

The Concept of Security in Political Violence

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During the last 100 years, the concept of security has been used to justify war, revolution, torture, assassinations and invasions. The post-9/11 US invasions of Afghanistan and Iraq were justified partly by reference to the need to protect national security, and the threat of terrorism to domestic and international security was invoked to justify radical counterterrorism measures such as extended police and intelligence powers, as well as torture, extraordinary rendition and detention without charge (see Michaelsen 2005; Waldron 2006).

Yet despite the frequency with which the concept of security is invoked in debates about political violence, there is little agreement about the meaning of security. Should the term 'security' refer to a state's military power, as traditional security studies have claimed (Buzan 1983)? Or should security be understood as human security – the security of individual persons (Duffield and Waddell 2006)? If so, how does national security relate to human security and how are we to assess threats to these different forms of security? Without answers to these questions, the idea of security could easily become a meaningless concept that could be used to justify almost any policy that a state wishes to pursue.¹

A definition of security must fulfil several requirements if appeals to security are to justify political violence. The definition must clarify what constitutes security as a political goal for states and individuals, what constitutes threats to security, how security is to be weighed against other political ideals, and which measures will increase security for states and individuals. Only then can we be in a position

¹ Steve Smith has argued that the concept of security is 'essentially contested'; that any definition of security 'depends upon and in turn supports a specific view of politics', and so a neutral definition of security is impossible (2005: 27–8). As will become apparent, I disagree with this view. The fact that it may be impossible for states and international actors to agree on a definition does not mean that no neutral definition is possible. It is possible, I believe, to develop a definition of security that is independent from a particular political theory and that captures the moral importance of security.

1 to assess how security is to be weighed against other political goals and what 1
2 measures might increase security. 2

3 In this chapter I aim to provide such a definition.² In the first section, I propose 3
4 a definition of individual security as the security of the conditions of identity – a 4
5 multi-faceted definition of security that captures the physical, psychological and 5
6 moral aspects of security that form the basis of our common-sense intuitions about 6
7 when we are and are not secure. In the second section, I consider what constitutes 7
8 threats to security, as I have defined it, and what a state's duties are in relation to 8
9 the security of its citizens. In the third section, I analyse the connection between 9
10 security, national security and state legitimacy. I argue that any plausible definition 10
11 of national security must be grounded in the protection of the security of citizens, 11
12 where security is understood as the security of the conditions of identity. In this 12
13 view, protecting national security may justify the resort to violence *only* in order to 13
14 protect the fundamental security of citizens. In addition, it is now widely believed 14
15 that a state's legitimacy and its right to non-interference are connected to the duty 15
16 of the state to protect the fundamental human rights of citizens, including the 16
17 right to security. Thus, if a state fails to protect or itself threatens citizens' security, 17
18 external intervention to protect citizens may be justified. 18

19 In the final section of this chapter, I consider the possibility that the appeal 19
20 to security may justify the resort to violence by non-state actors if those actors 20
21 genuinely promote or protect citizens' security. We cannot ignore the possibility 21
22 that non-state actors might be justified in resorting to violence to protect the security 22
23 of groups of citizens, particularly if the state is failing to provide protection or is 23
24 itself threatening the security of those groups. 24

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28 What is Security? 28

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30 Security as a political goal could have several different aims. Following the human 30
31 security approach adopted by many contemporary critical security studies theorists 31
32 and international organizations (see Commission on Human Security 2003; 32
33 Duffield and Waddell 2006), any plausible definition of security must refer to the 33
34 security of individual citizens. Understood in this sense, security policies are those 34
35 policies that aim to protect or promote the security of a state's citizens, however 35
36 security is understood. Security policies could also refer to the security of sub-state 36
37 communal groups, such as religious, ethnic or political communities. At the state 37
38 level, national security could refer to the security of a state's political apparatus or 38
39 institutions of government. But the referent of the term 'security' is only one part 39
40 of the question. As David Baldwin (1997: 17) argues, any definition of security must 40

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43 2 My aim in this chapter is to offer a definition of security that explores the implications 43
44 of that definition for questions about the moral justification of political violence at the 44
45 state and non-state levels. Empirical questions about the current security policies of 45
different nations are beyond the scope of this chapter.

1 clarify 'the actor whose values are to be secured, the values concerned, the degree 1
2 of security, the kinds of threats, the means for coping with such threats, the costs 2
3 of doing so, and the relevant time period'. It is also worth noting that total security 3
4 of any kind is not a realistic political goal. Security is a relative state: individuals 4
5 and states may be more or less secure in different areas of public and private life 5
6 (secure from crime, not secure from terrorism), but absolute security is impossible. 6

9 Individual Security 9

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11 What is individual security and what conception of individual security should 11
12 be the appropriate aim of state security policies? As Jeremy Waldron (2006: 463) 12
13 argues, any serious candidate for a definition of security as a political goal must at 13
14 least refer to basic physical safety – security from threats to physical well-being. A 14
15 state that systematically and deliberately failed to protect citizens from the threat 15
16 of physical attack from other citizens and from external enemies would arguably 16
17 fail to meet the basic requirements for state legitimacy.³ 17

18 However, this conception of security (which Waldron (2006: 461) terms the 18
19 'pure safety' account) is deficient as an account of security for human beings. Being 19
20 safe from physical attack is a necessary but not sufficient condition of security. As 20
21 Ken Booth (2006: 22) notes, 'security is not synonymous with survival. One can 21
22 survive without being secure'. The conception of security as physical survival does 22
23 not account for other important aspects of our common-sense notion of security. 23
24 Merely being currently free from the threat of violent attack while one's future 24
25 well-being is far from assured is certainly not sufficient to enable one to feel secure. 25

