave-function and for the subset of rotors.

We note that interference experiments have paid off substantial dividends in the history of physics and are somewhat easy to construct and more affordable than many alternative experiments.

## 6.1 Geometric Interference

Let us start by introducing a notation for a dot product, then we will list the various possible interference patterns.

### 6.1.1 Geometric Algebra Dot Product

Let us introduce a notation. We will define a bilinear form using the dot product notation, as follows:

$$\begin{aligned} \cdot & : \mathbb{G}(2n, \mathbb{R}) \times \mathbb{G}(2n, \mathbb{R}) \longrightarrow \mathbb{R} \\ \mathbf{u} \cdot \mathbf{v} & \longmapsto \frac{1}{2}(\det(\mathbf{u} + \mathbf{v}) - \det \mathbf{u} - \det \mathbf{v}) \end{aligned} \quad (152)$$

For example,

$$\mathbf{u} = A_1 + X_1 e_1 + Y_1 e_2 + B_1 e_{12} \quad (153)$$

$$\mathbf{v} = A_2 + X_2 e_1 + Y_2 e_2 + B_2 e_{12} \quad (154)$$

$$\implies \mathbf{u} \cdot \mathbf{v} = A_1 A_2 + B_1 B_2 - X_1 X_2 - Y_1 Y_2 \quad (155)$$

Iff  $\det \mathbf{u} > 0$  and  $\det \mathbf{v} > 0$  then  $\mathbf{u} \cdot \mathbf{v}$  is always positive, and therefore qualifies as a positive inner product (over the positive determinant group), but no greater than either  $\det \mathbf{u}$  or  $\det \mathbf{v}$ , whichever is larger. This definition of the dot product extends to multi-vectors of 4 dimensions.

**2D:** In 2D the dot product is equivalent to this form:

$$\frac{1}{2}(\det(\mathbf{u} + \mathbf{v}) - \det \mathbf{u} - \det \mathbf{v}) = \frac{1}{2} \left( (\mathbf{u} + \mathbf{v})^\dagger (\mathbf{u} + \mathbf{v}) - \mathbf{u}^\dagger \mathbf{u} - \mathbf{v}^\dagger \mathbf{v} \right) \quad (156)$$

$$= \mathbf{u}^\dagger \mathbf{u} + \mathbf{u}^\dagger \mathbf{v} + \mathbf{v}^\dagger \mathbf{u} + \mathbf{v}^\dagger \mathbf{v} - \mathbf{u}^\dagger \mathbf{u} - \mathbf{v}^\dagger \mathbf{v} \quad (157)$$

$$= \mathbf{u}^\dagger \mathbf{v} + \mathbf{v}^\dagger \mathbf{u} \quad (158)$$

**4D:** In 4D it is substantially more verbose:

$$\frac{1}{2}(\det(\mathbf{u} + \mathbf{v}) - \det \mathbf{u} - \det \mathbf{v}) \quad (159)$$

$$= \frac{1}{2} \left( [(\mathbf{u} + \mathbf{v})^\dagger(\mathbf{u} + \mathbf{v})]_{3,4}(\mathbf{u} + \mathbf{v})^\dagger(\mathbf{u} + \mathbf{v}) - [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{u} - [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{v} \right) \quad (160)$$

$$= \frac{1}{2} \left( [\mathbf{u}^\dagger \mathbf{u} + \mathbf{u}^\dagger \mathbf{v} + \mathbf{v}^\dagger \mathbf{u} + \mathbf{v}^\dagger \mathbf{v}]_{3,4}(\mathbf{u}^\dagger \mathbf{u} + \mathbf{u}^\dagger \mathbf{v} + \mathbf{v}^\dagger \mathbf{u} + \mathbf{v}^\dagger \mathbf{v}) - \dots \right) \quad (161)$$

$$\begin{aligned} &= [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{u} + [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{u} + [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{v} \\ &\quad + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{u} + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{u} + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{v} \\ &\quad + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{u} + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{u} + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{v} \\ &\quad + [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{u} + [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{u} + [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{v} - \dots \end{aligned} \quad (162)$$

$$\begin{aligned} &= [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{u} + [\mathbf{u}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{v} \\ &\quad + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{u} + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{u} + [\mathbf{u}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{v} \\ &\quad + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{u} + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{u} + [\mathbf{v}^\dagger \mathbf{u}]_{3,4} \mathbf{v}^\dagger \mathbf{v} \\ &\quad + [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{u} + [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{u}^\dagger \mathbf{v} + [\mathbf{v}^\dagger \mathbf{v}]_{3,4} \mathbf{v}^\dagger \mathbf{u} \end{aligned} \quad (163)$$

### 6.1.2 Geometric Interference (General Form)

A multi-vector can be written as  $\mathbf{u} = a + \mathbf{s}$ , where  $a$  is a scalar and  $\mathbf{s}$  is the multi-vectorial part. In general, the exponential  $\exp \mathbf{u}$  equals  $\exp a \exp \mathbf{s}$  because  $a$  commutes with  $\mathbf{s}$ .

One can thus write a general two-state system as follows:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{S}_1} + e^{A_2} e^{\mathbf{S}_2} \quad (164)$$

$$(165)$$

The general interference pattern will be of the following form:

$$\det \psi_1 + \psi_2 = \det \psi_1 + \det \psi_2 + \psi_1 \cdot \psi_2 \quad (166)$$

$$= e^{nA_1} + e^{nA_2} + \psi_1 \cdot \psi_2 \quad (167)$$

where  $\det \psi_1 + \det \psi_2$  is a sum of probabilities and where  $\psi_1 \cdot \psi_2$  is the interference pattern, and where  $n$  is the number of dimensions of the geometric algebra.

