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CHAPTER 11

FROM THE LOGIC OF SCIENCE TO THE LOGIC OF THE LIVING

The relevance of Charles Peirce to biosemiotics

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Abstract: Biosemiotics belongs to a class of approaches that provide *mental models of life* since it applies some semiotic concepts in the explanation of natural phenomena. Such approaches are typically open to anthropomorphic errors. Usually, the main source of such errors is the excessive vagueness of the semiotic concepts used. If the goal of biosemiotics is to be accepted as a science and not as *a priori* metaphysics, it needs both an appropriate source of the semiotic concepts and a reliable method of adjusting them for biosemiotic use. Charles S. Peirce's philosophy offers a plausible candidate for both these needs. Biosemioticians have adopted not only Peirce's semiotic concepts but also a number of metaphysical ones. It is shown that the application of Peirce's basic semiotic conceptions of sign and sign-process (*semiosis*) at the substantial level of biosemiotics requires the acceptance of certain metaphysical conceptions, i.e. *Tychism* and *Synechism*. Peirce's method of pragmatism is of great relevance to biosemiotics: 1. Independently of whether Peirce's concepts are used or even applicable at the substantial level of biosemiotics, Peirce's method remains valuable in *making* biosemiotics and especially in adjusting its basic concepts. 2. If Peircean semeiotic or metaphysics is applied at the substantial level of biosemiotics, pragmatism is valuable in clarifying the meaning and reference of the applied Peircean concepts. As a consequence, some restrictions for the application of Peirce in biosemiotics are considered and the distinction of Peirce's philosophy from the 19th century idealistic *Naturphilosophie* is emphasized

Keywords: Biosemiotics, method, concepts, Peirce, semeiotic, metaphysics, pragmatism

1. BIOSEMIOTICS AND PEIRCE

1.1. Biosemiotics as a Mental Model of Life

The word 'biosemiotics', being a compound of 'bio' and 'semiotics', refers literally to the union of the studies of (biological) life and signs. Because semiotics is

01 understood as a science, study, or doctrine of signs, biosemiotics is often charac-
 02 terized as a ‘science of signs in living systems’ (e.g. Kull 1999: 386). Semiotic
 03 concepts are commonly used, depending on the semiotic tradition, to refer to episte-
 04 mological, linguistic, psychological, social, or cultural phenomena, i.e. usually to
 05 some specifically *human* phenomena. In the tradition of biosemiotics, these concepts
 06 (or their modifications) are nevertheless used in reference to non-human or not
 07 specifically human living phenomena too. Biological life is seen therefore to be
 08 analogous to mental life or to human sociality, notwithstanding the fact that the
 09 human mind and sociality are essential parts of the biological life of the human
 10 species.

11 The recognition of an analogy between mind and living nature has produced two
 12 kinds of approaches or research strategies, both risky in their own peculiar way.
 13 The *naturalized models of mind* focuses on mind and tries to naturalize it. This
 14 includes evolutionary psychology, sociobiology, ‘neurophilosophy’ (Churchland
 15 1989), and a form of evolutionary epistemology which studies scientific progress
 16 (EET).¹ They tend to commit *naturalistic fallacies* by using too economical or
 17 restrictive explanatory principles resulting in a too simple and distorted picture
 18 of the complexity of mental phenomena. The primary problem is not to do with
 19 the simplistic character of the models in themselves but with its origins: that this
 20 simplicity follows from the insufficient methods behind its construction —or from
 21 some *a priori* decided physicalistic principles (cf. Barbieri: Editorial, this volume)—
 22 and *not* from the studied reality itself.

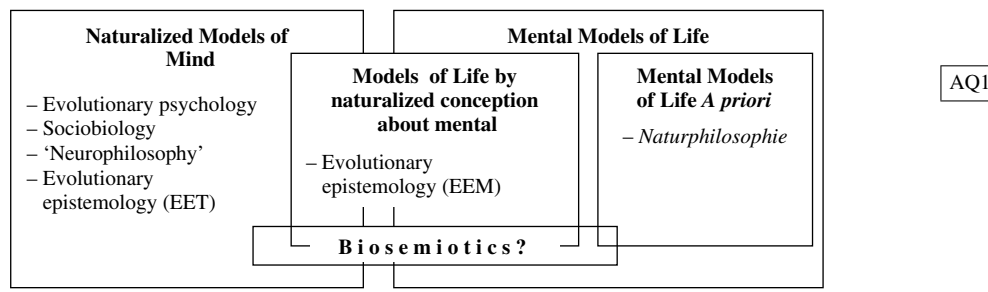
23 While naturalistic models of mind pursue often a somewhat reductionistic
 24 strategy, the other kinds of approaches, the *mental models of life* —to which
 25 biosemiotics belongs—pursue typically a holistic strategy. They focus on natural
 26 phenomena and try to model them on concepts that originally referred only to the
 27 human mental or social sphere. Consequently, they fall easily into *anthropomorphic*
 28 *fallacies* by predicating properties or qualities exclusive to humans to non-human
 29 natural phenomena. The outcomes of such fallacies are either simply *false* descrip-
 30 tions or, (more commonly) so utterly vague sketchings that it is extremely difficult or
 31 impossible to judge their validity and other than their moral, religious, ideological,
 32 or emotional significance.

33 Anthropomorphisms *per se* are not avoidable — not even in the extreme natural-
 34 istic or physicalistic studies. *All* our concepts, even the ones of mainstream physics,
 35 can be argued to have their origin in anthropomorphic metaphors or analogies, many
 36 of them ultimately rooted in the aspects of our bodily self-experience (cf. Lakoff &
 37 Johnson 1980). Metaphorical *origins* of scientific concepts are not problematic, but
 38 when these concepts are *abstracted* and *redefined* for scientific purposes, anthro-
 39 pomorphic errors may arise. The chief problem is how to identify and recognize
 40 these anthropomorphic errors, i.e. the illegitimate uses of such redefined concepts
 41 that are insufficiently, incompletely, or erroneously *abstracted*.

42 Besides biosemiotics, also an evolutionary epistemology which studies cognitive
 43 mechanisms (EEM) and the *Naturphilosophie* of the 19th century German idealism
 44 provide mental models of life. In all of these fields, some kind of continuity (even

01 if only in the form of gradual steps) between non-human biological life and human
 02 mental (i.e. logical, psychical, social, or ‘spiritual’) life is assumed. The forms of
 03 mind and sign processes that one can find in biological life are often assumed to
 04 be somewhat more primitive, simple, or general than that found in our own minds.
 05 However, these three approaches differ from each other in other respects. For
 06 example, while Schelling’s *Naturphilosophie* (Schelling 1984, orig. 1804) appeals to
 07 transcendental arguments *a priori* in its reasoning, the evolutionary epistemologies
 08 of Donald T. Campbell (1974, 1997) and Konrad Lorenz (1973) aim to *naturalize*
 09 the concepts of knowledge and knowing when generalizing and abstracting them
 10 and extending their domain of reference into the animal world and even further.
 11 The basic explanatory scheme in evolutionary epistemology is the (Neo)-Darwinian
 12 conception of natural selection.²

13 The longing for an all-inclusive metaphysical vision that would experientially
 14 unite the nature of man with the nature of his/her environment, and a desire
 15 for more narrowly scientific and naturalistic biosemiotic theories have both been
 16 present in biosemiotic literature, and are presumably visible also in this volume.
 17 Quite often, the tension between these somewhat divergent forces can be found
 18 under the surface of biosemiotic discourse and practices — the actual degree of
 19 biosemioticians’ self-awareness about the motives and purposes of their making
 20 biosemiotics evidently varies. I have argued elsewhere (Vehkavaara 2002, 2003)
 21 that if biosemiotics is made as a science, it has to be practiced through certain
 22 kinds of naturalistic methods, not necessarily (or hopefully) of a physicalistic,
 23 reductionistic, or computational kind. The adopted semiotic concepts have to be
 24 abstracted, extended, and adjusted appropriately for biosemiotic use so that the used
 25 semiotic or mentalist concepts are first naturalized, operationalized, or formalized
 26 before they are applied in biology. However, such naturalistic biosemiotics faces
 27 the double risk, i.e. committing both naturalistic and anthropomorphic fallacies
 28 at the same time.³ In any case, biosemiotics have to find appropriate and legit-
 29 imate *methods* of redefining the semiotic or mental concepts it uses in describing
 30 living phenomena (cf. Barbieri: Editorial, this volume). Still, there is a great
 31 disagreement among the biosemioticians over what the correct standards of such
 32 legitimation are.



44 *Figure 1.* Scientific approaches based on the analogy between mind and life

1.2. Dynamical vs. Structural Approaches

The semiotic or mental concepts applied in biosemiotics have been appropriated from various sources, not only from the traditions of semiotics, but also from hermeneutics, semantics, linguistics, psychology, and from ordinary common sense, i.e. from ‘folk psychology’ or ‘folk biology’. That tradition of biosemiotics which has first recognized and named itself as biosemiotics, as put forward by Thomas Sebeok (1963) and ‘microbiologized’ later by Jesper Hoffmeyer and Claus Emmeche (Hoffmeyer & Emmeche 1991; Emmeche & Hoffmeyer 1991; Hoffmeyer 1993) has followed the semiotic tradition originated by Charles S. Peirce (1839–1914).⁴ Why has Peirce been chosen in this ‘Copenhagen-Tartu school of biosemiotics’ as a point of departure rather than the other major founding father of semiotics, Ferdinand de Saussure (1857–1913)? The reasons (or causes) are probably at least partly accidental, i.e. partly due to the intellectual developments and milieu of the thinking of Sebeok, Hoffmeyer, and other dominant figures. Nevertheless, some substantial reasons can be found too.

