A Mathematically Comprehensive Theory of the Physical Observer

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Abstract

The observer has so far avoided comprehensive integration into the formalism of physics, even if observer related effects were found to be indissociable from quantum mechanics almost a century ago. Here, we report a theory of the observer that we believe is comprehensive. At the highest level of abstraction, we make the case that the observer can be understood completely by its participation in nature as the experimental testing of predictive theories, followed by falsification and refinements where appropriate. But as it stands these methods are informal, leading to ambiguities in their formulation. To eliminate these ambiguities, we will first define experiments as reproducible protocols, and express them in terms of Turing complete languages and halting programs. A listing of such experiments is recursively enumerable and as a "map" of all knowledge serves as a foundation for the formalization of all science. In turn this formalization leads to the remarkable result that the observer takes centre-stage as a measure space on all possible experiments, from which fundamental physics is entailed self-reflectively as the rules that observer participation in nature cannot violate. The observer is a model of experimental verification from which spacetime geometry, gravity, quantum fields, the foundations of quantum mechanics, and more, all follow seamlessly, uniquely and exactly formulated, from this fundamental model.

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1 The Formal System of Knowledge

Many *theories of truth* are formalized in mathematics, for instance propositional logic or first order logic, and their aim are to correctly *propagate* truth from statement to statement; whereas on the natural or scientific side, we tend to find *theories of knowledge* whose aim are to produce *incremental* contributions to validate (or invalidate) an ever more complete model thereof.

Knowledge is similar to truth in many ways. For instance, both quantitatively relate to a binary state: knowledge is either known (1) or unknown (0), and truth is either true (1) or false (0). But the effective differences are notable. For instance, theories of truth despise incompleteness as it signals obscurities, whereas those of knowledge seek it as it signals an opportunity for progress; Axiomatic theories formulated in terms of truth sometimes clash with one another (incompatible premises entail contradictions), whereas those formulated based on knowledge contribute to one another (knowledge is closed under union).

We have many theories of truth in mathematics, but so far we have not captured these differences and intuitions into a formal system of knowledge. What mathematical tools can we use to do so?

Attempts to find a complete logical basis for truth have been made ad nauseam in the past but they failed for primarily two reasons. First, they were attempted before Gödel-type theorems were known or appreciated, and attempts were directed at constructing *decidable* logical bases for truth. Secondly, instead of directing efforts to recursively enumerable bases following the discovery of said incompleteness theorems, efforts simply felt out of favour as it was understood that any sufficiently expressive system of truth would contain obscurities, and this made them philosophically unattractive. It is however possible to construct recursively enumerable bases (provided they are not decidable), and further the limitations of recursive enumeration ought to instead be seen as an opportunity; in this case, to create a formal system to map out knowledge, such that

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it may serve as the foundation to a formalization of science. In this case, the theory challenges us to discover new knowledge, rather than to merely fix truth definitionally only to bail out at the first obscurity, and in this context we call it a theory of knowledge to distinguish it from a theory of truth. Theories of knowledge, as recursively enumerable systems, are a more general concept than theories of truth which are subsets thereof. Indeed, for all statements that are either true (1) or false (0), it is the case that we can know (1) its truth value; but if a statement is such that it is undecidable, a binary state of knowledge still applies to it, in this case its truth value is unknown (0).

To help fix the intuition, consider the following amusing construction which we will call rotting arithmetic. In logic we are allowed to inject any sentence as a new axiom, and to investigate its consequences. Rotting arithmetic will be defined as the union of the axioms of Peano's arithmetic and of the axiom of rot, which we define as follows:

$$\text{Axiom of rot} := \left(2^{2^{4,871,982,796,652,701}} - 1\right) \text{ is a prime} \qquad (1)$$

$$\text{Rotting Arithmetic} := \{\text{Peano's Arithmetic}\} \cup \{\text{Axiom of rot}\} \qquad (2)$$

The axiom of rot claims that a very large is number is a prime. If it's true, then it has no effects on the system, but if it's false, the system is inconsistent. Comparatively, the largest known prime (at the time of this writing) is $2^{82,589,933} - 1$ which is orders of magnitude smaller than the number referenced in the axiom of rot. Since we have used randomness to generate the axiom of rot, odds are minuscules that it is a prime... or perhaps we did hit the jackpot and it is a prime. A theory of knowledge can assign the state unknown (0) to the axiom of rot until such a time as we find out if the proposed number is or isn't a prime; whereas a theory of truth expects true or false right now, as it's truth-value is in principle.

It may be that it takes us a century until we find out if the axiom of rot isn't or isn't true, as our computing capacities need to improve before we can know. As time goes by the freshness of the theory slowly diminishes, until such a time as it is revealed to be rotten at which time it is discarded (or it keeps perpetually fresh if we did hit the jackpot and the number is a prime).

A comprehensive theory of knowledge ought to tackle *feasible* forms of knowledge: what we know in the here and now, in addition to what is known or unknown, or true and false, *in principle*.

The example of rotten arithmetic may appear convoluted or unnatural — after-all why would we take the chance with an axiom of rot, when we can easily do arithmetic without it —, but now consider what often happens in science. For nearly a century before Einstein produced the theory of special relativity (Einstein, 20th century), the union of both classical mechanics (Newton, 17th century) and electromagnetism (19th century) was considered fresh:

$$\text{Law of Inertia} := F = ma \qquad (3)$$

$$\text{Maxwells' equation} := \nabla \cdot \mathbf{E} = \rho/\epsilon_0, \nabla \cdot \mathbf{B} = 0, ... \qquad (4)$$

$$\text{Union} := \{F = ma\} \cup \{\text{Maxwells' equation}\} \qquad (5)$$

The discovery of "rot" in their union (Maxwell's equations reports a constant speed of light independently of the observer's velocity, whereas velocities in F=ma are additive) had to wait for nearly a century to be noticed and corrected. In the mean time, most were happy to use both theories, and the problem remained unnoticed. Similarly to the case of rotten arithmetic, the state of knowledge of "rot" in the union had to go from unknown (0) to known (1), before a new model was to be produced.

Falsification in general can be manipulated in a similar fashion. But instead of having two axiomatic theories, we have an empirical statement along with an axiomatic theory:

$$\text{Observation} := \text{Precession of Mercury's orbit} \qquad (6)$$

$$\text{Law of Gravitation} := F = GmM/r^2 \qquad (7)$$

$$\text{Falsification?} := \{\text{P[...] of Mercury's orbit}\} \cup \{F = GmM/r^2\} \qquad (8)$$

Of course, in this introduction we have merely sketched the ideas informally. And so we re-iterate our question; what mathematical tools are the best to describe knowledge formally? To find out we must be a bit more technical. Let us look at the philosophical discipline that study knowledge: epistemology — What does it tell us about knowledge, that we can use?

Epistemology, at least historically, has considered knowledge to be that which is a justified true belief. For instance "I know Bob is from Arkansas (as a justified true belief), because his driver's license is from Arkansas (justification), and he is from Arkansas (true)". However, the Gettier problem[1] is a well known objection to this definition. Essentially, if the justification is not loophole free, there exists a case where one is right by pure luck, even if the claim were true and believed to be justified. For instance, if one glances at a field and sees a shape in the form of a dog, one might think he or she is justified in the belief that there is a dog in the field. Now suppose there is a dog elsewhere in the field, but hidden from view. The belief "there is a dog in the field" is justified and true, but it is tough sale to call it knowledge because it is only true by pure luck.

Richard Kirkham[2] proposed to add the criteria of infallibility to the justification. Knowledge, previously *justified true belief*, would now be *infallible true belief*. Merely seeing the shadow of a dog in a field would not be enough to qualify as infallible true belief; all claims have to be exactly proportional to the evidence. This is generally understood to eliminate the loophole, but it is an unpopular solution because adding it is assumed to reduce knowledge to radical skepticism in which almost nothing is knowledge, thus rendering knowledge non-comprehensive.

Here, we will adopt the insight of Kirkham regarding the requirement of infallibility whilst resolving the non-comprehensiveness objection, and also retaining the intuitive characteristics of knowledge as we have described them in this introduction. To do so, we will structure our statements such that they are individually infallible, yet as a group form a Turing complete language. Our tool of choice will be halting programs. Such will be the building blocks of knowledge in our system. As we will see, halting programs carry all desired features to make this possible. But there is a catch. Halting programs are of course subject to the halting problem and this will make the system inherently experimental: acquiring knowledge will be difficult, even arbitrarily difficulty, and may even contain dead-ends (non-halting programs). Some may argue this is what makes the process sufficiently interesting in the first place.

The concept that knowledge is given in the form of infallible statements will allow us to union all new discoveries of knowledge with older ones, without any risk of the new ones invalidating the previous ones. Rather, it will be *explanatory models of knowledge* (fallible) that would or could be invalidated by new knowledge (infallible). Contributions of new knowledge to a lexicon will thus be incremental by guarantee.

Here, we understand halting programs as a descriptive language, similar in expressive power to any other Turing complete language, such as say english. But unlike english, using halting programs makes the description of each unit of knowledge completely free of ambiguities. And ambiguities are of course antithetical to knowledge. General translations between all Turing languages exists, and so we do not lose any expressive power by using them, over any other choice of language. For instance, any mathematical problem can be reformulated as a statement regarding the halting status of a program via the Curry–Howard correspondence.

For more information regarding the connection between mathematics, science and programs, we recommend the works of Gregory Chaitin[3, 4, 5], a pioneer on the idea. A familiarity with his work is assumed. Let us now continue.

1.1 Halting Programs as Knowledge

How do we construct an infallible statement, so that it qualifies as an epistemic statement in the sense of Kirkham?

Let us take the example of a statement that may appear as an obvious true statement such as "$1+1=2$", but is in fact not infallible. Here, we will provide the correct definition of an infallible statement, but equally important, such that the set of all such statements is Turing complete, thus forming a language of maximum expressive power.

Specifically, the sentence "$1+1=2$" halts on some Turing machine, but not on others and thus is not infallible. Instead consider the sentence PA $\vdash$ $[s(0)+s(0) = s(s(0))]$ to be read as "Peano's axioms prove that $1+1=2$". Such a statement embeds as a prefix the set of axioms in which it is provable. One can deny that $1+1=2$ (for example, an adversary could claim binary numbers,

in which case $1 + 1 = 10$), but if one specifies the exact axiomatic basis in which the claim is provable, said adversary would find it harder to find a loophole to fail the claim. Nonetheless, even with this improvement, an adversary can fail the claim by providing a Turing machine for which $\text{PA} \vdash [s(0) + s(0) = s(s(0))]$ does not halt.

The key is to structure the statement so that all context required to prove the statement is provided along with the statement itself; then it is the claim that the context entails the statement that is infallible. If we use the tools of theoretical computer science we can produce statements free of all loopholes, thus ensuring they are infallible. Those statements, which are mathematical theorems, are also —via Curry–Howard correspondence— halting programs:

Let Σ be a set of symbols; called an alphabet. A word is a sequence of symbols from Σ. The empty word is represented as $\varnothing$. The set of all finite words is given as:

$$\mathbb{W} := \bigcup_{i=0}^{\infty} \Sigma^i \tag{9}$$

Finally a language $\mathbb{L}$ is as a subset of $\mathbb{W}$.

As an example, the sentences of the binary alphabet $\Sigma = \{0, 1\}$ are the binary words $\{\varnothing, 0, 1, 00, 01, 10, 11, 000, \dots\}$.

There exists multiple models of computation, such a Turing machines, μ-recursive functions, Lambda calculus, etc. Here, to retain generality we will use computable functions without requiring a specific model.

Instead of a Turing machine, we will consider a Turing-computable function and its definition is as follows:

A Turing machine Φ computes a function $\text{TM} \colon \mathbb{L} \to \mathbb{W}$ iff:

1. For each $d \in \text{Dom}(\text{TM})$, $\Phi(d)$ halts and equals $\text{TM}(d)$.

2. For each $d \notin \text{Dom}(\text{TM})$, $\Phi(d)$ never halts.

Then, TM is a Turing-computable function (or simply, a computable function). We denote $\mathbb{C}$ as the set of all computable partial function from $\mathbb{W}$ to $\mathbb{W}$.

Likewise, and instead of a universal Turing machine as a specific implementation, we will prefer to use a universal Turing-computable partial function of two inputs $\text{DM} \colon \mathbb{W} \times \mathbb{W} \to \mathbb{W}$. To use the elements of $\mathbb{C}$ in this function, we must introduce a bijective encoding $\langle \cdot \rangle \colon \mathbb{C} \leftrightarrow \mathbb{W}$ specific to the DM. Then, if forall $\text{TM} \in \mathbb{C}$ and forall $d \in \text{Dom}(\text{TM})$, it is the case that if $\text{DM}(\langle \text{TM} \rangle, d) \simeq \text{TM}(d)$, then DM is a universal function, and we denote it as UTM.

Definition 1 (Halting Program). *A halting program p is a pair $\mathbb{C} \times \mathbb{L}$:*

$$p := (\text{TM}, d) \tag{10}$$

such that $\text{TM}(d) = r$.

With this definition, d can be considered as the statement, TM is its context, and if TM(d) halts, then both are paired as a context-free halting claim:

$$p = (\text{TM}, d); \text{UTM}(\langle \text{TM} \rangle, d) \text{ halts} \qquad (11)$$

Since a translation exists between universal Turing machine, a claim that d halts on TM, if known, entails "p halts" is verifiable on all universal Turing machines, and requires no specific context for this to be verified.

For instance, the following program[6] is a formal proof of the commutativity of addition for natural numbers written in COQ (familiarity with formal proof system is not required for this paper, we simply state an example to fix the intuition).

```
plus_comm =
fun n m : nat =>
nat_ind (fun n0 : nat => n0 + m = m + n0)
  (plus_n_0 m)
  (fun (y : nat) (H : y + m = m + y) =>
   eq_ind (S (m + y))
     (fun n0 : nat => S (y + m) = n0)
     (f_equal S H)
     (m + S y)
     (plus_n_Sm m y)) n
     : forall n m : nat, n + m = m + n
```

The claim "$p = (\text{COQ}, \text{plus\_comm}); \text{UTM}(p)$ halts" is a unit of knowledge, and I can share this unit of knowledge with others.

Or as a more readable example of a halting program:

```
fn one_plus_one_equals_two(){
 if 1+1==2{
  return;
 }
 loop{};
}
```

The claim "$p = (\text{cargo run}, \text{one\_plus\_one\_equals\_two}); \text{UTM}(p)$ halts" is another a unit of knowledge.

The second objection is that the infallibility requirement is too demanding, preventing knowledge from being comprehensive by making it able at most to only tackle a handful of statements. However, the set of all halting programs constitutes the entire domain of the universal Turing machine, and thus the expressive power of halting programs must be on par with any Turing complete language. Since there exists no greater expressive power for a formal language than that of Turing completeness, then no reduction takes place. The resulting construction is both element-wise infallible, and comprehensive as a set:

Definition 2 (Lexicon (of Knowledge)). *The union of all programs $\mathbb{C} \times \mathbb{L}$ for all two-input Turing machines which halts, constitutes the lexicon of knowledge $\mathbb{K}$:*

$$\mathbb{K} := \bigcup_{i \in \mathbb{N}} \mathrm{DM}_i \times \mathrm{Dom}(\mathrm{DM}_i) \tag{12}$$

where the domain of DM_i, denoted as $\mathrm{Dom}(\mathrm{DM}_i)$, is the set of all pairs $\mathbb{C} \times \mathbb{L}$ for which $\mathrm{DM}_i(\langle \mathrm{TM}\rangle, d)$ halts. The elements of $\mathbb{K}$ are each written as $(\mathrm{DM}_1, (\langle \mathrm{TM}_{1a}\rangle, d_{1a}))$.

Let us make the observation that in some cases DM will be a universal Turing machine and in such cases we may note it as UTM — but we do not require that all DM be a UTM for inclusion in $\mathbb{K}$.

- *$\mathbb{K}$ constitute a model of knowledge, and is understood via languages, context and halting programs.*

- *$\mathbb{K}$ is unique.*

- *$\mathbb{K}$ is non-computable, but is recursively enumerable.*

- *$\mathbb{K}$ contains countably infinitely many elements.*

- *Unlike the hyperwebster[7] which includes all possible words from Σ regardless of halting status and thus is without knowledge, here each entry is a halting program and is thus usable in some context (Examples are given in section 1.4).*

- *We can definite $\mathbb{K}$, we can also contribute to it, but we cannot complete it.*

- ***The lexicon contains all knowledge translated in all languages.***

Definition 3 (Translation (of $\mathbb{K}$). *A translation of $\mathbb{K}$ is a subset of $\mathbb{K}$ corresponding to the domain of a two-input Turing machine: $\mathrm{Dom}(\mathrm{DM})$. The elements of a translation are given a pairs $p_i = (\mathrm{TM}_i, d_i)$.*

It is undecidable to determine, in general, if a given DM is or isn't a universal Turing machine. Thus, for us to have a comprehensive translation, we must pick a translation of $\mathbb{K}$ in a language sufficiently simple to admit a proof that it is Turing complete; and avoid a language so convoluted as to make it undecidable that they are; and to also avoid a language which isn't Turing-complete as it would yield an incomplete translation.

The lexicon contains some repetitions. First, it contains infinitely many entries and also repeats itself infinitely many times. It also contains redundancies. For example, the french and the english version of wikipedia contains redundancies in knowledge because a translation between the two languages exists. The same effect occurs here; since there exists a translation between any

two universal Turing machines, the lexicon contains redundancies between its translations. It is generally equivalent, knowledge-wise, to take the domain of a specific UTM as a translation of the lexicon. In this case, the elements i are expressed as simple tuples $p_i = (\mathrm{TM}_i, d_i)$. This is what is typically done in the notation employed in computer science, but if a translation (UTM) is selected, then all subsequent definitions need to reference the choice of the translation.

Theorem 1 (Incompleteness Theorem). *Since $\mathbb{K}$ contains the domain of at least one* UTM*, is is undecidable. The proof follows from the domain of a universal Turing machine being non-decidable.*

The theorem implies that the system will never run out of new knowledge to discover.

Theorem 2 (Listing $\mathbb{K}$ by dovetailling). *$\mathbb{K}$ is recursively enumerable.*

Proof. First, let us recursively enumerate a translation $\mathbb{T}$ of $\mathbb{K}$. Consider a dovetail program scheduler which works as follows.

1. Sort the columns of $\mathbb{W} \times \mathbb{W}$ in shortlex, then trace a line across the pairs starting at $(\langle \mathrm{TM}_1 \rangle, d_1)$ then $(\langle \mathrm{TM}_2 \rangle, d_1)$, $(\langle \mathrm{TM}_1 \rangle, d_2)$, $(\langle \mathrm{TM}_2 \rangle, d_2)$, $(\langle \mathrm{TM}_3 \rangle, d_1)$ and so on.

	d_1	d_2	d_3	$\ldots$	
$\langle \mathrm{TM}_1 \rangle$	$(\langle \mathrm{TM}_1 \rangle, d_1)$	$(\langle \mathrm{TM}_1 \rangle, d_2)$	$(\langle \mathrm{TM}_1 \rangle, d_3)$	$\ldots$	(13)
$\langle \mathrm{TM}_2 \rangle$	$(\langle \mathrm{TM}_2 \rangle, d_1)$	$(\langle \mathrm{TM}_2 \rangle, d_2)$	$(\langle \mathrm{TM}_2 \rangle, d_3)$	$\ldots$	(14)
$\langle \mathrm{TM}_3 \rangle$	$(\langle \mathrm{TM}_3 \rangle, d_1)$	$(\langle \mathrm{TM}_3 \rangle, d_2)$	$(\langle \mathrm{TM}_3 \rangle, d_3)$	$\ldots$	(15)
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$	

2. Take the first element of the sort, $\mathrm{DM}(\langle \mathrm{TM}_1 \rangle, d_1)$, then run it for one iteration.

3. Take the second element of the sort, $\mathrm{DM}(\langle \mathrm{TM}_2 \rangle, d_1)$, then run it for one iteration.

4. Go back to the first element, then run it for one more iteration.

5. Take the third element of the sort, $\mathrm{DM}(\langle \mathrm{TM}_1 \rangle, d_2)$, then run it for one iteration.

6. Continue with the pattern, performing iterations one by one, with each cycle adding a new element of the sort.

7. Make note of any pair $(\langle \mathrm{TM}_i \rangle, d_j)$ which halts.

But this is only for a single translation of the lexicon. To capture all possible translations, we apply a version of dovetail algorithm for a triplet $\mathbb{W} \times \mathbb{W} \times \mathbb{W}$. The dovetail algorithm works exactly the same as the case of $\mathbb{W} \times \mathbb{W}$

except we have a 3d grid. The sort starts with $(\langle \mathrm{DM}_1 \rangle, \langle \mathrm{TM}_1 \rangle, d_1)$ then with $(\langle \mathrm{DM}_2 \rangle, \langle \mathrm{TM}_1 \rangle, d_1)$ then with $(\langle \mathrm{DM}_1 \rangle, \langle \mathrm{TM}_2 \rangle, d_1)$ ..., each time applying one more computation step and nothing any program that halts in a list.

$\square$

This scheduling strategy is called dovetailing and allows one to enumerate the domain of a universal Turing machine recursively, without getting stuck by any singular program that may not halt. Progress will eventually be made on all programs... thus producing a recursive enumeration.

Definitionally, the domain of a recursively enumerable function is a set; however in practice and implemented as an algorithm, a dovetailer and other implementations of recursive enumerations produces a sequence of incremental contributions to knowledge, as each new element that halts gets added to a list; the order of which depends on the implementation.

1.2 Incremental Contributions

We will now use the lexicon of knowledge and halting programs to redefine the foundations of mathematics in terms of *incremental contributions* to knowledge, replacing *formal axiomatic systems* — thus as formal systems of knowledge.