26 A plausible conception of security for human persons must therefore take 26
27 into account the characteristics of persons. Unlike other animal species, typical 27
28 human persons are characterized by the ability to develop and form a coherent 28
29 self-conception over time, as well as the ability to rationally assess goals and life 29
30 plans (Griffin 2001: 310–311). As David Velleman (2000: 363) argues, the motivation 30
31 to see ourselves as unified agents – as 'explicable and predictable' – is necessary in 31
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34 3 It is true that a state may sometimes deliberately place citizens in threatening situations, 33
35 for example, when a state sends troops to war, without undermining state legitimacy. 34
36 The difference between these cases and cases where a state fails to protect citizens from 35
37 unjust attacks from other citizens or external enemies lies in the reason for exposing 36
38 citizens to risk. A state fighting a just war is protecting the survival of the community, 37
39 and so arguably the state is justified in risking the safety of individual soldiers in order 38
40 to protect the survival of nation as a whole (although there are limits on the level of risk 39
41 that soldiers may legitimately be exposed to – most military forces go to some lengths to 40
42 protect soldiers from harm). In addition, most military forces today are volunteer forces, 41
43 and so soldiers in those armies have consented to accept the risks associated with war. 42
44 This would not apply to conscript military forces, however, and in that case I would 43
45 argue that a state that uses a conscript army would only be justified in threatening the 44
46 safety of troops if doing so was necessary to protect to overall security or survival of the 45
47 state. I thank an anonymous reviewer for encouraging me to clarify this point. 45

1 order to make sense of our ordinary concept of an agent. Agents, as we ordinarily 1
2 conceive of them, are more than creatures who use reason; they are 'causes rather 2
3 than the mere vehicles of behaviour; they would be guided by the normative force 3
4 of reasons for acting; and they would find such force in principles requiring them 4
5 to be moral' (Velleman 2000: 363). In other words, moral agents are those who 5
6 are able to understand and act on moral reasons, and who are capable of seeing 6
7 themselves as unified selves existing over time. 7

8 So a plausible definition of security for human beings must take into account 8
9 what it means for beings *such as ourselves* to be secure. For creatures such as ourselves, 9
10 whose lives revolve around future-oriented preferences and goals, security has a 10
11 temporal as well as a physical component.⁴ We are unlikely to feel secure unless we 11
12 believe that we can plan for the future with some assurance that the basic structure 12
13 of our lives will remain intact over time – that our homes, our freedom and our 13
14 families – what Waldron (2006: 466) calls 'our mode of life' – will not suddenly be 14
15 taken from us. But what is important for our mode of life? As noted above, being 15
16 secure must involve being free from the threat of physical harm. But economic and 16
17 material security is also important to our sense of security (Waldron 2006: 462). 17
18 Being secure from the threats of poverty, starvation and homelessness is essential 18
19 if we are to feel confident in planning for our future.⁵ 19

20 However, our security is not just a matter of objectively assessing the relative 20
21 safety of the basic goods that we need in order to pursue our life plans. Security also 21
22 has a subjective component (Booth 2006: 22). Security involves both an objective 22
23 assessment of the probability of a specific threat occurring and also an individual's 23
24 emotional or mental state relative to that threat, a state that may or may not 24
25 accurately reflect the objective assessment. We may *feel* more insecure in relation to 25
26 one kind of threat, such as the threat of a terrorist attack, even if that threat is much 26
27 less likely to occur than many other threats to our physical safety, such as the threat 27
28 posed by, for example, driving a car. So how we *perceive* our security may bear little 28
29 relation to how physically secure we are, objectively speaking. Why is there this 29
30 discrepancy between objective and subjective security? 30

31 One way of explaining the discrepancy between objective and subjective 31
32 security is in terms of the nature of the threats that we face. As Waldron (2006: 32
33 462) correctly notes, we tend to fear violent death or injury (particularly when 33
34 due to intentional human action) to a greater extent than we fear death by water 34
35 or fire or other natural events. One plausible explanation for this difference in 35
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38 4 In his definition of security as 'an instrumental value that enables people(s) some 38
39 opportunity to choose how to live' (Booth 2006: 23), Ken Booth recognizes the importance 39
40 of the capacity to choose and to plan for human flourishing. However, Booth does not 40
41 explain what degree or kind of choice is necessary for security to be achieved. Unless 41
42 we have some understanding of what *kinds* of life choices are necessary for human 42
43 security, this definition remains too vague. Nor does his definition capture the moral 43
44 aspect of human security. 44

45 5 Thus, the Commission on Human Security (2003) identifies economic security, health, 45
and education as central goods that are necessary to promote human security.