Perhaps the most striking difference between Saussure’s *semiology* and Peirce’s *semeiotic*⁵ is that Saussure emphasized the role of the static *synchronic* system of signs (*langue*) and defined his signs as having the *dual* character of *signifié* and *signifiant* (i.e. signifier and signified). Saussure centered on social linguistic communication, i.e. how *individual* psychical meanings become *socially* shared and communicated through speech. Saussure’s prototype for the concept of sign was *speech*, the uttered (and heard) phoneme, word, sentence, message, etc. (Saussure 1919).

Peirce’s starting point, in turn, was human cognition or cognition in general (ability to learn and investigate), how and when the increase in knowledge is possible. For him, the prototype of sign was *thought*, a thought as a *representation*. Peirce concentrated on dynamic sign *processes* (*semiosis*) and defined his concept of sign as an irreducibly triadic composition of a *representamen*, its *object*, and its *interpretant*.⁶ The *irreducibility* of this triadic composition means that its three components have no identity *as* an object, representamen, and interpretant independently of the whole sign they are part of. To put it simply, when a (*first*) thing or event is cognized as a *representamen* of some sign, it is recognized as referring to *another* (*second*) thing or event, the *object* of that sign.⁷ This act of recognition is

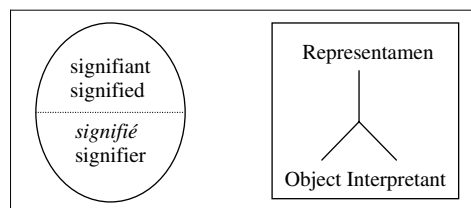


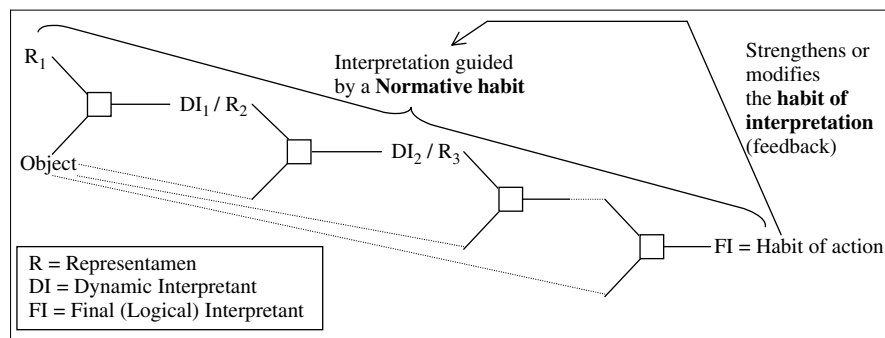
Figure 2. Basic forms of Saussurean and Peircean conceptions of sign

01 manifested by the *production* of a *third* thing or event in the mind of a recognizer,
 02 the *interpretant* of the sign.

03 In its creation as an interpretation of the representamen, the interpretant gains a
 04 *potentiality* to function as another sign of the same object. And if this potentiality is
 05 actualized, i.e. the interpretant is actually thought as the *thought-sign*, it will obtain
 06 another interpretant that will in turn function as a new thought-sign and so forth
 07 potentially *ad infinitum*. A whole chain of signs follows from a singular recognition
 08 of a sign in temporal order (CP 2.228, c.1897). This process is called *semiosis*.

09 The unique character of *semiosis* is that it tends to be a *progressive* process. New
 10 signs in the chain expose piecemeal the whole information content about the object
 11 that the original representamen contained more or less hidden. In principle, there is
 12 an obvious limit to this increase, a limit that may but need not be actually reached.
 13 The ultimate end of the series, its *final logical interpretant*, is the *full* embodied
 14 conception about the object, the conception that exhibits the whole cognitive content
 15 mediated by the sign. There is nothing more to add to this final interpretant, it does
 16 not receive a new interpretant anymore and therefore it does not have the nature
 17 of sign. Instead, it appears as an undeniable or self-evident *belief*, as a *habit of*
 18 *action*,⁸ the habit that is *informed* about the object via the chain of signs. Thus, the
 19 Peircean conception of *semiosis* provides a theory or an analysis of how new habits
 20 can be adopted, or the old habits can be modified. It is a theory or a description of
 21 a rational learning process or gathering of information, a process of *self-controlled*
 22 *habit-formation*. (EP 2:418, 1907.)

23 It is said that the central task of biosemiotics is to introduce some concept
 24 of *meaning* into biology (e.g., Barbieri, 2002, and Editorial, this volume). In
 25 Saussure's semiology, meanings become determined merely as differences within
 26 the synchronic system of signs, i.e. they are identified only as differences between
 27 the meanings expressible in the system. The structuralist approaches, having kinship
 28 with (and the origin in) Saussure's semiology, fit best to such biosemiotic applica-
 29 tions where biological meanings are considered as the stable ready-made possibili-
 30 ties of material objects or structures. The best biosemiotic example is the case of
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Figure 3. The chain of signs in Peircean conception of *semiosis*

01 genetic code where basic amino acids are defined as the meanings of corresponding
 02 codons.⁹ The question of a biosemiotic structuralist would be: What is the semiotic
 03 structure to which the organism or other biosystem conforms?

04 However, if the focus shifts to the *processes* of life, a different question must be
 05 asked, namely: How do organisms *change* their structures (or their environments)?
 06 And the biological world is full of many kinds of processes: phylogenesis, ontoge-
 07 nesis, conditioning and other forms of learning, protein synthesis, photosynthesis,
 08 gaining the resistance to diseases, nest building, etc. Some of them are unique
 09 or even potentially endless (e.g. phylogenesis) and others common and infinitely
 10 repeated (e.g. protein synthesis). Moreover, it can be argued that living beings,
 11 both organisms and their organizations (like ecosystems), do not merely partic-
 12 ipate in various processes of life but that they are *ontologically* processes rather
 13 than ‘things’ or static structures. Living systems are dissipative systems, thermo-
 14 dynamically far from equilibrium and therefore they have to maintain themselves
 15 continuously by their own action if they are going to preserve their stability and
 16 identity (cf. Vehkavaara 2003, Bickhard 1998). If the attention in biosemiotics
 17 is paid to the regularities of processes rather than to the ones of the structures,
 18 a Peircean dynamic approach may appear a more promising starting point than
 19 structural ones.
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22 1.3. Peirce and Semeiotic as Logic

23 Charles Sanders Peirce was born in 1839 as the son of Benjamin Peirce, the leading
 24 mathematician in the USA and a professor of mathematics in Harvard. From his
 25 early childhood, he became acquainted with scientific community and under his
 26 father’s guidance and support, he got the best available education in mathematics,
 27 philosophy, and sciences (especially in chemistry, astronomy, and biology). Peirce
 28 was trained to become a mathematical natural scientist and he earned his living for
 29 over 30 years as an experimental physicist.¹⁰ Although he studied and published
 30 in the various fields of mathematics, chemistry, geodesy, metrology, astronomy
 31 (stellar spectroscopy), cartography, psychology, and history of science, Peirce’s
 32 significance is evidently the greatest when it comes to his *theoretical philosophy*,
 33 i.e. to his logic and metaphysics. Peirce himself thought logic as the science where
 34 his greatest expertise is and his most durable achievements stand (Fisch 1982, xxiii
 35 and Brent 1998, 38–39). Peirce describes his intellectual development and character
 36 with the following modesty:
 37

38 From the moment when I could think at all, until now, about forty years, I have been diligently and
 39 incessantly occupied with the study of methods [of] inquiry, both those which have been and are pursued
 40 and those which ought to be pursued. For ten years before this study began, I had been in training in
 41 the chemical laboratory. I was thoroughly grounded not only in all that was then known of physics and
 42 chemistry, but also in the way in which those who were successfully advancing knowledge proceeded.
 43 I have paid the most attention to the methods of the most exact sciences, have intimately communed
 44 with some of the greatest minds of our times in physical science, and have myself made positive contri-
 butions — none of them of any very great importance, perhaps — in mathematics, gravitation, optics,

01 chemistry, astronomy, etc. I am saturated, through and through, with the spirit of the physical sciences.
 02 I have been a great student of logic, having read everything of any importance on the subject, devoting
 03 a great deal of time to medieval thought, without neglecting the works of the Greeks, the English, the
 04 Germans, the French, etc., and have produced systems of my own both in deductive and in inductive logic.
 05 In metaphysics, my training has been less systematic; yet I have read and deeply pondered upon all the
 06 main systems, never being satisfied until I was able to think about them as their own advocates thought.
 (CP 1.3, c.1897.)

07 Peirce is perhaps best known as one of the originators of modern formal logic, of
 08 semiotics, and of the first originally American philosophical school, *pragmatism*.
 09 Though much of his logical studies falls under current mathematical logic, for
 10 Peirce, logic was principally a philosophical science.¹¹ Peirce included both his
 11 *semeiotic* and his version of pragmatism in the science of logic. Traditionally, logic
 12 has been vaguely defined as an *art of reasoning* (cf. EP 2:11, 1895) but Peirce
 13 wanted to develop logic as a *science* of reasoning that provides *theories* about
 14 (the art of) reasoning (e.g. EP 2:30, 1898). More specifically, Peirce defined logic
 15 as the science of *deliberate* or *self-controlled thought*.¹² The special character of
 16 logic—that distinguishes it from metaphysics and cognitive psychology as well as
 17 from mathematics—is its normativity. Logic was defined as a *normative* science
 18 of thought, a science that provides criteria for the goodness or badness of thought,
 19 i.e. similar to ethics that functions as a normative science of *action*. The *semiosis*
 20 is described as a *self-normative* process, where the continuous comparison of the
 21 changing representamens with the object directs the sign-process internally — no
 22 external authority, normativity, or criterion is needed.