### 6.1.3 Complex Interference (Recall)

Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{B}_2} \quad (168)$$



The interference pattern familiar to quantum mechanics is the result of the complex norm:

$$\psi^\dagger \psi = \psi_1^\dagger \psi_1 + \psi_2^\dagger \psi_2 + \psi_1^\dagger \psi_2 + \psi_2^\dagger \psi_1 \quad (169)$$

$$= e^{A_1} e^{-\mathbf{B}_1} e^{A_1} e^{\mathbf{B}_1} + e^{A_2} e^{-\mathbf{B}_2} e^{A_2} e^{\mathbf{B}_2} + e^{A_1} e^{-\mathbf{B}_1} e^{A_2} e^{\mathbf{B}_2} + e^{A_2} e^{-\mathbf{B}_2} e^{A_1} e^{\mathbf{B}_1} \quad (170)$$

$$= e^{2A_1} + e^{2A_2} + e^{A_1+A_2} (e^{-\mathbf{B}_1+\mathbf{B}_2} + e^{-(\mathbf{B}_1+\mathbf{B}_2)}) \quad (171)$$

$$= \underbrace{e^{2A_1} + e^{2A_2}}_{\text{sum}} + \underbrace{2e^{A_1+A_2} \cos(B_1 - B_2)}_{\text{interference}} \quad (172)$$

#### 6.1.4 Geometric Interference in 2D

Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{X}_1+\mathbf{B}_1} + e^{A_2} e^{\mathbf{X}_2+\mathbf{B}_2} \quad (173)$$

To lighten the notation we will write it as follows:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{S}_1} + e^{A_2} e^{\mathbf{S}_2} \quad (174)$$

where

$$\mathbf{S} = \mathbf{X} + \mathbf{B} \quad (175)$$

The interference pattern for a full general linear transformation on a two-state wave-function in 2D is:

$$\psi^\dagger \psi = \psi_1^\dagger \psi_1 + \psi_2^\dagger \psi_2 + \psi_1^\dagger \psi_2 + \psi_2^\dagger \psi_1 \quad (176)$$

$$= e^{A_1} (e^{\mathbf{S}_1})^\dagger e^{A_1} e^{\mathbf{S}_1} + e^{A_2} (e^{\mathbf{S}_2})^\dagger e^{A_2} e^{\mathbf{S}_2} + e^{A_1} (e^{\mathbf{S}_1})^\dagger e^{A_2} e^{\mathbf{S}_2} + e^{A_2} (e^{\mathbf{S}_2})^\dagger e^{A_1} e^{\mathbf{S}_1} \quad (177)$$

$$= e^{2A_1} + e^{2A_2} + e^{A_1+A_2} ((e^{\mathbf{S}_1})^\dagger e^{\mathbf{S}_2} + (e^{\mathbf{S}_2})^\dagger e^{\mathbf{S}_1}) \quad (178)$$

$$= \underbrace{e^{2A_1} + e^{2A_2}}_{\text{sum}} + \underbrace{e^{A_1+A_2} (e^{-\mathbf{X}_1-\mathbf{B}_1} e^{\mathbf{X}_2+\mathbf{B}_2} + e^{-\mathbf{X}_2-\mathbf{B}_2} e^{\mathbf{X}_1+\mathbf{B}_1})}_{\text{interference}} \quad (179)$$

#### 6.1.5 Geometric Interference in 4D

Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{X}_1+\mathbf{F}_1+\mathbf{V}_1+\mathbf{B}_1} + e^{A_2} e^{\mathbf{X}_2+\mathbf{F}_2+\mathbf{V}_2+\mathbf{B}_2} \quad (180)$$

To lighten the notation we will write it as follows:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{S}_1} + e^{A_2} e^{\mathbf{S}_2} \quad (181)$$

where

$$\mathbf{S} = \mathbf{X} + \mathbf{F} + \mathbf{V} + \mathbf{B} \quad (182)$$

The geometric interference patterns for a full general linear transformation in 4D is given by the product:

$$[\psi^\dagger \psi]_{3,4} \psi^\dagger \psi = [\psi_1^\dagger \psi_1]_{3,4} \psi_1^\dagger \psi_1 + [\psi_2^\dagger \psi_2]_{3,4} \psi_2^\dagger \psi_2 + \psi_1 \cdot \psi_2 \quad (183)$$

$$= e^{4A_1} + e^{4A_2} + \left( e^{A_1} e^{\mathbf{S}_1} \right) \cdot \left( e^{A_2} e^{\mathbf{S}_2} \right) \quad (184)$$

In many cases of interest, the pattern simplifies. Let us see some of these cases now.

#### 6.1.6 Geometric Interference in 4D (Shallow Phase Rotation)

If we consider a sub-algebra in 4D comprised of even-multi-vector products  $\psi^\dagger \psi$ , then a two-state system is given as:

$$\psi = \psi_1 + \psi_2 \quad (185)$$

where

$$\psi_1 = (e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1})^\dagger (e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1}) = e^{2A_1} e^{2\mathbf{B}_1} \quad (186)$$

$$\psi_2 = (e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1})^\dagger (e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1}) = e^{2A_2} e^{2\mathbf{B}_2} \quad (187)$$

Thus

$$\psi = e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} \quad (188)$$

The quadri-linear map becomes a bilinear map:

$$\psi^\dagger \psi = (e^{2A_1} e^{-2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2})(e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2}) \quad (189)$$

$$= e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_2} e^{2\mathbf{B}_2} + e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_2} e^{2\mathbf{B}_2} \quad (190)$$

$$= \underbrace{e^{4A_1} + e^{4A_2}}_{\text{sum}} + \underbrace{2e^{2A_1+2A_2} \cos(2B_1 - 2B_2)}_{\text{complex interference}} \quad (191)$$

#### 6.1.7 Geometric Interference in 4D (Deep Phase Rotation)

A phase rotation on the base algebra (rather than the sub-algebra) produces a difference interference pattern. Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{B}_2} \quad (192)$$