In principle, one can use any Turing complete language to re-express mathematics. The task is not particularly difficult but the work can in some cases be substantial. One generally has to build a translator between the two formulation, whose existence is interpreted as a proof of equivalence. For instance, one can write all of mathematics using the english language (if one were so included), or with using set theory (with arbitrary set equipment), or category theory, or using a computer language such as c++, or using arithmetic with multiplication, etc. If the language is Turing complete, then it is as expressive as any other Turing complete language, and a translator is guaranteed to exist. So why pick a particular system over another? This is often due to other conveniences and constraints than pure expressive power. For instance, sets allow us to intuitively express a very large class of mathematical problems quite conveniently. Typical selection criterions are; can we express the problem at hand clearly?, elegantly?, are the solutions also clear and easier to formulate, than in the alternative system?

Here we will use and introduce the incremental contribution formulation of mathematics, and, as we will see, its advantages are stunning. An incremental contribution comprises a group of programs known to halt, and this group of programs defines a specific instance of accumulated mathematical knowledge.

Definition 4 (Incremental Contribution (to Knowledge)). *Let $\mathbb{T}$ be a translation the lexicon of knowledge $\mathbb{K}$. An incremental contribution $\mathbf{m}$ of n halting program is an element of the n-fold Cartesian product of $\mathbb{T}$:*

$$\mathbf{m} \in \mathbb{T}^n \tag{16}$$

The tuple, in principle, can be empty $\mathbf{m} := ()$, finite $n \in \mathbb{N}$ or countably infinite $n = \infty$.

- *Note on the notation: we will designate $p_i = (\mathrm{TM}_i, d_i)$ as an halting program element of $\mathbf{m}$, and $\mathrm{proj}_1(p_i)$ and $\mathrm{proj}_2(p_i)$ designate the first and second projection of the pair p_i, respectively. Thus $\mathrm{proj}_1(p_i)$ is the TM_i associated with p_i, and $\mathrm{proj}_2(p_i)$ is the input d_i associated with p_i. If applied to a tuple or set of pairs, then $\mathrm{proj}_1(\mathbf{m})$ returns the set of all TM in $\mathbf{m}$ and $\mathrm{proj}_2(\mathbf{m})$ returns the set of all inputs d in $\mathbf{m}$.*

The programs comprising the incremental contribution adopt the normal role of both axioms and theorems and form a single verifiable atomic concept constituting a unit of mathematical knowledge. Let us explicitly point out the difference between the literature definition of a formal system and ours: for the former, its theorems are a subset of the sentences of $\mathbb{L}$ provable from the axioms — whereas for a sequence of incremental contributions, its elements are pairs of $\mathbb{C} \times \mathbb{L}$ which halts on a DM.

Let us now explore some of the advantages of using incremental contributions versus formal axiomatic systems. Sequences of incremental contributions are more conductive to a description of the scientific process, including the accumulation of experimental knowledge, than formal axiomatic systems are. Let us take an example. Suppose we wish to represent in real-time, and with live updates, the set of all knowledge produced by a group of mathematicians working in a decentralized manner (perhaps from their offices) over the course of at least many decades, and perhaps even for an indefinite amount of time into the future. Some of the work they produced may build on each others', but it will also be the case that part of their work is incompatible. For instance, some might find contradictions in their assumptions and abandon large segments of their work. As one learns primarily from his or her errors, we may wish to catalogue these contradictions for posterity. Let us first try with formal axiomatic systems. Finding the 'correct' and singular formal axiomatic system to describe the totality of what they have discovered, including abandoned work and contradictions, will be quite a challenge. One challenge occurs whenever a new contradiction is found, as one would need to further isolate it within a wrapper of para-consistent logic, before inclusion within the all-encompassing formal axiomatic system. Another challenge occurs when mathematicians invent new, possibly more elegant, axiomatic basis outright. One would constantly need to adjust his or her proposed all-encompassing formal axiomatic system to account for new discoveries as they are made. Such an axiomatic basis would eventually grow to an unmaintainable level, not unlike the spaghetti codes of the early days of software engineering. And we have not even mentioned the problems spawned by general incompleteness theorems such as those of Gödel and Gregory Chaitin, and the negative resolution to Hilbert's second problem! What if someone proves a statement (using a new axiomatic basis) that is not provable from the "master" axiomatic basis; in this case re-adjustments are perpetually necessary. As mathematicians are a creative bunch, one would never be able to settle on a final axiomatic system as they could always decide to explore

a sector of mathematical space not covered by the current system. Comparatively, using an incremental contribution, the task is much easier: One simply need to push each new discovery at the end of the sequence; no adjustment is ever required after insertion, we never run out of space, and halting programs do not undermine each other even if they internally represent a contradiction. An incremental contribution is the equivalent of an empirical notebook of raw mathematical knowledge.

Formal axiomatic systems do not excel at pure description because they are more akin to an *interpretation* of mathematical knowledge based on a preference of some patterns or tools (we like sets, thus ZFC!, or we prefer categories, thus category theory!). New knowledge and new problems will eventually force one to challenge this preference. Not so with incremental contributions! Incremental contributions are the true starting point of the logical inquiry as they represent a raw description of mathematical knowledge.

We will now explore the concept more rigorously.

1.3   Connection to Formal Axiomatic Systems

We can, of course, connect our incremental contributions formulation of mathematics to the standard formal axiomatic system (FAS) formulation:

Definition 5 (Enumerator (of a FAS)). *Let* FAS *be a formal axiomatic system and let s be a valid sentence of* FAS. *A function* $\text{enumerator}_{\text{FAS}}$ *is an enumerator for* FAS *if it recursively enumerates the theorems of* FAS. *For instance:*

$$\text{enumerator}_{\text{FAS}}(s) = \begin{cases} 1 & \text{FAS} \vdash s \\ \nexists/\text{ does-not-halt} & \text{otherwise} \end{cases} \tag{17}$$

Definition 6 (Domain (of a FAS)). *Let* FAS *be a formal axiomatic system and let* $\text{enumerator}_{\text{FAS}}$ *be a function which recursively enumerates the theorems of* FAS. *Then the domain of* FAS, *denoted as* $\text{Dom}(\text{FAS})$, *is the set of all sentences $s \in \mathbb{L}$ which halts for* $\text{enumerator}_{\text{FAS}}$.

Definition 7 (Formal Axiomatic Representation (of a sequence of incremental contributions)). *Let* FAS *be a formal axiomatic system, let* $\mathbf{m}$ *be a sequence of incremental contributions and let* $\text{enumerator}_{\text{FAS}}$ *be a function which recursively enumerates the theorems of* FAS. *Then* FAS *is a formal axiomatic representation of* $\mathbf{m}$ *iff:*

$$\text{Dom}(\text{FAS}) = \text{proj}_2(\mathbf{m}) \tag{18}$$

Definition 8 (Factual Isomorphism). *Two formal axiomatic systems* FAS_1 *and* FAS_2 *are factually-isomorphic if and only if* $\text{Dom}(\text{FAS}_1) = \text{Dom}(\text{FAS}_2)$.

1.4   Discussion — The Mathematics of Knowledge

Each element of an incremental contribution is a program-input pair representing an algorithm which is known to halt. Let us see a few examples.

How does one know how to tie one's shoes? One knows the algorithm required to produce a knot in the laces of the shoe. How does one train for a new job? One learns the internal procedures of the shop, which are known to produce the result expected by management. How does one impress management? One learns additional skills outside of work and applies them at work to produce results that exceed the expectation of management. How does one create a state in which there is milk in the fridge? One ties his shoes, walks to the store, pays for milk using the bonus from his or her job, then brings the milk back home and finally places it in the fridge. How does a baby learn about object permanence? One plays peak-a-boo repeatedly with a baby, until it ceases to amuse the baby — at which point the algorithm which hides the parent, then shows him or her again, is learned as knowledge. How does one untie his shoes? One simply pulls on the tip of the laces. How does one untie his shoes if, after partial pulling, the knot accidentally tangles itself preventing further pulling? One uses his fingers or nails to untangle the knot, and then tries pulling again.

Knowledge can also be in more abstract form — for instance in the form of a definition that holds for a special case. How does one know that a specific item fits a given definition of a chair? One iterates through all properties referenced by the definition of the chair, each step confirming the item has the given property — then if it does for all properties, it is known to be a chair according to the given definition.

Aesthetics are not settled by knowledge, and thus competing definitions are simultaneously supported as knowledge:

```
fn is_person_heavy(weight: int) -> bool{
 if weight>200{
  return true;
 }
 return false;
}

fn is_person_heavy_alt(weight: int) -> bool{
 if weight>300{
  return true;
 }
 return false;
}
```

One can have knowledge that person A (weight=250) is or isn't heavy according to multiple different definitions, and even if such produces different answers one would not need therapy to recover from the "contradiction". As long as

each definition have a different name, the incremental contribution framework can support them.

In all cases, knowledge is an algorithm along with an input, such that the algorithm halts for it, lest it is not knowledge. The set of all known pairs form an incremental contribution to knowledge.

Let us consider a few edge cases. What if a sequence contains both "A" and "not A" as theorems? For instance, consider:

$$\mathbf{m} := \big((\mathrm{TM}_1, A), (\mathrm{TM}_1, \neg A) \big) \tag{19}$$

Does such a contradiction create a problem? Should we add a few restrictions to avoid this unfortunate scenario? Let us try an experiment to see what happens — specifically, let me try to introduce $A \wedge \neg A$ into my personal knowledge, and then we will evaluate the damage I have been subjected to by this insertion. Consider the following implementation of TM_1:

```
fn main(input: String){
 if p=="A" {
  return;
 }
 if p=="not A"{
  return;
 }
 loop();
}
```

It thus appears that I can have knowledge that the above program halts for both "A" and "not A" and still survive to tell the tale. A-priori, the sentences "A" and "not A" are just symbols. Our reflex to attribute the law of excluded middle to these sentences requires the adoption of a deductive system. This occurs one step further at the selection of a specific formal axiomatic representation of the sequence of incremental contributions, and not at the level of the sequence itself.

The only inconsistency that would create problems for this framework would be a proof that a given halting program both [HALTS] and [NOT HALTS] on a UTM. By definition of a UTM, this cannot happen lest the machine was not a UTM to begin with. Thus, we are expected to be safe from such contradictions.

Now, suppose one has a sizeable sequence of incremental contributions which may contain a plurality of pairs:

$$\mathbf{m} := \big((\mathrm{TM}_1, d_1), (\mathrm{TM}_2, \neg d_1), (\mathrm{TM}_1, d_2), (\mathrm{TM}_2, d_1), (\mathrm{TM}_2, \neg d_3) \big) \tag{20}$$

Here, the negation of some, but not all, is also present across the pairs: in this instance, the theorems d_1 and d_3 are negated but for different premises. What interpretation can we give to such elements of a sequence? For our example,

let us call the sentences d_1, d_2, d_3 the various flavours of ice cream. It could be that the Italians define ice cream in a certain way, and the British define it in a slightly different way. Recall that halting programs are pairs which contain a computable function and a premise. The computable function contains the 'definition' under which the flavour qualifies as real ice cream. A flavour with a large spread is considered real ice cream by most definitions (i.e. vanilla or chocolate ice cream), and one with a tiny spread would be considered real ice cream by only very few definitions (i.e. tofu-based ice cream). Then, within this example, the presence of p_1 and its negation associated with another definition, simply means that tofu-based ice cream is ice cream according to one definition, but not according to another.

Reality is of a complexity such that a one-size-fits-all definition does not work for all concepts, and further competing definitions might exist: a chair may be a chair according to a certain definition, but not according to another. The existence of many definitions for one concept is a part of reality, and a mathematical framework which correctly describes it ought to be sufficiently flexible to handle this, without itself exploding into a contradiction.

Even in the case where both A and its negation $\neg A$ were to be theorems of **m** while also having the same premise, is still knowledge. It means one has verified that said premise is inconsistent. One has to prove to oneself that a given definition is inconsistent by trying it out against multiple instances of a concept, and those 'trials' are each incremental contributions.

1.5 Axiomatic Information

Let us introduce axiomatic information. If any account for the elements of any particular incremental contribution is relegated to having been 'randomly picked', according to a probability measure ρ, from the set of all possible halting programs, then we can quantify the information of the pick using the entropy.

Definition 9 (Axiomatic Information). *Let $\mathbb{Q}$ be a set of halting programs. Then, let $\rho : \mathbb{Q} \to [0, 1]$ be a probability measure that assigns a real in $[0, 1]$ to each program in $\mathbb{Q}$. The axiomatic information of a single element of $\mathbb{Q}$ is quantified as the entropy of ρ:*

$$S = -\sum_{p \in \mathbb{Q}} \rho(p) \ln \rho(p) \tag{21}$$

For instance, a well-known (non-computable) probability measure regarding a sum of prefix-free programs is the Halting probability[8] of computer science:

$$\Omega = \sum_{p \in \mathrm{Dom(UTM)}} 2^{-|p|} \implies \rho(p) = 2^{-|p|} \tag{22}$$

The quantity of axiomatic information (and especially its maximization), rather than any particular set of axioms, will be the primary quantity of interest for the production of a maximally informative theory in this framework.

A strategy to gather mathematical knowledge which picks halting programs according to the probability measure which maximizes the entropy will be a maximally informative strategy.

2   The Formal System of Science

We now assign to our re-formulation of mathematics in terms of incremental contributions, the interpretation of a purely mathematical system of science. As hinted previously, the primary motivation for constructing a system of science follows from the set of knowledge being recursively enumerable (as opposed to decidable) making its enumeration subject to the non-halting problem. Notably, in the general case, halting programs can only be identified by trial and error and this makes the approach irreducibly experimental.

At this point in the paper, I must now warn the reader that almost any of the definitions I choose to present next will likely either quickly induce at least a feeling of uneasiness, or may even trigger an aversion in some readers. First and foremost, let me state that the definitions are, we believe, mathematically correct, scientifically insightful and productive, and thus we elected to fight against this aversion, rather than to deprive ourselves of said definitions. This uneasiness would present itself to a similar intensity regardless of which definition I now choose to present first, and so I might as well pick the simplest one. For instance, let us take the relatively simple definition of the scientific method, which will be:

Definition 10 (Scientific method). *A function which recursively enumerates knowledge, is called a scientific method.*

Mathematically speaking, this is a very simple definition. First, it is indeed purely mathematical, and formal, and in fact coincide with the definition of a universal function (i.e. the UTM theorem) — which is a non-controversial mathematical concept. We have previously defined knowledge as halting programs (this made it comprehensible) and it's domain as that of a universal Turing machine (this made it comprehensive). Now we simply define a recursive enumeration function for said domain and we give it a name. The notion of the scientific method, a previously informal (naive[1]) construction, is now imported into pure mathematics and as such we have produced a net gain for science, compared to not having it.

The features of the scientific method are found implicitly in the definition. Indeed, implicit in said definition lies a requirement for the function to verify the input to be knowledge by running its corresponding program to completion, and reporting success once proven to halt. That it may or may not halt is the hypothesis, and the execution of the function is the 'experiment' which verifies the hypothesis. If an input runs for an abnormally long time, one may try a different hypothesis hoping to reach the conclusion differently. Since knowledge is

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[1]We refer to the word naive in the mathematical sense; i.e. as a theory which is not formalized. No negative connotation are implied.

element-wise infallible, each terminating experiments are formally reproducible as many times as one needs to, to be satisfied of its validity. All of the tenets of the scientific method are implicit in the definition, and its domain is that of knowledge itself, just as we would expect from the scientific method. Finally, the domain of the function is arbitrarily complex and countably infinite, therefore we never run out of new knowledge allowing for a perpetual and never ending application of the scientific method. Mathematically, it is a remarkably simple definition for such an otherwise rich concept.

But outside of mathematical land, the tone gets a bit more grim. Some readers may need a few more definitions before they start feeling the full weight induced by a *total commitment to formalization* on their worldview, but for many this definition will mark that point. Let us give a few comments to illustrate the type and intensity of the aversions that can plausibly be experienced:

1. Those who previously believed, or even nurtured the hope that, reality admitted elements of knowledge that are outside the scientific method *must* now find a flaw in our definitions, lest they have to correct their worldview. As scientific as most people claim to be, this forms a surprisingly large group. The unbiased response is, rather, to appreciate that what they thought was knowledge was in fact fallible (and thus simply a guess), whereas the scientific method does not output guesses, it outputs knowledge (which is infallible).

2. Those who nurture a worldview which is not "reducible" to our definition of knowledge in terms of halting program, *must* now argue that our definition contains gaps of knowledge, lest they have to correct their worldview. But our definition is simply the unique logical construction of knowledge with is both comprehensible and comprehensive. Thus, as comprehensiveness implies no gaps, their worldview is revealed to necessarily contain at least some elements that are incurably incomprehensible, or *it would be reducible* to our definition...

3. The elimination of all naive concepts or notions (no more "magic" or "handwaving") is now required. If one has a worldview that relies upon a plurality of non-formalizable ambiguities, then one's worldview will not survive this formalization. For many, this is interpreted as killing the "fun" or the "imagination" from reality. Since this is the first time a fully formalizable model of reality has been presented, then no one's pre-existing worldview is expected to survive (ouch!).

Does one even stand a chance at maintaining his or her informal (naive) worldview, when facing such definitions? Many of our base definitions were carefully chosen to merely *match* and *rebrand* pre-existing and well respected mathematical definitions; this was a strategic choice to make it incredibly difficult (not to say impossible) to find fatal flaws. In our experience the battery of aversion we typically receive boils down to an equivalent formulation of "I can't find the error, but it *must* be wrong because [my worldview] requires it

to be different" or variations of "I just don't see it, bye!". Of course, no actual pinpointing of a fatal error is ever produced (otherwise we would either correct it, or immediately abandon the project altogether depending on the nature of the error presented).

Consider the alternative for a moment and let us try to be a crowd pleaser. How could we leave room for the obscure so that people to not feel constrained by formalism, while remaining mathematically precise? Should we define the scientific method as a function that recursively enumerates 95% of knowledge, leaving a sympathetic 5% out for love, beauty and poetry? How would we possibly justify this mathematically. Functions which recursively enumerate one hundred percent of the domain do exists; should we just lie to ourselves and pretend they don't? Of course, we cannot. Whether a painting is or isn't beautiful, if not the result of an instantiation of infallible knowledge, is merely a guess. The scientific method does not output guesses, it outputs knowledge.

Now, there is a way to discuss, for instance, beauty scientifically: if one actually works out a precise definition of beauty, such as:

```
fn is_beautiful(painting: Object) -> bool{
    if (painting.colors.count()>=3){
     return true;
    }
    return false;
}
```

Then congratulations, one now has a definition of beauty that is actually comprehensible for the scientific method! The function returns true if the painting has 3 or more colours, otherwise it returns false. The scientific method can now use this definition to output all objects which are "beautiful" according to *said definition.*

Good luck getting everyone to agree to accept *this* definition as the be-all-end-all of beauty. However, all hope is not lost: the set of all halting programs includes the totality of all possible comprehensible definitions of beauty and therefore if a 'good-one' does exists then by necessity of having them all it must be in there, otherwise it simply means the concept is fundamentally non-comprehensible (not formalizable as a halting program). Picking the 'good-one' from the set of all comprehensible definitions of beauty could merely be a social convention based on what everyone concept of beauty coalesces into. Even under this more challenging description, which references a social convention, comprehensible definitions are still found in the purview of the scientific method, as one can use a function such as this:

```
fn is_beautiful(painting: Object, people: Vec<Person>) -> bool{
  for person in people{
   if person.is_beautiful(painting)==true{
    return true;
   }
```

```
  }
  return false;
}
```

This function returns true if at least one person thinks it's beautiful. In this case, the scientific method 'polls' every 'person' in 'people' and asks if the painting is beautiful, and as soon as one says yes, then it returns true, otherwise it returns false. In this case the definition of beauty is comprehensible provided that each 'person' in 'people' also produced a comprehensible implementation of the function **is_beautiful**. The scientific method a-priori has no preference for which definition we end up agreeing (or disagreeing) upon, it simply verifies that which can be verified comprehensibly.

The scientific method's sole purpose is to convert comprehensible questions (or definitions) into knowledge.

Let us return to our discussion on aversion. At the other end of the aversion spectrum, we find some readers (it would be overly optimistic to expect it from all readers, but hopefully some) that accept and understand that the proposed system induces what amounts to a checkmate position for informal (naive) worldview. Of those readers, most will then condition themselves to accept a re-adjustment of their worldview such that it becomes conductive to complete formalisation. This will be no easy task, because many concepts central to mainstream science and physics are *not* formalizable absent of importing what people like Max Tegmark calls physical baggage. For these readers, their desire for formalization is greater than their attachment to their informal (naive) worldview, and they are willing to make the necessary sacrifices to work completely formally. Just like the beginnings of mainstream science quickly displaced (most of) the charlatans and purveyors of quackery physical or medical products, formal science via its definition of knowledge displaces the charlatans and purveyors of informal "intellectual products" who are reliant on ambiguities. Resistance from those purveyors is of course inevitable.

Let us now reprise our lighter tonality to introduce and complete the formal system of science. Although the "magic" is now gone, we hope that the reader can find the will to smile again by immersing himself or herself in the cheerful world of formal terminating protocols, in lieu of said "magic".

2.1 Terminating Protocols (as Knowledge about Nature)

Both *Oxford Languages* and the *Collins dictionary* defines a protocol as

> [Protocol]: A procedure for carrying out a scientific experiment

Comparatively, Wikipedia, interestingly more insightful in this case, describes it as follows:

> [Protocol]: In natural and social science research, a protocol is most commonly a predefined procedural method in the design and implementation of an experiment. Protocols are written whenever

it is desirable to standardize a laboratory method to ensure successful replication of results by others in the same laboratory or by other laboratories. Additionally, and by extension, protocols have the advantage of facilitating the assessment of experimental results through peer review.

The above description precisely hits all the right cords, making it especially delightful as an introduction of the concept. We will now make the case for a new description of nature, or natural processes, which is conductive to complete formalization. Of course, as we did for knowledge, we will require this description of nature to also be comprehensible and comprehensive in the same mathematical sense.