23 Peirce's conception that the emerging modern logic should be expressed in terms
 24 of general semiotic, is based on his argument that *all thought is mediated by signs*.¹³
 25 He had a number of reasons to think that thought and signs are intertwined. Firstly,
 26 the peculiar character of signs was *defined* to be exactly their ability to mediate
 27 thought or meaning. Secondly, Peirce insisted that only *embodied* thoughts can
 28 be considered and that the embodiment of thought is a sign (EP 2:256, 1903).
 29 Thirdly, from the very beginning of his philosophy, Peirce opposed all forms of
 30 foundational intuitionism. He forcefully argued that no intuition, no more sensuous
 31 than intellectual, could guarantee an unconditionally or absolutely certain foundation
 32 for knowledge. If all 'intuition', i.e. direct or non-mediated reference to the object
 33 of thought, is impossible, as Peirce argued, all thought have to be mediated by signs
 34 (cf. CP 5.213–215, 251–253, 1868).

36 2. HOW PEIRCE'S SEMEIOTIC CAN BE APPLIED 37 IN METAPHYSICS AND BIOSEMIOTICS

39 2.1. From Logic to Metaphysics

40 Presumably, Peirce was originally studied by biosemioticians because of his
 41 *semeiotic*, but it seems that his metaphysical insights (and the metaphysical reading
 42 of his *semeiotic*) have inspired more influentially the biosemioticians of the
 43 Copenhagen-Tartu school.¹⁴ The Peircean concept of sign and scheme of *semiosis*
 44

01 are nevertheless primarily *logical* conceptions, the prototype of *semiosis* is clearly
 02 an *inquiry* or the *scientific* process of investigation.¹⁵ How could such scheme of
 03 *semiosis* be applicable also in *natural processes* that seem to be—at least on the
 04 surface— of a quite different nature? Would not such an attempt lead to some
 05 apparent anthropomorphic error? As a normative science of thought, logic *per*
 06 *se* cannot take into account where its concepts are applied but they need to get
 07 firstly a metaphysical interpretation that can be further applied in different special
 08 processes of life. Can such application escape being in its heart just one more *a*
 09 *priori* system of rationalistic metaphysics, comparable with the ones of Descartes,
 10 Leibniz, Spinoza, Wolff, Hegel, and Schelling?

11 Part of the fascination of Peirce's metaphysics is that it includes elements that
 12 can be applied—or abused— by both those who are attracted to the transcen-
 13 dental argumentation of *a priori* philosophy and those who are more naturalistically
 14 minded. It seems to fulfil both underlying intellectual needs of biosemioticians:
 15 a longing for an *experientially* understood metaphysical union of man and nature
 16 as well as a need for an *experimentally* relevant (and justified) biosemiotic theory.
 17 Two questions need to be answered:

- 18 1. How can Peirce's theory of rational inquiry be thought to be applicable in
 19 modelling the natural processes of life?
- 20 2. Can such application be something more relevant than just one more *a priori*
 21 system of metaphysics?

24 2.2. Chance and Continuity

25 In the second half of the 19th century, the main rivals in metaphysics were the naïve
 26 mechanistic materialism of classical positivism and the teleological determinism of
 27 absolute or religious idealism. Peirce rejected both the mechanistic and teleological
 28 doctrines of inevitable predestination, instead, he proposed a hypothesis that *pure*
 29 *chance* is a real force in nature. This notion that absolute chance is a factor of the
 30 universe Peirce called *Tychism* (CP 6.201, 1898). That nature has the element of
 31 unpredictable spontaneity, does not nevertheless mean that there would not be real
 32 regularities or *laws* of nature too.¹⁶ The regularity of phenomena is not denied but
 33 the assumption of the exactitude and absoluteness of natural laws is seen unjustified.
 34 At least, there can not be any empirical evidence for that:

36 Those observations which are generally adduced in favor of mechanical causation simply prove that
 37 there is an element of regularity in nature, and have no bearing whatever upon the question of
 38 whether such regularity is exact and universal or not. Nay, in regard to this *exactitude*, all obser-
 39 vation is directly *opposed* to it; and the most that can be said is that a good deal of this obser-
 40 vation can be explained away. Try to verify any law of nature, and you will find that the more
 41 precise your observations, the more certain they will be to show irregular departures from the law.
 42 We are accustomed to ascribe these, and I do not say wrongly, to errors of observation; yet we
 43 cannot usually account for such errors in any antecedently probable way. Trace their causes back far
 44 enough and you will be forced to admit they are always due to arbitrary determination, or chance.
 (CP 6.46, 1892.)

01 Peirce's rejection of mechanical determinism is thus based partly on his experience
 02 as an experimental scientist and specifically on his awareness about the limita-
 03 tions of the *methods* of experimentation and statistical generalizing. The deter-
 04 ministic demand on the exactitude of natural laws is judged as a mere *a priori*
 05 assumption, but if it is not assumed *a priori*, the observed inexactitude of laws
 06 can be accepted to be partly due to their very nature as well. This is the doctrine
 07 of *Synechism*, which is the most characteristic feature of Peirce's evolutionary
 08 metaphysics and of which Tychism is only a corollary (CP 8.252, 1897). It accepts
 09 "that being is a matter of more or less", that there is *real vagueness* in nature, i.e.
 10 vagueness that is not due to our unclear conceptions and imperfect knowledge. As
 11 a regulative principle of logic, it refers to "the tendency to regard everything as
 12 continuous" (CP 7.565, 1892)¹⁷ and the reasons to accept it also as a metaphysical
 13 doctrine are logical as well. According to Peirce, the atomistic assumption that
 14 the nature is a composition of in principle inexplicable ultimate parts leads to
 15 the pernicious expectance that the perfect and complete knowledge is in principle
 16 achievable. *Synechism*, in turn, "amounts to the principle that inexplicabilities are
 17 not to be considered as possible explanations." (CP 6.173, 1902.) The *synechistic*
 18 hypothesis makes it possible to conjoin law and chance, scientific realism and
 19 Tychism. It is a matter of science to study which part of all observed inexactitudes
 20 and irregularities are due to our cognitive insufficiency and which part to *real*
 21 *vagueness*.

22 Although some of Peirce's arguments for Tychism, *Synechism*, and his other
 23 metaphysical hypotheses can no more be judged so forcing as before, the general
 24 world view that they draw has become more easily acceptable in the light of the
 25 contemporary theories of chaos, dynamic systems, self-organization, and catas-
 26 trophe than before these mathematical theories were known. The origin of Tychism
 27 and *Synechism* are, however, in those achievements in the science of 1850's—most
 28 notably the statistical mechanics (of gases) and Darwinian evolution—that exploited
 29 or included the assumption about influential chance. Especially in Darwinian
 30 evolution, all the *novelties* come from spontaneous, 'random' variation.¹⁸ But the
 31 possibility of the reality of such spontaneity was denied by the Newtonian deter-
 32 ministic world view. As hypotheses, Tychism and *Synechism* were created to fill
 33 that gap. They were *not* intended to legitimate the appealing to miracles as an
 34 explanatory principle,¹⁹ but to participate in the general explanation of observed
 35 regularities, moreover, of the apparent *novelties* and the *increase* in complexity and
 36 diversity in nature:

37 But my hypothesis of spontaneity does explain irregularity, in a certain sense; that is, it explains the
 38 general fact of irregularity, though not, of course, what each lawless event is to be. At the same time,
 39 by thus loosening the bond of necessity, it gives room for the influence of another kind of causation,
 40 such as seems to be operative in the mind in the formation of associations, and enables us to understand
 41 how the uniformity of nature could have been brought about. (CP 6.60, 1892)

42 The acceptance of Tychism (or some other equivalent rejection of determinism)
 43 is vital for biosemiotics, and especially for such biosemiotics that strives to apply
 44 Peirce's semeiotic. In the deterministic world where no genuine choices are possible,

01 whatever is called meaning or meaningful would not have any significance *as*
 02 meaning — meanings would be reduced to mere epiphenomena. Only in the world
 03 equipped with genuine choices, there is any sense in talking about the success or
 04 failure of interpretation — if there were no possibility to err (or to avoid errors), the
 05 sign processes would not have their distinctive character. Some amount of freedom
 06 or indeterminacy is a prerequisite for any genuine normativity required by *semiosis*.
 07 Thus, the acceptance of Tychism opens up the *possibility* of applying semeiotic in
 08 natural processes without dictating to what extent it is possible.²⁰

09 Even if it may look at first glance that natural processes and mental processes
 10 are of totally different kinds, this glance may prove to be an illusion due to our
 11 too concrete and biased level of consideration. If the concept of mind or thought
 12 is abstracted far enough²¹ so that only the joint features of natural and mental
 13 processes are left in its redefinition, then the logical concepts of sign and *semiosis*
 14 may be applicable both in the psychical processes of rational thought and in *some*
 15 natural processes. The task of biosemiotics is firstly to make such abstraction and
 16 redefinition of its basic concepts and then to study which natural processes are
 17 semiotic in nature and which ones are not (or to what extent they are semiotic).
 18 Still, the self-critical task of biosemiotics is not to be forgotten, the task to detect
 19 anthropomorphic errors in its own argumentation and concepts.