The sub-product part is:

$$\psi^\dagger\psi = (e^{A_1}e^{\mathbf{B}_1} + e^{A_2}e^{\mathbf{B}_2})(e^{A_1}e^{\mathbf{B}_1} + e^{A_2}e^{\mathbf{B}_2}) \quad (193)$$

$$= e^{A_1}e^{\mathbf{B}_1}e^{A_1}e^{\mathbf{B}_1} + e^{A_1}e^{\mathbf{B}_1}e^{A_2}e^{\mathbf{B}_2} + e^{A_2}e^{\mathbf{B}_2}e^{A_1}e^{\mathbf{B}_1} + e^{A_2}e^{\mathbf{B}_2}e^{A_2}e^{\mathbf{B}_2} \quad (194)$$

$$= e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_2}e^{2\mathbf{B}_2} + 2e^{A_1+A_2}e^{\mathbf{B}_1+\mathbf{B}_2} \quad (195)$$

The final product is:

$$\begin{aligned} [\psi^\dagger\psi]_{3,4}\psi^\dagger\psi &= (e^{2A_1}e^{-2\mathbf{B}_1} + e^{2A_2}e^{-2\mathbf{B}_2} + 2e^{A_1+A_2}e^{-\mathbf{B}_1-\mathbf{B}_2}) \\ &\quad \times (e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_2}e^{2\mathbf{B}_2} + 2e^{A_1+A_2}e^{\mathbf{B}_1+\mathbf{B}_2}) \end{aligned} \quad (196)$$

$$\begin{aligned} &= e^{2A_1}e^{-2\mathbf{B}_1}e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_1}e^{-2\mathbf{B}_1}e^{2A_2}e^{2\mathbf{B}_2} + e^{2A_1}e^{-2\mathbf{B}_1}2e^{A_1+A_2}e^{\mathbf{B}_1+\mathbf{B}_2} \\ &\quad + e^{2A_2}e^{-2\mathbf{B}_2}e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_2}e^{-2\mathbf{B}_2}e^{2A_2}e^{2\mathbf{B}_2} + e^{2A_2}e^{-2\mathbf{B}_2}2e^{A_1+A_2}e^{\mathbf{B}_1+\mathbf{B}_2} \\ &\quad + 2e^{A_1+A_2}e^{-\mathbf{B}_1-\mathbf{B}_2}e^{2A_1}e^{2\mathbf{B}_1} \\ &\quad + 2e^{A_1+A_2}e^{-\mathbf{B}_1-\mathbf{B}_2}e^{2A_2}e^{2\mathbf{B}_2} \\ &\quad + 2e^{A_1+A_2}e^{-\mathbf{B}_1-\mathbf{B}_2}2e^{A_1+A_2}e^{\mathbf{B}_1+\mathbf{B}_2} \end{aligned} \quad (197)$$

$$\begin{aligned} &= e^{4A_1} + e^{4A_2} + 2e^{2A_1+2A_2}\cos(2B_1 - 2B_2) \\ &\quad + e^{2A_1}e^{-2\mathbf{B}_1}2e^{A_1+A_2}e^{\mathbf{B}_1+\mathbf{B}_2} \\ &\quad + e^{2A_2}e^{-2\mathbf{B}_2}2e^{A_1+A_2}e^{\mathbf{B}_1+\mathbf{B}_2} \\ &\quad + 2e^{A_1+A_2}e^{-\mathbf{B}_1-\mathbf{B}_2}e^{2A_1}e^{2\mathbf{B}_1} \\ &\quad + 2e^{A_1+A_2}e^{-\mathbf{B}_1-\mathbf{B}_2}e^{2A_2}e^{2\mathbf{B}_2} \\ &\quad + 4e^{2A_1+2A_2} \end{aligned} \quad (198)$$

$$\begin{aligned} &= \underbrace{e^{4A_1} + e^{4A_2}}_{\text{sum}} + \underbrace{2e^{2A_1+2A_2}\cos(2B_1 - 2B_2)}_{\text{complex interference}} \\ &\quad + \underbrace{2e^{A_1+A_2}(e^{2A_1} + e^{2A_2})\cos(B_1 - B_2) + 4e^{2A_1+2A_2}}_{\text{deep phase interference}} \end{aligned} \quad (199)$$

### 6.1.8 Geometric Interference in 4D (Deep Spinor Rotation)

Consider a two-state wave-function (we note that  $[\mathbf{F}, \mathbf{B}] = 0$ ):

$$\psi = \psi_1 + \psi_2 = e^{A_1}e^{\mathbf{F}_1}e^{\mathbf{B}_1} + e^{A_2}e^{\mathbf{F}_2}e^{\mathbf{B}_2} \quad (200)$$

The geometric interference pattern for a full general linear transformation in 4D is given by the product:

$$[\psi^\dagger\psi]_{3,4}\psi^\dagger\psi \quad (201)$$

Let us start with the sub-product:

$$\psi^\dagger \psi = (e^{A_1} e^{-\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_2} e^{-\mathbf{F}_2} e^{\mathbf{B}_2})(e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{F}_2} e^{\mathbf{B}_2}) \quad (202)$$

$$\begin{aligned} &= e^{A_1} e^{-\mathbf{F}_1} e^{\mathbf{B}_1} e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_1} e^{-\mathbf{F}_1} e^{\mathbf{B}_1} e^{A_2} e^{\mathbf{F}_2} e^{\mathbf{B}_2} \\ &\quad + e^{A_2} e^{-\mathbf{F}_2} e^{\mathbf{B}_2} e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_2} e^{-\mathbf{F}_2} e^{\mathbf{B}_2} e^{A_2} e^{\mathbf{F}_2} e^{\mathbf{B}_2} \end{aligned} \quad (203)$$

$$= e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + e^{A_1+A_2} e^{\mathbf{B}_1+\mathbf{B}_2} (e^{-\mathbf{F}_1} e^{\mathbf{F}_2} + e^{-\mathbf{F}_2} e^{\mathbf{F}_1}) \quad (204)$$

$$= e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + e^{A_1+A_2} e^{\mathbf{B}_1+\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \quad (205)$$

where  $R = e^{\mathbf{F}}$ , and where  $\tilde{R} = e^{-\mathbf{F}}$ .