The proposed description will essentially require that one describes nature via the set of all protocols known to have terminated thus far. This type of description has a similar connotation to our previous formulation of mathematics in terms of halting images. This is on purpose; it is so the tools introduced for the former also be usable for the later. The proposed description is further familiar to a requirement well-known to peer-review, and should be already familiar to most readers. In the peer-reviewed literature, the typical requirement regarding the reproducibility of a protocol is that an expert of the field be able to reproduce the experiment, and this is of course a much lower standard than formal reproducibility which is a mathematically precise definition, but nonetheless serves as a good entry-level example.

Hinkelmann, Klaus and Kempthorne, Oscar in 'Design and Analysis of Experiments, Introduction to Experimental Design'[9] note the following:

If two observers appear to be following the same protocol of measurement and they get different results, then we conclude that the specification of the protocol of measurement is incomplete and is susceptible to different implementation by different observers. [...] If a protocol of measurement cannot be specified so that two trained observers cannot obtain essentially the same observation by following the written protocol of measurement, then the measurement process is not well-defined.

In practice it is tolerated to reference undefined, and perhaps even undefinable, physical baggage, as long as 'experts in the field' understand each other. For instance, one can say "take a photon-beam emitter" or one can reference an "electric wire", etc, without having to provide a formal baggage-free definition of either of these concepts. Those definitions of physical objects ultimately tie to a specific product ID, as made by a specific manufacturer, and said ID is often required to be mentioned in the research report explicitly. For the electric wire, a commonly used product, it is perhaps sufficient that the local hardware store sells them, and for more complex products, such as a specific laser or protein solutions, an exact ID from the manufacturer will likely be required for the paper to pass peer-review. If we attempted to explain to, say, an alien from

another universe what an electric wire is, we would struggle unless our neighbourhood chain of hardware stores also as a local office in its universe for it to buy the same type of wire. In computer language terms, we would say we pass the concept of the electric wire to another expert by reference.

Appeal to the concept of 'expert' is a way for us to introduce and to tolerate informality into a protocol without loosing face; as that which is understood by 'experts' does not need to be specified. In a formal system of science we will require a much higher standard of protocol repeatability than merely being communicable to a fellow expert. We aim for mathematically precise definitions. For a protocol to be completely well-defined, the protocol must specify all steps of the experiment including the complete inner workings of any instrumentation used for the experiment. The protocol must be described as an effective method equivalent to an abstract computer program.

Let us now produce a thought experiment to help us understand how this will be done.

2.2   The Universal Verifier (Thought experiment)

Suppose that an industrialist, perhaps unsatisfied with the abysmal record of irreproducible publications in the experimental sciences (i.e. replication crisis), or for other motivations, were to construct what we would call a *Universal Verifier*; that is, a machine able to execute in nature the steps specified by any experimental protocol.

A Universal Verifier shares features with the Universal Constructor of Von-Neumann, as well as some hint of constructor theory concepts, but will be utilized from a different stand-point, making it particularly helpful as a tool to formalize the practice of science and to investigate its scope and limitations self-reflectively. Von-Neumann was particularly interested in the self-replicating features of such a construction, but self-replication will here not our primary focus of interest. Rather, the knowledge producible by such a machine will be our focus.

The Universal Constructor of Von-Neumann is a machine that is able to construct any physical item that can be constructed, including copies of itself. Whereas, a Universal Verifier is a machine that can execute any scientific protocol, and thus perform any scientific experiment. Of course, both machines are subject to the halting problem, and thus a non-terminating protocols (or an attempt to construct the non-constructible in the case of the Universal Constructor) will cause the machine to run forever.

Both the machine and the constructor can be seen as the equivalent of each other. Indeed, it is the case that a Universal Constructor is also a Universal Verifier (as said constructor can build a laboratory in which an arbitrary protocol is executed), and a Universal Verifier is also a Universal Constructor (as a protocol could call for the construction of a Universal Constructor, or even for a copy of itself, to experiment on).

Specifically, a Universal Verifier produces a result if the protocol it is instructed to follows terminates. A realization of such a machine would com-

prise possibly wheels or legs for movement, robotic arms and fingers for object manipulation, a vision system and other robotic appendages suitable for both microscopic and macroscopic manipulation. It must have memory in sufficient quantity to hold a copy of the protocol and a computing unit able to work out the steps and direct the appendages so that the protocol is realized in nature. It must be able to construct a computer, or more abstractly a Turing machine, and run computer simulation or other numerical calculation as may be specified by the protocol. The machine can thus conduct computer simulations as well as physical experiments. Finally, the machine must have the means to print out, or otherwise communicate electronically, the result (if any) of the experiment. Such result may be in the form of a numerical output, a series of measurements or even pictures where appropriate.

Toy models are easily able to implement universal verifiers; for instance Von Neumann, to define an implementation universal constructor, created a 2-D grid 'universe', allocated a state to each element of the grid, then defined various simple rules of state-transformations, and showed that said rule applied on said grid allowed for various initial grid setups in which a constructor creates copies of itself. Popular games, such as Conway's Game of Life are able to support self-replication and even the implementation of a universal Turing machine, and thus would admit specific implementations of universal verifiers. In real life, the human body (along with its brain) is the closest machine I can think of that could act as a general verifier of experiments.

How would a theoretical physicist work with such a machine?

To put the machine to good use, a theoretical physicist must first write a protocol as a series of steps the machine can understand. For instance, the machine can include **move** instructions, using it to move its appendages in certain ways as well as a **capture** instruction to take snapshots of its environment, etc. In any case, the physicist will produce a sequence of instructions for the machine to execute. The physicist would also specify an initial setup, known as the *preparation*, such that the protocol is applied to a well-defined initial condition. The initial condition is specified in the list of instructions, as such it is created by the machine making the full experiment completely reproducible. Finally, the physicist would then upload the protocol to the machine, and wait for the output to be produced.

The mathematical definition of the protocol is as follows:

Definition 11 (Protocol)**.** *A protocol is defined as a computable function:*

$$\begin{array}{cccc} \text{prot} & : & \mathbb{L} & \longrightarrow & \mathbb{W} \\ & & \text{prep} & \longmapsto & r \end{array} \tag{23}$$

- *The domain of the protocol* $\text{Dom}(\text{prot})$ *includes the set of all preparations which terminates for it.*

Let us now define the Universal Verifier. A Universal Verifier is able to construct any preparation and execute any protocol on it. If a protocol does

not terminate, then the Universal Verifier will run forever, hence it is subject to the non-halting problem.

Definition 12 (Universal Verifier). *Let* $\langle\text{prot}\rangle$*, the description of a protocol, and* prep*, the preparation, both be sentences of a language* $\mathbb{L}$*, called the instructions. Then a Universal Verifier is defined as:*

$$\text{UV}(\langle\text{prot}\rangle, \text{prep}) \simeq \text{prot}(\text{prep}) \tag{24}$$

To be universal, the relation must of course hold for all possible protocols, and all possible preparations.

Definition 13 (Domain of science). *We note* $\mathbb{D}$ *as the domain of science. The domain of science is the set of all terminating protocols for all universal Verifiers. Since all* UV *are universal computable functions, then at least* $\mathbb{D} \subset \mathbb{K}$*; but the converse is false if one or more universal computable function is not a* UV*. Finally we also note a translation of the domain of science as* $\mathbb{T}$*.*

Definition 14 (Experimental Contribution (to Knowledge)). *An experimental contribution to knowledge is a tuple of n elements of* $\text{Dom}(\text{UV})$*:*

$$\mathbf{m} := \big(\text{Dom}(\text{UV})\big)^n \tag{25}$$

- *An experimental contribution to knowledge only contains protocol-preparation pairs that have terminated.*

- *An experimental contribution to knowledge corresponds, intuitively, to a sequence of related or unrelated experiments, that have been verified by the machine.*

- *An experimental contribution to knowledge corresponds to an instance of natural knowledge (knowledge about nature). It represents knowledge in the epistemological sense because the protocols maps to halting programs, and knowledge about nature specifically, because the machine performs the requested experiment in nature... just like an experimentalist would.*

- *Finally, as the set of knowledge is comprehensive, then all systems which admits knowledge, physical or otherwise, can be represented in the form of a specific experimental contribution associated to a specific verifier, and said contribution constitutes a complete representation of the knowledge said system has produced thus far for its operator.*

For a UV to execute a protocol, both the protocol and its preparation must be described without ambiguity. Physical baggage such as a camera cannot be referenced informally in the specifications of the protocol, otherwise the UV cannot construct it. If the protocol calls for the usage of a camera, then the behaviour of the camera must also be specified without ambiguity in formal terms within the instructions. Consequently, all rules and/or physical laws which are

required to be known, including any initial conditions, must be precisely provided in the description, so that the UV can construct the experiment. For some highly convoluted experiments, such as : "is this a good recipe for apple pie?"... the aphorism from Carl Sagan "If you wish to make an apple pie from scratch, you must first invent the universe" is adopted quite literally by the universal verifier. The Universal Verifier must create (or at least simulate) the universe, let interstellar matter accretes into stars, let biological evolution run its course, then finally conduct the experiment once the required actors are in play by feeding them apple pie. For a universal verifier, certain protocols, due to their requirement for arbitrary complex contexts or general protocol complexity, cannot be created more efficiently than from literal scratch and by going through the full sequence of events until the end of the experiment.

2.3 Classification of Scientific Theories

Definition 15 (Scientific Theory). *Let* $\mathbf{m}$ *be an experimental contribution by* UV*, and let* ST *be a formal axiomatic system. If*

$$\mathrm{proj}_2(\mathbf{m}) \cap \mathrm{Dom}(\mathrm{ST}) \neq \varnothing \tag{26}$$

then ST *is a scientific theory of* $\mathbf{m}$.

Definition 16 (Empirical Theory). *Let* $\mathbf{m}$ *be an experimental contribution by* UV *and let* ST *be a scientific theory. If*

$$\mathrm{proj}_2(\mathbf{m}) = \mathrm{Dom}(\mathrm{ST}) \tag{27}$$

then ST *is an empirical theory of* $\mathbf{m}$.

Definition 17 (Scientific Field). *Let* $\mathbf{m}$ *be an experimental contribution by* UV *and let* ST *be a scientific theory. If*

$$\mathrm{Dom}(\mathrm{ST}) \subset \mathrm{proj}_2(\mathbf{m}) \tag{28}$$

then ST *is a scientific field of* $\mathbf{m}$.

Definition 18 (Predictive Theory). *Let* $\mathbf{m}$ *be an experimental contribution by* UV *and let* ST *be a scientific theory. If*

$$\mathrm{proj}_2(\mathbf{m}) \subset \mathrm{Dom}(\mathrm{ST}) \tag{29}$$

then ST *is a predictive theory of* $\mathbf{m}$.
Specifically, the predictions of ST *are given as follows:*

$$\mathbb{S} := \mathrm{Dom}(\mathrm{ST}) \setminus \mathrm{proj}_2(\mathbf{m}) \tag{30}$$

Scientific theories that are predictive theories are supported by experiments, but may diverge outside of this support.

2.4   The Fundamental Theorem of Science

With these definitions we can prove, from first principle, that the possibility of falsification is a necessary consequence of the scientific method.

Theorem 3 (The Fundamental Theorem of Science)**.** *Let $\mathbf{m}_1$ and $\mathbf{m}_2$ be two experimental contributions to knowledge, such that the premises of the former are a subset of the later:* $\mathrm{proj}_2(\mathbf{m}_1) \subset \mathrm{proj}_2(\mathbf{m}_2)$. *If ET_2 is an empirical theory of $\mathbf{m}_2$, then it follows that ET_2 is a predictive theory of $\mathbf{m}_1$. Finally, up to factual-isomorphism, $\mathrm{Dom}(\mathrm{ET}_2)$ has measure 0 over the set of all distinct domains spawned by the predictive theories of $\mathbf{m}_2$.*

Proof. $\mathrm{Dom}(\mathrm{ET}_2)$ is unique up to factual-isomorphism. Yet, the number of distinct domains spawned by the set of all possible predictive theories of $\mathbf{m}_1$ is infinite. Finally, the measure of one element of an infinite set is 0. □

Consequently, the fundamental theorem of science leads to the concept of falsification, as commonly understood in the philosophy of science and as given in the sense of Popper. It is (almost) certain that a predictive scientific theory will eventually be falsified.

2.5   Final Details

Definition 19 (Knowledge Space)**.** *Let $\mathbf{m}$ be an experimental contribution comprised of n terminating protocols, and let $\mathbb{M}_{\mathbf{m}} = \bigcup_{i=1}^{n} \mathrm{proj}_i(\mathbf{m})$ be the set comprised of the elements of $\mathbf{m}$. The knowledge space $\mathbb{E}$ of $\mathbf{m}$ is the "powertuple" of $\mathbf{m}$:*

$$\mathbb{E}_{\mathbf{m}} := \bigcup_{i=0}^{n} (\mathbb{M}_{\mathbf{m}})^i \tag{31}$$

- *Conceptually, a powertuple is similar to a powerset where the notion of the set is replaced by that of the tuple.*

- *Put simply, the knowledge space of $\mathbf{m}$ is the set of all possible experimental contributions (including the empty experimental contribution) that can be built from $\mathbf{m}$.*

- *All elements of a knowledge space are experimental contributions, and all "sub-tuples" of an experimental contributions are elements of its space.*

3   A Formal Theory of the Observer

Biology has the organism, microbiology the cell and chemistry the molecule, but what about physics, what is its fundamental object of study? Is it the planets (16th century), is it mechanics (17th century), is it thermodynamics (18th century), is it electromagnetism (19th century), is it quantum mechanics

and special relativity (early 20th century) or is it general relativity, quantum field theory, the standard model and cosmology (20th century). Is it broadly what we haven't figured out about nature yet? Or is it permissively anything physicists do?

Here, we will set the foundation of our theory of physics. The first step will be to use our formal system of science to define the observer, then physics will be self-reflectively entailed by said definition. Physics will be revealed as the science of what the observer can or cannot do in nature. In our theory of physics, the observer will be the fundamental object of study.

Let us first attempt to fix the intuition by taking the example of a generic theory of the electron. To understand the electron, one must experiment on the electron. For instance, in a lab, one could power electricity into a wire, undertake spin measurements, perform double-slits experiments or magnetism experiments, etc. All of these experiments build up the knowledge of the electron's behaviour and properties. Eventually with enough accumulated knowledge, one can formulate a theory of the electron, which describes its behaviour and properties. The theory of the electron is considered a physical theory by association, because it applies to the electron, which by definition is a physical particle.

We now invite the reader to think of our theory of the observer along the same lines, except we replace the word 'electron' with the word 'observer'. Instead of experimenting on the electron, we experiment on the observer. Instead of a few targeted experiment in the lab, we target all possible experiments this observer could do. Instead of recovering, say, the Schrödinger equation which grounds the behaviour of the electron, we get a comprehensive theory of fundamental physics which grounds the participation of the observer in nature.

But where the electron only knows a few tricks, the scope of possible observer participation is a coalescence of three mathematically related but philosophically distinct concepts: the Universal Turing Machine, the Universal Constructor and the Universal Verifier, and thus is able to account for all construction and verification rules whether physical, simulated or mathematical and over all possible knowledge-bearing states of any possible systems. The observer has Turing-complete participatory freedom.

Let us note that despite the comprehensive scope the theory, the definition of the observer will be remarkably simple. However, perhaps as a victim of its own axiomatic simplicity, it can be difficult to understand why it works so simply, why it works so completely and, last but not least, why it works at all, and thus challengingly runs counters to many's intuitions and expectations. We advise the reader to read this part along with the main result multiple times and as needed, as it significantly helps train and re-train one's intuition to accommodate the new information, the techniques and the strategies that are at play in this derivation.

3.1 A Theory of the Observer

The departure here from typical practice and intuition is exceptional; let us note that the observer in modern theoretical physics is considered by many to be the last element of quantum physics that is not yet mathematically integrated into the formalism. Whereas here, is it the *only* axiom that we define, and is sufficient by itself to entail fundamental physics.

Axiom 1 (Observer). *An observer of* $\mathbf{m}$*, denoted as* $\mathcal{O}$*, is a measure space over the knowledge space of* $\mathbf{m}$*:*

$$\mathcal{O} := (\mathbf{m}, \mathbb{E}, \rho : \mathbb{E} \to [0, 1]) \tag{32}$$

where ρ *is a probability measure,* $\mathbf{m}$ *is an experimental contribution, and* $\mathbb{E}$ *is the knowledge space of* $\mathbf{m}$*.*

We note that, unlike traditional measure theory in mathematics, here our definition of the measure is over tuples rather than sets. A prescription to tackle such a measure will be given in the main result section.

Axiom 1 is as close as one can get to the definition of an observer as "one who acquires knowledge", while still retaining a degree of generality and precision sufficient to claim fundamental physics self-reflectively from said definition. Just like we did earlier with a minimalistic definition of the scientific method as a recursive enumeration of the domain of science, and then showed that the richness of the concept was implicit in the relatively simple definition, here a similar richness will be recovered for fundamental physics as a consequence of this definition.

To obtain the laws of physics, in an exact formulation, we will have to maximize the entropy of the measure referenced in axiom 1. Maximizing the entropy of a measure over a power-tuple rather than a power-set requires a technical prescription which is given in the main result section. As for the context, we will not think of the entropy in terms of the simplified notion common in introductory physics as a 'measure of disorder', rather we will think of it as a quantification of information in the sense of Claude Shannon. In this context, the information acquired by the observer following a measure adopts the role of a message that fixes the newly acquired knowledge into a new state describing the knowledge of the observer. The appropriate model of nature, as equations that govern the evolution of the state of the observer, will automatically emerge from the entropic optimization of this message. Finally, let us note that the fundamental physics are a specialization of the definition of the observer, in the sense that an observer is a measure space over halting space, and the laws of physics will be its entropy-maximized version:

Thesis 1 (Fundamental Physics). *The probability measure that maximizes the entropy of* $\mathcal{O}$ *constitutes the fundamental physics, or simply 'the laws of physics'.*

We note that our definition of fundamental physics is a probability measure. It is not given as a pre-formulated law such as $F = ma$. That is not to say that

laws do not come into play; but when they do they are derived from this measure, and not brutely postulated. Taking an example of statistical mechanics, the ideal gas law $PV = nRT$ can be derived from the Gibbs measure as an equation of state under the appropriate energy and volume constraint on entropy. In the present case, derived laws become the logical equivalent of a statement on what the observer can or cannot do to remain consistent with its own definition and state.

Let us also clarify that axiomatic information does not represent knowledge itself, rather it encodes the *state* of knowledge of the observer. We distinguish knowledge (which is infallible once known) from information (which tells us which subtuple the observer has measured).

3.2 Discussion

3.2.1 Science

To introduce falsification within a formal system of science, the notion of knowledge being infallible is critical. It is the reason why we can be certain that acquiring new knowledge does in fact necessarily falsify any conflicting models. If our knowledge was uncertain, we would simply be perpetually juggling the probabilistic weights of various hypotheses and models, and no model could ever be falsified. With this in mind, let us correct a terminology error made by Karl Popper. A core tenet of Karl Popper's philosophy is that scientific *knowledge* is always transitory, and so a scientific theory would be subject to falsification. The correction is minor, but nonetheless leads to substantial clarifications. The correction is on the usage of the term knowledge; knowledge is not transitory rather it is the models that are. Models are entailed by knowledge, as such they do not entail it in return. In fact, when acquiring new knowledge, if the model conflicts with it, then the model always loses the tug of war because the former is infallible while the later isn't. The correct terminology is that scientific *models* (not knowledge) are transitory because knowledge (which isn't transitory) takes precedence over the conflicting model.

Karl Popper's extended philosophy is correct in regards to scientific theories (e.g. biology, economic science, psychology, etc.), but physics as it would is a different beast altogether. The difference between the two stands out when we investigate their relationship to our newly formalized observer. For instance, if an observer "violates" a scientific theory, then said theory is simply falsified. This happens every once in a while, and other than perhaps a bruised ego, not much harm is done. Whereas, if an observer were to violate the laws of physics, presumably all hell would break loose. Of course, without a formal system of science, we have historically constructed our laws of physics the same way as any other scientific theory assuming they are of the same category, and thus the difference was unnoticed, but with a formal system of science we can pinpoint the difference. A scientific theory is purely explanatory in nature because it involves a choice of formal axiomatic representation of the experimental contribution, and it is this choice that is falsified when facing conflicting knowledge. Whereas,

the observer cannot violate the fundamental physics without ceasing to be a measure space of knowledge, and thus violating its own definition. There is a self-referential component from the observer onto physics, which is absent from mere scientific theories, that makes the fundamental physics inviolable to the observer, whereas the scientific theory is only falsifiable to the observer hence not inviolable.

In mathematics we typically welcome newfound clear-cut delimitations between previously overlapping concepts. For instance, chemistry overlaps with physics significantly, and so does biology via bio-physics. What is the exact split, if any, or is everything physics? With our system, we now know the difference; scientific theories are entailed by knowledge, whereas fundamental physics is entailed by the definition of the observer. A word of caution however; in practice one could always demand that we subject Axiom 1, and its predictions, to the falsification process, and thus physics, via the definition of the observer remains falsifiable and predictive despite being of a different class. Thus and although to the untrained eye they may appear the same from their many similarities, physics is the unique member of a special class of falsifiable theories. The similarities are enough so that we probably do not have to rewrite the entire philosophy on the matter, but at the mathematical level special care must be taken.

This difference carries over with respect to the techniques used to falsify physics. Physics, although falsifiable as we just said, is not subject to the fundamental theorem of science which applies only to formal axiomatic representations of experimental contributions and is responsible for a common scientific theory being falsifiable. For physics, a special falsification theorem must be created, and such must start with the definition of the observer rather than with the elements of the experimental contribution. The resulting falsification theorem will be more challenging than the first, simply because the observer is a measure space and this is a more challenging mathematical object to work with than a mere enumeration. To falsify a common scientific theory via the fundamental theorem of science, it suffices to identify a halting program within the experimental manifest that is not entailed by it. For instance, J.B.S. Haldane one of the founders of evolutionary biology reportedly stated that finding the fossils of a rabbit in the Precambrian would falsify the theory of evolution. This is a binary yes/no type of falsification. Whereas, since physics is entailed by a measure space, which in inherently probabilistic, falsifying physics will involve the use of probabilities. Specifically, we will find that repeat experiments over multiple copies of identical preparations, such that a probability distribution can be extracted from a plurality of measurements, will be required to test or falsify physics by comparing it to expectation values it predicts.