20

21

22 **2.3. Making Biosemiotics and Peirce**

23 Biosemioticians have adopted some concepts, ideas, and slogans from Peirce's
 24 semiotic and metaphysical writings and proposed that they are applicable in the
 25 theories about cognition and mutual communication of animals, prokaryotes, plants,
 26 and even intracellular communication. However, it is still an open question whether
 27 this kind of application will eventually prove insufficient or distorted — a hidden
 28 fatal anthropomorphic error cannot be excluded. Although such failure at the
 29 substantial level of biosemiotics would be realized, Peirce's semeiotic might still
 30 remain valuable at the *methodological* level, i.e. if it is applied in the *making*
 31 of biosemiotics, and especially, in the formation of its basic theoretical concepts.
 32 This aspect of Peirce's philosophy has so far been mostly neglected by biosemi-
 33 oticians. Whatever the best applicable source of basic semiotic concepts is — be
 34 it Peirce, Saussure, Bateson, Lotman or others— Peirce's philosophy offers us a
 35 method of adjusting them properly. Namely, one of the main purposes of his whole
 36 semiotic was to develop methods of *how to make our ideas clear*.²² Within his
 37 Synechism, Peirce accepted that the world in itself contains (or may contain) some
 38 real vagueness. This, however, does not mean that we should be satisfied with
 39 the usual vagueness of our *conceptions*, but only that there is no inherent exact
 40 meanings hidden in our vague ideas — we have no 'clear intuitions' to appeal to.
 41 The general purpose of all scientific inquiry is to provide us the definite and well
 42 defined scientific concepts that are transparent in both their reference and meaning.
 43 How they can be developed from the vague ideas of our mind, how the necessary
 44 vagueness of our concepts could be diminished is a task of logical studies, i.e.

01 of semeiotic. Every new scientific endeavour consists necessarily of mere vague
 02 ideas at the beginning and biosemiotics is still at its beginning. The basic semiotic
 03 concepts used in biosemiotics are usually far from definite or clear and desperately
 04 need some grounding in concrete observations and experiments.

05 Moreover, besides being relevant (1) in the *making* of biosemiotics, when basic
 06 biosemiotic concepts are formed and defined, the understanding of Peirce's method-
 07 ological principles would be relevant (2) in *understanding Peirce himself*, when
 08 the proposed substantial theory of biosemiotics applies concepts with the Peircean
 09 origin. Because Peirce is a far from an easy thinker to make sense, it should be more
 10 than clarifying to acknowledge what Peirce's own attitude toward his concepts and
 11 arguments was. This can be approached by considering how Peirce himself applied
 12 his own methodical principles when he composed his concepts and theory.

13

14 3. HOW TO MAKE OUR IDEAS CLEAR — PRAGMATICISM

15

16 3.1. Pragmatic Maxim as a Definition of Meaning

17

18 Peirce called his general methodology for science *pragmatism*, or more specifically,
 19 *pragmaticism*.²³ For him, pragmaticism is not a system of philosophy but only a
 20 method of thinking (CP 8.206, c.1905), “a method of ascertaining the meanings of
 21 hard words and of abstract concepts” (CP 5.464, 1907). The core of pragmaticism
 22 is thus merely *a definition of meaning*.

23

24 In order to ascertain the meaning of an intellectual conception one should consider what practical
 25 consequences might conceivably result by necessity from the truth of that conception; and the sum of
 these consequences will constitute the entire meaning of the conception. (CP 5.9, 1907)

26

27 This cryptic definition, the *pragmatic maxim*, requires some explications. Firstly, the
 28 pragmatic maxim was designed to define *only* the meanings of *intellectual concepts*,
 29 i.e. conceptions that are in principle open for somewhat deliberate adoption or
 rejection (CP 5.467, 1907).

30

31 Secondly, Peirce's pragmaticism did not declare that practical utility would be
 32 the ultimate value or that the meaning of a conception would be its *realized* practical
 33 consequences. Pragmaticism should not be confused with forms of utilitarianism or
 34 instrumentalism. The full meaning of a conception is not reducible to any *actual*
 35 consequential events, instead, it contains also those possible consequences that *will*
 36 *not* but *would be* actualized if the circumstances were differently.

37

38 Intellectual concepts [...] essentially carry some implication concerning the general behaviour either of
 39 some conscious being or of some inanimate object, and so convey [...] the “would-acts,” “would-dos” of
 40 habitual behaviour; and no agglomeration of actual happenings can ever completely fill up the meaning
 of a “would-be.” (CP 5.467, 1907).

41

42 Thirdly, since the meaning of a concept is not any individual event or thing but a
 43 group of certain kinds of ‘would-bes’, it must be another *conception*, an *anticipative*
 44 *conception* that anticipates or refers to the *possible future effects* of the concept.
 Moreover, this anticipation is about some ‘habitual behaviour’, either of *our* action,

01 or of the action of something else, the habitual behaviour of which *we* can adapt
02 *our* action self-controlledly.²⁴ This is the meaning of ‘practical’ in the definition.

03 Fourthly, all this does not, however, mean that the meaning of a proposition,
04 say, about the big bang would be emptied in its direct practical applications in
05 our life. Quite the opposite, the pragmatic maxim was designed to provide the
06 conception of meaning especially for those intellectual concepts towards which our
07 interest is purely theoretical. Our activity ‘to find out’, to *make experiments*, is also
08 included in such human conduct, to which a proposition that exposes the meaning is
09 applicable:

10 [...] that form of the proposition which is to be taken as its meaning [...] must be simply the general
11 description of all the experimental phenomena which the assertion of the proposition virtually predicts.
12 For an experimental phenomenon is the fact asserted by the proposition that action of a certain description
13 will have a certain kind of experimental result; and experimental results are the only results that can
14 affect human conduct. (CP 5.427, 1905)

15 3.2. Pragmaticist Biosemiotics

16 If biosemiotics would meet this criterion for meaningfulness, i.e. if the biosemiotic
17 theory could provide some *experimental results* that no other kind of theory could,
18 that would legitimate the biosemiotic approach in an instant. It may be that this
19 criterion is too demanding for contemporary biosemiotics thus far, but as a guiding
20 goal in developing biosemiotic concepts, it is worth attempting. At least, a simple
21 ‘armchair test’ of the meaningfulness of the biosemiotic concepts should be made by
22 comparing them with their non-biosemiotic alternatives. Does a biosemiotic expla-
23 nation or point of view bring anything really differing at the level of experimental
24 testing or of practical applications?

25 For the maxim of pragmatism is that a conception can have no logical effect or import differing from
26 that of a second conception except so far as, taken in connection with other conceptions and intentions,
27 it might conceivably modify our practical conduct differently from that second conception. (EP 2:234,
28 1903)

29 The main obstacle to making such comparisons is the abstract, vague, and
30 metaphorical character of the mostly used semiotic concepts in biosemiotics. The
31 possible experimental or practical bearings of the concepts of that kind are impos-
32 sible to be ‘conceived’ with accuracy. The meanings that they are intended to carry
33 are mere blurry feelings and as such as they are difficult to identify and control.
34 The temptation to speak vaguely is understandable, since it leaves the backdoor
35 open for excuses and corrective additions that would specify —or even construct—
36 the vague or partly unconscious *ad hoc* meaning.

37 It is easy to speak with precision upon a general theme. Only, one must commonly surrender all ambition
38 to be certain. It is equally easy to be certain. One has only to be sufficiently vague. (CP 4.237, 1902.)

39 What can be done in order to make the biosemiotic ideas clearer so that they might
40 be put in an experimental test? In the original formulation of the pragmatic maxim,²⁵
41 the intellectual meaning of a concept consists of its ‘conceivable practical bearings’,
42
43
44

01 but those ‘practical bearings’ are the ones of the *object* —i.e. the referent— of
 02 the conception. Thus, in order to determine the pragmatic meaning of a scientific
 03 concept, to get the better control over its possible *future* products, i.e. over its
 04 potential *interpretants*, we need a control over the intended (or assumed) *objects*
 05 of the concept too. This hidden demand is underlined in another formulation of the
 06 maxim of pragmatism:

07 The elements of every concept enter into logical thought at the gate of perception and make their exit
 08 at the gate of purposive action; and whatever cannot show its passports at both those two gates is to be
 09 arrested as unauthorized by reason. (EP 2:241, CP 5.212, 1903)

10
 11 Thus, the control over the *formation* of our concepts constitutes an essential part in
 12 the anticipation of its whole pragmatic meaning. Concepts are derived from some
 13 kind of *perception* and the circumstances of the observation of that perception
 14 may become structured in the concept. The observation plays a double role —
 15 scientific concepts are originated by observation and their meaning is dependent on
 16 the would-be observation of the would-be results of their would-be experimental
 17 testing. For instance, the majority of cognitive (or communicative) concepts are
 18 originally based on the observation of some common internal experience of sensing,
 19 knowing, understanding, intending, etc. They are nevertheless later abstracted or
 20 formalized and, especially in biosemiotics, extended to refer also to such non-
 21 human phenomena (like animal cognition) about which we cannot have internal
 22 experience. But if the concepts are abstracted without clear awareness about their
 23 derivations, some hidden presumptions may have remain in the structure of these
 24 concepts, the presumptions that make them not extendable beyond a human sphere.
 25 Therefore, scientific concepts cannot be accepted merely as (culturally or intuitively)
 26 ‘given’ — their ‘derivations’ remain more or less hidden with the consequence that
 27 also the meanings of such culturally given everyday concepts remain too vague for
 28 scientific use.

29 The concepts we use even in science are originally vague, but they can be made
 30 ‘clear and distinct’ and one method of achieving this is to analyze the path of the
 31 formation of concepts and the observations (or experiences) that are their points
 32 of departure. This analysis does not determine the referents of the concepts under
 33 scrutiny, they are after all abstracted, but it may *suggest* the possible referents of
 34 the concepts, and most of all, exhibit the errors that stay easily hidden.

36 **3.3. Pragmatism Applied Back to Itself**

37
 38 However, there is one remaining problem, whether Peirce’s ‘tychastic Synechism’
 39 is more than a metaphysical system *a priori*. The differences between Peirce’s
 40 approach and *a priori* philosophy like Schelling’s *Naturphilosophie* or Kant’s
 41 transcendental idealism can be best illustrated if we apply the pragmatic maxim to
 42 Peirce’s concepts themselves. We should therefore scrutinise carefully what kind of
 43 invisible structural presuppositions are built into his concepts prior to their appli-
 44 cation to biological theory. This cannot be done properly here (see some details

01 in Vehkavaara 2006), but some guidelines can nevertheless be given. We can
 02 study 1. what kind of *practical bearings* he conceived his logical and metaphysical
 03 concepts as having and 2. what kind of *perception* Peirce's derivation of his concepts
 04 starts from.