The full product is:

$$\begin{aligned} [\psi^\dagger \psi]_{3,4} \psi^\dagger \psi &= \left( e^{2A_1} e^{-2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2} + e^{A_1+A_2} e^{-\mathbf{B}_1-\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \right) \\ &\quad \times \left( e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + e^{A_1+A_2} e^{\mathbf{B}_1+\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \right) \end{aligned} \quad (206)$$

$$\begin{aligned} &= e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_2} e^{2\mathbf{B}_2} + e^{2A_1} e^{-2\mathbf{B}_1} e^{A_1+A_2} e^{\mathbf{B}_1+\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \\ &\quad + e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_2} e^{2\mathbf{B}_2} + e^{2A_2} e^{-2\mathbf{B}_2} e^{A_1+A_2} e^{\mathbf{B}_1+\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \\ &\quad + e^{A_1+A_2} e^{-\mathbf{B}_1-\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) e^{2A_1} e^{2\mathbf{B}_1} \\ &\quad + e^{A_1+A_2} e^{-\mathbf{B}_1-\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) e^{2A_2} e^{2\mathbf{B}_2} \\ &\quad + e^{A_1+A_2} e^{-\mathbf{B}_1-\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) e^{A_1+A_2} e^{\mathbf{B}_1+\mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \end{aligned} \quad (207)$$

$$= e^{4A_1} + e^{4A_2} + 2e^{2A_1+2A_2} \cos(2B_1 - 2B_2) \quad (208)$$

$$+ e^{A_1+A_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) ( \quad (209)$$

$$e^{2A_1} (e^{-\mathbf{B}_1+\mathbf{B}_2} + e^{\mathbf{B}_1-\mathbf{B}_2}) \quad (210)$$

$$+ e^{2A_2} (e^{\mathbf{B}_1-\mathbf{B}_2} + e^{-\mathbf{B}_1+\mathbf{B}_2})) \quad (211)$$

$$+ e^{2A_1+2A_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1)^2 \quad (212)$$

$$\begin{aligned} &= \underbrace{e^{4A_1} + e^{4A_2}}_{\text{sum}} + \underbrace{2e^{2A_1+2A_2} \cos(2B_1 - 2B_2)}_{\text{complex interference}} \\ &\quad + \underbrace{2e^{A_1+A_2} (e^{2A_1} + e^{2A_2}) (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) (\cos(B_1 - B_2)) + e^{2A_1+2A_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1)^2}_{\text{deep spinor interference}} \end{aligned} \quad (213)$$

### 6.1.9 Geometric Interference Experiment (Sketch)

In the case of the general linear group, the interference pattern is much more complicated than the simple cosine of the standard Born rule, but that is to be expected as it comprises the full general linear group and not just the unitary

group. It accounts for the group of all geometric transformations which preserves the probability distribution  $\rho$  for a two-state general linear system.

General linear interference can be understood as a generalization of complex interference, which is recovered under a "shallow" phase rotation in 4D and under just a plain normal phase rotation in 2D. Furthermore, when all elements of the odd-sub-algebra are eliminated (by posing  $\mathbf{X} \rightarrow 0, \mathbf{V} \rightarrow 0$ ), then the wave-function reduces to the geometric algebra form of the relativistic wave-function identified by David Hestenes, in terms of a spinor field.

Such reductions entails a series of interference patterns of decreasing complexity, and as such they provide a method to experimentally identify which group of geometric transformations physical reality allows, using interference experiments as the identification tool. Identification of the full general linear interference pattern (with all the elements  $A, \mathbf{X}, \mathbf{F}, \mathbf{V}, \mathbf{B}$ ) in a lab experiment would suggest a general linear gauge, whereas identification of a reduced interference pattern (produced by  $A, \mathbf{F}, \mathbf{B}$ ) and subsequently showing a failure to observe the full general linear interference ( $\mathbf{X} \rightarrow 0, \mathbf{V} \rightarrow 0$ ) would suggest the Lorentz gauge.

In any such case, a general experimental setup would send a particle into two distinct paths. Then, either: a) one of the paths undergoes a general linear transformation, while the other doesn't or b) both paths undergo a different general linear transformation. Then, the paths are recombined to produce an interference pattern on a screen. Depending on the nature of the transformation, a deformation of the interference pattern based on the geometry of the setup should be observed.

One can further utilize the non-commutativity of the general linear transformations to identify only the difference between complex-interference and general linear interference. One would apply the same general linear transformations to each path, but would reverse the order in which the transformations are applied. The resulting interference pattern would then be compared to a case where both paths are transformed in the same order. Then, complex-interference, as it is fully commutative, would predict the same interference pattern irrespective of the order the transformations are applied in — whereas, with general linear interference, as it is non-commutative, would predict different interference patterns.

To achieve this it may be necessary to use a three-dimensional detector, whose idealized construction is a homogeneous bath of impurities (allowing photons to 'click' anywhere within the volume of the detector), instead of a two-dimensional screen, since the opportunity for non-commutative behaviour often kicks in at three dimensions or higher.

## 7 Discussion

The final piece of our conversation is to provide an interpretation of quantum mechanics as well as a resolution for problem of the wave-function collapse, provide an account for the origins of the laws of physics, and tie this in with

our definition of the observer.

## 7.1 Philosophy of Science

Karl Popper was right about science, but wrong about physics. The most notable difference is that if an observer 'violates' a scientific theory, then said scientific theory is simply falsified (happens every other day, no harm done), whereas an observer cannot violate the laws of physics without violating its own definition. Mathematically, the distinction occurs because scientific theories are entailed by knowledge as the formal axiomatic representations of an experimental image (and are thus subject to falsification via the fundamental theorem of science), whereas the fundamental physics is entailed not by an experimental image but by the definition of the observer as a measure space of knowledge over said image. The observer cannot violate the fundamental physics without ceasing to be a measure space of knowledge.