1 our fear responses is that we fear malevolent harm more than we fear accidental 1
2 harm. Karen Jones (2004: 10) describes this feature of human psychology. In her 2
3 discussion of the impact of terrorism, she notes that our emotional reactions to 3
4 harm caused by someone's deliberate actions are very different from our responses 4
5 to harm caused by accidents, natural disasters or unintentional human actions. As 5
6 she says: 'We are more likely to be psychologically devastated by harms caused by 6
7 the active ill will on the part of other agents than by other kinds of harms ... There 7
8 is also suggestive empirical evidence that post-traumatic stress is more likely to 8
9 follow from sudden man-made violence than natural disaster' (2004: 11). 9

10 This explains why the random nature of terrorist attacks (from the victims' 10
11 perspective) contributes to the fear such attacks cause, as well as the sense of 11
12 powerless and lack of control that victims experience. There is nothing a potential 12
13 victim can do to avoid a terrorist attack, as he or she cannot know where and when 13
14 an attack might occur. 14

15 Jones argues that random acts of violence can undermine what she calls 'basal 15
16 security' – the unarticulated affective sense of safety and trust through which we 16
17 (sometimes unconsciously) judge and assess risks. An individual's level of basal 17
18 security 'shapes the agent's perception of those reasons that she has that concern 18
19 risk and vulnerability where such risk and vulnerability arise from the actions of 19
20 others' (Jones 2004: 15). Jones' account describes this phenomenon clearly, but 20
21 it is less clear *why* malevolent harm undermines our basal security so severely. I 21
22 suggest that malevolent attacks undermine our basal security because such attacks 22
23 undermine what I shall call our *moral security* – our belief that we matter, morally 23
24 speaking; our belief that we have intrinsic moral value that limits what others may 24
25 legitimately do to us. I am not suggesting that we consciously hold this belief as 25
26 we go about our everyday activities. Instead, our reactions to malevolent harm 26
27 suggest that we implicitly hold such a belief in relation to our interactions with and 27
28 expectations of other people. 28

29 We typically go about our everyday lives assuming that we have some degree 29
30 of control over what happens to us, that other people are not intending to harm 30
31 us, that other people will respect us in the sense of recognizing that it would be 31
32 seriously wrong to hurt us, and that our interests and our desires matter. So if we 32
33 are victims of a violent attack from another person, this radically shakes our belief 33
34 in our own moral worth – the belief that others may not use us as a mere means 34
35 to their ends. The wrongdoer has demonstrated to us in the most vivid way that 35
36 they do not see us as morally important; that our pain and our suffering are less 36
37 important than their desires. 37

38 This loss of faith in our basic moral worth can have profound consequences. 38
39 Once attacked, we may believe that we can no longer trust other people – the basic 39
40 security of our everyday lives can seem like an illusion. Victims of serious physical 40
41 attacks often report such a loss of faith in others and an ongoing inability to trust 41
42 other people (see Brison 2002). Where once we felt secure in our self-worth, now we 42
43 can no longer be sure that other people will treat us with the respect that we once 43
44 took for granted. The basic fabric of our moral security has been destroyed. 44
45

1 This analysis of moral security suggests that security for human persons is a 1
2 multi-faceted state involving objective facts about our relative physical, economic 2
3 and material safety, our subjective interpretations of those threats and the strength 3
4 of our belief that we matter, morally speaking. I am secure, in this sense, if I am 4
5 able to go about my life without fearing the loss of my life, property, economic and 5
6 material goods, and without fearing that I will be treated in ways that ignore or 6
7 undermine my basic moral standing. Only when I am secure in this sense will I be 7
8 able to develop and express my identity as a person. For this reason, I refer to this 8
9 conception of security as the *security of the conditions of identity*. The term ‘identity’ 9
10 captures the relevance of these different aspects of security to our capacity to develop 10
11 our self-conception as persons. Security of the conditions of identity therefore refers 11
12 to those basic goods – both objective and subjective – that individuals require in 12
13 order to develop and sustain a coherent self-conception over time.⁶ 13

14 This conception of security does not imply that individuals are only secure if 14
15 they are able to express every possible aspect of their identity or actively pursue any 15
16 life-plan they wish. Nor does it imply that security policies must actively support 16
17 or encourage specific expressions of identity. Instead, security of the conditions 17
18 of identity refers to the security of a set of basic conditions that, combined, allow 18
19 individuals the physical safety and basic moral standing they require in order to 19
20 develop as persons, regardless of the content of their individual self-conceptions.⁷ 20

21 The importance of the conditions of identity to human persons is recognized 21
22 by many theorists. Most liberal political philosophers, for example, recognize the 22
23 importance of allowing individuals to exercise their autonomy and cultivate new 23
24 ways of living (see Mill (1912) 2002). However, by incorporating the conditions of 24
25 identity in the meaning of security, my account offers a new perspective on security 25
26 that has several significant advantages over more simplistic accounts of security. 26

27 First, my account enables us to explain why a state that subjected its citizens to a 27
28 campaign of psychological fear, yet fed and clothed them and provided them with 28
29 police and military protection, would be undermining its citizens’ security even 29
30 though their basic physical security was assured. In the next section, I clarify the 30
31 connection between my account of security and a state’s duties to its citizens, but 31
32 for now it is sufficient to note that my account permits a broader understanding of 32
33 how state (and non-state) actions may violate and threaten human security. This, 33
34 as I will explain in the final section of this chapter, has important implications for 34
35 conceptions of state legitimacy and justifications for the resort to political violence. 35

36 Second, my account provides a starting point from which to begin analysing the 36
37 connection between security and liberty – two values that have often been portrayed 37
38 in conflict with each other in debates about the fight against terrorism (see Waldron 38
39 2006). Liberty is neither identical nor reducible to security, as I have defined it. 39
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41 _____ 41
42 6 Thus, my account is consistent with but more conservative than that of Booth (2006) 42
43 in that I define security by reference to the protection of the basic goods necessary for 43
44 security of identity, rather than (as Booth does) defining security in an open-ended 44
45 fashion as ‘the possibility to explore human becoming’ (2006: 22). 45