05 If we consider specifically the pragmatic maxim i.e. the conceivable practical
 06 consequences of the pragmatic maxim, Peirce himself characterized pragmatism
 07 (in a dictionary article) as being the "opinion that metaphysics is to be largely
 08 cleared up by the application of the [pragmatic] maxim for attaining clearness of
 09 apprehension" (CP 5.2, 1902). So, the intended practical bearing of the pragmatic
 10 maxim itself was to free us from floppy *a priori* metaphysics. It was hoped
 11 to wipe them away by showing that endless disputes without any conceivable
 12 practical —i.e. *experimental*— differences are senseless.²⁶ The pragmatic maxim
 13 provides quite hard criteria of intellectual meaning especially for metaphysical
 14 and logical concepts. The fact that the maxim was originally designed to settle the
 15 stubborn nonsensical quarrels of metaphysical and religious doctrines does not,
 16 however, limit its potential practical bearings, which Peirce clearly intended to
 17 cover all scientific or rational thought. The open question of biosemiotics is, can
 18 the pragmatic maxim be applied, extended, or further abstracted so that it could
 19 work as a base for *biological meaning* too?

20 Next we have to ask, in the light of the pragmatic maxim, what kind of perception
 21 Peirce's logical and metaphysical concepts are based on and what consequences we
 22 can draw from that.

23

24

25

26 **4. CONSEQUENCES OF PRAGMATISM IN UNDERSTANDING** 27 **PEIRCE**

28 **4.1. Observation in Sciences — Metaphysics is not the 'First** 29 **Philosophy'**

30

31 Peirce expressed quite explicitly what kind of perception or experience the elements
 32 of philosophical concepts are derived from. He recognized three kinds of observation
 33 that separate the three classes of Theoretical science.²⁷

34 1. *Pure mathematics* is based on the observation of *imagined* objects without any
 35 guarantee of their application in the actual world. It can describe only the *possible*
 36 *forms* that things (including thought) *may* take in our universe. It is a pure
 37 science of *hypotheses* providing no *positive* information about the *actual* reality
 38 of our universe. As such it is the *negative science*. (CP 2.782, 1901, CP 1.247,
 39 2.77, 1902.)

40 2. *Theoretical philosophy (Philosophia prima)* draws its conclusions from the
 41 observation of *universal phenomena* that "come within the range of every man's
 42 normal experience, and for the most part in every waking hour of his life" (CP
 43 1.241, 1902). The findings of philosophy should thus be derivable from *familiar*
 44 *experience* common to everyone.

01 3. *Special sciences* are based on the *special experience* aided with instruments and
 02 other special arrangements and on the analysis of its minute details. Special
 03 sciences discover *new* phenomena by expanding the ordinary limits of human
 04 experience.²⁸

05 These three classes form a nested hierarchy according to the *abstractness* of the
 06 *objects* of study specific to each science (CP 1.180, 1903). All sciences may use
 07 the same experiential content as the ‘data’ for their inquiries, but they *observe*
 08 different facts from that ‘data’, the facts that lead to generalizations at the different
 09 levels of abstraction (CP 8.297, 1904). Each special science observes from this
 10 ‘data’ the *special* information peculiar to it — astronomy pays attention to astro-
 11 nomically relevant data, etc. Philosophy observes general information that could
 12 in principle have been achieved from any other ‘data’ too. Because the observed
 13 universal experience is present in *any* experience, also in those special experiences
 14 which special sciences observe, the familiar every day experience suffices for the
 15 observational basis of philosophy. Mathematics, in turn, extracts a mere possible
 16 form from the ‘data’, the form the properties of which are in that sense independent
 17 on any actually perceived ‘data’ that merely imagined ‘data’ would suffice for the
 18 source of mathematical inquiries.²⁹

19 The general principle of this hierarchy is that lower sciences rest for their
 20 *principles* upon (some of) the higher ones that, in turn, draw their *data* in part
 21 from the lower ones and furnish them with applications (EP 2:35, 1898, EP 2:458,
 22 1911, cf. also Kent 1987: 18). The subclasses of each class of Theoretical science
 23 inherit this principle. With regard to the two major subclasses of philosophy, Peirce
 24 kept logic a more abstract science than metaphysics (EP 2:35–36, 1898). Since
 25 metaphysics, the *philosophical science* of the *most general facts of the reality*,
 26 is based on the observation of universal experience, it can be asked how such
 27 knowledge is possible or whether it is possible at all. On what grounds the correct
 28 metaphysics could be argued for, since such knowledge —because of being the most
 29 general kind— should be independent on any particular observation or experience
 30 and compatible with all possible experience. Peirce followed Immanuel Kant’s
 31 solution of this problem by rejecting the traditional idea about metaphysics as the
 32 ‘first philosophy’, instead, the basic metaphysical concepts should be applied logical
 33 ones (EP 2:30–31, 1898) — i.e. logic is prior to metaphysics. The biosemiotic
 34 practice of applying Peirce’s logical concepts with a metaphysical tone is thus in
 35 principle compatible with Peirce’s own application. Nevertheless, such applications
 36 are always vulnerable to anthropomorphic errors and excess vagueness.

37 The independence of logic from metaphysics means that the reality of thought is
 38 not a logical question — the science of logic cannot decide whether there is any
 39 thought in animals, for instance. The logical concept of sign should be independent
 40 of embraced metaphysical principles as well as of the findings of natural sciences,
 41 For example, the acceptance of Tychism or Synechism is not required for the
 42 acceptance of the Peircean conception of sign. However, the *application* of logical
 43 concepts in metaphysics and in biology *is* dependent on embraced metaphysical and
 44 biological conceptions. Many (though not all) forms of ontological physicalism, for

01 instance, are incompatible with all possible applications of Peircean conception of
 02 sign. At least some amount of *real* indeterminacy (which does not necessarily mean
 03 free will) is required in order to make real sign processes genuinely normative.

04 The independence of special sciences on logic and metaphysics gives a quite
 05 demanding criterion for the generality of philosophical propositions: philosophical
 06 generalizations should be in accordance with *all experiential data of all kinds*.
 07 Although special sciences cannot provide any *principles* for philosophy, they may
 08 provide critique (even if indirect) for philosophical conceptions. The new findings
 09 in special sciences may demonstrate that the philosophical concepts derived from
 10 familiar experience have not been abstracted enough but that they are after all
 11 formulated in unnecessarily concrete or intricate terms (cf. CP 2.75, 1902) — i.e.
 12 that they include naturalistic or anthropomorphic errors.

13
14
15 **4.2. Transcendental and Objective Perspectives**

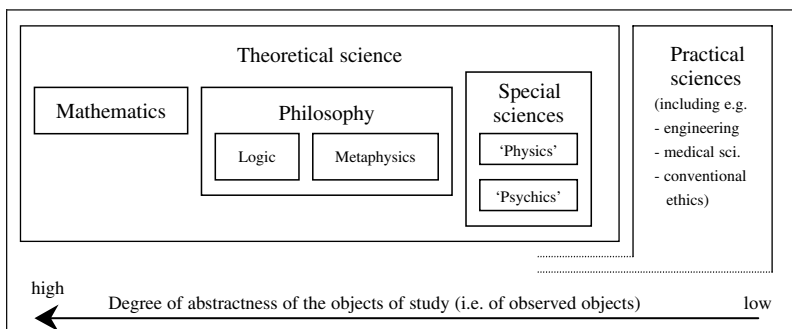
16
17 In the tradition of transcendental philosophy put forward by Immanuel Kant, the
 18 concepts of metaphysics are grounded on *transcendental logic*. In Kantian scheme,
 19 all our knowledge is admitted to begin with experience, but not so that all of it
 20 would arise out of experience. Instead, Kant assumed that

21 our empirical knowledge is a compound of that which we receive through impressions, and that which
 22 the faculty of cognition supplies from itself (Kant 1781/1787: 1 [B1]).

23
24 That part of our knowledge which is in our faculty of cognition itself, must in this
 25 Kantian scheme be independent on the empirical or *a posteriori* part, i.e. it is *a*
 26 *priori*, prior to senses. The term *transcendental* refers in the Kantian tradition to
 27 concepts focusing on such *a priori* forms of all possible knowledge:

28 I apply the term *transcendental* to all knowledge which is not so much occupied with objects as with the
 29 mode of our cognition of these objects, so far as this mode of cognition is possible *à priori*. A system
 30 of such conceptions would be called *Transcendental philosophy*. (Kant 1781/1787: 15 [B24].)

AQ4



41
42
43
44 *Figure 4.* Overview to Peirce’s conception about the relations of sciences (before c. 1902)³⁰

01 Peirce's conception about the philosophical observation on which theoretical
 02 philosophy is based is an apparent descendant of Kantian conception of transcen-
 03 dental philosophy. The philosophical observation of universal experience *included*
 04 *in any experience* is obvious counterpart for the Kantian idea of transcendental *a*
 05 *priori* form of cognition. Because logic should be derivable from any experience
 06 (plus mathematics), i.e. from familiar every day experience, it becomes intimately
 07 bound with 'our' perspective and ordinary life. The 'positive facts' that logic can
 08 tell us concern the form of our internal epistemic relation with the world we live.
 09 This may bring some restrictions on the biosemiotic applicability of Peircean logical
 10 concepts.