First, let us correct a terminology error in the science part used by Popper. Karl Popper believed that scientific *knowledge* was always transitory, and so a scientific theory would be subject to falsification. The correction is minor, but nonetheless leads to great insight about the present system. The correction is on the usage of the term knowledge; knowledge is not transitory rather it is the models that are. Models are entailed by knowledge, as such they do not themselves entail nor contain knowledge. In fact, when acquiring new knowledge, the conflicting model is always the first one to go because knowledge overrides the model in all cases. The correct terminology is that scientific *models* (not knowledge) are transitory because knowledge (which isn't transitory) always overrides the conflicting model. The acquisition of new knowledge is the primary means by which scientific models are falsified.

To get falsification into a formal system of science, the notion of knowledge being infallible is crucial. It is the reason why we can be certain that new knowledge in fact does necessarily falsify any conflicting models. If our knowledge was uncertain, we would simply be juggling hypotheses and no model could ever be falsified.

One has to take this perspective to the 'extreme' for it to be successful (there cannot be a middle ground), and this can be hard for some on a psychological level. In some case it may appear borderline ridicule, but nonetheless it is necessary. For instance, let us take a simple (but counter-intuitive) example:

Which of these two statements is true:

1.  $F = GmM/r^2$  caused the apple to fall.
2. The apple falling caused Newton to create  $F = GmM/r^2$ .

Most would have the reflex to say the first is true, and the second is ridiculous because gravity obviously existed before Newton. But in actuality gravity is a model; as such it has no ontology. It is entailed by knowledge. The entailment is as follows:

$$\text{Knowledge} \implies \text{Model} \quad (214)$$

The reverse relationship does not exist. Consequently, it is apples (and other objects) falling that caused Newton to produce the model of gravity as a scientific theory.

This may seem like a pedantic difference, but when it comes to the interpretation of quantum physics it is the one that matters the most. Now, consider instead the following statements; which one is true?

1. Measuring a wave-function  $|\psi\rangle = \alpha|\phi_1\rangle + \beta|\phi_2\rangle$  caused it to collapse to  $|\phi_1\rangle$  or to  $|\phi_2\rangle$ .
2. Registering 'clicks' such as  $|\phi_1\rangle$  or  $|\phi_2\rangle$  on an incidence counter causes us to create  $|\psi\rangle = \alpha|\phi_1\rangle + \beta|\phi_2\rangle$  as a model.

Let us now investigate in the following section.

## 7.2 Interpretation of Quantum Physics

Earlier in the paper, we have found the origin of the Born rule as a special case of the Gibbs measure. We will now discuss an interpretation of quantum mechanics imported from statistical physics, but extended to account for our usage of the trace and matrices; essentially that the entropy is maximized under the constraint of *measurement-events* collected by *phase-invariant instruments*, and this yields the wave-function as the model... let us see in more details.

In statistical physics, constraints on the entropy are interpreted as instruments acting on the system. For instance, an energy constraint on the entropy:

$$\overline{E} = \sum_{q \in \mathbb{Q}} \rho(q) E(q) \quad (215)$$

is interpreted, physically, as a energy-meter measuring the system and producing a series of energy measurement  $E_1, E_2, \dots$  converging to a average value  $\overline{E}$ . Another typical constraint is that of the volume:

$$\overline{V} = \sum_{q \in \mathbb{Q}} \rho(q) V(q) \quad (216)$$

associated to a volume-meter acting on the system and converging towards an average volume value  $\overline{V}$ , also by producing a sequence of measurements of the volume  $V_1, V_2, \dots$ . With these two constraints, the typical system of statistical physics is obtained, and its Gibbs measure is:

$$\rho(q, \beta, p) = \frac{1}{Z} \exp(-\beta(E(q) + pV(q))) \quad (217)$$

Comparatively, in our main result, the statistical physics interpretation can also be adopted. Instead of an energy-meter or a volume-meter, we have a phase-invariant meter, and the constraint is given as follows:

$$\text{tr} \begin{pmatrix} 0 & -\bar{b} \\ \bar{b} & 0 \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) \text{tr} \begin{pmatrix} 0 & -b(q) \\ b(q) & 0 \end{pmatrix} \quad (218)$$

The usage of the trace enforces the phase-invariance of the instrument. Yet, and quite simply, maximizing the entropy under this constraints produces the probability measure of the wave-function including the Born rule. The interpretation simply becomes that of an instrument performing a sequence of measurement on the system such that an average value is obtained, but instead of the simpler scalar instruments typically used in statistical physics, here we have a phase-invariant instrument. This instrument entails the wave-function when the entropy is maximized under the constraints of its measurement events. What is an example of such a detector; quite simply a photo-counter would be one. Such an instrument produces a sequence of incidences ('clicks') as photons are detected and "advanced features" such as an interference pattern is a consequence of this phase-invariance. This is the true origin of the Born rule, here reported for the first time, and entailed by the entropy maximization of a sequence of 'clicks' produced by a phase-invariant incidence counter.

Now, consider this comparative table between typical interpretations of quantum mechanics regarding the measurement, and our interpretation:

$$\text{Typical: Measurement} \underbrace{(\text{wave-function})}_{\text{Axiomatic}} \implies \underbrace{\text{'click'}}_{\text{collapse problem?}} \quad (219)$$

$$\text{Ours: Max-Entropy} \underbrace{(\text{'clicks' + Experiments})}_{\text{Axiomatic}} \implies \underbrace{\text{wave-function}}_{\text{derived}} \quad (220)$$

Our interpretation places the ontology of the system at the level of the knowledge that a protocol terminates for a preparation, and to that of axiomatic information (i.e. the result of the measurement events constraining the entropy of the knowledge system). The probability measure consistent with this knowledge and information is *derived* as the wave-function. This is the reverse of the standard quantum mechanical interpretation. In our interpretation, we maximize the entropy of the 'clicks'+experiments to get the wave-function, rather than to "minimize/collapse" the wave-function via a measurement to get the result of a 'click'. The measurement operation is problematic, and introduces the problem of the wave-function collapse; whereas the maximization of entropy is a non-problematic operation. The ontology of our interpretation matches that which is the given in nature (experimental image + 'click' result), and entails the model (wave-function). A wave-function collapse is never encountered because the wave-function is entailed by the sequence of 'clicks'. The wave-function is a model entailed by measurement events (maximized axiomatic information), preparations and protocols (knowledge).