45 7 As such, my account does not presuppose a racially or culturally homogeneous state. 45

1 However, some forms of liberty, such as freedom of association and freedom of 1
2 speech, are connected to the development and expression of personal identity. 2
3 Arguably, the value of freedom of association and freedom of speech derives from 3
4 the connection of these freedoms to the security of persons, and so may not be 4
5 straightforwardly traded off against the security of persons. Thus, freedom and 5
6 security do not stand in clear opposition to each other and may not be balanced 6
7 against or traded off against each other in a simplistic fashion. Under my definition 7
8 of security, some restrictions of liberties (for example, restrictions on freedom of 8
9 religion or freedom of association) might count as undermining security if those 9
10 restrictions seriously undermined the ability of individuals to form and develop a 10
11 sustained self-conception or undermined their basic moral standing. So a further 11
12 advantage of my account is that it provides a theoretical basis for understanding 12
13 which liberties are central to security and when restrictions of liberties would 13
14 undermine security. This can then provide a framework for examining the validity 14
15 of counter-terrorism legislation and policies that are claimed to be justified by the 15
16 need to balance liberty against security. 16

17 Third, my account illuminates the connection between individual security and 17
18 the security of sub-state groups such as religious and ethnic communities. Security 18
19 of the conditions of identity is connected to communal security in two ways. First, 19
20 our assessment of our moral standing depends to some extent on how integrated 20
21 or secure we believe our community to be – where ‘community’ could refer to 21
22 anything from a geographically bounded community such as a small village or a 22
23 large metropolis to what Benedict Anderson (2006) calls an ‘imagined community’ 23
24 such as a nation.⁸ We often identify ourselves by reference to our membership 24
25 of communities that are defined by shared values (such as religious or political 25
26 values), as well as by reference to physically located communities. We are more 26
27 likely to feel morally secure when we believe that our relationships with others 27
28 in our community are governed by shared moral and social norms. If we come to 28
29 believe that the communities with whom we have identified do not share our moral 29
30 and social norms, we may feel deeply insecure – our trust in our moral standing 30
31 will have been undermined. As noted earlier, one of the reasons why violent attack 31
32 is so disruptive on the victim’s sense of trust and security is that it throws into stark 32
33 relief how easily our belief in our moral standing can be shattered and how fragile 33
34 is our faith in the commitment of others to shared moral norms. 34

35 Second, our self-conception is intimately connected to our relationships with 35
36 our close friends and family, and the communities (religious, political, social) 36
37 with which we identify. Even if we do not endorse the communitarian belief that 37
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40 ⁸ According to Anderson (2006: 6), our identification with the nation is ‘imagined’ 40
41 because ‘members of even the smallest nation will never know most of their fellow- 41
42 members, meet them, or even hear of them, yet in the minds of each lives the image of 42
43 their communion’. The same could also apply to religious communities and political 43
44 communities (for example, one might identify as a member of the Catholic community 44
45 or as part of the Communist community without ever meeting the vast majority of 45
Catholics or Communists).

1 the self is formed primarily through identification with communities (see Sandel 1
2 1981; Taylor 1985), it is certainly true that our identities are closely linked with 2
3 those communities that we are part of. We experience ourselves not as atomistic 3
4 individuals but as embedded in a web of relationships that contribute to (without 4
5 being reducible to) our self-conception and, to an extent, colour how we express our 5
6 identities through our everyday activities. So, in order for us to form a coherent self- 6
7 conception, we must be able to be part of communities. Community security, while 7
8 clearly distinct from the security of the individuals within a community, therefore 8
9 has moral value that is derived from the moral value of individual security. As such, 9
10 ensuring the security of communities is an important moral good and a legitimate 10
11 focus of a state's security policies.⁹ Such security protects the ability of communities 11
12 to form and sustain shared moral, religious or other values believed to be important 12
13 by community members, subject to the constraint that a community's activities do 13
14 not seriously harm community members and/or other citizens. 14

15 However, the connection between individual security and community security 15
16 does not entail that all sub-state communities have an equal claim to protection from 16
17 threats to their cohesion and integrity. First, as noted above, a community's moral 17
18 value is connected to how well it treats members of the community. Arguably, a 18
19 community that mistreated its members would not be justified in claiming state 19
20 protection from threats to its existence and might be a legitimate subject of state 20
21 interference and restrictions (Chambers 2002). Second, communities that pose a 21
22 serious threat to non-members (for example, White supremacist groups in the US 22
23 who attack African-American citizens) could legitimately be subject to restrictions 23
24 even if they treat their own members well. But there is an important distinction 24
25 between individuals *within* a community who pose a danger to others and dangerous 25
26 communities. Muslim terrorists are dangerous *individuals*, but the existence of 26
27 such individuals does not provide a sufficient reason to conclude that the Muslim 27
28 *community* is therefore a dangerous community. White supremacist communities, 28
29 on the other hand, encourage violence towards others through cultivating shared 29
30 norms and beliefs that support such violence (Berlet and Vysotsky 2006). Thus, they 30
31 are dangerous communities even if they do not threaten the security of their own 31
32 members and even if not all individual members of the community are dangerous. 32

33 In summary, the connection between individual security and community 33
34 provides a strong *prima facie* reason for states to protect the integrity of communities 34
35 within their boundaries when those communities form an important part of the 35
36 self-conception of their members and when those communities do not pose a threat 36
37 to the security of members and/or non-members. The security of communities 37
38 should therefore be an important goal of the security policies of states. 38

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41 ⁹ Conversely, the security of communities can be harmed by state policies that attack 41
42 citizens on the basis of community membership. For example, the security of Muslim 42
43 communities in the UK was arguably undermined by counter-terrorism measures 43
44 that treated the community as a 'suspect community' (Hillyard 1993; Pantazis and 44
45 Pemberton 2009). Banning religious practices, banning specific cultural practices and 45
banning the use of specific languages would also be attacks on community security.