3.2.2 Observer

The reader will notice that Axiom 1 does not reference a plurality of observers, rather it postulates what amounts to a *singleton observer*, the implication being that the laws of physics require only a single observer to be defined against

and understood. This should be the expected result as it avoids a battery of observer-related paradoxes, but ouch if the intent is misunderstood. Let us explain the term, and then we will discuss its motivation and attempt to address the concerns. The term singleton is imported from software engineering, where the singleton pattern refers to a design pattern of object-oriented programming in which a class can only be instantiated once. Singleton does not mean that the program itself can only be ran once, it only means that each running copy has only one instantiation of its singleton variables within its memory. Our theory can "run" multiple times, thus admitting multiple observers, and the singleton observer axiom is not designed to prevent that; it simply means that for each execution, the theory is formulated from the perspective of said singleton observer. The singleton observer is "I" from my perspective, and "you" from your perspective, and "him" from his perspective, etc. To be explicit, it is not a universal observer neither it is God — just you, him, her or I. The singleton observer is a mathematical description of who "I" am that also conveniently formalizes the set of tools "I" have access to in order to understand or participate in nature. Finally, let us note that if we find it reasonable to expect that physics ought to work for any number of observers between 1 and infinity; then physics must also work with just one — hence, just like Peano's axiom only postulate the first natural number, here the singleton observer is the base axiom and others are entailed by the framework.

First, let us explain exactly how the theory is intended to support other observers from the perspective of the singleton observer. Their existence will be evidence-derived rather than postulated. Other observers, if they exist, can and will be derived by the singleton observer the same way any other facts are investigated, by merely inspecting the experimental contribution and weighting the evidence for them, and thus do not need to be postulated. Do we also need to postulate rocks, trees, or bees — or can we accept that their existence will be derived conditional upon the scientific evidence, and if so why not demand the same in regards to evidence for other observers? Indeed, psychologically and developmental-wise, this is what happens naturally as an infant matures and over time develops a theory of the mind to assess the motivations and the decision-making strategies of others — Infant solipsism (Piaget). Evidence for other observers is identified by inspection of all available evidence and builds over time and is the subject of the scientific method. To include other observers via postulation would be to erase said developmental steps from the scientific method, or at least eliminate the necessity of a laborious but insightful derivation thereof by virtue of having reduced them to mere postulation, and would result in a lesser and incorrect representation of reality.

Secondly, one must remember the role of axioms. Axioms are the *logical minimal* required to derive a theory and are intended to be free of any redundancy. They are not a collection of desiratas, nor are they designed to make the world a better place than it is. Not only do we not need a plurality thereof, if we made the world conditional upon multiple axiomatic observers, the thesis becomes nonsensical:

- We would be claiming that at least two observers are needed to entail the laws of physics... can an observer, when working alone, violate the laws of physics, but can't if working as a team... ?

This appears to us as a though sale. Just like quantum theory should work for one or any number of particles, the laws of physics should logically be definable against only one observer if need be, or any other number, because they limit what each observer can individually do or cannot do. Team work, although socially beneficial, does not in this case prevail against the laws of physics.

Let us now discuss a relevant physics experiment: Wigner's friend experiment. The Wigner's friend experiment supposes that an observer F measures a wave-function $|\psi\rangle = \alpha|\phi_1\rangle + \beta|\phi_2\rangle$ to be in state $|\phi_1\rangle$ or $|\phi_2\rangle$, with probability $|\alpha|^2$ and $|\beta|^2$ respectively, that F notes the result somewhere in his laboratory, but refrains from advising another observer W of the result. This other observer, then understands the wave-function of the laboratory in which F performed his measurement to retain the superposition of $|\psi\rangle$. Whether the system is or isn't in a superposition appears to be resolved at different times for each observer; F sees the collapse at the instant of measure, but W sees it only after F choose to share his notes with him. This is the paradox. A commonly proposed resolution is that superposition does not occur in macroscopic objects, and the reproduction of this experiment in a microscopic system would appear less paradoxical. In his original paper Wigner stated another possible resolution: "All this is quite satisfactory: the theory of measurement, direct or indirect, is logically consistent so long as I maintain my privileged position as ultimate observer". Historically, this has not been the preferred interpretation because of the obvious resistance to adopting the notion of a universal observer. Here however the observer is not a universal observer but merely formulated as a singleton just like all the others. The paradox entailed by Wigner's friend experiment automatically dissipates in a singleton observer formulation. In reality, after observer F notes then hides the result, it acts a glorified hidden variable theory with respect to W and this is ruled out by Bell's inequality; thus F cannot cause $|\psi\rangle$ to collapse at any time other than simultaneously for all observers. Likewise in our system, the problem dissipates because the wave-function is formulated from the perspective of the singleton observer.

Finally, why did we present the singleton observer as an axiom, and not say, as a definition? An axiom implies one could claim it to be false, and technically speaking this is indeed possible. For instance, one simply has to state they do not believe they exist as an observer, and as we would only have their testimony to go by since the singleton observer is postulated, the scientific method would be powerless to prove the claim wrong. The question "what if axiom 1 is false" is answered amicably with "then you are not an observer", and we move on. The other, slightly more challenging, formulation of the same question is "what if axiom 1 is *incorrect*" (in the sense that the measure space definition of the singleton observer is the wrong one to use, but the singleton observer might still otherwise be the correct general approach). In this case we would simply get, proportionally to a how wrong our definition is, the wrong laws of physics,

which is why we claimed earlier physics is also subject to falsification. We do not exclude that, in principle, future experiments may confirm, or force us to adjust, the mathematical structure of axiom 1. Consequently, our physical theory of the observer is falsifiable.

3.2.3 Ontology of Quantum Physics

In the (Discussion — Science) section, we have stated that a scientific model is entailed by knowledge, but that it does not itself entail knowledge. Phrasing it like this however makes it sounds like models are vacuous... This is not the case as they do provide value, but we do not call this value knowledge, rather we call it insight. For instance, it is the case that natural selection is an insightful model of bio-diversity, but does it give us knowledge of bio-diversity? — or, it is knowledge of bio-diversity that gives us natural selection? Consider these statements:

1. (The model of) natural selection entails knowledge of bio-diversity.

2. Knowledge of bio-diversity entails (the model of) natural selection.

In our system, the entailment is always as follows:

$$\text{Knowledge} \implies \text{Model} \tag{33}$$

Consequently, it is only the second statement that is correct.

Taking this perspective for all scientific models may in some case appear exaggerated, but that is only an appearance; it is in fact necessary to insist. Let us take a more counter-intuitive example:

1. (The model of) gravity entails knowledge of objects falling.

2. Knowledge of objects falling entails (the model of) gravity.

Here we are dealing with that is traditionally considered a law of physics rather than a purely scientific theory, and thus some more easily confuse the model with reality. It is very common to encounter the reflex to say that it is first that is true, and the second is ridiculous because gravity obviously causes objects to fall. However, here as well only the second one can be sustained. It is knowledge of objects falling that caused Newton to produce the model of gravity as a scientific theory. Gravity may be a logically equivalent representation of the sum-total of all falling objects; but the world is not gravity, the world is the sum-total of all falling objects.

It is in regards to the interpretation of quantum physics that understanding and accepting the correct entailment pays the most unclaimed dividend. Now, consider the following statements; which one is true?

1. Measuring a wave-function $|\psi\rangle = \alpha |\phi_1\rangle + \beta |\phi_1\rangle$ caused it to collapse to $|\phi_1\rangle$ or to $|\phi_2\rangle$.

2. Registering 'clicks' such as $|\phi_1\rangle$ or $|\phi_2\rangle$ on an incidence counter causes us to derive $|\psi\rangle = \alpha |\phi_1\rangle + \beta |\psi_1\rangle$ as a statistical model of the clicks.

As before, our system demands that the second be the correct entailment. This constitutes the ontology of quantum mechanics within our system: 'clicks' exists and the wave-function is derived. More completely, the world is not a wave-function nor it is gravity, the world is a cloud of 'clicks' and a sum-total of falling objects... Let us now investigate in the following section the consequences of this distinction in the context of the interpretation of quantum physics and on the wave-function collapse problem.

3.2.4   Interpretation of Quantum Physics

In our main result we will see that maximizing the entropy of our definition of the observer produces the wave-function along with the Born rule as the measure. We elected to discuss the interpretation before the main result because our feedback was that it is too abstract without conceptual decorations. It is probably beneficial for the reader to read this section twice; once right now, and another time after reading the main result.

First let us review statistical mechanics which also maximizes its entropy to obtain its measure. In statistical mechanics, constraints on the entropy are associated to instruments acting on the system. For instance, an energy constraint on the entropy:

$$\overline{E} = \sum_{q \in \mathbb{Q}} \rho(q) E(q) \tag{34}$$

is interpreted physically as an energy-meter measuring the system and producing a series of energy measurement $E_1, E_2, \ldots$ converging to an average value $\overline{E}$.

Another common constraint is that of the volume:

$$\overline{V} = \sum_{q \in \mathbb{Q}} \rho(q) V(q) \tag{35}$$

associated to a volume-meter acting on the system and converging towards an average volume value $\overline{V}$, also by producing a sequence of measurements of the volume $V_1, V_2, \ldots$.

With these two constraints, the typical system of statistical mechanics is obtained by maximizing the entropy using its corresponding Lagrange equation, and the method of the Lagrange multipliers:

$$\mathcal{L} = -k_B \sum_{q \in \mathbb{Q}} \rho(q) \ln \rho(q) + \left(1 - \sum_{q \in \mathbb{Q}} \rho(q) \right) + \beta \left(\overline{E} - \sum_{q \in \mathbb{Q}} \rho(q) E(q) \right) + p\beta \left(\overline{V} - \sum_{q \in \mathbb{Q}} \rho(q) V(q) \right) \tag{36}$$

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and then solving $\frac{\partial \mathcal{L}}{\partial \rho} = 0$ for ρ, we get the Gibbs measure is:

$$\rho(q, \beta, p) = \frac{1}{Z} \exp\big(-\beta(E(q) + pV(q))\big) \tag{37}$$

We will now discuss an interpretation of quantum mechanics with strong parallels to statistical mechanics, but extended to account for the usage of the trace and matrices; essentially the entropy is maximized under the constraint of *measurement-events* collected by *phase-invariant instruments*, and this yields the wave-function along with the Born rule automatically as the statistical model. Using the trace follows the prescription of the main result in order to define a measure over a space of tuples (instead of sets).

Instead of an energy-meter or a volume-meter, consider a phase-invariant instrument, such that the constraint it induces on the entropy is given as follows:

$$\mathrm{tr} \begin{pmatrix} \overline{a} & -\overline{b} \\ \overline{b} & \overline{a} \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) \, \mathrm{tr} \begin{pmatrix} a(q) & -b(q) \\ b(q) & (a) \end{pmatrix} \tag{38}$$

where $\begin{pmatrix} a(q) & -b(q) \\ b(q) & a(q) \end{pmatrix} \cong a(q) + ib(q)$

Here, the purpose of the trace is to enforce the phase-invariance of the instrument. The corresponding Lagrangian equation to maximize the entropy in this case will be:

$$\mathcal{L} = -\sum_{q \in \mathbb{Q}} \rho(q) \ln(q) + \alpha \left(1 - \sum_{q \in \mathbb{Q}} \rho(q)\right) + \tau \left(\mathrm{tr} \begin{pmatrix} \overline{a} & -\overline{b} \\ \overline{b} & \overline{a} \end{pmatrix} - \sum_{q \in \mathbb{Q}} \rho(q) \, \mathrm{tr} \begin{pmatrix} a(q) & -b(q) \\ b(q) & a(q) \end{pmatrix} \right) \tag{39}$$

Maximizing the entropy under these types of constraints does indeed produces the probability measure of the wave-function along with the Born rule. But it is derived using the same technique as we would for any other system of statistical mechanics. Solving ρ for $\frac{\partial \mathcal{L}}{\partial \rho(q)} = 0$ gives (in lieu of the Gibbs measure):

$$\rho(q) = \frac{1}{Z} \exp \mathrm{tr}\, \tau \begin{pmatrix} a(q) & -b(q) \\ b(q) & a(q) \end{pmatrix} \tag{40}$$

$$= \frac{1}{Z} \det \exp \tau \begin{pmatrix} a(q) & -b(q) \\ b(q) & a(q) \end{pmatrix} \tag{41}$$

$$\cong \exp 2\tau a(q) |\exp i\tau b(q)|^2 \qquad \text{Born rule} \tag{42}$$

In this scenario, the interpretation will simply become that of an instrument performing a sequence of measurements on the system such that an average

value is obtained, but instead of the simpler scalar instruments typically used in statistical mechanics, here we have a phase-invariant instrument. What is an example of such a detector; quite simply an incidence-counter or a single-photon detector would be one. Such an instrument produces a sequence of incidences ('clicks') as photons are detected and "advanced features" such as an interference pattern is a consequence of this phase-invariance.

Now, let us compare how the standard interpretations of quantum mechanics addresses the measurement problem, versus our interpretation:

$$\text{Standard:} \quad \text{Measurement}(\underbrace{\text{wave-function}}_{\text{Axiomatic}}) \quad \Longrightarrow \quad \underbrace{\text{'click'}}_{\text{collapse problem?}} \quad (43)$$

$$\text{Ours:} \quad \text{Max-Entropy}(\underbrace{\text{'clicks' + Experiments}}_{\text{Axiomatic}}) \quad \Longrightarrow \quad \underbrace{\text{wave-function}}_{\text{derived}} \quad (44)$$

In the more precise formulation, the notion of 'experiments' also plays a role; this is how we will recover the appropriate sequence of unitary transformations corresponding to the protocol applied to the wave-function as well as its initial preparation. We will return to that after the main result.

Our interpretation is the reverse of the standard quantum mechanical interpretation. In our interpretation, we maximize the entropy of the clicks to get the wave-function, whereas in the standard interpretations we measure the wave-function to get the clicks. However, the measurement operation is problematic, and introduces the problem of the wave-function collapse; whereas the maximization of entropy is a non-problematic operation. The ontology of our interpretation matches that which is the given in nature (experiments + 'clicks'), and entails the model (wave-function). In our system, a wave-function collapse is never encountered because the wave-function is always *entailed* from clicks. The collapse problem is merely an artefact of an inverted axiomatic construction.

Statistical mechanics typically makes a distinction between a macroscopic state and its possible microscopic states. For instance in a continuous classical system, the possible positions and momenta of the molecules of air that occupy the volume of a box each constitute a microscopic state, and the system admits a macroscopic description in terms of a law of physics, or equation of state — in the example of an ideal gas the equation of state is $PV = nRT$. Using this conceptual basis, we would understand our observer to be a microscopic description of the state of the system; the observer knowns the microscopic state (i.e. the results of measurements), and the laws of physics will be attributed to the macroscopic description as an evolution of a superposition of possible measurements. Specifically, the correspondence is as follows:

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	Statistical Mechanics	Statistical System of 'clicks'	
Entropy	Boltzmann	Shannon	(45)
Measure	Gibbs	Born Rule on wave-function	(46)
Micro-state	Energy values	Measurements results ('clicks')	(47)
Macro-state	Equation of state	Evolution of the wave-function	(48)
Constraint	Energy meter	Phase-invariant instrument	(49)
Hypothesis*	Ergodic	Single Message	(50)

*The table shows the correspondence between the two theories, but the elements of the last entry are sufficiently conceptually different to discuss them: In statistical mechanics it is often assumed that the system, say the molecules of air in a box, permutes over the possible micro-states of the system (Ergodic hypothesis); whereas here and using the Shannon entropy, there is no such permutation — the observer "experiences" the micro-state as a message of measurement results; the information gained by the observer from this message is equal and opposite to that of the entropy of the system. Had we used the Boltzmann entropy in lieu of the Shannon entropy, and the ergodic hypothesis in lieu of the single message hypothesis; our interpretation would have been very similar to the many-worlds interpretation, as in this case the possible micro-states would each correspond to one possible world from the many-worlds, but we didn't — our interpretation contains no unmeasurable redundancies.

Some elements of our interpretation of quantum mechanics connects to the ensemble interpretation of quantum mechanics, and other aspects appears very similar to what John A. Wheeler had in mind when he wrote "Information, Physics, Quantum; The Search for Links.", but he didn't quite make it all the way there. For instance, consider the following statement by him:

> It from bit symbolizes the idea that every item of the physical world has at bottom — at a very deep bottom, in most instances — an immaterial source and explanation; that what we call reality arises in the last analysis from the posing of yes-no questions and the registering of equipment-evoked responses; in short, that all things physical are information-theoretic in origin and this is a participatory universe.

and also;

> Three examples may illustrate the theme of it from bit. First, the photon. With polarizer over the distant source and analyzer of polarization over the photodetector under watch, we ask the yes or no question, "Did the counter register a click during the specified second?" If yes, we often say, "A photon did it." We know perfectly well that the photon existed neither before the emission nor after the

detection. However, we also have to recognize that any talk of the photon "existing" during the intermediate period is only a blown-up version of the raw fact, a count

Raw fact; a count — in our scheme this is encoded in the form of axiomatic information. The other part, also identified by Wheeler and necessary to complete the description, is knowledge — in our scheme this is encoded in the form of a terminating protocol along with its preparation. The 'knowledge' corresponds to the steps required to construct an experiment in which photons are sent according to a repeatable and well-defined preparation. When a 'click' is registered, it yields more than just a bit; it also associated to a unit of 'knowledge' given in the form of a protocol-preparation pair, and associated to the unitary transformations comprising the protocol applied to a given preparation.

Finally, let us state that in the main result we will actually obtain a generalization of quantum mechanics to general linear transformations. In this case the interpretation of quantum mechanics takes its simplest and visualizable interpretation. The phase-invariant instruments are upgraded from a complex phase to a general linear phase. The probability will now be associated with a sequence of 'clicks' recorded in space-time as events. Thus, the framework describes reality as a sequence of space-time 'clicks' (or events) which, under entropy maximization, are associated to a general linear wave-function in lieu of the Gibbs ensemble. As we note, general relativity is primarily a theory of events in space-time, and the extension to quantum theory assigns a probability and an entropy to said events, such that the measure over said events is a wave-function able to support the transformations required by general relativity while preserving the invariance of the probability measure. This generalization yields a quantum theory whose equations of motions are exactly the Einstein field equations on a quantum mechanical background. Standard quantum field theory will also be shown to be a special case of the presented quantum theory.

4   Main Result

Let us now use the definition of the observer (Axiom 1) to derive the fundamental physics.

Our starting point will be the definition of the observer that we will use to maximize the entropy of ρ using the method of the Lagrange multipliers. We recall that our definition of the observer is:

$$\mathcal{O} := (\mathbf{m}, \mathbb{E}, \rho : \mathbb{E} \to [0, 1]) \tag{51}$$

where $\mathbf{m}$ is a n-tuple, $\mathbb{E}$ is a "powertuple" and ρ is a (probability) measure over $\mathbb{E}$.

Note the similarity between our definition of the observer to that of a measure space. Comparatively, the definition of a measure space is:

$$M := (X, \Sigma, \mu(X)) \tag{52}$$

where X is a set, Σ is (often) taken to be the powerset of X, and μ is a measure over Σ. The difference is simply that sets have been replaced by tuples. Consequently, we must adapt the definition of a measure space from set to tuples. To do so, we will use the following prescription:

1. We assign a non-negative number to each element of $\mathbb{E}$.

2. We equip said numbers with the addition operation, converting the construction to a vector space.

3. We maximize the entropy of a single halting program under the effect of constraints, by using the method of the Lagrange multipliers.

4. We prescribe that any and all constraints on said entropy must remain invariant with respect to a change of basis of said vector space.

5. We use the tensor product n-times over said vector space to construct a probability measure of n-tuples of halting programs.

6. We use the direct sum to complete the measure over the whole of halting space by combining the measures of different sizes as a single measure.

Explicitly, we maximize the entropy:

$$S = - \sum_{p \in \mathbf{m}} \rho(p) \ln \rho(p) \tag{53}$$

subject to these constraints:

$$\sum_{p \in \mathbf{m}} \rho(p) = 1 \tag{54}$$

$$\sum_{p \in \mathbf{m}} \rho(p) \operatorname{tr} \mathbf{M}(p) = \operatorname{tr} \overline{\mathbf{M}} \tag{55}$$

where the notation $\sum_{p \in \mathbf{m}}$ designate a sum over the elements of the experimental image $\mathbf{m}$, where $\mathbf{M}(p)$ are a matrix-valued maps from the elements of $\mathbf{m}$ to $\mathbb{C}^{n \times n}$ representing the linear transformations of the vector space and where $\overline{\mathbf{M}}$ is a element-by-element average matrix.

Usage of the trace of a matrix as a constraint imposes an invariance with respect to a similarity transformation, accounting for all possible linear reordering of the elements of the tuples of the sum, thus allowing the creation of a measure of a tuple or group of tuples form within a space of tuples, invariantly with respect to the order of the elements of the tuples.