Some elements (but not all) of our interpretation of quantum mechanics appears very similar to what John A. Wheeler had in mind when he wrote "Information, Physics, Quantum; The Search for Links.". For instance, consider the following statement:

Three examples may illustrate the theme of it from bit. First, the photon. With polarizer over the distant source and analyzer of polarization over the photodetector under watch, we ask the yes or no question, "Did the counter register a click during the specified second?" If yes, we often say, "A photon did it." We know perfectly well that the photon existed neither before the emission nor after the detection. However, we also have to recognize that any talk of the photon "existing" during the intermediate period is only a blown-up version of the raw fact, a count

Raw fact; a count — in our scheme this is encoded in the form of axiomatic information. The other part, not identified by Wheeler but nonetheless necessary to complete the description, is knowledge — in our scheme this is encoded in the form of a terminating protocol along with its preparation. The 'knowledge' corresponds to the steps required to construct an experiment in which photons are sent according to a repeatable and well-defined preparation. When a 'click' is registered, it yield more than just a bit; it also associated to a unit of 'knowledge' given in the form of a protocol-preparation pair, and associated to the unitary or general linear sequence of transformations comprising the protocol applied on a given preparation.

Our wave-function is a sum over knowledge and refers to both the possible results of a measurement, and to the protocol used to prepare the system. For instance, a sum  $Z = \det M(p_1) + \det M(p_2)$ , would upon a mathematical projection yield a pick of either  $p_1$  or  $p_2$ , which includes axiomatic information in the form of the pick result, as well as the arbitrary protocol-preparation pair  $p_1$  or  $p_2$  itself.

In the generalization to general linear transformations, the interpretation of quantum mechanics takes its most simple and visualizable interpretation. The phase-invariant instruments are upgraded from a complex phase to a general linear phase. The probability is now associated with a sequence of 'clicks' recorded in space-time as events. Thus, the framework describes reality as a sequence of space-time 'clicks' (or events) which, under entropy maximization, are associated to a general linear wave-function in lieu of the Gibbs ensemble. As we note, general relativity is primarily a theory of events in space-time, and the extension to quantum theory assigns a probability and an entropy to said events, such that the measure over said events is a wave-function able to support the transformations required by general relativity while preserving the invariance of the probability measure.

### 7.3 Observer, Consciousness and Everything else not Mentioned...

This may come off as surprising as we were careful not to lead on the reader with this, but a philosophical system that shares numerous connotative similarities to our system is Cartesian philosophy... and please bear with me. In the Cartesian system, the universal doubt method is employed to identify that which is indubitable, by ruling out all statements which do not survive universal doubt. Descartes identifies the statement "I doubt, therefore I think" as indubitable on the grounds that him doubting entails him thinking and follows it with "I think, therefore I am" on the grounds that him thinking must entail him existing (at least as a thinking being). Later on, an eventual inability to connect the 'mind/I think' with the 'body' forms the well known mind-body problem, a landmark of the Cartesian system. Now, I have a confession to make; the Cartesian system was the initial inspiration for my system and so the similarity is by design. Let us extend on that.

Here, we have offered a 'spiritual successor' to this type of construction. The parallels are as follows: The 'indubitable' is identified with the set of all infallible statements and comprises the set of knowledge. The "I think" is replaced by Axiom 1, the definition of the observer, as a measure space of knowledge, and the laws of physics are the rules that govern the physical "body" of the observer. Obviously, by body we do not mean the human body, but a more abstract 'body' comprised generally of geometry, gauges, fields and other laws and patterns which governs that which the observer believes exists physically due to their irreducible composition with the physical interpretation of the measure... and this forms the universe. The observer is thus automatically bounded to an observable universe as a consequence of his or her definition, and nothing else.

You will notice that Axiom 1 postulates a singleton observer and further that I have never mentioned the existence of other observers, nor relied upon such, in this paper, to derive fundamental physics. One of the first incarnation of my system contained a set of observers, and intersections of halting images between observers were used to identify segments of objective reality... it was neat (nah, not really I never liked it) until I realized it was fundamentally flawed. If there was only one person left on earth, I would still expect physical reality to exist and thus the fundamental construction can depend at most on only one observer. All other observers, if they exist, can be derived by the singleton observer the same way as any other scientific theories, by merely inspecting the experimental image and finding evidence for them, and thus do not need to be postulated. This relationship better matches the givens of reality. Indeed, psychologically, this is what happens naturally as a young child matures and over time develops a theory of the mind to assess the motivations and the decision-making choices of others. Evidence for other observers is identified by inspection of all available evidence and builds over time, thus the fundamental theory never needs to postulate more than just one. The existence of other observer is the subject of the scientific method.

Some people may get confused about who this singleton observer could be

(its not the biblical God), and so in what follows allow me to employ the term *you* to designate it, so that there is less confusion. The limitation of having postulated the singleton observer (*you*) is of course that the theory will be forever mute with respect to why *you* exist. *You just do. You* opened your eyes X-many years ago for the first time, and *you* were 'there' along with everything else there is to know. It's the given. Although why *you* exist at all is unknown to the model, nonetheless and conditional upon *you* existing, the model is able, via the prescriptions of this paper, to deduce the fundamental physics which bounds your existence in the universe; itself entailed solely by your definition as a measure space of knowledge. The singleton observer is *you* from your perspective, but it is *me* from my perspective, and it is *him* from his perspective, and thus there is an implicit symmetry... however the scientific method is powerless to make it explicit. For the scientific method, the existence of the other observers is not the product of postulation, but merely —if [experimental-image] willing— 'natural selection'; whereas the singleton observer is both the product of postulation and also, if it has a body, entailed as the product of 'natural section' (one does not exclude the other). This asymmetry between a singleton postulated observer, and the others entails remarkable consequences.