1	Threats to Security and Duties of the State	1
2		2
3	What Counts as a Threat to Security?	3
4		4
5	We are now in a position to consider threats to security. Given the importance of basic	5
6	physical safety, it is uncontroversial that individual security will be threatened by	6
7	external attacks such as invasions or terrorist attacks, as well as by internal criminal	7
8	violence. However, security of the conditions of identity also incorporates subjective	8
9	security (how secure we feel ourselves to be) and moral security (the security of our	9
10	belief in our moral standing). What would threaten these aspects of security?	10
11	We feel secure when we believe ourselves to be safe from harm, particularly	11
12	malevolent harm, and we feel morally secure when we believe ourselves to have	12
13	moral worth in the eyes of those around us. As I explained earlier, malevolent violent	13
14	attacks threaten moral security as well as physical security, but moral security can	14
15	also be threatened in more subtle ways. Discriminatory policies can undermine the	15
16	moral security of those individuals who are the targets of such policies, particularly	16
17	when such policies are long-standing and deeply ingrained in a community, thereby	17
18	significantly altering the attitudes and behaviour of community members. Racist,	18
19	sexist, homophobic or ageist policies communicate to the subjects of those policies the	19
20	message that they are intrinsically inferior – morally, socially and physically – simply	20
21	because they are members of a particular group. In extreme cases, discriminatory	21
22	policies can lead to denial of the subjects’ humanity, with devastating consequences	22
23	for their self-worth and identity. Primo Levi eloquently describes the devastation of	23
24	self-identity that results from being treated in an extremely dehumanizing manner:	24
25	‘Imagine now a man who is deprived of everyone he loves, and at the same time of	25
26	his house, his habits, his clothes, in short, of everything he possesses: he will be a	26
27	hollow man, reduced to suffering and needs, forgetful of dignity and restraint, for	27
28	he who loses all often easily loses himself’ (1987: 33).	28
29	So moral security can be threatened by state actions and policies aimed at	29
30	particular groups or individuals believed to be intrinsically inferior. Such policies,	30
31	under my account, should be understood as attacks on the security of the conditions	31
32	of identity.	32
33	Our sense of security is also strongly shaped by how we <i>perceive</i> threats to our	33
34	well-being, even if the likelihood of those threats eventuating is statistically very	34
35	small. This means that our security can be threatened if we are led to believe that	35
36	we might be attacked, even if the probability of an attack occurring is actually quite	36
37	small. So citizens’ subjective security can be undermined if government statements,	37
38	media reports and other public reports misrepresent or seriously exaggerate the	38
39	likelihood of a specific threat occurring. For example, a 1987 US survey found	39
40	that 68–80 per cent of those surveyed believed that terrorism was a ‘serious’ or	40
41	‘extreme’ threat, even though the probability of a terrorist attack occurring at	41
42	that time was miniscule and there had been no terrorist attacks by foreigners on	42
43	American soil (Jackson 2005: 95, 98–103). Since the terrorist attacks of 11 September	43
44	2001, a similar discrepancy between the perception of the threat of terrorism	44
45	and the actual likelihood of an attack has developed (Mueller 2006). After 9/11,	45

1 several US public officials made statements portraying terrorism as an ongoing 1
2 and omnipresent threat that might strike at any moment with terrifying force. For 2
3 example, the then Chairman of the Joint Chiefs of Staff, Colin Powell, stated that: 3
4 'Even as I speak, terrorists are planning appalling crimes and trying to get their 4
5 hands on weapons of mass destruction' (Jackson 2005: 104), former US Attorney 5
6 General John Ashcroft claimed that: 'Terrorism is a clear and present danger to 6
7 Americans today', and former Department of State Coordinator for Counter- 7
8 terrorism Cofer Black announced: 'The threat of international terrorism knows 8
9 no boundaries' (Jackson 2005: 100). Combined with extensive media coverage of 9
10 terrorism, statements such as these, which are not supported by clear evidence, can 10
11 seriously undermine citizens' subjective security (Mueller 2006; Wolfendale 2007). 11
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14 **Security and the Duties of the State** 14 15 15

16 I have argued that security of the conditions of identity can be threatened by 16
17 physical attacks, discrimination and the belief that malevolent violent attacks are 17
18 imminent. What does this analysis of threats to security imply about a state's duties 18
19 in relation to the security of its citizens? 19

20 A growing number of scholars, politicians and international organizations argue 20
21 that states have a 'Responsibility to Protect' their own citizens (Bellamy 2010; ICISS 21
22 2001). According to this doctrine, states that fail to protect or actively threaten the 22
23 basic physical security of their citizens (for example, through the use of torture,¹⁰ 23
24 extra-judicial executions and other serious human rights abuse) have lost the 24
25 right to non-interference that for many years was central to a state-based view of 25
26 international relations (see Altman and Wellman 2008; Coady 2002; Waldron 2006). 26