11 For instance, the Peirce's original derivation of his concept of sign (CP
 12 1.545–559, 1867) was the construction of the concepts of representamen, object,
 13 and interpretant and their irreducible triadic coalition as a sign. The derivation was
 14 executed by directing the investigating thought into itself in order to find out *how*
 15 it can refer to its object and state something about it. A present thought is directed
 16 to observe itself, i.e. directed toward its origin, toward its *object*, to find truth
 17 about it, and at the same time, it becomes transformed into another *more self-aware*
 18 thought-sign about itself, into its *interpretant*. The interpretant was produced as a
 19 means of grasping the true knowledge about the object of thought-sign. Because
 20 the interpretant is *constructed* by looking for *truth* about the object, the *aim toward*
 21 *truth* —the logical normativity— is already built in the construction of the triadic
 22 structure of sign, no matter how it will be considered or what will be considered
 23 in it. This analysis suggests —if it is correct— that Peircean concept of sign may
 24 not be as general concept that is often assumed. Consequently, its applicability in
 25 biosemiotics is restricted, if it is accepted that the ultimate criterion of goodness
 26 for living systems is *survival* or *sufficient fitness* and not so much the truthfulness
 27 of their representations. (See more in Vehkavaara 2006.)

28 However, besides the above described *transcendental perspective*, Peirce
 29 employed also a perspective that could be called the *objective perspective*, because
 30 within it, a sign is no more considered merely from the perspective of its own,
 31 but the whole *chain* of signs, the whole semiotic *process* or succession of signs,
 32 is taken as an object of study. The investigating mind is methodically split into
 33 the 'observer-mind' and 'observed-mind' so that a present investigating thought in
 34 observer's head is no more considered as a part of the object of study. The objective
 35 perspective is inevitable for any biosemiotic application of semiotic, because it can
 36 be applied to study other minds — it frees us to study and think about non-human
 37 minds and non-conscious sign processes. The description of *semiosis* in Chapter
 38 1.2 (see Figure 3) was a description from the objective perspective. The distinction
 39 between these two perspectives is essential when Peirce's statements concerning
 40 signs are considered. (See more in Vehkavaara 2006.)

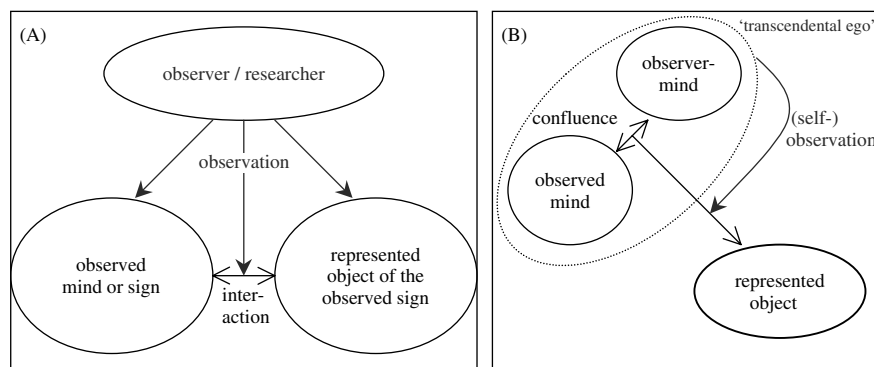
41 Peirce's metaphysics inherits the similar 'transcendental' character from logic. As
 42 metaphysics draws its positive content only from the universal features of ordinary
 43 experience, the most general facts that it describes must concern their *accessibility*
 44 to us, i.e. the form that they 'necessarily' take in *our mind* (independently on their

01 more concrete content). For instance, in his paper “Evolutionary Love” (EP 1:352–
 02 371, 1893), Peirce demonstrates there being two other kinds of evolution besides a
 03 tychastic one by fortuitous variation. The other two were *anancastic* evolution by
 04 mechanical necessity and *agapastic* evolution by ‘evolutionary love’ i.e. by force
 05 of a self-organizing habit. They were all considered as real powers in the world but
 06 they are, however, only three *possible forms* that real processes *may* take, three real
 07 possibilities that should not be excluded *a priori* when some specific real process
 08 is investigated. Whether or not an individual process (be it chemical, geological,
 09 celestial, phylogenetic, epigenetic, metabolic, psycho-dynamic, communicative,
 10 etc.) is dominated by ‘creative love’, for instance, is not properly a metaphysical
 11 quarrel. It is dependent on the observation of the appropriate *special* phenomenon
 12 and therefore belongs under the corresponding special science.

13
 14
 15 **4.3. Fallibilism — Transcendental but not *a Priori***

16
 17 Although Peirce’s conception about philosophical observation gives to his
 18 philosophy a kind of ‘transcendental flavour’ that even the adoption of objective
 19 perspective cannot completely strike out, it is nevertheless not transcendental
 20 *a priori*. Whereas for Kant the *a priori* conditions of cognition have to be undeniable
 21 “with the full guarantee for validity” (Kant 1781/1787: 16), for Peirce, the philo-
 22 sophical observation is by no means infallible although its pitfalls are different
 23 than what special sciences face.³¹ Because *all* sciences and *all rational thought* is
 24 based on the observation of some kind of perception, there are strictly speaking no
 25 *a priori* concepts at all, no concepts ‘prior to senses’. For Peirce, there is no pure
 26 knowledge *a priori*, i.e. no purely *a priori* certain knowledge in a Kantian sense,
 27
 28
 29

AQ5



40
 41
 42
 43 **Figure 5.** Observation from the objective perspective (A), and from the transcendental perspective (B)

01 because there is no such knowledge with which “no empirical element is mixed
02 up” (Kant 1781/1787: 2).³²

03 With this assumption about the observational origin of all the elements of
04 knowledge, Peirce breaks with the mainstream of modern philosophy. Namely,
05 Peirce argues that no absolute infallibility or certainty is accessible in *any* human
06 endeavour.³³ The fallibility of human sensations is widely accepted (and experi-
07 entially supported), but it is quite rare in the philosophy of western tradition
08 that this fallibilism is extended even into mathematics.³⁴ If even mathematics is
09 accepted as fallible without any commitment to nihilism or extreme scepticism,
10 then philosophy, i.e. logic and metaphysics, certainly follows. Peirce did not expect
11 that internal senses, on which mathematics, philosophy and, parts of the psychical
12 special sciences lean, would provide any more epistemically privileged information
13 than external senses. Inconceivability, unimaginability, or indubitability, which are
14 often appealed to when rationalistic *a priori* doctrines are tried to justify, are
15 historically proven to be far from infallible.

16
17 But that which has been inconceivable today has often turned out indisputable on the morrow. Inability
18 to conceive is only a stage through which every man must pass in regard to a number of beliefs [...].
19 His understanding is enslaved to some blind compulsion which a vigorous mind is pretty sure soon to
20 cast off. (CP 6.49, 1892)

21 The task of philosophy is not to dwell on infallible truths or any other *a priori*
22 certain foundation for scientific knowledge. It would be a grave error to read Peirce
23 as if he would aim to provide a foundational basis of science, a new foundation
24 upon which the house of the new science would be built. Throughout his scien-
25 tific career, Peirce opposed such a Cartesian dream about the absolutely certain
26 foundation of knowledge that has been governing modern philosophy at least since
27 the days of Descartes (e.g. CP 5.264–265, 1868). Instead, he called his attitude
28 toward philosophy a ‘laboratory-philosophy’ contrasting it with the philosophies
29 of ‘theological seminaries’ (CP 1.129, 1905) referring especially to Hegel and
30 Schelling, the major representatives of idealistic *Naturphilosophie*.

31
32 [M]y attitude was always that of a dweller in a laboratory, eager only to learn what I did not yet know,
33 and not that of philosophers bred in theological seminaries, whose ruling impulse is to teach what they
34 hold to be infallibly true. (CP 1.4, 1897)

35
36 Peirce describes his attitude of ‘laboratory-philosophy’ as follows:

37
38 Thus, in brief, my philosophy may be described as the attempt of a physicist to make such conjecture
39 as to the constitution of the universe as the methods of science may permit, with the aid of all that
40 has been done by previous philosophers. I shall support my propositions by such arguments as I
41 can. Demonstrative proof is not to be thought of. The demonstrations of the metaphysicians are all
42 moonshine. The best that can be done is to supply a hypothesis, not devoid of all likelihood, in the
43 general line of growth of scientific ideas, and capable of being verified or refuted by future observers.
44 (CP 1.7, 1897)

01 Despite all his rejection of *a priori* knowledge, encouragement to ‘laboratory-
 02 philosophy’, and mocking of the philosophies of ‘theological seminaries’, Peirce
 03 nevertheless appreciated some of the *results* of the great German Idealists: Kant,
 04 Hegel, and Schelling (CP 1.21, 1903). However, even if their *a priori* method of
 05 reasoning would produce some valid conclusions, such success would more or less
 06 be due to an accident — Peirce could not see much sense in the argumentation
 07 by which they reasoned to obtain these results. Such *a priori* method does not
 08 provide tenable means to distinguish the apparent errors that they include from the
 09 valid conclusions. Moreover, their aim or longing to provide some *a priori* certain
 10 foundation for science leads to an understandable but harmful habit of giving an
 11 infallible status to such *a priori* results, i.e. removing them outside of the target
 12 area of inquiry.