For instance, our system side-steps the hard problem of consciousness because of this. It is likely the unique construction sufficiently powerful to derive fundamental physics yet simultaneously does not encounter the hard problem of consciousness as a challenge to its comprehensiveness. But careful! I am not saying the construction resolves the problem, just that it does not encounter it, and as such it is possibly unique. The construction is carefully embedded and packaged such that reality and the fundamental physics are described without encountering said problem. The problem of consciousness only occurs if we postulate multiple observers of equal status. Each observer knows from their subjective experience they are conscious, but can't prove that any other also is because the scientific method does not produce knowledge out of non-comprehensible definitions. However, if the fundamental theory only needs to postulate one observer, and further demands that the interaction with all other observers, if they exist, be in the form of experiments acting of the experimental image, then the 'consciousness' of others is filtered by the set of comprehensible definitions to evade entry into the scientific method as knowledge. The singleton observer's assumption of other's consciousness is necessarily and forever hypothetical and non-comprehensible to the scientific method. Presumably, one could experiment on observers and poll how many, on average, answer 'yes' to the question "are you conscious?" and filter the answer by socio-economic status or other criteria. One could even subject the brain to intense magnetic fields, and note if it has an impact on the incidence of the answers, and thus map out which part of the brain are responsible for inducing a yes response versus a no response. But, this is still not a proof of consciousness in others; it is just the input-output results of various experiments. The singleton observer does not and cannot expect the *fundamental physics* to entail "conscious observers" (although it can, via natural selection, produce brains and bodies with mouths attached that answerer 'yes' to the question), as the physics is merely entailed

by its postulation. Simply put, the singleton observer is a more fundamental concept than both physics and science, hence why it entails them both.

## 8 Conclusion

We believe the formal system of science here-in presented to be a more powerful formulation of the laws of physics, as the fundamental physics is simply entailed by the minimalist definition of the observer itself. It further provides the means to derive the laws of physics from what amounts to a realization of the scientific method, identify the wave-function as a special form of the Gibbs ensemble, account for the origin of the Born rule and finally provide a interpretation of quantum physics closely resembling that of statistical physics, where the ontology of measurement events takes precedence over the derived measure, thus side stepping the collapse problem. Finally, the framework supports both quantum field theories as well as theories of quantum gravity, both recovered quite directly as a consequence of the generalized Born rule expressed as the determinant.

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## A Notation

$S$  will denote the entropy,  $\mathcal{A}$  the action,  $L$  the Lagrangian, and  $\mathcal{L}$  the Lagrangian density. Sets, unless a prior convention assigns it another symbol, will be written using the blackboard bold typography (ex:  $\mathbb{L}, \mathbb{W}, \mathbb{Q}$ , etc.). Matrices will be in bold upper case (ex:  $\mathbf{A}, \mathbf{B}$ ), whereas vectors and multi-vectors will be in bold lower case (ex:  $\mathbf{u}, \mathbf{v}, \mathbf{g}$ ) and most other constructions (ex.: scalars, functions) will have plain typography (ex.  $a, A$ ). The identity matrix is  $I$ , the unit pseudo-scalar (of geometric algebra) is  $\mathbf{I}$  and the imaginary number is  $i$ . The Dirac gamma matrices are  $\gamma_0, \gamma_1, \gamma_2, \gamma_3$  and the Pauli matrices are  $\sigma_x, \sigma_y, \sigma_z$ . The basis elements of an arbitrary curvilinear geometric basis will be denoted  $\mathbf{e}_0, \mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n$  (such that  $\mathbf{e}_\nu \cdot \mathbf{e}_\mu = g_{\mu\nu}$ ) and if they are orthonormal as  $\hat{\mathbf{x}}_0, \hat{\mathbf{x}}_1, \hat{\mathbf{x}}_2, \dots, \hat{\mathbf{x}}_n$  (such that  $\hat{\mathbf{x}}_\mu \cdot \hat{\mathbf{x}}_\nu = \eta_{\mu\nu}$ ). The asterisk  $z^*$  denotes the complex conjugate of  $z$ , and the dagger  $\mathbf{A}^\dagger$  denotes the conjugate transpose of  $\mathbf{A}$ . A geometric algebra of  $m$  dimensions over a field  $\mathbb{F}$  is noted as  $\mathbb{G}(m, \mathbb{F})$ . The grades of a multi-vector will be denoted as  $\langle \mathbf{v} \rangle_k$ . Specifically,  $\langle \mathbf{v} \rangle_0$  is a scalar,  $\langle \mathbf{v} \rangle_1$  is a vector,  $\langle \mathbf{v} \rangle_2$  is a bi-vector,  $\langle \mathbf{v} \rangle_{n-1}$  is a pseudo-vector and  $\langle \mathbf{v} \rangle_n$  is a pseudo-scalar. Furthermore, a scalar and a vector  $\langle \mathbf{v} \rangle_0 + \langle \mathbf{v} \rangle_1$  is a para-vector, and a combination of even grades ( $\langle \mathbf{v} \rangle_0 + \langle \mathbf{v} \rangle_2 + \langle \mathbf{v} \rangle_4 + \dots$ ) or odd grades ( $\langle \mathbf{v} \rangle_1 + \langle \mathbf{v} \rangle_3 + \dots$ ) are even-multi-vectors or odd-multi-vectors, respectively. The commutator is defined as  $[\mathbf{A}, \mathbf{B}] := \mathbf{AB} - \mathbf{BA}$  and the anti-commutator as  $\{\mathbf{A}, \mathbf{B}\} := \mathbf{AB} + \mathbf{BA}$ . We use the symbol  $\cong$  to relate two sets that are related by a group isomorphism. We denote the Hadamard product, or element-wise multiplication, of two matrices using  $\odot$ , and is written for instance as  $\mathbf{M} \odot \mathbf{P}$ , and for a multivector as  $\mathbf{u} \odot \mathbf{v}$ ; for instance:  $(a_0 + x_0\hat{\mathbf{x}} + y_0\hat{\mathbf{y}} + b_0\hat{\mathbf{x}} \wedge \hat{\mathbf{y}}) \odot (a_1 + x_1\hat{\mathbf{x}} + y_1\hat{\mathbf{y}} + b_1\hat{\mathbf{x}} \wedge \hat{\mathbf{y}})$  would equal  $a_0a_1 + x_0x_1\hat{\mathbf{x}} + y_0y_1\hat{\mathbf{y}} + b_0b_1\hat{\mathbf{x}} \wedge \hat{\mathbf{y}}$ .