27 The responsibility to protect doctrine was unanimously adopted by the heads of 27
28 state and government at the 2005 UN World Summit and re-affirmed twice by the 28
29 UN Security Council (Bellamy 2010: 143). Together with the rise in humanitarian 29
30 and peacekeeping operations over the last 20 years, this points to an increasing 30
31 international consensus that a state's right to sovereignty is not absolute, but rests to 31
32 an important degree on whether the state is protecting the basic rights of its citizens.¹¹ 32

33 So the claim that states have a duty to protect the physical safety of their 33
34 citizens and the integrity of the communities within their borders is now relatively 34
35 uncontroversial. It is more controversial but certainly not outrageous to argue that 35
36 states also have a duty to provide their citizens with basic material and economic 36
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39 10 The prohibition against torture is a peremptory norm that is binding on all states 39
40 regardless of whether they have signed specific treaties relating to torture (see Foot 2006). 40

41 11 Not all states accept this belief, however. According to the International Coalition for 41
42 Responsibility to Protect (ICRtoP), at the UN General Assembly's 63rd Session in 2009: 42
43 'A handful of member states rejected the use of coercive action in any circumstance ... 43
44 Yet far more states were of the view that, should other measures have failed, coercive 44
45 action and even the use of force is warranted by the UN Charter to save lives' (ICRtoP 45
2009). Exactly what forms of external interference are justified is a separate question.

1 security by, for example, offering some forms of welfare or other protections against 1
2 life's vicissitudes (Commission on Human Security 2003). However, the question of 2
3 whether states have a duty to protect or promote the subjective and moral security 3
4 of their citizens is largely unexplored. I argue that such a duty exists and forms part 4
5 of the state's fundamental duty to protect the basic rights of its citizens. 5

6 States, to a large extent, exercise significant control over how their populations 6
7 perceive threats to their safety. How a state chooses to portray the seriousness of 7
8 certain threats, such as the threat of terrorism, will strongly affect how safe the state's 8
9 citizens believe themselves to be. As I have argued elsewhere (Wolfendale 2007), 9
10 states that depict the threat of terrorism, for example, as all-pervasive, constant and 10
11 a threat to the very foundation of society can do more to spread the fear of terrorism 11
12 than terrorist acts themselves. Therefore, I argue that states have a duty not to inflate 12
13 or exaggerate threats to the safety of citizens, particularly if such exaggeration is 13
14 then used to justify changes to civil liberties.¹² States have a duty to realistically 14
15 assess threat levels and to present information to citizens in a way that is sensitive 15
16 to the impact of threat assessments on the subjective security of citizens.¹³ 16

17 It is less obvious that states have a duty to protect or promote citizens' moral 17
18 security in the sense that I have outlined earlier. States do not have a duty to ensure 18
19 that all their citizens firmly believe that they are morally valuable – such a duty 19
20 would be both unrealistic and far too demanding. However, states do have a duty 20
21 not to endorse or implement discriminatory policies that will seriously undermine 21
22 the self-worth and identity of the subjects of those policies, and a duty to take positive 22
23 steps to prevent and punish extreme discrimination. Protecting the security of the 23
24 conditions of identity therefore involves three aspects: protecting citizens' physical 24
25 safety; protecting citizens' subjective security; and protecting citizens' ability to see 25
26 themselves as having basic moral standing in the eyes of their community. 26

27 Having established a definition of security that encompasses the different 27
28 aspects of human identity, I shall now turn to the relationship between individual 28
29 security and national security, before considering the question of security as a 29
30 justification for political violence. 30

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35 12 This does not imply that states should intentionally lie to citizens and encourage them to 35
36 believe that they are safe when in fact they are under serious threat, or that states should 36
37 pander to those citizens whose fears are irrational (Waldron 2006: 468). Paternalistic 37
38 withholding of the truth would be a violation of autonomy and would thus be unjustified. 38

39 13 How this duty would be enforced is an important question and one that requires more 39
40 attention that I can give it in this chapter. I would suggest that, like the duty of states to 40
41 protect their citizens' basic physical safety, this duty would require external monitoring 41
42 to encourage compliance (forcing compliance is a different matter, as is clear from the 42
43 general failure to enforce the international prohibitions against torture). Organizations 43
44 such as Human Rights Watch could report on the media and government publications 44
45 of different states, and international pressure could be brought to bear on states that 45
systematically deceived their populations.

1	National Security, State Legitimacy and Political Violence	1
2		2
3	National security is a term that is used with abandon in political discourse. Yet	3
4	it is often unclear what the term 'national security' is intended to refer to or how	4
5	specific security policies either enhance or threaten national security. As Arnold	5
6	Wolfers (1952: 481) noted, this lack of clarity means that a statesman can easily	6
7	invoke national security 'to label whatever policy he favours with an attractive and	7
8	possibly deceptive name'.	8
9	In the context of debates about political violence, national security must refer	9
10	to a good, the protection of which would justify the resort to force. This means	10
11	that any plausible definition of national security must carry significant moral	11
12	weight. We must not accept the current freewheeling use of the term in debates in	12
13	international relations and politics.	13
14		14
15		15
16	What is National Security?	16
17		17
18	A good place to start when thinking about national security is the definition of a	18
19	nation. As the term is typically employed in debates about political violence, 'nation'	19
20	refers not to a specific ethnic or political community, but rather to one particular	20
21	form of political community: the state, understood as the system of government	21
22	over a designated geopolitical region (Luban 1980: 168). Given this conception of	22
23	the nation, a possible definition of national security would refer to the safety and	23
24	integrity of a state's political apparatus – the institutions that together make up the	24
25	functioning of the state (Waldron 2006: 460). However, such a definition would fail	25
26	to justify the use of political violence in defence of national security, since there is	26
27	no necessary correlation between the security of a state's institutional apparatus	27
28	and how well that apparatus protects the security of the citizens of that state. A	28
29	totalitarian dictatorship may have secure institutional apparatus, and yet at the	29
30	same time torture and murder its citizens. Thus, protecting national security so	30
31	defined could not justify the resort to political violence since a state's institutional	31
32	apparatus does not have intrinsic moral value that is independent from how	32
33	effectively that apparatus functions to protect citizens' basic rights.	33
34	National security should therefore not simply refer to the relative safety of a	34
35	particular political entity. The term 'national security' must retain its normative	35
36	force. As William Bain argues:	36
37		37
38	Individual security is assumed to follow from national security by virtue of	38
39	our membership in a particular political community. Thus national security	39
40	presupposes the assumption that states express something worth preserving:	40
41	they are moral communities in their own right and, as such, they are entitled	41
42	and competent to determine the nature of their security interests and how	42
43	best to address them. (2001: 278)	43
44		44
45		45