13 For Peirce, the *results* do not make a science but the *way they are produced*, and
 14 this applies to logic and metaphysics too. Perhaps the most important lesson that
 15 biosemioticians should learn from Peirce is his attitude toward science, science that
 16 includes also metaphysics and semiotic. It is the attitude of potential impermanence
 17 of all the scientific results that we ever can derive, the attitude that emphasizes the
 18 making our scientific concepts and ideas clearer and clearer, i.e. that their pragmatic
 19 meaning and conceptual structure would be better and better exposed. It is the
 20 attitude that whatever our embraced logical and metaphysical principles are, they
 21 are not *believed* and taken as the premises of our inquiry but they are considered
 22 as *hypotheses* that have to be argued for instead. For Peirce, beliefs should have no
 23 role in scientific argumentation, except as a *source of hypotheses* but as such, they
 24 are strictly speaking no more fully believed. All convictions and beliefs are judged
 25 by Peirce as harmful in science:

26 I hold that what is properly and usually called *belief* [...] has no place in science at all. We *believe* the
 27 proposition we are ready to act upon. [...] But pure science has nothing at all to do with *action*. The
 28 propositions it accepts, it merely writes in the list of premisses it proposes to use [...] and the whole
 29 list is provisional. The scientific man is not in the least wedded to his conclusions. He risks nothing
 30 upon them. He stands ready to abandon one or all as soon as experience opposes them. Some of them,
 31 I grant, he is in the habit of calling *established truths*; but that merely means propositions to which no
 32 competent man today demurs. [...] Still, it may be refuted tomorrow; and if so, the scientific man will
 33 be glad to have got rid of an error. There is thus no proposition at all in science which answers to the
 34 conception of belief. (CP 1.635, 1898.)³⁵

35 The pure scientific attitude that Peirce forcefully expounded is that we should not
 36 allow some general world view based on our more or less uncontrolled impres-
 37 sions³⁶ and ‘seemings’ dictate what kind of explanations, descriptions, and concepts
 38 are in principle acceptable in science. That attitude, if applied to the *making* of
 39 biosemiotics, would eventually make it a science.

40 41 **ACKNOWLEDGEMENTS**

42
43 I wish to thank Stephen Pain for reading the manuscript and for helping with the
 44 copy-editing.

NOTES

¹ Michael Bradie has distinguished two interrelated but distinct programs which both go by the name ‘evolutionary epistemology’. ‘EET’ is an abbreviation of the ‘evolutionary epistemology of theories’, which “attempts to account for the evolution of ideas, scientific theories and culture in general by using models and metaphors drawn from evolutionary biology.” ‘EEM’, the ‘evolutionary epistemology of mechanisms’ in turn, attempts “to account for the characteristics of cognitive mechanisms in animals and humans by a straight-forward extension of the biological theory of evolution to those aspects or traits of animals which are the biological substrates of cognitive activity, e.g., their brains, sensory systems, motor systems, etc.” (Bradie 1986: 403.)

² More properly, the explanatory principle in evolutionary epistemology is the general *selection theory* that is abstracted from the principle of natural selection (Campbell 1997: 7).

³ In Vehkavaara (2002), I suggested a method of *semiotic naturalism* that would minimize the risk to fall on these fallacies.

⁴ Peirce is not the only source of the semiotic ideas of this school of biosemiotics. Jakob von Uexküll and Gregory Bateson have obviously been just as influential as Peirce and some concepts have been borrowed from the cultural semiotics of Juri Lotman (like ‘semiosphere’).

⁵ The term ‘semiotic’ here refers to the overall field of discourse or discipline that concerns signs. ‘Semeiotic’ and ‘Semiology’ are used to refer to Peirce’s and Saussure’s particular semiotic theories.

⁶ The emphasis on sign-action dominated Peirce’s later and more mature views on sign and semiosis. However, especially in his early papers (most notably in “On a New List of Categories”, CP 1.545-559, 1867), the concept of sign was viewed and derived as a kind of transcendental concept which can hardly be interpreted as a dynamic one. (cf. Vehkavaara 2006). About the distinction between *sign-object* and *sign-action* see e.g. Deledalle (2000: 38–39).

⁷ The object of a sign does not have to be any concrete particle or other material thing — it can be anything (a material thing, perception, idea, lawful behavior of nature, dream, etc.) which excites the mind to search some better or fuller representation of it. However, this requires that the object is somehow beforehand, by some *collateral observation*, acquainted — the sign cannot provide the sole access to the object (cf. EP 2:408-9,429, 1907). Still, the sign does not necessarily only draw the interpreter’s attention to the object, but that it may also provide some *new* information about the object.

⁸ The conception of a *belief* as a deliberate or partly conscious habit of action is one of the core conceptions in pragmatism (cf. CP 5.12, 1907). “A belief in a proposition is a controlled and contented habit of acting in ways that will be productive of desired results only if the proposition is true.” (EP 2:312, 1904)

⁹ See especially Artmann (in this volume) and also Barbieri (2002) on Morse code and his organic meaning.

¹⁰ This intimate participation in the science of his time influenced greatly both his conception of science and the content of his philosophy. Peirce’s metaphysics took its inspiration —besides from modern logic and mathematics— from the latest achievements of the natural sciences of the 19th century. The most important of these were Kirchoff’s Spectroscopy in 1859, Mendeleev’s Periodic Table in 1852, Rankine’s, Clausius’, and Kelvin’s thermodynamics from 1850 onward, Pasteur’s findings in microbiology from 1848 onward, and the most of all, Darwin’s natural selection in 1859.

¹¹ “There is a mathematical logic, just as there is a mathematical optics and a mathematical economics. Mathematical logic is formal logic. Formal logic, however developed, is mathematics. Formal logic, however, is by no means the whole of logic, or even its principal part. It is hardly to be reckoned as a part of logic proper.” (CP 4.240, 1902.) However, in ‘logic proper’ Peirce included both epistemology (*Speculative grammar*, “the general theory of the nature and meanings of signs”) and general methodology (*Methodic* or *Speculative rhetoric*, “which studies the methods that ought to be pursued in the investigation”) along with *Logical Critic*, logic in narrow sense, “which classifies arguments and determines the validity and degree of force of each kind” (EP 2:260, 1903, CP 2.206–207, 1901).

¹² Peirce used the term ‘logic’ as the *name* of the logical science and *not* to refer to its *object of study* which is another common use of the term ‘logic’ (e.g. in phrases ‘women’s logic’ or ‘logic of the

01 universe'). Logic in this latter meaning is, especially if considered as a *description* of a *real* phenomenon,
 02 rather a question of metaphysics or psychical sciences than of logical science.

03 ¹³ This is Peirce's solution to the problem that thought is, in itself, quite an abstract and vague concept,
 04 which is hard to grasp because of its internal, immaterial, temporary, and flexible characters. The 20th
 05 century western philosophy, almost every branch of it, has tried to solve this problem in another way,
 06 by making a 'linguistic turn', by considering only linguistically expressible thoughts and language as
 07 *the* medium of thought. Structuralism based on Saussure's semiological vision is a one form of it.

08 ¹⁴ See Hoffmeyer (1993: 25–27) and Brier (2003: 74). These insights have not necessarily been adopted
 09 straight from Peirce's writings but some of them might have been already adopted from other sources
 10 (e.g., Gregory Bateson) and Peirce's writings are just found to appear as compatible with them.

11 ¹⁵ It can be argued that logic of science was not only Peirce's starting point (or *motive*) but also one of
 12 his main *purposes* of his theory (cf. Vehkavaara 2006).

13 ¹⁶ One of the central characteristic of Peirce's metaphysics is his strong 'three category realism' or
 14 "extreme scholastic realism" (CP 8.208, c.1905). This consists of the acceptance that not only singular
 15 existent events are real, but that also possibilities and *some* general objects (like laws and habits) are real.
 16 This does not mean that *all* generals were real since, according to Peirce, nobody ever thought that "but
 17 the scholastics used to assume that generals were real when they had hardly any, or quite no, experiential
 18 evidence to support their assumption; and their fault lay just there, and not in holding that generals could
 19 be real" (EP 2:342, 1905). The counterpart to 'real' is not 'ideal' but 'figment' or 'illusion': "A figment is
 20 a product of somebody's imagination; it has such characters as his thought impresses upon it. That those
 21 characters are independent of how you or I think is an external reality. [...] Thus we may define the real as
 22 that whose characters are independent of what anybody may think them to be." (EP1:136, 1878) This, in
 23 turn, does not mean that what is relative to thought cannot be real. "*Red* is relative to sight, but the fact that
 24 this or that is in that relation to vision that we call being red is not *itself* relative to sight; it is a real fact"
 25 (EP 2:343, 1905).

26 ¹⁷ According to Synecism, we must not say

27 – "that the sum of the angles of a triangle exactly equals two right angles, but only that it equals
 28 that quantity plus or minus some quantity which is excessively small for all the triangles we can
 29 measure"

30 – "that phenomena are perfectly regular, but only that the degree of their regularity is very high indeed"

31 – "being is, and not-being is nothing," like Parmenides but "that being is a matter of more or less"

32 – "I am altogether myself, and not at all you," i.e. "synecism recognizes that the carnal consciousness
 33 is but a small part of the man. There is, in the second place, the social consciousness, by which a
 34 man's spirit is embodied in others, and which continues to live and breathe and have its being very
 35 much longer than superficial observers think." (CP 7.568–575, 1892.)

36 ¹⁸ Peirce viewed Darwinian evolution as an example of *tychastic* evolution. The other possible types
 37 of evolution considered by Peirce were *anacastic* evolution by mechanical necessity and *agapastic*
 38 evolution by 'evolutionary love' i.e. by force of a self-organizing habit. Lamarckian evolution was
 39 Peirce's example of agapastic evolution. (See more later, and in CP 6.300–302, 1893.)

40 ¹⁹ "[A]n explanation should tell *how* a thing is done, and to assert a perpetual miracle seems to be an
 41 abandonment of all hope of doing that, without sufficient justification" (CP 2.690, 1878).

42 ²⁰ "[B]y supposing the rigid exactitude of causation to yield, I care not how little — be it but by a
 43 strictly infinitesimal amount — we gain room to insert mind into our scheme, and to put it into the place
 44 where it is needed" (CP 6.61, 1892).

45 ²¹ Peirce himself defines the most abstract sense of 'mind' as following: "Mind has its universal mode
 46 of action, namely, by final causation. The microscopist looks to see whether the motions of a little
 47 creature show any purpose. If so, there is mind there." (CP 1.269, 1902.) The general abstracted concept
 48 of mind or thought do not contain any assumption of its self-consciousness or of free will though Peirce
 49 by no means rejects the real possibility of self-conscious mind equipped with free will: "Thought is
 50 often supposed to be something in consciousness; but on the contrary, it is impossible ever actually to
 51 be directly conscious of thought. It is something to which consciousness will conform, as a writing may
 52 conform it. Thought is rather of the nature of a habit, which determines the suchness of that which may
 53 come into existence, when it does come into existence." (EP 2:269, 1903.)