Similarity transformation invariance on the trace is the result of this identity:

$$\operatorname{tr} \mathbf{M} = \operatorname{tr} \mathbf{BMB}^{-1} \tag{56}$$

We now use the Lagrange multiplier method to derive the expression for ρ that maximizes the entropy, subject to the above mentioned constraints. Maximizing the following equation with respect to ρ yields the answer:

$$\mathcal{L} = -k_B \sum_{p \in \mathbf{m}} \rho(p) \ln(p) + \alpha \left(1 - \sum_{p \in \mathbf{m}} \rho(p) \right) + \tau \left(\operatorname{tr} \overline{\mathbf{M}} - \sum_{p \in \mathbf{m}} \rho(p) \operatorname{tr} \mathbf{M}(p) \right) \tag{57}$$

where α and τ are the Lagrange multipliers. The explicit derivation is made available in Annex B. Except for the presence of the trace and matrices, using the Lagrangian multiplier method on the entropy is standard and shown in most introductory textbooks of statistical mechanics to derive the Gibbs measure, where the quantities are simpler scalars. With the trace and matrices, the result of the maximization process is:

$$\rho(p, \tau) = \frac{1}{Z(\tau)} \det \exp\left(-\tau \mathbf{M}(p)\right) \tag{58}$$

where

$$Z(\tau) = \sum_{p \in \mathbf{m}} \det \exp\left(-\tau \mathbf{M}(p)\right) \tag{59}$$

Prior: A probability measure requires a prior. The prior, which accounts for an arbitrary preparation of the ensemble, ought to be —for purposes of preserving the scope of the theory— of the same kind as the elements of the probability measure. Let us thus introduce the prior as the map $\mathbf{P}$ from the elements of $\mathbf{m}$ to $\mathbb{C}^{n \times n}$ and inject it into the probability measure as well as into the partition function:

$$\rho(p) = \frac{1}{Z} \det \exp\left(\mathbf{P}(p)\right) \det \exp\left(-\tau \mathbf{M}(p)\right) \tag{60}$$

where

$$Z = \sum_{p \in \mathbf{m}} \det \exp\left(\mathbf{P}(p)\right) \det \exp\left(-\tau \mathbf{M}(p)\right) \tag{61}$$

4.1 Completing the Measure over Halting Space

We have produced a measure over a sum of single experiments. Whereas the measure we are after is a sum over the whole of the halting space of a given experimental image, which contains all sub-tuples of the experimental image. Completing the measure over said space will require us to sum over differently-sized tuples. To do so, first, we will use the tensor product to produce measures summing over multiple elements, and second, we will use the direct sum to combine the differently-sized measures into a single final measure.

4.1.1 Split to Amplitude / Probability Rule

Before we are able to proceed with both the tensor product and the direct sum, we must introduce a split over the mathematical operations present in the measure. I was hoping to avoid doing this until deeper into the section on the physics, because it implies an element of physics that is too delicious not to discuss right away, but I do not see an easy way to to perform the upcoming operation (tensor product/direct sum) without splitting the measure into two operations.

We begin by splitting the probability measure into a first step, which is linear with respect to a 'probability amplitude', and a second which connects the amplitude to the probability. We thus write the probability measure as:

$$\rho(p, \tau) = \frac{1}{Z} \det \psi(p, \tau) \tag{62}$$

where

$$\psi(p, \tau) = \exp\big(\mathbf{P}(p)\big) \exp\big(-\tau \mathbf{M}(p)\big) \tag{63}$$

Here, the determinant is interpreted as a generalization of the Born rule and reduces to exactly it when $\mathbf{M}$ is the matrix representation of the complex numbers (more on that in the physics section). In the general case where $\mathbf{M}$ are arbitrary $n \times n$ matrices, $\psi(p, \tau)$ will be called the *general linear probability amplitude*.

We can write $\psi(p, \tau)$ as a column vector:

$$|\psi\rangle := \begin{pmatrix} \psi(p_1, \tau) \\ \psi(p_2, \tau) \\ \vdots \\ \psi(p_n, \tau) \end{pmatrix} = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \vdots \\ \psi_n \end{pmatrix} \tag{64}$$

4.1.2 Tensor Product

How do we extend the measure to experimental images containing multiple experiments? We have to use a Cartesian product on the sets of experimental

images and a tensor product on the probability amplitudes. For instance, let us consider the following sets of experiments:

$$\mathbf{M}_1 = \{p_{1a}, p_{1b}\} \tag{65}$$
$$\mathbf{M}_2 = \{p_{2a}, p_{2b}\} \tag{66}$$

The Cartesian product produces experimental images comprised of two elements:

$$\mathbf{m} \in \mathbb{M}_1 \times \mathbb{M}_2 = \{(p_{1a}, p_{2a}), (p_{1a}, p_{2b}), (p_{1b}, p_{2a}), (p_{1b}, p_{2b})\} \tag{67}$$

At the level of the probability amplitude, the Cartesian product of sets translates to the tensor product. For instance, we start with a column vector where each entry is one experiment;

$$|\psi_1\rangle = \begin{pmatrix} \exp \mathbf{P}(p_{1a}) \\ \exp \mathbf{P}(p_{1b}) \end{pmatrix} \tag{68}$$

Adding a program-step via a linear transformation produces:

$$\mathbf{T} |\psi_1\rangle = \begin{pmatrix} T_{00} \exp \mathbf{P}(p_{1a}) + T_{01} \exp \mathbf{P}(p_{1b}) \\ T_{10} \exp \mathbf{P}(p_{1a}) + T_{11} \exp \mathbf{P}(p_{1b}) \end{pmatrix} \tag{69}$$

We then introduce another column vector:

$$|\psi_2\rangle = \begin{pmatrix} \exp \mathbf{P}(p_{2a}) \\ \exp \mathbf{P}(p_{2b}) \end{pmatrix} \tag{70}$$

along with a program-step:

$$\mathbf{T}' |\psi_2\rangle = \begin{pmatrix} T'_{00} \exp \mathbf{P}(p_{2a}) + T'_{01} \exp \mathbf{P}(p_{2b}) \\ T'_{10} \exp \mathbf{P}(p_{2a}) + T'_{11} \exp \mathbf{P}(p_{2b}) \end{pmatrix} \tag{71}$$

Then the tensor product of these states produces the probability measure of an experimental image as follows:

$$\mathbf{T} |\psi_1\rangle \otimes \mathbf{T}' |\psi_2\rangle = \begin{pmatrix} (T_{00} \exp \mathbf{P}(p_{1a}) + T_{01} \exp \mathbf{P}(p_{1b}))(T'_{00} \exp \mathbf{P}(p_{2a}) + T'_{01} \exp \mathbf{P}(p_{2b})) \\ (T_{00} \exp \mathbf{P}(p_{1a}) + T_{01} \exp \mathbf{P}(p_{1b}))(T'_{10} \exp \mathbf{P}(p_{2a}) + T'_{11} \exp \mathbf{P}(p_{2b})) \\ (T_{10} \exp \mathbf{P}(p_{1a}) + T_{11} \exp \mathbf{P}(p_{1b}))(T'_{00} \exp \mathbf{P}(p_{2a}) + T'_{01} \exp \mathbf{P}(p_{2b})) \\ (T_{10} \exp \mathbf{P}(p_{1a}) + T_{11} \exp \mathbf{P}(p_{1b}))(T'_{10} \exp \mathbf{P}(p_{2a}) + T'_{11} \exp \mathbf{P}(p_{2b})) \end{pmatrix} \tag{72}$$

Now, each element of the resulting vector is an experimental image of two programs, but its probability is a sum over a path. One can repeat the process n times.

4.1.3 Direct Sum

In the previous section, we have introduced a way to produce measures of fixed sizes n by using the tensor product. Here, we wish to produce a measure with elements of different sizes. Taking the direct sum of the measures of different sizes (where each individual size is produced from the tensor product), accomplishes the goal and yields an amplitude given has follows:

$$|\psi\rangle = |\psi_1\rangle \oplus (|\psi_1'\rangle \otimes |\psi_2'\rangle) \otimes (|\psi_1''\rangle \otimes |\psi_2''\rangle \otimes |\psi_3''\rangle) \oplus ... \tag{73}$$

In quantum field theory, in the limiting case $n \to \infty$ and when $\mathbf{M}(p)$ is reduced to the complex field, these are the states of a Fock Space, which we have obtained here simply my maximizing the entropy of the measure associated with our simple definition of the observer (Axiom 1). In the case for the measure space to be on all possible experiments, it requires $n \to \infty$.

4.2 Discussion - Fock Space, Measures over Tuples

Some may consider it even more fundamental to interpret our result from the angle of measure theory in the sense that an entropy-maximized measure over the tuples of a tuple-space (as an extension to typical measure theory defined for the subsets of a set) induces a Fock Space, along with the appropriate probability rule (Born rule) for use in quantum mechanics. The measures used in quantum mechanics would thus result quite intuitively from this simple extension of measure theory, previously defined for sets, to tuples, and then simply maximizing the entropy.

We should mention that, although tuples can represent anything, in our system Axiom 1 requires the tuples to represent experimental images (or halted programs). But this is a very unrestrictive constraint; it simply enforces, while introducing no other constraints, that all states of the measure are comprehensible to the scientific method.

4.3 Overview

We will first provide a small overview of the fundamental physics entailed by the main result, then we will provide a more thorough investigation of the main result.

4.3.1 Connection to Computation

Let us begin by reviewing the basics of quantum computation. One starts with a state vector:

$$|\psi_a\rangle = \begin{pmatrix} 0 \\ \vdots \\ n \end{pmatrix} \tag{74}$$

Which evolves unitarily to a final state:

$$|\psi_b\rangle = U_0 U_1 \dots U_m |\psi_a\rangle \tag{75}$$

Clever use of the unitary transformations, often arranged as simple 'gates', allows one to execute a program, but technically speaking any arrangements of unitary transformations qualify abstractly as a program (without or without gates). The input to the program is the state $|\psi_a\rangle$ and the output is the state $|\psi_b\rangle$. One would note that, so defined and if the sequence of unitary transformation is finite, such a program must always halt, and thus its complexity must be bounded. One can however get out of this predicament by taking the final state $|\psi_b\rangle$ to instead be an intermediary state, and then to add more gates in order continue with a computation:

$$\text{step 1} \qquad |\psi_b\rangle = U_0 U_1 \dots U_p |\psi_a\rangle \tag{76}$$
$$\text{step 2} \qquad |\psi_c\rangle = U'_0 U'_1 \dots U'_q |\psi_b\rangle \tag{77}$$
$$\vdots$$
$$\text{step k} \qquad |\psi_{k'}\rangle = U'_0 U'_1 \dots U'_v |\psi_k\rangle \tag{78}$$
$$\vdots$$

For a quantum computation to simulate a universal Turing machine it must be able to add more steps until a halting state is reached (or continue to add steps indefinitely if the program never halts). But note, that each step represents a state of nature and is itself a completed program. Quantum computation flows from halting image to halting image.

Comparatively, the linear transformations of our main result are here interpreted in the same manner as those used in quantum computations, but extended to the general linear group.

As discussed we can split our main result into a first step which is linear with respect to a 'probability amplitude', and a second which connects the amplitude to the probability:

$$\rho(p, \tau) = \frac{1}{Z} \det \psi(p, \tau) \tag{79}$$

where

$$\psi(p, \tau) = \exp\big(\mathbf{P}(p)\big) \exp\big(-\tau \mathbf{M}(p)\big) \tag{80}$$

We can write $\psi(p, \tau)$ as a column vector:

$$|\psi\rangle := \begin{pmatrix} \psi(p_1, \tau) \\ \psi(p_2, \tau) \\ \vdots \\ \psi(p_n, \tau) \end{pmatrix} = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \vdots \\ \psi_n \end{pmatrix} \tag{81}$$

Protocols are executed by chaining transformations on a preparation:

$$\underbrace{|\psi_b\rangle}_{\text{final state}} = \underbrace{\mathbf{T}_1 \mathbf{T}_2 \dots \mathbf{T}_n}_{\text{protocol}} \underbrace{|\psi_a\rangle}_{\text{preparation}} \tag{82}$$

Comparatively, quantum mechanical computations are simply a special cases when the transformations are unitary:

$$\underbrace{|\psi_b\rangle}_{\text{final state}} = \underbrace{\mathbf{U}_1 \mathbf{U}_2 \dots \mathbf{U}_n}_{\text{computing steps}} \underbrace{|\psi_a\rangle}_{\text{initial state}} \tag{83}$$

but are otherwise equivalent.

4.3.2 Matrix-Valued Vector and Transformations

To work with the general linear probability amplitude, we will use vectors whose elements are matrices. An example of such a vector is:

$$|\psi\rangle = \begin{pmatrix} \mathbf{M}_1 \\ \vdots \\ \mathbf{M}_m \end{pmatrix} \tag{84}$$

Likewise a linear transformation of this space will expressed as a matrix of matrices:

$$\mathbf{T} = \begin{pmatrix} \mathbf{M}_{00} & \dots & \mathbf{M}_{0m} \\ \vdots & \ddots & \vdots \\ \mathbf{M}_{m0} & \dots & \mathbf{M}_{mm} \end{pmatrix} \tag{85}$$

Note: The scalar element of the vector space are given as:

$$a \left| \psi \right\rangle = \begin{pmatrix} a\mathbf{M}_1 \\ \vdots \\ a\mathbf{M}_m \end{pmatrix} \tag{86}$$

We are now ready to begin investigating the main result as a physical theory.

5 Foundation of Physics

Based on our main result, we will introduce an *algebra of natural states* and we will use it to classify the linear transformations on said amplitude. We will start with the 2D case, then the 4D case. In all cases, the probability amplitude transforms linearly with respect to general linear transformations and the probability measure, obtained from the determinant, is positive-definite. We will see that the 2D case automatically reduces to standard non-relativistic quantum mechanics when the general linear group is reduced to the spinor group, and the 4D case reduces to relativistic quantum mechanics automatically also when the general linear group is reduced to the spinor group. Finally, we will show that the general linear group entails gravity as a gauge invariant theory.

5.1 Algebra of Natural States, in 2D

The notation of our upcoming definitions will be significantly improved if we use a geometric representation for matrices. Let us therefore introduce a geometric representation of 2×2 matrices.

5.1.1 Geometric Representation of 2×2 matrices

Let $\mathbb{G}(2, \mathbb{R})$ be the two-dimensional geometric algebra over the reals. We can write a general multi-vector of $\mathbb{G}(2, \mathbb{R})$ as follows:

$$\mathbf{u} = A + \mathbf{X} + \mathbf{B} \tag{87}$$

where A is a scalar, $\mathbf{X}$ is a vector and $\mathbf{B}$ is a pseudo-scalar. Each multi-vector has a structure-preserving (addition/multiplication) matrix representation. Explicitly, the multi-vectors of $\mathbb{G}(2, \mathbb{R})$ are represented as follows:

Definition 20 (Geometric representation of a matrix (2×2)).

$$A + X\hat{\mathbf{x}} + Y\hat{\mathbf{y}} + B\hat{\mathbf{x}} \wedge \hat{\mathbf{y}} \cong \begin{pmatrix} A + X & -B + Y \\ B + Y & A - X \end{pmatrix} \tag{88}$$

And the converse is also true, each 2×2 real matrix is represented as a multi-vector of $\mathbb{G}(2, \mathbb{R})$.

We can define the determinant solely using constructs of geometric algebra[10].

Definition 21 (Clifford conjugate (of a $\mathbb{G}(2,\mathbb{R})$ multi-vector))**.**

$$\mathbf{u}^{\ddagger} := \langle\mathbf{u}\rangle_0 - \langle\mathbf{u}\rangle_1 - \langle\mathbf{u}\rangle_2 \tag{89}$$

Then the determinant of $\mathbf{u}$ is:

Definition 22 (Geometric representation of the determinant (of a 2×2 matrix))**.**

$$\begin{array}{rccc} \det & : & \mathbb{G}(2,\mathbb{R}) & \longrightarrow & \mathbb{R} \\ & & \mathbf{u} & \longmapsto & \mathbf{u}^{\ddagger}\mathbf{u} \end{array} \tag{90}$$

For example:

$$\det \mathbf{u} = (A - \mathbf{X} - \mathbf{B})(A + \mathbf{X} + \mathbf{B}) \tag{91}$$

$$= A^2 - X^2 - Y^2 + B^2 \tag{92}$$

$$= \det \begin{pmatrix} A + X & -B + Y \\ B + Y & A - X \end{pmatrix} \tag{93}$$

Finally, we define the Clifford transpose:

Definition 23 (Clifford transpose (of a matrix of 2×2 matrix elements))**.** *The Clifford transpose is the geometric analogue to the conjugate transpose. Like the conjugate transpose can be interpreted as a transpose followed by an element-by-element application of the complex conjugate, here the Clifford transpose is a transpose, followed by an element-by-element application of the Clifford conjugate:*

$$\begin{pmatrix} \mathbf{u}_{00} & \cdots & \mathbf{u}_{0n} \\ \vdots & \ddots & \vdots \\ \mathbf{u}_{m0} & \cdots & \mathbf{u}_{mn} \end{pmatrix}^{\ddagger} = \begin{pmatrix} \mathbf{u}_{00}^{\ddagger} & \cdots & \mathbf{u}_{m0}^{\ddagger} \\ \vdots & \ddots & \vdots \\ \mathbf{u}_{m0} & \cdots & \mathbf{u}_{nm}^{\ddagger} \end{pmatrix} \tag{94}$$

If applied to a vector, then:

$$\begin{pmatrix} \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_m \end{pmatrix}^{\ddagger} = \begin{pmatrix} \mathbf{v}_1^{\ddagger} & \cdots \mathbf{v}_m^{\ddagger} \end{pmatrix} \tag{95}$$

5.1.2 Axiomatic Definition of the Algebra, in 2D

Let $\mathbb{V}$ be an m-dimensional vector space over $\mathbb{G}(2,\mathbb{R})$. A subset of vectors in $\mathbb{V}$ forms an algebra of natural states $\mathcal{A}(\mathbb{V})$ iff the following holds:

1. $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$, the bilinear map:

$$\begin{array}{rcccl} \langle \cdot, \cdot \rangle & : & \mathbb{V} \times \mathbb{V} & \longrightarrow & \mathbb{G}(2, \mathbb{R}) \\ & & \langle \mathbf{u}, \mathbf{v} \rangle & \longmapsto & \mathbf{u}^{\ddagger} \mathbf{v} \end{array} \tag{96}$$

is positive-definite:

$$\langle \boldsymbol{\psi}, \boldsymbol{\psi} \rangle \in \mathbb{R}_{>0} \tag{97}$$

2. $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$, then for each element $\psi(q) \in \boldsymbol{\psi}$, the function:

$$\rho(\psi(q), \boldsymbol{\psi}) = \frac{1}{\langle \boldsymbol{\psi}, \boldsymbol{\psi} \rangle} \psi(q)^{\ddagger} \psi(q) \tag{98}$$

is positive-definite:

$$\rho(\psi(q), \boldsymbol{\psi}) \in \mathbb{R}_{>0} \tag{99}$$

We note the following comments and definitions:

- From (1) and (2) it follows that $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$, the probabilities sum to unity:

$$\sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \boldsymbol{\psi}) = 1 \tag{100}$$

- $\boldsymbol{\psi}$ is called a *natural* (or physical) state.

- $\langle \boldsymbol{\psi}, \boldsymbol{\psi} \rangle$ is called the *partition function* of $\boldsymbol{\psi}$.

- $\rho(q, \boldsymbol{\psi})$ is called the *probability measure* (or generalized Born rule) of $\psi(q)$.

- The set of all matrices $\mathbf{T}$ acting on $\boldsymbol{\psi}$, as $\mathbf{T}\boldsymbol{\psi} \to \boldsymbol{\psi}'$, which leaves the sum of probabilities normalized (invariant):

$$\sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \mathbf{T}\boldsymbol{\psi}) = \sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \boldsymbol{\psi}) = 1 \tag{101}$$

are the *natural* transformations of $\boldsymbol{\psi}$.

- A matrix $\mathbf{O}$ such that $\forall \mathbf{u} \forall \mathbf{v} \in \mathcal{A}(\mathbb{V})$:

$$\langle \mathbf{O}\mathbf{u}, \mathbf{v} \rangle = \langle \mathbf{u}, \mathbf{O}\mathbf{v} \rangle \tag{102}$$

is called an observable.

- The expectation value of an observable $\mathbf{O}$ is:

$$\langle \mathbf{O} \rangle = \frac{1}{\langle \boldsymbol{\psi}, \boldsymbol{\psi} \rangle} \langle \mathbf{O}\boldsymbol{\psi}, \boldsymbol{\psi} \rangle \tag{103}$$

5.1.3 Observable, in 2D — Self-Adjoint Operator

Let us now investigate the general case of an observable is 2D. A matrix $\mathbf{O}$ is an observable iff it is a self-adjoint operator; defined as:

$$\langle \mathbf{O}\phi, \psi \rangle = \langle \phi, \mathbf{O}\psi \rangle \tag{104}$$

$\forall \mathbf{u} \forall \mathbf{v} \in \mathbb{V}$.

Setup: Let $\mathbf{O} = \begin{pmatrix} O_{00} & O_{01} \\ O_{10} & O_{11} \end{pmatrix}$ be an observable. Let ϕ and ψ be 2 two-state vectors $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$ and $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$. Here, the components ϕ_1, ϕ_2, ψ_1, ψ_2, O_{00}, O_{01}, O_{10}, O_{11} are multi-vectors of $\mathbb{G}(2, \mathbb{R})$.