1 Bain is correct to link national security to individual security, but he has the
2 connection backwards. The value of individual security does not derive from the
3 value of national security; the moral value of national security derives from the
4 moral importance of individual security. Promoting national security only counts
5 as a moral good if protecting national security genuinely protects the security of
6 citizens. Thus, a state's right to self-defence, as enshrined in the UN Charter (Bain
7 2001: 278) can only be understood as a moral right if it is defence of the citizens of
8 the state. If the goal of promoting national security is to justify the use of extreme
9 violence, then it must refer to the protection of a substantive moral good. The moral
10 good protected by states is most plausibly understood as the lives of the citizens of
11 those states. The security of the state is therefore best thought of as the most effective
12 way of protecting the security of the individual citizens.¹⁴ This latter interpretation
13 of national security reduces the likelihood that there could be a genuine moral
14 conflict between the security of the state and the security of citizens. In addition,
15 this interpretation is consistent with the widely accepted belief that the resort to
16 war is only justified in order to defend a nation from external attack (subject to
17 the constraints of the principles of proportionality and last resort)¹⁵ where this is
18 typically interpreted as defence of the nation's integrity as a geopolitical entity, and
19 hence defence of the lives and basic rights of the nation's citizens.¹⁶ In traditional just
20 war theory, the use of military aggression to defend national interests (as opposed
21 to national survival), such as trade interests or spheres of political influence, is not
22 considered a just cause for war (see Walzer 2000).

23 So a state's right to self-defence is based on its role in protecting the security of
24 its citizens (the state's 'Responsibility to Protect') – and thus protecting the security
25 of citizens is one of the fundamental requirements for state legitimacy and, as I
26 argued earlier, a state's right to non-interference.¹⁷ Resorting to political violence in
27 defence of national security can therefore only be justified in response to a threat to
28 the nation's integrity that seriously threatens the security of the nation's citizens.

29 Thus far, I have only considered when war could be justified to protect a state from
30 external threats to national security. But what if the threat to national security comes
31

32 14 This is the basic idea behind social contract theories of state authority. For contemporary
33 discussions of social contract theories, see Waldron 2006: 493–4.

34 15 In traditional just war theory, war is justified in national defence only if war is the last
35 resort (all other available means of resolving the conflict have been attempted) and the
36 resort to war will not cause more suffering than it is aiming to prevent. Other commonly
37 accepted criteria of a just war include legitimate authority (war must be authorized
38 by a legitimate authority and publicly declared), right intention and probability of
39 success. For a discussion of these principles and the concept of just war, see Luban
40 1980; McMahan 2006; and Rodin 2005.

41 16 As I noted earlier, many authors now believe that the resort to war to defend others
42 from unjust attack is also justified (Walzer 2000: 86–109).

43 17 It is not the only requirement for state legitimacy, however. David Luban (1980), for
44 instance, argues that a state is legitimate only if it governs with the consent of its
45 citizens. As such, a benevolent dictatorship would not be legitimate even if it did not
harm the security of its citizens.

1 from *within* a state? Ordinary criminal violence is unlikely to seriously threaten a 1
2 state's integrity, but revolution, insurgencies and domestic terrorism could all pose a 2
3 serious threat to national security. Yet using military force to respond to such threats 3
4 would directly harm the security of the citizens who are responsible for the threats 4
5 and thus, contrary to my earlier claim, it appears that a genuine conflict between 5
6 the security of the state and the security of (some of) the state's citizens is possible. 6

7 A detailed analysis of how states should respond to internal threats is beyond 7
8 the scope of this chapter. However, a number of factors should be taken into 8
9 account when considering whether a state would be justified in using military force 9
10 against its own citizens if those citizens posed a serious threat to national security. 10
11 First, I argued earlier that the right of sub-state communities to state protection 11
12 depends on how well those communities treat their members and whether they 12
13 threaten the security of non-members. Communities that threaten the security of 13
14 members and/or non-members may not be entitled to state protection. Similarly, 14
15 if individual citizens or groups of citizens pose a threat of unjust harm to others, 15
16 they may also be legitimately subject to restrictions and punishment – and even the 16
17 use of force – by the state. Just as a state's right to non-interference depends on the 17
18 state's treatment of its citizens, so an individual's right to non-interference depends 18
19 on whether that individual poses a threat of serious harm to others. 19