01 22 “How To Make Our Ideas Clear” is the title of his perhaps best known paper (CP 5.388–410, 1878).
 02 In it, the principle of pragmatism occurs the first time as presented (though the words ‘pragmatism or
 03 pragmatism do not occur).

04 23 The idea of pragmatism was developed in early 1870’s, in the conversations of the ‘Metaphysical
 05 Club’, a small group of young Cambridge philosophers (and lawyers) lead by Peirce, William James,
 06 and Chauncey Wright. It was not until 1898 when James, Peirce’s life long friend and both philosophical
 07 and financial supporter in the last years of his life, first brought the term ‘pragmatism’ before the public,
 08 which led to the tremendous popularisation of pragmatism around the turn of the century. Although
 09 Peirce was probably the originator of the basic idea of pragmatism, Peirce and his pragmatism (renamed
 10 in 1904, in order to be safe from ‘kidnappers’, cf. CP 8.194, 5.414) was hardly known at all. The
 11 leading pragmatists, James and John Dewey, nevertheless gave the honour to Peirce as the originator of
 12 it, though even Peirce hesitated whether it was him or James who first used the term ‘pragmatism’ (CP
 13 8.253, 1900).

14 24 “The meaning of a proposition is itself a proposition. Indeed, [...] it is a translation of it. But of the
 15 myriads of forms into which a proposition may be translated, what is that one which is to be called its
 16 very meaning? It is, according to the pragmatist, that form in which the proposition becomes applicable
 17 to human conduct, not in these or those special circumstances, [...] but that form which is most directly
 18 applicable to self-control under every situation, and to every purpose. This is why he locates the meaning
 19 in future time; for future conduct is the only conduct that is subject to self-control.” (CP 5.427, 1905)

20 25 The first written description about the pragmatic maxim was published in 1878: “Consider what
 21 effects, that might conceivably have practical bearings, we conceive the object of our conception to have.
 22 Then, our conception of these effects is the whole of our conception of the object.” (CP 5.402, 1878.)

23 26 “Pragmatism, then, is a theory of logical analysis, or true definition; and its merits are greatest in
 24 its application to the highest metaphysical conceptions.” (CP 6.490, 1910)

25 27 Peirce’s main scientific interest and attention concentrated on what he called Theoretical science.
 26 Theoretical science differs from Practical sciences according to the most general end of inquiry, the
 27 end that functions as an ultimate criterion for the successfulness of the inquiry. Theoretical science
 28 is ultimately guided and valued by the *intrinsic* end of inquiry, truth, while practical sciences are
 29 guided by various practical ends, *extrinsic* for the inquiry. Theoretical science has two subbranches
 30 *Heuristic Sciences* or *Sciences of Discovery* and *Science of Review* or *Retrospective Science*. Mathematics,
 31 Philosophy, and Special sciences are the three classes of Heuristic Science. Whereas Heuristic Science
 32 is studying ‘directly’ the phenomena, the Retrospective Science is studying phenomena mediately,
 33 collecting and uniting the results of different Heuristic Sciences. Thus, *Synthetic Philosophy* represented
 34 by Alexander Humboldt’s *Cosmos*, Auguste Comte’s *Philosophie positive*, and Herbert Spencer’s
 35 *Synthetic Philosophy* are classified under the Science of Review. In addition, all considerations that relate
 36 different sciences in general, e.g. histories and classifications of sciences belong under the branch of
 37 Science of Review. Peirce named synthetic philosophy as *Philosophia ultima* in order to make difference
 38 with *Philosophia prima*, the theoretical philosophy of Heuristic Sciences (EP 2:372–373, 1906).

39 28 The special sciences consist of two subclasses, physical and psychical. The difference between
 40 physical and psychical special sciences is that ‘physics’ sets forth the workings of efficient causation and
 41 ‘psychics’ of final causation (CP 1.242, 1902). Physical and psychical phenomena are not independent
 42 on each other since Peirce did not see final and efficient causation as alternatives, but some chain of
 43 efficient causes is always involved in any event guided by a final cause (cf. CP 1.212, 1902).

44 29 Thus, every science has its mathematical part, but that part alone studies such forms from which all
 concrete elements and references to the reality of the actual world are abstracted away (CP 1.133, 1894).

30 30 Before the first years of 20th century, Peirce recognized only two subdisciplines of theoretical
 31 philosophy, logic and metaphysics, but in 1901-1902, Peirce’s conception about the philosophical science
 32 deepened. He found out that theoretical philosophy actually contains a couple of other sciences that he
 33 previously had not recognized it containing. According to this new conception, theoretical philosophy
 34 divides into three subdisciplines, to *phenomenology* (later also *phaneroscopy*), *normative sciences*, and
 35 *metaphysics*. Normative sciences divide further into three: to *esthetics*, *ethics* (renamed later as *practics*),
 36 and *logic* (or *formal semeiotic*).

01 ³¹ “[...] the observational part of philosophy is a simple business, compared, for example, with that of
02 anatomy or biography, or any other special science.

03 To assume, however, that the observational part of philosophy, because it is not particularly laborious,
04 is therefore easy, is a dreadful mistake, into which the student is very apt to fall, and which gives the
05 death-blow to any possibility of his success in this study. It is, on the contrary, extremely difficult to
06 bring our attention to elements of experience which are continually present. For we have nothing in
07 experience with which to contrast them; and without contrast, they cannot excite our attention.” (CP
08 1.133–134, 1894.)

08 ³² This rejection (or doubt) of the reasonableness of the whole conception about *a priori* can be seen in
09 Peirce’s critique of Kant’s starting point:

09 “Immanuel Kant asked the question, “How are synthetical judgments *a priori* possible?” [...] By *a*
10 *priori* judgments he meant such as that all outward objects are in space, every event has a cause, etc.,
11 propositions which according to him can never be inferred from experience. [...] But before asking
12 *that* question he ought to have asked the more general one, “How are any synthetical judgments at all
13 possible?” How is it that a man can observe one fact and straightway pronounce judgment concerning
14 another different fact not involved in the first?” (CP 2.690, 1877.)

14 This latter question was Peirce’s starting point in the original derivation of the concept of sign (CP
15 1.545–559, 1867).

16 ³³ “Though infallibility in scientific matters seems to me irresistibly comical, I should be in a sad way
17 if I could not retain a high respect for those who lay claim to it, for they comprise the greater part of
18 the people who have any conversation at all. When I say they lay claim to it, I mean they assume the
19 functions of it quite naturally and unconsciously. The full meaning of the adage *Humanum est errare*,
20 they have never waked up to. In those sciences of measurement which are the least subject to error —
21 metrology, geodesy, and metrical astronomy — no man of self-respect ever now states his result, without
22 affixing to it its *probable error*; and if this practice is not followed in other sciences it is because in
23 those the probable errors are too vast to be estimated.” (CP 1.9, c.1897)

24 ³⁴ “Theoretically, I grant you, there is no possibility of error in necessary reasoning. But to speak
25 thus “theoretically,” is to use language in a Pickwickian sense. In practice, and in fact, mathematics
26 is not exempt from that liability to error that affects everything that man does. [...] The certainty of
27 mathematical reasoning, however, lies in this, that once an error is suspected, the whole world is speedily
28 in accord about it.” (CP 5.577, 1898)

29 ³⁵ On the other hand, beliefs are far from forbidden for a scientist, quite contrary, they are *indispensable*
30 in his/her practical life. Even scientists have to cope with the life world of his/her own and in practical
31 decisions everyone should rely more on his/her instincts and beliefs rather than reason. Especially in
32 matters of vital importance, it would be *unwise* to rely chiefly on reason — reason is too slow and
33 fallible in practice if compared with instincts (no matter whether being culturally or biologically fixed)
34 that are tested in practice by past generations. (CP 1.633–639, 1898.)

35 “Here we are in this workaday world, little creatures, mere cells in a social organism itself a poor and
36 little thing enough, and we must look to see what little and definite task our circumstances have set
37 before our little strength to do. The performance of that task will require us to draw upon all our powers,
38 reason included. And in the doing of it we should chiefly depend not upon that department of the soul
39 which is most superficial and fallible — I mean our reason — but upon that department that is deep and
40 sure — which is instinct.” (CP 1.647, 1898)

41 ³⁶ The high standards of validity that Peirce gave to the philosophical science is underlined by the
42 modesty with which Peirce judged his own vocation to logic: Peirce claimed that he would not have
43 achieved much scientific results about signs, but that most of his propositions were based only on
44 “a strong impression due to a life-long study of signs” (EP 2:413, 1907). Three years before his death,
45 he still denied having tenable grounds for his “sundry universal propositions concerning signs” (EP
46 2:462, 1911). It is the insufficient amount of *rational self-control* that makes impressions, even if based
47 on life-long study and even if correct, not enough for true science. Impressions are derived directly from
48 intuitive feelings and the estimation of their validity is beyond rational self-control. Impressions of a
49 scientist are good only for hypotheses, but any claim or *belief* about their validity *because* they are ‘due
50 to life-long study’ do not belong to science.

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01 **QUERIES TO BE ANSWERED (SEE MARGINAL MARKS)**

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03 **IMPORTANT NOTE: Please mark your corrections and answers to these**
04 **queries directly onto the proof at the relevant place. Do NOT mark your**
05 **corrections on this query sheet.**

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08 Chapter-11

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10 Query No.	Page No.	Line No.	Query
11			
12 AQ1	259	36	Please provide figure citation.
13 AQ2	260	38	Please provide figure citation.
14 AQ3	261	40	Please provide figure citation.
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