## B Lagrange equation

The Lagrangian equation to maximize is:

$$\mathcal{L}(\rho, \alpha, \tau) = -k_B \sum_{q \in \mathbb{Q}} \rho(q) \ln \rho(q) + \alpha \left( 1 - \sum_{q \in \mathbb{Q}} \rho(q) \right) + \tau \operatorname{tr} \left( \overline{\mathbf{M}} - \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}(q) \right) \quad (221)$$

where  $\alpha$  and  $\tau$  are the Lagrange multipliers. We note the usage of the trace operator for the geometric constraint such that a scalar-valued equation is

maximized. Maximizing this equation for  $\rho$  by posing  $\frac{\partial \mathcal{L}}{\partial \rho(p)} = 0$ , where  $p \in \mathbb{Q}$ , we obtain:

$$\frac{\partial \mathcal{L}}{\partial \rho(p)} = -k_B \ln \rho(p) - k_B - \alpha - \tau \operatorname{tr} \mathbf{M}(p) \quad (222)$$

$$0 = k_B \ln \rho(p) + k_B + \alpha + \tau \operatorname{tr} \mathbf{M}(p) \quad (223)$$

$$\implies \ln \rho(p) = \frac{1}{k_B} (-k_B - \alpha - \tau \operatorname{tr} \mathbf{M}(p)) \quad (224)$$

$$\implies \rho(p) = \exp\left(\frac{-k_B - \alpha}{k_B}\right) \exp\left(-\frac{\tau}{k_B} \operatorname{tr} \mathbf{M}(p)\right) \quad (225)$$

$$= \frac{1}{Z} \det \exp\left(-\frac{\tau}{k_B} \mathbf{M}(p)\right) \quad (226)$$

where  $Z$  is obtained as follows:

$$1 = \sum_{q \in \mathbb{Q}} \exp\left(\frac{-k_B - \alpha}{k_B}\right) \exp\left(-\frac{\tau}{k_B} \operatorname{tr} \mathbf{M}(q)\right) \quad (227)$$

$$\implies \left(\exp\left(\frac{-k_B - \alpha}{k_B}\right)\right)^{-1} = \sum_{q \in \mathbb{Q}} \exp\left(-\frac{\tau}{k_B} \operatorname{tr} \mathbf{M}(q)\right) \quad (228)$$

$$Z := \sum_{q \in \mathbb{Q}} \det \exp\left(-\frac{\tau}{k_B} \mathbf{M}(q)\right) \quad (229)$$

We note that the Trace in the exponential drops down to a determinant, via the relation  $\det \exp A \equiv \exp \operatorname{tr} A$ .

## B.1 Multiple constraints

Consider a set of constraints:

$$\overline{\mathbf{M}}_1 = \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_1(q) \quad (230)$$

$$\vdots \quad (231)$$

$$\overline{\mathbf{M}}_n = \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_n(q) \quad (232)$$

Then the Lagrange equation becomes:

$$\begin{aligned} \mathcal{L} = -k_B \sum_{q \in \mathbb{Q}} \rho(q) \ln \rho(q) + \alpha \left( 1 - \sum_{q \in \mathbb{Q}} \rho(q) \right) + \tau_1 \operatorname{tr} \left( \overline{\mathbf{M}}_1 - \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_1(q) \right) + \dots \\ + \tau_n \operatorname{tr} \left( \overline{\mathbf{M}}_n - \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_n(q) \right) \end{aligned} \quad (233)$$

and the measure references all  $n$  constraints:

$$\rho(q) = \frac{1}{Z} \det \exp \left( -\frac{\tau_1}{k_B} \mathbf{M}_1(q) - \dots - \frac{\tau_n}{k_B} \mathbf{M}_n(q) \right) \quad (234)$$

## B.2 Multiple constraints - General Case

In the general case of a multi-constraint system, each entry of the matrix corresponds to a constraint:

$$\overline{M}_{00} \begin{pmatrix} 1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 0 \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) M_{00}(q) \begin{pmatrix} 1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 0 \end{pmatrix} \quad (235)$$

$$\vdots \quad (236)$$

$$\overline{M}_{01} \begin{pmatrix} 0 & 1 & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) M_{01}(q) \begin{pmatrix} 0 & 1 & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix} \quad (237)$$

$$\vdots \quad (238)$$

$$\overline{M}_{nn} \begin{pmatrix} 0 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 1 \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) M_{nn}(q) \begin{pmatrix} 0 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 1 \end{pmatrix} \quad (239)$$

For a  $n \times n$  matrix, there are  $n^2$  constraints.

The probability measure which maximizes the entropy is as follows:

$$\rho(q) = \frac{1}{Z} \det \exp \left( -\frac{1}{k_B} \boldsymbol{\tau} \odot \mathbf{M}(q) \right) \quad (240)$$

where  $\boldsymbol{\tau}$  is a matrix of Lagrange multipliers, and  $\odot$ , the element-wise multiplication, assigns the corresponding Lagrange multiplier to each constraint.