Derivation: 1. Let us now calculate $\langle \mathbf{O}\phi, \psi \rangle$:

$$\begin{aligned} 2\langle \mathbf{O}\phi, \psi \rangle &= (O_{00}\phi_1 + O_{01}\phi_2)^{\ddagger}\psi_1 + \psi_1^{\ddagger}(O_{00}\phi_1 + O_{01}\phi_2) \\ &\quad + (O_{10}\phi_1 + O_{11}\phi_2)^{\ddagger}\psi_2 + \psi_2^{\ddagger}(O_{10}\phi_1 + O_{11}\phi_2) \tag{105} \\ &= \phi_1^{\ddagger}O_{00}^{\ddagger}\psi_1 + \phi_2^{\ddagger}O_{01}^{\ddagger}\psi_1 + \psi_1^{\ddagger}O_{00}\phi_1 + \psi_1^{\ddagger}O_{01}\phi_2 \\ &\quad + \phi_1^{\ddagger}O_{10}^{\ddagger}\psi_2 + \phi_2^{\ddagger}O_{11}^{\ddagger}\psi_2 + \psi_2^{\ddagger}O_{10}\phi_1 + \psi_2^{\ddagger}O_{11}\phi_2 \tag{106} \end{aligned}$$

2. Now, $\langle \phi, \mathbf{O}\psi \rangle$:

$$\begin{aligned} 2\langle \phi, \mathbf{O}\psi \rangle &= \phi_1^{\ddagger}(O_{00}\psi_1 + O_{01}v_2) + (O_{00}\psi_1 + O_{01}\psi_2)^{\ddagger}\phi_1 \\ &\quad + \phi_2^{\ddagger}(O_{10}\psi_1 + O_{11}\psi_2) + (O_{10}\psi_1 + O_{11}\psi_2)^{\ddagger}\phi_1 \tag{107} \\ &= \phi_1^{\ddagger}O_{00}\psi_1 + \phi_1^{\ddagger}O_{01}\psi_2 + \psi_1^{\ddagger}O_{00}^{\ddagger}\phi_1 + \psi_2^{\ddagger}O_{01}^{\ddagger}\phi_1 \\ &\quad + \phi_2^{\ddagger}O_{10}\psi_1 + \phi_2^{\ddagger}O_{11}\psi_2 + \psi_1^{\ddagger}O_{10}^{\ddagger}\phi_1 + \psi_2^{\ddagger}O_{11}^{\ddagger}\phi_1 \tag{108} \end{aligned}$$

For $\langle \mathbf{O}\phi, \psi \rangle = \langle \phi, \mathbf{O}\psi \rangle$ to be realized, it follows that these relations must hold:

$$O_{00}^{\ddagger} = O_{00} \tag{109}$$

$$O_{01}^{\ddagger} = O_{10} \tag{110}$$

$$O_{10}^{\ddagger} = O_{01} \tag{111}$$

$$O_{11}^{\ddagger} = O_{11} \tag{112}$$

Therefore, it follows that it must be the case that $\mathbf{O}$ must be equal to its own Clifford transpose. Thus, $\mathbf{O}$ is an observable iff:

$$\mathbf{O}^{\ddagger} = \mathbf{O} \tag{113}$$

which is the equivalent of the self-adjoint operator $\mathbf{O}^{\dagger} = \mathbf{O}$ of complex Hilbert spaces.

5.1.4   Observable, in 2D — Eigenvalues / Spectral Theorem

Let us show how the spectral theorem applies to $\mathbf{O}^{\ddagger} = \mathbf{O}$, such that its eigenvalues are real. Consider:

$$\mathbf{O} = \begin{pmatrix} a_{00} & a - xe_1 - ye_2 - be_{12} \\ a + xe_1 + ye_2 + be_{12} & a_{11} \end{pmatrix} \tag{114}$$

In this case, it follows that $\mathbf{O}^{\ddagger} = \mathbf{O}$:

$$\mathbf{O}^{\ddagger} = \begin{pmatrix} a_{00} & a - xe_1 - ye_2 - be_{12} \\ a + xe_1 + ye_2 + be_{12} & a_{11} \end{pmatrix} \tag{115}$$

This example is the most general 2×2 matrix $\mathbf{O}$ such that $\mathbf{O}^{\ddagger} = \mathbf{O}$. The eigenvalues are obtained as follows:

$$0 = \det(\mathbf{O} - \lambda I) = \det \begin{pmatrix} a_{00} - \lambda & a - xe_1 - ye_2 - be_{12} \\ a + xe_1 + ye_2 + be_{12} & a_{11} - \lambda \end{pmatrix} \tag{116}$$

implies:

$$0 = (a_{00} - \lambda)(a_{11} - \lambda) - (a - xe_1 - ye_2 - be_{12})(a + xe_1 + ye_2 + be_{12} + a_{11}) \tag{117}$$

$$0 = (a_{00} - \lambda)(a_{11} - \lambda) - (a^2 - x^2 - y^2 + b^2) \tag{118}$$

finally:

$$\lambda = \{\frac{1}{2}\left(a_{00} + a_{11} - \sqrt{(a_{00} - a_{11})^2 + 4(a^2 - x^2 - y^2 + b^2)}\right), \tag{119}$$

$$\frac{1}{2}\left(a_{00} + a_{11} + \sqrt{(a_{00} - a_{11})^2 + 4(a^2 - x^2 - y^2 + b^2)}\right)\} \tag{120}$$

We note that in the case where $a_{00} - a_{11} = 0$, the roots would be complex iff $a^2 - x^2 - y^2 + b^2 < 0$, but we already stated that the determinant of real matrices must be greater than zero because the exponential maps to the orientation-preserving general linear group— therefore it is the case that $a^2 - x^2 - y^2 + b^2 \geq 0$, as this expression is the determinant of the multi-vector. Consequently, $\mathbf{O}^{\ddagger} = \mathbf{O}$ — implies, for orientation-preserving[2] transformations, that its roots are real-valued, and thus constitute a 'geometric' observable in the traditional sense of an observable whose eigenvalues are real-valued.

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[2]We note the exception that a geometric observable may have real eigenvalues even in the case of a transformation that reverses the orientation if the elements $a_{00} - a_{11}$ are not zero and up to a certain magnitude, whereas transformations in the natural orientation are not bounded by a magnitude — thus creating an orientation-based asymmetry.

5.2 Algebra of Natural States, in 4D

We will now consider the general case for a vector space over 4×4 matrices.

5.2.1 Geometric Representation (in 4D)

The notation will be significantly improved if we use a geometric representation of matrices. Let $\mathbb{G}(4, \mathbb{R})$ be the two-dimensional geometric algebra over the reals. We can write a general multi-vector of $\mathbb{G}(4, \mathbb{R})$ as follows:

$$\mathbf{u} = A + \mathbf{X} + \mathbf{F} + \mathbf{V} + \mathbf{B} \tag{121}$$

where A is a scalar, $\mathbf{X}$ is a vector, $\mathbf{F}$ is a bivector, $\mathbf{V}$ is a pseudo-vector, and $\mathbf{B}$ is a pseudo-scalar. Each multi-vector has a structure-preserving (addition/multiplication) matrix representation. Explicitly, the multi-vectors of $\mathbb{G}(4, \mathbb{R})$ are represented as follows:

Definition 24 (Geometric representation of a matrix (4×4))**.**

$A + T\gamma_0 + X\gamma_1 + Y\gamma_2 + Z\gamma_3$

$\quad + F_{01}\gamma_0 \wedge \gamma_1 + F_{02}\gamma_0 \wedge \gamma_2 + F_{03}\gamma_0 \wedge \gamma_3 + F_{23}\gamma_2 \wedge \gamma_3 + F_{13}\gamma_1 \wedge \gamma_3 + F_{12}\gamma_1 \wedge \gamma_2$

$\quad + V_t\gamma_1 \wedge \gamma_2 \wedge \gamma_3 + V_x\gamma_0 \wedge \gamma_2 \wedge \gamma_3 + V_y\gamma_0 \wedge \gamma_1 \wedge \gamma_3 + V_z\gamma_0 \wedge \gamma_1 \wedge \gamma_2$

$\quad + B\gamma_0 \wedge \gamma_1 \wedge \gamma_2 \wedge \gamma_3$

$$\cong \begin{pmatrix} A + X_0 - iF_{12} - iV_3 & F_{13} - iF_{23} + V_2 - iV_1 & -iB + X_3 + F_{03} - iV_0 & X_1 - iX_2 + F_{01} - iF_{02} \\ -F_{13} - iF_{23} - V_2 - iV_1 & A + X_0 + iF_{12} + iV_3 & X_1 + iX_2 + F_{01} + iF_{02} & -iB - X_3 - F_{03} - iV_0 \\ -iB - X_3 + F_{03} + iV_0 & -X_1 + iX_2 + F_{01} - iF_{02} & A - X_0 - iF_{12} + iV_3 & F_{13} - iF_{23} - V_2 + iV_1 \\ -X_1 - iX_2 + F_{01} + iF_{02} & -iB + X_3 - F_{03} + iV_0 & -F_{13} - iF_{23} + V_2 + iV_1 & A - X_0 + iF_{12} - iV_3 \end{pmatrix}$$

$$\tag{122}$$

And the converse is also true, each 4×4 real matrix is represented as a multi-vector of $\mathbb{G}(4, \mathbb{R})$.

We can define the determinant solely using constructs of geometric algebra[10].

Definition 25 (Clifford conjugate (of a $\mathbb{G}(4, \mathbb{R})$ multi-vector))**.**

$$\mathbf{u}^\ddagger := \langle \mathbf{u} \rangle_0 - \langle \mathbf{u} \rangle_1 - \langle \mathbf{u} \rangle_2 + \langle \mathbf{u} \rangle_3 + \langle \mathbf{u} \rangle_4 \tag{123}$$

and $\lfloor \mathbf{m} \rfloor_{\{3,4\}}$ as the blade-conjugate of degree 3 and 4 (flipping the plus sign to a minus sign for blade 3 and blade 4):

$$\lfloor \mathbf{u} \rfloor_{\{3,4\}} := \langle \mathbf{u} \rangle_0 + \langle \mathbf{u} \rangle_1 + \langle \mathbf{u} \rangle_2 - \langle \mathbf{u} \rangle_3 - \langle \mathbf{u} \rangle_4 \tag{124}$$

Then, the determinant of $\mathbf{u}$ is:

Definition 26 (Geometric representation of the determinant (of a 4×4 matrix))**.**

$$\begin{aligned} \det \quad : \quad & \mathbb{G}(4, \mathbb{R}) \quad \longrightarrow \quad \mathbb{R} \\ & \mathbf{u} \quad \longmapsto \quad \lfloor \mathbf{u}^\ddagger \mathbf{u} \rfloor_{3,4} \mathbf{u}^\ddagger \mathbf{u} \end{aligned} \tag{125}$$

5.2.2 Axiomatic Definition of the Algebra, in 4D

Let $\mathbb{V}$ be a m-dimensional vector space over the 4×4 real matrices. A subset of vectors in $\mathbb{V}$ forms an algebra of natural states $\mathcal{A}(\mathbb{V})$ iff the following holds:

1. $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$, the quadri-linear form:

$$
\begin{aligned}
\langle \cdot, \cdot, \cdot, \cdot \rangle \quad &: \quad \mathbb{V} \times \mathbb{V} \times \mathbb{V} \times \mathbb{V} \quad \longrightarrow \quad \mathbb{G}(4, \mathbb{R}) \\
&\qquad \langle \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x} \rangle \quad \longmapsto \quad \lfloor \mathbf{u}^{\ddagger} \mathbf{v} \rfloor_{3,4} \mathbf{w}^{\ddagger} \mathbf{x}
\end{aligned}
\tag{126}
$$

   is positive-definite:

$$
\langle \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle \in \mathbb{R}_{>0}
\tag{127}
$$

2. $\forall \boldsymbol{\psi} \in \mathcal{A}(\mathbb{V})$, then for each element $\psi(q) \in \boldsymbol{\psi}$, the function:

$$
\rho(\psi(q), \boldsymbol{\psi}) = \frac{1}{\langle \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle} \lfloor \psi(q)^{\ddagger} \psi(q) \rfloor_{3,4} \psi(q)^{\ddagger} \psi(q)
\tag{128}
$$

   is positive-definite:

$$
\rho(\psi(q), \boldsymbol{\psi}) \in \mathbb{R}_{>0}
\tag{129}
$$

We note the following properties, features and comments:

- $\boldsymbol{\psi}$ is called a *natural* (or physical) state.

- $\langle \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle$ is called the *partition function* of $\boldsymbol{\psi}$.

- $\rho(\psi(q), \boldsymbol{\psi})$ is called the *probability measure* (or generalized Born rule) of $\psi(q)$.

- The set of all matrices $\mathbf{T}$ acting on $\boldsymbol{\psi}$ such as $\mathbf{T}\boldsymbol{\psi} \to \boldsymbol{\psi}'$ which leaves the sum of probabilities normalized (invariant):

$$
\sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \mathbf{T}\boldsymbol{\psi}) = \sum_{\psi(q) \in \boldsymbol{\psi}} \rho(\psi(q), \boldsymbol{\psi}) = 1
\tag{130}
$$

   are the *natural* transformations of $\boldsymbol{\psi}$.

- A matrix $\mathbf{O}$ such that $\forall \mathbf{u} \forall \mathbf{v} \forall \mathbf{w} \forall \mathbf{x} \in \mathbb{V}$:

$$
\langle \mathbf{O}\mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x} \rangle = \langle \mathbf{u}, \mathbf{O}\mathbf{v}, \mathbf{w}, \mathbf{x} \rangle = \langle \mathbf{u}, \mathbf{v}, \mathbf{O}\mathbf{w}, \mathbf{x} \rangle = \langle \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{O}\mathbf{x} \rangle
\tag{131}
$$

   is called an observable.

- The expectation value of an observable $\mathbf{O}$ is:

$$
\langle \mathbf{O} \rangle = \frac{\langle \mathbf{O}\boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle}{\langle \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi}, \boldsymbol{\psi} \rangle}
\tag{132}
$$

5.3   Probability-Preserving Transformation

5.3.1   Left Action in 2D

A left action on a wave-function : $\mathbf{T}\,|\psi\rangle$, connects to the bilinear form as follows: $\langle\psi|\,\mathbf{T}^{\ddagger}\mathbf{T}\,|\psi\rangle$. The invariance requirement on $\mathbf{T}$ is as follows:

$$\langle\psi|\,\mathbf{T}^{\ddagger}\mathbf{T}\,|\psi\rangle = \langle\psi|\psi\rangle \tag{133}$$

We are thus interested in the group of matrices such that:

$$\mathbf{T}^{\ddagger}\mathbf{T} = I \tag{134}$$

Let us consider a two-state system. A general transformation is:

$$\mathbf{T} = \begin{pmatrix} u & v \\ w & x \end{pmatrix} \tag{135}$$

where u, v, w, x are multi-vectors of 2 dimensions. The expression $\mathbf{G}^{\ddagger}\mathbf{G}$ is:

$$\mathbf{T}^{\ddagger}\mathbf{T} = \begin{pmatrix} v^{\ddagger} & u^{\ddagger} \\ w^{\ddagger} & x^{\ddagger} \end{pmatrix} \begin{pmatrix} v & w \\ u & x \end{pmatrix} = \begin{pmatrix} v^{\ddagger}v + u^{\ddagger}u & v^{\ddagger}w + u^{\ddagger}x \\ w^{\ddagger}v + x^{\ddagger}u & w^{\ddagger}w + x^{\ddagger}x \end{pmatrix} \tag{136}$$

For the results to be the identity, it must be the case that:

$$v^{\ddagger}v + u^{\ddagger}u = 1 \tag{137}$$
$$v^{\ddagger}w + u^{\ddagger}x = 0 \tag{138}$$
$$w^{\ddagger}v + x^{\ddagger}u = 0 \tag{139}$$
$$w^{\ddagger}w + x^{\ddagger}x = 1 \tag{140}$$

This is the case if

$$\mathbf{T} = \frac{1}{\sqrt{v^{\ddagger}v + u^{\ddagger}u}} \begin{pmatrix} v & u \\ -e^{\varphi}u^{\ddagger} & e^{\varphi}v^{\ddagger} \end{pmatrix} \tag{141}$$

where u, v are multi-vectors of 2 dimensions, and where e^{φ} is a unit multi-vector. Comparatively, the unitary case is obtained with $\mathbf{X} \to 0$, and is:

$$\mathbf{U} = \frac{1}{\sqrt{|a|^2 + |b|^2}} \begin{pmatrix} a & b \\ -e^{i\theta}b^{\dagger} & e^{i\theta}a^{\dagger} \end{pmatrix} \tag{142}$$

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We can show that $\mathbf{G}^{\ddagger}\mathbf{G} = I$ as follows:

$$\implies \mathbf{T}^{\ddagger}\mathbf{T} = \frac{1}{v^{\ddagger}v + u^{\ddagger}u} \begin{pmatrix} v^{\ddagger} & -e^{-\varphi}u \\ u^{\ddagger} & e^{-\varphi}v \end{pmatrix} \begin{pmatrix} v & u \\ -e^{\varphi}u^{\ddagger} & e^{\varphi}v^{\ddagger} \end{pmatrix} \tag{143}$$

$$= \frac{1}{v^{\ddagger}v + u^{\ddagger}u} \begin{pmatrix} v^{\ddagger}v + u^{\ddagger}u & v^{\ddagger}u - v^{\ddagger}u \\ u^{\ddagger}v - u^{\ddagger}v & u^{\ddagger}u + v^{\ddagger}v \end{pmatrix} \tag{144}$$

$$= I \tag{145}$$

In the case where $\mathbf{T}$ and $|\psi\rangle$ are n-dimensional, we can find an expression for it starting from a diagonal matrix:

$$\mathbf{D} = \begin{pmatrix} e^{x_1\hat{\mathbf{x}} + y_1\hat{\mathbf{y}} + ib_1} & 0 \\ 0 & e^{x_2\hat{\mathbf{x}} + y_2\hat{\mathbf{y}} + ib_2} \end{pmatrix} \tag{146}$$

where $\mathbf{T} = P\mathbf{D}P^{-1}$. It follows quite easily that $D^{\ddagger}D = I$, because each diagonal entry produces unity: $e^{-x_1\hat{\mathbf{x}} - y_1\hat{\mathbf{y}} - ib_1}e^{x_1\hat{\mathbf{x}} + y_1\hat{\mathbf{y}} + ib_1} = 1$.

5.3.2 Adjoint Action in 2D

The left action case can recover at most the special linear group. For the general linear group itself, we require the adjoint action. Since the elements of $|\psi\rangle$ are matrices, in the general case, the transformation is given by adjoint action:

$$\mathbf{T}|\psi\rangle\mathbf{T}^{-1} \tag{147}$$

The bilinear form is:

$$(\mathbf{T}|\psi\rangle\mathbf{T}^{-1})^{\ddagger}(\mathbf{T}|\psi\rangle\mathbf{T}^{-1}) = (\mathbf{T}^{-1})^{\ddagger}\langle\psi|\mathbf{T}^{\ddagger}\mathbf{T}|\psi\rangle\mathbf{T}^{-1} \tag{148}$$

and the invariance requirement on $\mathbf{T}$ is as follows:

$$(\mathbf{T}^{-1})^{\ddagger}\langle\psi|\mathbf{T}^{\ddagger}\mathbf{T}|\psi\rangle\mathbf{T}^{-1} = \langle\psi|\psi\rangle \tag{149}$$

With a diagonal matrix, this occurs for general linear transformations:

$$\mathbf{D} = \begin{pmatrix} e^{a_1 + x_1\hat{\mathbf{x}} + y_1\hat{\mathbf{y}} + ib_1} & 0 & 0 \\ 0 & e^{a_2 + x_2\hat{\mathbf{x}} + y_2\hat{\mathbf{y}} + ib_2} & 0 \\ 0 & 0 & \ddots \end{pmatrix} \tag{150}$$

where $\mathbf{T} = P\mathbf{D}P^{-1}$.

Taking a single diagonal entry as an example, the reduction is:

$$e^{-a_1+x_1\hat{\mathbf{x}}+y_1\hat{\mathbf{y}}+ib_1}\psi_1^{\ddagger}e^{a_1-x_1\hat{\mathbf{x}}-y_1\hat{\mathbf{y}}-ib_1}e^{a_1+x_1\hat{\mathbf{x}}+y_1\hat{\mathbf{y}}+ib_1}\psi_1 e^{-a_1-x_1\hat{\mathbf{x}}-y_1\hat{\mathbf{y}}-ib_1} \quad (151)$$

$$= e^{-a_1+x_1\hat{\mathbf{x}}+y_1\hat{\mathbf{y}}+ib_1}\psi_1^{\ddagger}e^{2a_1}\psi_1 e^{-a_1-x_1\hat{\mathbf{x}}-y_1\hat{\mathbf{y}}-ib_1} \quad (152)$$

We note that $\psi^{\ddagger}\psi$ is a scalar, therefore

$$= \psi_1^{\ddagger}\psi_1 e^{2a_1}e^{-a_1+x_1\hat{\mathbf{x}}+y_1\hat{\mathbf{y}}+ib_1}e^{-a_1-x_1\hat{\mathbf{x}}-y_1\hat{\mathbf{y}}-ib_1} \quad (153)$$

$$= \psi_1^{\ddagger}\psi_1 e^{2a_1}e^{-a_1}e^{-a_1} = \psi_1^{\ddagger}\psi_1 \quad (154)$$

6 Applications

6.1 The Dirac-Von Neumann Axioms

Non-relativistic quantum mechanics, specifically the Dirac-Von axioms, are a special case of the 2D general linear case, and are derived as a theorem of Axiom 1. In 2D, the multi-vector wave-function is:

$$\psi = \exp(A + \mathbf{X} + \mathbf{B}) \quad (155)$$

It is fairly easy to see that if we reduce the expression of our multi-vectors $(A+\mathbf{X}+\mathbf{B}|_{\mathbf{X}\to 0} = A+\mathbf{B}$ and further restrict $\langle\boldsymbol{\psi},\boldsymbol{\psi}\rangle \in \mathbb{R}_{>0}$ to $\langle\boldsymbol{\psi},\boldsymbol{\psi}\rangle = 1$, then we recover the unit vectors of the complex Hilbert spaces:

- Reduction to the conjugate transpose:

$$\left(\langle\mathbf{u},\mathbf{v}\rangle = \mathbf{u}^{\ddagger}\mathbf{v}\Big|_{\mathbf{x}\to 0}\right) \implies \langle\mathbf{u},\mathbf{v}\rangle = \mathbf{u}^{\dagger}\mathbf{v} \quad (156)$$

- Reduction to the unitary transformations:

$$\left(\langle\mathbf{Tu},\mathbf{Tv}\rangle = \langle\mathbf{u},\mathbf{v}\rangle\big|_{\mathbf{x}\to 0}\right) \implies \mathbf{T}^{\dagger}\mathbf{T} = I \implies \mathbf{T} \text{ is unitary} \quad (157)$$

- Reduction to the Born rule:

$$\left(\rho(q,\boldsymbol{\psi}) = \frac{1}{\langle\boldsymbol{\psi},\boldsymbol{\psi}\rangle}\psi(q)^{\ddagger}\psi(q)\Big|_{\mathbf{X}\to 0}\right) \implies \rho(q,\boldsymbol{\psi}) = \frac{1}{\langle\boldsymbol{\psi},\boldsymbol{\psi}\rangle}\psi(q)^{\dagger}\psi(q) \quad (158)$$

- Reduction of observables to Hermitian operators:

$$\left(\langle\mathbf{Ou},\mathbf{v}\rangle = \langle\mathbf{u},\mathbf{Ov}\rangle\big|_{\mathbf{X}\to 0}\right) \implies \mathbf{O}^{\dagger} = \mathbf{O} \quad (159)$$

Under this reduction, the formalism becomes equivalent to the Dirac-Von-Neumann formalism of quantum mechanics.

6.2 Dirac Current and the Bilinear Covariants

Let us take a group reduction from the general linear group to the Spinor group, in 4D. As such we pose $\mathbf{X} \to 0$ and $\mathbf{V} \to 0$. The wave-function becomes:

$$\psi = \exp(A + \mathbf{F} + \mathbf{B}) \tag{160}$$

We recall that in 4D, the probability is given as follows:

$$\det \psi = \lfloor \psi^{\ddagger}\psi \rfloor_{3,4}\psi^{\ddagger}\psi = \exp 4A = \rho \tag{161}$$

but, since we eliminated $\mathbf{X} \to 0$ and $\mathbf{V} \to 0$, we can drop the blade inversion of degree 3, and the rule reduces to:

$$\det \psi = (\psi^{\ddagger})^{*}\psi^{*}\psi^{\ddagger}\psi = \exp 4A = \rho \tag{162}$$

Let us now recover the familiar Dirac theory.

First, we will expand the probability rule, while injecting γ_0 and γ_μ as follows:

$$(\psi^{\ddagger})^{*}\gamma_0\psi^{*}\psi^{\ddagger}\gamma_\mu\psi = (e^{A}e^{-\mathbf{B}}e^{-\mathbf{F}})\gamma_0(e^{A}e^{-\mathbf{B}}e^{\mathbf{F}})(e^{A}e^{\mathbf{B}}e^{-\mathbf{F}})\gamma_\mu(e^{A}e^{\mathbf{B}}e^{\mathbf{F}}) \tag{163}$$

But before we continue, let us introduce the notation of David Hestenes. We write $e^{\mathbf{F}} = R$, a rotor, and $e^{-\mathbf{F}} = \widetilde{R}$, its reverse. The pseudo-scalar term will also be written as $e^{\mathbf{B}} = e^{ib}$. Finally, we write $e^{4A} = \rho$. Consequently, we obtain:

$$= \rho^{\frac{1}{4}}e^{-ib}\widetilde{R}\gamma_0\rho^{\frac{1}{4}}e^{-ib}R\rho^{\frac{1}{4}}e^{ib}\widetilde{R}\gamma_\mu\rho^{\frac{1}{4}}e^{ib}R \tag{164}$$

$$= \rho e^{-ib}\widetilde{R}\gamma_0\gamma_\mu e^{ib}R \tag{165}$$

$$= \rho\widetilde{R}\gamma_0\gamma_\mu R \tag{166}$$

$$= (\rho, \vec{J}) \tag{167}$$

This is simply the Dirac current expressed with Tetrads. The Dirac equation describes the dynamics with preserve this current. The base wave-function in canonical form is:

$$\psi = \rho^{\frac{1}{4}}e^{ib}R \tag{168}$$

Comparatively, David Hestenes' wave-function is $\psi = \rho^{\frac{1}{2}}e^{ib}R$ which is very similar. To make the full Dirac theory standout, we can introduce an intermediary form of the wave-function, as follows:

$$(\psi^{\ddagger})^*\gamma_0\psi^*\psi^{\ddagger}\gamma_\mu\psi = \rho^{\frac{1}{4}}e^{-ib}\widetilde{R}\gamma_0\rho^{\frac{1}{4}}e^{-ib}R\rho^{\frac{1}{4}}e^{ib}\widetilde{R}\gamma_\mu\rho^{\frac{1}{4}}e^{ib}R \tag{169}$$

$$= \underbrace{\rho^{\frac{1}{2}}e^{-ib}\widetilde{R}\gamma_0}_{\bar{\phi}}\,\gamma_\mu\,\underbrace{\rho^{\frac{1}{2}}e^{ib}R}_{\phi} \tag{170}$$

Specifically,

$$\bar{\phi} := \rho^{\frac{1}{2}}e^{-ib}\widetilde{R}\gamma_0 \tag{171}$$

$$\phi := \rho^{\frac{1}{2}}e^{ib}R \tag{172}$$

and thus

$$\det\psi = (\psi^{\ddagger})^*\psi^*\psi^{\ddagger}\psi = \bar{\phi}\gamma_0\phi = \rho \tag{173}$$

The full list of bilinear covariants are:

	Determinant	ϕ-notation	Standard Form	Result
scalar	$(\psi^{\ddagger})^*\gamma_0\psi^*\psi^{\ddagger}\psi$	$\bar{\phi}\phi$	$\langle\bar{\psi}|\psi\rangle$	$e_0\rho\cos b$
vector	$(\psi^{\ddagger})^*\gamma_0\psi^*\psi^{\ddagger}\gamma_\mu\psi$	$\bar{\phi}\gamma_\mu\phi$	$\langle\bar{\psi}|\,\gamma_\mu\,|\psi\rangle$	J_μ
bivector	$(\psi^{\ddagger})^*\gamma_0\psi^*\psi^{\ddagger}I\gamma_\mu\gamma_\nu\psi$	$\bar{\phi}I\gamma_\mu\gamma_\nu\phi$	$\langle\bar{\psi}|\,i\gamma_\mu\gamma_\nu\,|\psi\rangle$	S
pseudo-vector	$(\psi^{\ddagger})^*\gamma_0\psi^*\psi^{\ddagger}\gamma_\mu I\psi$	$\bar{\phi}\gamma_\mu I\phi$	$\langle\bar{\psi}|\,\gamma_\mu\gamma_5\,|\psi\rangle$	s_μ
pseudo-scalar	$(\psi^{\ddagger})^*\gamma_0\psi^*\psi^{\ddagger}I\psi$	$\bar{\phi}I\phi$	$\langle\bar{\psi}|\,i\gamma_5\,|\psi\rangle$	$-\,e_0\rho\sin b$

$$\tag{174}$$

One might have been sceptical that our extension from the Born rule on complex-valued wave-functions to the determinant of matrices could yield any relevance for physics, but this result along with the dynamical result for $U(1)$ and the physical interpretation in the next sections, show that it is equivalent to the full Dirac theory, in 4D. We would argue, however, that our approach conceptually much simpler... as it essentially only involves applying the determinant to a sum of matrices and noting the emergence of the geometric elements.

6.3   Yang-Mills Theories - Unitary Gauge/Recap

The typical gauge theory in quantum electrodynamics is obtained by the production of a gauge covariant derivative over a $U(1)$ invariance associated with the use of the complex norm in any probability measure of quantum mechanics. Localizing the invariance group $\theta \to \theta(x)$ yields the corresponding covariant derivative:

$$D_\mu = \partial_\mu + iqA_\mu(x) \tag{175}$$

Where $A_\mu(x)$ is the gauge field. The $U(1)$ invariance results from the usage of the complex norm to construct a probability measure in a quantum theory, and the presence of the derivative is the result of constructing said probability measure as the Lagrangian of a Dirac field. If one then applies a gauge transformation to ψ and A_μ:

$$\psi \to e^{-iq\theta(x)}\psi \quad \text{and} \quad A_\mu \to A_\mu + \partial_\mu\theta(x) \tag{176}$$

Then, applies the covariant derivation, one gets:

$$D_\mu\psi = \partial_\mu\psi + iqA_\mu\psi \tag{177}$$
$$\to \partial_\mu(e^{-iq\theta(x)}\psi) + iq(A_\mu + \partial_\mu\theta(x))(e^{-iq\theta(x)}\psi) \tag{178}$$
$$= e^{-iq\theta(x)}D_\mu\psi \tag{179}$$

Finally, the field is given as follows:

$$F_{\mu\nu} = [\mathcal{D}_\mu, \mathcal{D}_\nu] \tag{180}$$

where $\mathcal{D}_\mu$ is the covariant derivative with respect to the potential one-form $A_\mu = A_\mu^\alpha T_\alpha$, and where T_α are the generators of the lie algebra of $U(1)$.

6.4   Quantum Gravity - General Linear Gauge

When our wave-function is extended to the general linear group (and the Born rule to the determinant), then its fundamental invariance group is the orientation-preserving general linear group $\mathrm{GL}^+(n,\mathbb{R})$, if the algebra is even, or the complex general linear group $\mathrm{GL}(n,\mathbb{C})$ if the algebra is odd, rather than $U(1)$.

Gauging the $\mathrm{GL}^+(4,\mathbb{R})$ group is known to automatically entail the Einstein field equations, as the resulting $\mathrm{GL}^+(4,\mathbb{R})$-valued field can be viewed as the Christoffel symbols Γ^μ, and the commutator of the covariant derivatives as the Riemann tensor. Expressing gravity via the general linear gauge is not a new result: This is a result dating back from the 1956 with Utiyama[11], in 1961 with Kibble[12], as well as the more recent work of David Hestenes[13] specifically with geometric algebras.

Here we have an extra backbone to this gauge formulation of general relativity; specifically a quantum theory able to accommodate and normalize the general linear group as a probability measure.

A general linear transformation of ψ:

$$\psi'(x) \to g\psi(x)g^{-1} \tag{181}$$

leaves the probability measure invariant.
The gauge-covariant derivative is:

$$D_\mu \psi = \partial_\mu \psi - [iqA_\mu, \psi] \tag{182}$$

Finally, the field is given as follows:

$$R_{\mu\nu} = [D_\mu, D_\nu] \tag{183}$$

where $R_{\mu\nu}$ is the Riemann tensor.

The Lagrangian is of course the Einstein-Hilbert action which, up to numerical constant, is:

$$S = \int \epsilon_{abcd} R^{ab} \wedge e^c \wedge e^d = \int \mathrm{d}^4 x \sqrt{-g} R \tag{184}$$

Let us now discuss the physical interpretation of quantum theory.

6.5 Physical Interpretation

Typically to combine gravity into a quantum field theory, one would take the Einstein Field equation, then would linearize the metric: $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$. After expansion in powers of h, the Einstein-Hilbert action becomes:

$$\left(S = \frac{1}{16\pi G} \int \mathrm{d}^4 x \sqrt{-g} R \Big|_{g_{\mu\nu} \to \eta_{\mu\nu} + h_{\mu\nu}} \to \frac{1}{16\pi G} \int \mathrm{d}^4 x (\partial h \partial h + h \partial h \partial h + h^2 \partial h \partial h + \dots) \right) \tag{185}$$

The indices on h has been dropped for brevity. The terms $\partial h \partial h$ are similar in role to scalar field theory $\partial \phi \partial \phi$, and the higher order terms are interaction terms. Since the higher order terms cannot be normalized, and one must drop the higher order terms from the theory yielding at best an approximation of the full theory of quantum gravity to the first order approximation.

In our theory, the Einstein field equations are automatically entailed by the theory as the equation of motion of the wave-function. They do not have to be imported from general relativity, nor to they have to be made linear to fit in.

What is the interpretation? Let $\mathcal{M}_4$ be a 4-dimensional smooth manifold. Our wave-function assigns an element of the $GL^+(4, \mathbb{R})$ group to each point on the manifold. The wave-function, using the multi-vector notation, in this case would be parametrized as follows:

$$\psi(x_0, x_1, x_2, x_3) =$$
$$\rho(x_0, x_1, x_2, x_3)^{1/4} \exp\big(\mathbf{X}(x_0, x_1, x_2, x_3) + \mathbf{F}(x_0, x_1, x_2, x_3) + \mathbf{V}(x_0, x_1, x_2, x_3) + \mathbf{B}(x_0, x_1, x_2, x_3)\big) \tag{186}$$

where $(x_0, x_1, x_2, x_3) \in \mathcal{M}_4$.

Before we tackle the general linear case, let us first investigate the $\mathbf{X} \to 0, \mathbf{V} \to 0$ case in flat spacetime:

$$\psi(x_0, x_1, x_2, x_3) = \rho(x_0, x_1, x_2, x_3)^{1/4} \exp\big(\mathbf{F}(x_0, x_1, x_2, x_3) + \mathbf{B}(x_0, x_1, x_2, x_3)\big) \tag{187}$$

In this case the wave-function assigns a rotor $R(x_0, x_1, x_2, x_3) = \exp\big(\mathbf{F}(x_0, x_1, x_2, x_3)\big)$, a probability density $\rho(x_0, x_1, x_2, x_3)$ and a phase $\exp\big(\mathbf{B}(x_0, x_1, x_2, x_3)\big)$ to each point of $\mathbb{R}^4$. R as a rotor accounts for a Lorentz rotation: $e_\mu = R\gamma_u \widetilde{R}$. Thus, it assigns at each points on the manifold an instruction to rotate in addition to given it a statistical weight and a phase. As argued by David Hestenes is his seminal paper[14], this description is informationally complete, and equivalent, to other interpretations of quantum physics; and is framed in terms of a relativistic kinematic theory of fermions such as the electron.

In the general case ($\psi = \exp(A, \mathbf{X}, \mathbf{F}, \mathbf{V}, \mathbf{B})$) the field evolution is given by the complete Einstein field equations (instead of just electron kinematics), and the wave-function assigns an instruction to transform the frame bundle at each point via a general linear transformation, and gives it a statistical weight (instead of merely an instruction to rotate the comoving field as in the case of electron kinematics). Finally, the general linear wave-function can be extended to the Fock space via simple tensoring and direct sums, and thus the interpretation is able to account for multiple general linear frame bundles transformations at each point on $\mathcal{M}_4$, as well as superpositions thereof — while still easily connecting to a normalized probability measure via the application of the determinant as a generalization of the Born rule. The quantum theory works with general relativity as-is, without the need to linearize it or modify it in any way, or even importing it from elsewhere (as it is entailed from the theory directly), and gives a real valued-probability in 4D for all superpositions or combinations of gravitational fields. In our framework not only is gravity and quantum physics compatible; they are one and the same.

Testable predictions are proposed in the Annex.

7   Conclusion

We believe the formal system of science here-in presented to be a more powerful formulation of physics because the fundamental physics is automatically entailed from the minimalist definition of the singleton observer itself, whose existence is guaranteed by basic philosophical arguments. Amongst its key results are; to identify the wave-function as a special form of the Gibbs ensemble, to provide an account for the origin of the Born rule, to produce an interpretation of quantum physics closely resembling that of statistical mechanics such that the ontology of measurement events takes precedence over the derived measure; thus sidestepping the collapse problem. Finally, a generalization of the Born rule to

the determinant, as recovered by the framework, supports both the familiar quantum field theories as well as the theory of general relativity, and backs them both within a general linear quantum theory.

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A   Notation

Sets, unless a prior convention assigns it another symbol, will be written using the blackboard bold typography (ex: $\mathbb{L}, \mathbb{W}, \mathbb{Q}$, etc.). Matrices will be in bold upper case (ex: $\mathbf{A}, \mathbf{B}$), whereas tuples, vectors and multi-vectors will be in bold lower case (ex: $\mathbf{u}, \mathbf{v}, \mathbf{g}$) and most other constructions (ex.: scalars, functions) will have plain typography (ex. a, A). The identity matrix is I, the unit pseudo-scalar (of geometric algebra) is $\mathbf{I}$ and the imaginary number is i. The Dirac gamma matrices are $\gamma_0, \gamma_1, \gamma_2, \gamma_3$ and the Pauli matrices are $\sigma_x, \sigma_y, \sigma_z$. The basis elements of an arbitrary curvilinear geometric basis will be denoted $\mathbf{e}_0, \mathbf{e}_1, \mathbf{e}_2, \ldots, \mathbf{e}_n$ (such that $\mathbf{e}_\nu \cdot \mathbf{e}_\mu = g_{\mu\nu}$) and if they are orthonormal as $\hat{\mathbf{x}}_0, \hat{\mathbf{x}}_1, \hat{\mathbf{x}}_2, \ldots, \hat{\mathbf{x}}_n$ (such that $\hat{\mathbf{x}}_\mu \cdot \hat{\mathbf{x}}_\nu = \eta_{\mu\nu}$). The asterisk z^* denotes the complex conjugate of z, and the dagger $\mathbf{A}^\dagger$ denotes the conjugate transpose of $\mathbf{A}$. A geometric algebra of m dimensions over a field $\mathbb{F}$ is noted as $\mathbb{G}(m, \mathbb{F})$. The grades of a multi-vector will be denoted as $\langle \mathbf{v} \rangle_k$. Specifically, $\langle \mathbf{v} \rangle_0$ is a scalar, $\langle \mathbf{v} \rangle_1$ is a vector, $\langle \mathbf{v} \rangle_2$ is a bi-vector, $\langle \mathbf{v} \rangle_{n-1}$ is a pseudo-vector and $\langle \mathbf{v} \rangle_n$ is a pseudo-scalar. Furthermore, a scalar and a vector $\langle \mathbf{v} \rangle_0 + \langle \mathbf{v} \rangle_1$ is a para-vector, and a combination of even grades ($\langle \mathbf{v} \rangle_0 + \langle \mathbf{v} \rangle_2 + \langle \mathbf{v} \rangle_4 + \ldots$) or odd grades ($\langle \mathbf{v} \rangle_1 + \langle \mathbf{v} \rangle_3 + \ldots$) are even-multi-vectors or odd-multi-vectors, respectively. The commutator is defined as $[\mathbf{A}, \mathbf{B}] := \mathbf{A}\mathbf{B} - \mathbf{B}\mathbf{A}$ and the anti-commutator as $\{\mathbf{A}, \mathbf{B}\} := \mathbf{A}\mathbf{B} + \mathbf{B}\mathbf{A}$. We use the symbol $\cong$ to relate two sets that are related by a group isomorphism. We use the symbol $\simeq$ to relate two expressions that are equal if defined, or both undefined otherwise. We denote the Hadamard product, or element-wise multiplication, of two matrices using $\odot$, and is written for instance as $\mathbf{M} \odot \mathbf{P}$, and for a multivector as $\mathbf{u} \odot \mathbf{v}$; for instance: $(a_0 + x_0\hat{\mathbf{x}} + y_0\hat{\mathbf{y}} + b_0\hat{\mathbf{x}} \wedge \hat{\mathbf{y}}) \odot (a_1 + x_1\hat{\mathbf{x}} + y_1\hat{\mathbf{y}} + b_01\hat{\mathbf{x}} \wedge \hat{\mathbf{y}})$ would equal $a_0a_1 + x_0x_1\hat{\mathbf{x}} + y_0y_1\hat{\mathbf{y}} + b_0b_1\hat{\mathbf{x}} \wedge \hat{\mathbf{y}}$.

B   Lagrange equation

The Lagrangian equation to maximize is:

$$\mathcal{L}(\rho, \alpha, \tau) = -k_B \sum_{q \in \mathbb{Q}} \rho(q) \ln \rho(q) + \alpha \left(1 - \sum_{q \in \mathbb{Q}} \rho(q) \right) + \tau \operatorname{tr} \left(\overline{\mathbf{M}} - \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}(q) \right)$$

$$(188)$$

where α and τ are the Lagrange multipliers. We note the usage of the trace operator for the geometric constraint such that a scalar-valued equation is maximized. Maximizing this equation for ρ by posing $\frac{\partial \mathcal{L}}{\partial \rho(p)} = 0$, where $p \in \mathbb{Q}$, we obtain:

$$\frac{\partial \mathcal{L}}{\partial \rho(p)} = -k_B \ln \rho(p) - k_B - \alpha - \tau \operatorname{tr} \mathbf{M}(p) \tag{189}$$

$$0 = k_B \ln \rho(p) + k_B + \alpha + \tau \operatorname{tr} \mathbf{M}(p) \tag{190}$$

$$\implies \ln \rho(p) = \frac{1}{k_B} \left(-k_B - \alpha - \tau \operatorname{tr} \mathbf{M}(p) \right) \tag{191}$$

$$\implies \rho(p) = \exp\left(\frac{-k_B - \alpha}{k_B} \right) \exp\left(-\frac{\tau}{k_B} \operatorname{tr} \mathbf{M}(p) \right) \tag{192}$$

$$= \frac{1}{Z} \det \exp\left(-\frac{\tau}{k_B} \mathbf{M}(p) \right) \tag{193}$$

where Z is obtained as follows:

$$1 = \sum_{q \in \mathbb{Q}} \exp\left(\frac{-k_B - \alpha}{k_B} \right) \exp\left(-\frac{\tau}{k_B} \operatorname{tr} \mathbf{M}(q) \right) \tag{194}$$

$$\implies \left(\exp\left(\frac{-k_B - \alpha}{k_B} \right) \right)^{-1} = \sum_{q \in \mathbb{Q}} \exp\left(-\frac{\tau}{k_B} \operatorname{tr} \mathbf{M}(q) \right) \tag{195}$$

$$Z := \sum_{q \in \mathbb{Q}} \det \exp\left(-\frac{\tau}{k_B} \mathbf{M}(q) \right) \tag{196}$$

We note that the Trace in the exponential drops down to a determinant, via the relation $\det \exp A \equiv \exp \operatorname{tr} A$.

B.1 Multiple constraints

Consider a set of constraints:

$$\overline{\mathbf{M}}_1 = \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_1(q) \tag{197}$$

$$\vdots$$

$$\overline{\mathbf{M}}_n = \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_n(q) \tag{198}$$

Then the Lagrange equation becomes:

$$\mathcal{L} = -k_B \sum_{q \in \mathbb{Q}} \rho(q) \ln \rho(q) + \alpha \left(1 - \sum_{q \in \mathbb{Q}} \rho(q) \right) + \tau_1 \operatorname{tr} \left(\overline{\mathbf{M}}_1 - \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_1(q) \right) + \ldots$$

$$+ \tau_n \operatorname{tr} \left(\overline{\mathbf{M}}_n - \sum_{q \in \mathbb{Q}} \rho(q) \mathbf{M}_n(q) \right) \tag{199}$$

and the measure references all n constraints:

$$\rho(q) = \frac{1}{Z} \det \exp\left(-\frac{\tau_1}{k_B}\mathbf{M}_1(q) - \cdots - \frac{\tau_n}{k_B}\mathbf{M}_n(q)\right) \tag{200}$$

B.2   Multiple constraints - General Case

In the general case of a multi-constraint system, each entry of the matrix corresponds to a constraint:

$$\overline{M}_{00}\begin{pmatrix} 1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 0 \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) M_{00}(q) \begin{pmatrix} 1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 0 \end{pmatrix} \tag{201}$$

$$\vdots$$

$$\overline{M}_{01}\begin{pmatrix} 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) M_{01}(q) \begin{pmatrix} 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix} \tag{202}$$

$$\vdots$$

$$\overline{M}_{nn}\begin{pmatrix} 0 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 1 \end{pmatrix} = \sum_{q \in \mathbb{Q}} \rho(q) M_{nn}(q) \begin{pmatrix} 0 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 1 \end{pmatrix} \tag{203}$$

For a $n \times n$ matrix, there are n^2 constraints.

The probability measure which maximizes the entropy is as follows:

$$\rho(q) = \frac{1}{Z} \det \exp\left(-\frac{1}{k_B}\boldsymbol{\tau} \odot \mathbf{M}(q)\right) \tag{204}$$

where $\boldsymbol{\tau}$ is a matrix of Lagrange multipliers, and $\odot$, the element-wise multiplication, assigns the corresponding Lagrange multiplier to each constraint.

C   A Step Towards Testable Predictions (Space-time interference)

Certain transformations of the wave-function in quantum gravity, under the general linear group or some of its subgroups, would produce richer interference patterns than what is possible merely with complex interference in standard QFT. This offer a difference in predictions between ordinary QFT and our system, that can be used to test our system. The possibility of interference patterns resulting from geometric algebra representation of the wave-function has been proposed before; specifically, I note the work of B. I. Lev.[15] which suggests (theoretically) the possibility of an interference pattern associated with

the David Hestenes form of the relativistic wave-function and for the subset of rotors.

Here we derive a number of these possible interference patterns.

In the case of the general linear group, the interference pattern is much more complicated than the simple cosine of the standard Born rule, but that is to be expected as it comprises the full general linear group and not just the unitary group. It accounts for the group of all geometric transformations which preserves the probability distribution ρ for a two-state general linear system.

General linear interference can be understood as a generalization of complex interference, which is recovered under a "shallow" phase rotation in 4D and under just a plain normal phase rotation in 2D. Furthermore, when all elements of the odd-sub-algebra are eliminated (by posing $\mathbf{X} \to 0$, $\mathbf{V} \to 0$), then the wave-function reduces to the geometric algebra form of the relativistic wave-function identified by David Hestenes, in terms of a spinor field.

Such reductions entails a series of interference patterns of decreasing complexity, and as such they provide a method to experimentally identify which group of geometric transformations physical reality allows in the most general case of quantum gravity, using interference experiments as the identification tool. Identification of the full general linear interference pattern (with all the elements $A, \mathbf{X}, \mathbf{F}, \mathbf{V}, \mathbf{B}$) in a lab experiment would suggest a general linear gauge, whereas identification of a reduced interference pattern (produced by $A, \mathbf{F}, \mathbf{B}$) and subsequently showing a failure to observe the full general linear interference ($\mathbf{X} \to 0, \mathbf{V} \to 0$) would suggest the Lorentz gauge instead of full quantum gravity.

Let us start by introducing a notation for a dot product, then we will list the various possible interference patterns.

C.1 Geometric Algebra Dot Product

Let us introduce a notation. We will define a bilinear form using the dot product notation, as follows:

$$
\begin{aligned}
\cdot \quad : \quad \mathbb{G}(2n, \mathbb{R}) \times \mathbb{G}(2n, \mathbb{R}) \quad &\longrightarrow \quad \mathbb{R} \\
\mathbf{u} \cdot \mathbf{v} \quad &\longmapsto \quad \tfrac{1}{2}(\det(\mathbf{u} + \mathbf{v}) - \det \mathbf{u} - \det \mathbf{v})
\end{aligned}
\tag{205}
$$

For example,

$$
\mathbf{u} = A_1 + X_1 e_1 + Y_1 e_2 + B_1 e_{12} \tag{206}
$$

$$
\mathbf{v} = A_2 + X_2 e_1 + Y_2 e_2 + B_2 e_{12} \tag{207}
$$

$$
\implies \mathbf{u} \cdot \mathbf{v} = A_1 A_2 + B_1 B_2 - X_1 X_2 - Y_1 Y_2 \tag{208}
$$

Iff $\det \mathbf{u} > 0$ and $\det \mathbf{v} > 0$ then $\mathbf{u} \cdot \mathbf{v}$ is always positive, and therefore qualifies as a positive inner product (over the positive determinant group), but no greater than either $\det \mathbf{u}$ or $\det \mathbf{v}$, whichever is larger. This definition of the dot product extends to multi-vectors of 4 dimensions.

65

2D: In 2D the dot product is equivalent to this form:

$$\frac{1}{2}(\det(\mathbf{u}+\mathbf{v}) - \det\mathbf{u} - \det\mathbf{v}) = \frac{1}{2}\left((\mathbf{u}+\mathbf{v})^{\ddagger}(\mathbf{u}+\mathbf{v}) - \mathbf{u}^{\ddagger}\mathbf{u} - \mathbf{v}^{\ddagger}\mathbf{v}\right) \quad (209)$$

$$= \mathbf{u}^{\ddagger}\mathbf{u} + \mathbf{u}^{\ddagger}\mathbf{v} + \mathbf{v}^{\ddagger}\mathbf{u} + \mathbf{v}^{\ddagger}\mathbf{v} - \mathbf{u}^{\ddagger}\mathbf{u} - \mathbf{v}^{\ddagger}\mathbf{v} \quad (210)$$

$$= \mathbf{u}^{\ddagger}\mathbf{v} + \mathbf{v}^{\ddagger}\mathbf{u} \quad (211)$$

4D: In 4D it is substantially more verbose:

$$\frac{1}{2}(\det(\mathbf{u}+\mathbf{v}) - \det\mathbf{u} - \det\mathbf{v}) \quad (212)$$

$$= \frac{1}{2}\left(\lfloor(\mathbf{u}+\mathbf{v})^{\ddagger}(\mathbf{u}+\mathbf{v})\rfloor_{3,4}(\mathbf{u}+\mathbf{v})^{\ddagger}(\mathbf{u}+\mathbf{v}) - \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} - \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v}\right) \quad (213)$$

$$= \frac{1}{2}\left(\lfloor\mathbf{u}^{\ddagger}\mathbf{u} + \mathbf{u}^{\ddagger}\mathbf{v} + \mathbf{v}^{\ddagger}\mathbf{u} + \mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}(\mathbf{u}^{\ddagger}\mathbf{u} + \mathbf{u}^{\ddagger}\mathbf{v} + \mathbf{v}^{\ddagger}\mathbf{u} + \mathbf{v}^{\ddagger}\mathbf{v}) - \ldots\right) \quad (214)$$

$$= \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} + \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} + \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v}$$

$$+ \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} + \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} + \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v}$$

$$+ \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} + \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} + \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v}$$

$$+ \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} + \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} + \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v} - \ldots \quad (215)$$

$$= \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} + \lfloor\mathbf{u}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v}$$

$$+ \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} + \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} + \lfloor\mathbf{u}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v}$$

$$+ \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} + \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} + \lfloor\mathbf{v}^{\ddagger}\mathbf{u}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{v}$$

$$+ \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{u} + \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{u}^{\ddagger}\mathbf{v} + \lfloor\mathbf{v}^{\ddagger}\mathbf{v}\rfloor_{3,4}\mathbf{v}^{\ddagger}\mathbf{u} \quad (216)$$

C.2 Geometric Interference (General Form)

A multi-vector can be written as $\mathbf{u} = a + \mathbf{s}$, where a is a scalar and $\mathbf{s}$ is the multi-vectorial part. In general, the exponential $\exp\mathbf{u}$ equals $\exp a \exp\mathbf{s}$ because a commutes with $\mathbf{s}$.

One can thus write a general two-state system as follows:

$$\psi = \psi_1 + \psi_2 = e^{A_1}e^{\mathbf{S}_1} + e^{A_2}e^{\mathbf{S}_2} \quad (217)$$

$$(218)$$

The general interference pattern will be of the following form:

$$\det\psi_1 + \psi_2 = \det\psi_1 + \det\psi_2 + \psi_1 \cdot \psi_2 \quad (219)$$

$$= e^{nA_1} + e^{nA_2} + \psi_1 \cdot \psi_2 \quad (220)$$

where $\det \psi_1 + \det \psi_2$ is a sum of probabilities and where $\psi_1 \cdot \psi_2$ is the interference pattern, and where n is the number of dimensions of the geometric algebra.

C.3 Complex Interference (Recall)

Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1}e^{\mathbf{B}_1} + e^{A_2}e^{\mathbf{B}_2} \tag{221}$$

The interference pattern familiar to quantum mechanics is the result of the complex norm:

$$\psi^\dagger \psi = \psi_1^\dagger \psi_1 + \psi_2^\dagger \psi_2 + \psi_1^\dagger \psi_2 + \psi_2^\dagger \psi_1 \tag{222}$$

$$= e^{A_1}e^{-\mathbf{B}_1}e^{A_1}e^{\mathbf{B}_1} + e^{A_2}e^{-\mathbf{B}_2}e^{A_2}e^{\mathbf{B}_2} + e^{A_1}e^{-\mathbf{B}_1}e^{A_2}e^{\mathbf{B}_2} + e^{A_2}e^{-\mathbf{B}_2}e^{A_1}e^{\mathbf{B}_1} \tag{223}$$

$$= e^{2A_1} + e^{2A_2} + e^{A_1+A_2}(e^{-\mathbf{B}_1+\mathbf{B}_2} + e^{-(-\mathbf{B}_1+\mathbf{B}_2)}) \tag{224}$$

$$= \underbrace{e^{2A_1} + e^{2A_2}}_{\text{sum}} + \underbrace{2e^{A_1+A_2}\cos(B_1 - B_2)}_{\text{interference}} \tag{225}$$

C.4 Geometric Interference in 2D

Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1}e^{\mathbf{X}_1+\mathbf{B}_1} + e^{A_2}e^{\mathbf{X}_2+\mathbf{B}_2} \tag{226}$$

To lighten the notation we will write it as follows:

$$\psi = \psi_1 + \psi_2 = e^{A_1}e^{\mathbf{S}_1} + e^{A_2}e^{\mathbf{S}_2} \tag{227}$$

where

$$\mathbf{S} = \mathbf{X} + \mathbf{B} \tag{228}$$

The interference pattern for a full general linear transformation on a two-state wave-function in 2D is:

$$\psi^\dagger \psi = \psi_1^\dagger \psi_1 + \psi_2^\dagger \psi_2 + \psi_1^\dagger \psi_2 + \psi_2^\dagger \psi_1 \tag{229}$$

$$= e^{A_1}(e^{\mathbf{S}_1})^\ddagger e^{A_1}e^{\mathbf{S}_1} + e^{A_2}(e^{\mathbf{S}_2})^\ddagger e^{A_2}e^{\mathbf{S}_2} + e^{A_1}(e^{\mathbf{S}_1})^\ddagger e^{A_2}e^{\mathbf{S}_2} + e^{A_2}(e^{\mathbf{S}_2})^\ddagger e^{A_1}e^{\mathbf{S}_1} \tag{230}$$

$$= e^{2A_1} + e^{2A_2} + e^{A_1+A_2}((e^{\mathbf{S}_1})^\ddagger e^{\mathbf{S}_2} + (e^{\mathbf{S}_2})^\ddagger e^{\mathbf{S}_1}) \tag{231}$$

$$= \underbrace{e^{2A_1} + e^{2A_2}}_{\text{sum}} + \underbrace{e^{A_1+A_2}(e^{-\mathbf{X}_1-\mathbf{B}_1}e^{\mathbf{X}_2+\mathbf{B}_2} + e^{-\mathbf{X}_2-\mathbf{B}_2}e^{\mathbf{X}_1+\mathbf{B}_1})}_{\text{interference}} \tag{232}$$

C.5   Geometric Interference in 4D

Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1}e^{\mathbf{X}_1+\mathbf{F}_1+\mathbf{V}_1+\mathbf{B}_1} + e^{A_2}e^{\mathbf{X}_2+\mathbf{F}_2+\mathbf{V}_2+\mathbf{B}_2} \tag{233}$$

To lighten the notation we will write it as follows:

$$\psi = \psi_1 + \psi_2 = e^{A_1}e^{\mathbf{S}_1} + e^{A_2}e^{\mathbf{S}_2} \tag{234}$$

where

$$\mathbf{S} = \mathbf{X} + \mathbf{F} + \mathbf{V} + \mathbf{B} \tag{235}$$

The geometric interference patterns for a full general linear transformation in 4D is given by the product:

$$\lfloor \psi^{\ddagger}\psi \rfloor_{3,4}\psi^{\ddagger}\psi = \lfloor \psi_1^{\ddagger}\psi_1 \rfloor_{3,4}\psi_1^{\ddagger}\psi_1 + \lfloor \psi_2^{\ddagger}\psi_2 \rfloor_{3,4}\psi_2^{\ddagger}\psi_2 + \psi_1 \cdot \psi_2 \tag{236}$$

$$= e^{4A_1} + e^{4A_2} + \left(e^{A_1}e^{\mathbf{S}_1}\right) \cdot \left(e^{A_2}e^{\mathbf{S}_2}\right) \tag{237}$$

In many cases of interest, the pattern simplifies. Let us see some of these cases now.

C.6   Geometric Interference in 4D (Shallow Phase Rotation)

If we consider a sub-algebra in 4D comprised of even-multi-vector products $\psi^{\ddagger}\psi$, then a two-state system is given as:

$$\psi = \psi_1 + \psi_2 \tag{238}$$

where

$$\psi_1 = (e^{A_1}e^{\mathbf{F}_1}e^{\mathbf{B}_1})^{\ddagger}(e^{A_1}e^{\mathbf{F}_1}e^{\mathbf{B}_1}) = e^{2A_1}e^{2\mathbf{B}_1} \tag{239}$$

$$\psi_2 = (e^{A_2}e^{\mathbf{F}_2}e^{\mathbf{B}_2})^{\ddagger}(e^{A_2}e^{\mathbf{F}_2}e^{\mathbf{B}_2}) = e^{2A_2}e^{2\mathbf{B}_2} \tag{240}$$

Thus

$$\psi = e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_2}e^{2\mathbf{B}_2} \tag{241}$$

The quadri-linear map becomes a bilinear map:

$$\psi^{\dagger}\psi = (e^{2A_1}e^{-2\mathbf{B}_1} + e^{2A_2}e^{-2\mathbf{B}_2})(e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_2}e^{2\mathbf{B}_2}) \tag{242}$$

$$= e^{2A_1}e^{-2\mathbf{B}_1}e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_1}e^{-2\mathbf{B}_1}e^{2A_2}e^{2\mathbf{B}_2} + e^{2A_2}e^{-2\mathbf{B}_2}e^{2A_1}e^{2\mathbf{B}_1} + e^{2A_2}e^{-2\mathbf{B}_2}e^{2A_2}e^{2\mathbf{B}_2} \tag{243}$$

$$= \underbrace{e^{4A_1} + e^{4A_2}}_{\text{sum}} + \underbrace{2e^{2A_1+2A_2}\cos(2B_1 - 2B_2)}_{\text{complex interference}} \tag{244}$$

C.7   Geometric Interference in 4D (Deep Phase Rotation)

A phase rotation on the base algebra (rather than the sub-algebra) produces a difference interference pattern. Consider a two-state wave-function:

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{B}_2} \tag{245}$$

The sub-product part is:

$$\psi^{\ddagger}\psi = (e^{A_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{B}_2})(e^{A_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{B}_2}) \tag{246}$$

$$= e^{A_1} e^{\mathbf{B}_1} e^{A_1} e^{\mathbf{B}_1} + e^{A_1} e^{\mathbf{B}_1} e^{A_2} e^{\mathbf{B}_2} + e^{A_2} e^{\mathbf{B}_2} e^{A_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{B}_2} e^{A_2} e^{\mathbf{B}_2} \tag{247}$$

$$= e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + 2 e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} \tag{248}$$

The final product is:

$$\lfloor \psi^{\ddagger}\psi \rfloor_{3,4} \psi^{\ddagger}\psi = (e^{2A_1} e^{-2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2} + 2 e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2})$$
$$\times (e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + 2 e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2}) \tag{249}$$

$$= e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_2} e^{2\mathbf{B}_2} + e^{2A_1} e^{-2\mathbf{B}_1} 2 e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2}$$
$$+ e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_2} e^{2\mathbf{B}_2} + e^{2A_2} e^{-2\mathbf{B}_2} 2 e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2}$$
$$+ 2 e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} e^{2A_1} e^{2\mathbf{B}_1}$$
$$+ 2 e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} e^{2A_2} e^{2\mathbf{B}_2}$$
$$+ 2 e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} 2 e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} \tag{250}$$

$$= e^{4A_1} + e^{4A_2} + 2 e^{2A_1 + 2A_2} \cos(2B_1 - 2B_2)$$
$$+ e^{2A_1} e^{-2\mathbf{B}_1} 2 e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2}$$
$$+ e^{2A_2} e^{-2\mathbf{B}_2} 2 e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2}$$
$$+ 2 e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} e^{2A_1} e^{2\mathbf{B}_1}$$
$$+ 2 e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} e^{2A_2} e^{2\mathbf{B}_2}$$
$$+ 4 e^{2A_1 + 2A_2} \tag{251}$$

$$= \underbrace{e^{4A_1} + e^{4A_2}}_{\text{sum}} + \underbrace{2 e^{2A_1 + 2A_2} \cos(2B_1 - 2B_2)}_{\text{complex interference}}$$
$$\underbrace{+ 2 e^{A_1 + A_2} (e^{2A_1} + e^{2A_2}) \cos(B_1 - B_2) + 4 e^{2A_1 + 2A_2}}_{\text{deep phase interference}} \tag{252}$$

C.8   Geometric Interference in 4D (Deep Spinor Rotation)

Consider a two-state wave-function (we note that $[\mathbf{F}, \mathbf{B}] = 0$):

$$\psi = \psi_1 + \psi_2 = e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{F}_2} e^{\mathbf{B}_2} \tag{253}$$

The geometric interference pattern for a full general linear transformation in 4D is given by the product:

$$\lfloor \psi^\ddagger \psi \rfloor_{3,4} \psi^\ddagger \psi \tag{254}$$

Let us start with the sub-product:

$$\psi^\ddagger \psi = (e^{A_1} e^{-\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_2} e^{-\mathbf{F}_2} e^{\mathbf{B}_2})(e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_2} e^{\mathbf{F}_2} e^{\mathbf{B}_2}) \tag{255}$$

$$= e^{A_1} e^{-\mathbf{F}_1} e^{\mathbf{B}_1} e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_1} e^{-\mathbf{F}_1} e^{\mathbf{B}_1} e^{A_2} e^{\mathbf{F}_2} e^{\mathbf{B}_2}$$

$$+ e^{A_2} e^{-\mathbf{F}_2} e^{\mathbf{B}_2} e^{A_1} e^{\mathbf{F}_1} e^{\mathbf{B}_1} + e^{A_2} e^{-\mathbf{F}_2} e^{\mathbf{B}_2} e^{A_2} e^{\mathbf{F}_2} e^{\mathbf{B}_2} \tag{256}$$

$$= e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} (e^{-\mathbf{F}_1} e^{\mathbf{F}_2} + e^{-\mathbf{F}_2} e^{\mathbf{F}_1}) \tag{257}$$

$$= e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \tag{258}$$

where $R = e^{\mathbf{F}}$, and where $\tilde{R} = e^{-\mathbf{F}}$.
The full product is:

$$\lfloor \psi^\ddagger \psi \rfloor_{3,4} \psi^\ddagger \psi = \left(e^{2A_1} e^{-2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2} + e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \right)$$

$$\times \left(e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{2\mathbf{B}_2} + e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \right) \tag{259}$$

$$= e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_1} e^{-2\mathbf{B}_1} e^{2A_2} e^{2\mathbf{B}_2} + e^{2A_1} e^{-2\mathbf{B}_1} e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1)$$

$$+ e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_1} e^{2\mathbf{B}_1} + e^{2A_2} e^{-2\mathbf{B}_2} e^{2A_2} e^{2\mathbf{B}_2} + e^{2A_2} e^{-2\mathbf{B}_2} e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1)$$

$$+ e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) e^{2A_1} e^{2\mathbf{B}_1}$$

$$+ e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) e^{2A_2} e^{2\mathbf{B}_2}$$

$$+ e^{A_1 + A_2} e^{-\mathbf{B}_1 - \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) e^{A_1 + A_2} e^{\mathbf{B}_1 + \mathbf{B}_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1) \tag{260}$$

$$= e^{4A_1} + e^{4A_2} + 2 e^{2A_1 + 2A_2} \cos(2B_1 - 2B_2) \tag{261}$$

$$+ e^{A_1 + A_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1)(\tag{262}$$

$$e^{2A_1} (e^{-\mathbf{B}_1 + \mathbf{B}_2} + e^{\mathbf{B}_1 - \mathbf{B}_2}) \tag{263}$$

$$+ e^{2A_2} (e^{\mathbf{B}_1 - \mathbf{B}_2} + e^{-\mathbf{B}_1 + \mathbf{B}_2})) \tag{264}$$

$$+ e^{2A_1 + 2A_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1)^2 \tag{265}$$

$$= \underbrace{e^{4A_1} + e^{4A_2}}_{\text{sum}} + \underbrace{2 e^{2A_1 + 2A_2} \cos(2B_1 - 2B_2)}_{\text{complex interference}}$$

$$+ \underbrace{2 e^{A_1 + A_2} (e^{2A_1} + e^{2A_2})(\tilde{R}_1 R_2 + \tilde{R}_2 R_1)(\cos(B_1 - B_2)) + e^{2A_1 + 2A_2} (\tilde{R}_1 R_2 + \tilde{R}_2 R_1)^2}_{\text{deep spinor interference}}$$

$$\tag{266}$$