20 Thus, I argue that if a state is upholding its responsibility to protect its citizens, 20
21 then that state may defend itself against unjust internal threats to national security. 21
22 But the use of force in such cases must meet the criteria discussed earlier in relation 22
23 to the resort to war. The use of force must be necessary to prevent the threat (all 23
24 other means of preventing the threat must have been attempted), the use of force 24
25 must have some chance of success in stopping the threat and the harm caused by 25
26 the use of force must be proportional to the harm being prevented. 26

27 But what if a state is failing (or actively violating) its responsibility to protect 27
28 the basic rights of its citizens? What may citizens do in response? Could non-state 28
29 groups legitimately use violence against the state? 29
30 30
31 31

32 **Non-state Violence and Security** 32 33 33

34 If a state is justified in resorting to violence in order to protect the security of its 34
35 citizens, understood as the security of the conditions of identity, then could non-state 35
36 actors also be justified in resorting to violence to the protect the security of citizens? 36
37 It is clear from the above discussion that states do not have a moral monopoly 37
38 on the justification of self-defence. If a state is failing to protect the security of its 38
39 citizens or is actively undermining that security, then it is plausible that a sub-state 39
40 group could legitimately act on behalf of citizens in order to protect their security 40
41 (for more on this, see Victoroff and Adelman, Chapter 8, this volume). How we 41
42 would know whether a sub-state group is genuinely acting on the behalf of (or 42
43 with the consent of) citizens is an important question. Democratic states typically 43
44 have institutional procedures that allow citizens to express consent, and so it can 44
45 be relatively easy to ascertain whether or not a state genuinely acts on behalf of and 45

1 with the consent of its citizens, but this is much more difficult to ascertain in the 1
2 case of sub-state groups. Yet, as Virginia Held argues (2005: 184–6), this difficulty in 2
3 establishing whether a sub-state acts on behalf of and with the consent of citizens 3
4 does not imply that no such group could genuinely so act. 4

5 Sub-state groups could act to protect the physical safety of all or a sub-set of 5
6 citizens, as in the case of a resistance movement or an insurgency that aims to 6
7 protect citizens from government violence, but they could also act to protect citizens 7
8 from threats to their moral security. As I argued above, certain kinds of policies 8
9 undermine moral security by communicating to their targets the message that they 9
10 are intrinsically inferior, and so may be treated in ways that would otherwise be 10
11 wrong. If a state supported or endorsed severe forms of discrimination – even while 11
12 protecting the physical safety of citizens – those discriminated against could justly 12
13 complain that their security is being undermined by the state. Given the importance 13
14 of moral security to the conditions of identity, I argue that those so discriminated 14
15 against would be justified in taking action to protect their moral security from 15
16 further attack. But whether *violent* action would be justified would depend on 16
17 whether non-violent forms of protest (for example, mass demonstrations, lobbying, 17
18 strikes and civil disobedience) had been attempted and proved unsuccessful, and 18
19 whether violent protest would have a chance of success and be proportional to the 19
20 harm being averted. Given the potential harm to innocent people caused by violent 20
21 protest, genuine attempts to remedy the situation through non-violent means must 21
22 have occurred before violence could be justified.¹⁸ That said, the importance of 22
23 moral security to the basic conditions of identity would justify the use of violence 23
24 to protect moral security if the threat to moral security was profound and such 24
25 violence was necessary, proportionate and a last resort. 25

26 Such violence need not take the form of terrorism. While some definitions 26
27 of terrorism, notably those of the US Department of State and the US National 27
28 Counterterrorism Center (NCTC 2008), rule out the possibility of state terrorism, 28
29 any consistent and non-arbitrary definition of terrorism cannot make a distinction 29
30 between state and non-state actors. Terrorism is, I suggest, best understood as a 30
31 *tactic* that can and has been used by both state and non-state actors, a tactic that 31
32 many define as the use or threat of violence against civilians or innocents with the 32
33 intention of spreading fear in order to influence a wider group (see Primoratz 2002). 33
34 However, as Held (2005: 178) notes, terrorists attack military and police targets as 34
35 well – the attacks on the Pentagon in 2001 and the *USS Cole* in Yemen in 2000, for 35
36 example, were widely described as terrorist attacks even though the targets were 36
37 military. To incorporate this usage of the term, Held defines terrorism as ‘political 37
38 violence that usually involves sudden attacks to spread fear to a wider group than 38
39 those attacked, often doing so by targeting civilians’. Thus defined, terrorism may 39
40 be used by both state and non-state actors, although it should be remembered that 40
41 state terrorism has been by far the most deadly form of terrorism during the last 41
42 200 years (Held 2005: 178). 42

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45 ¹⁸ This parallels the requirements of last resort, necessity, and proportionality in just war theory, discussed earlier. 44
45

1 Terrorism bears a particularly high burden of justification not only because it 1
2 often deliberately targets civilians, but also because it attacks the victims' moral 2
3 and subjective security by seeming (from the victims' point of view) arbitrary and 3
4 random, and because the direct victims of the attack are treated as means to the 4
5 terrorists' end – the victims' deaths and injuries are used to influence a different 5
6 group (for instance, the government) to take a particular course of action (Primoratz 6
7 2002). Terrorism is thus a paradigmatic case of treating individuals as mere means 7
8 and it thus radically undermines the victims' moral security (for more on the use 8
9 of individuals as mere means, see Blakely, Chapter 4, this volume). Hence, without 9
10 taking a firm stand on the issue here, it is a consequence of my view that terrorism 10
11 would rarely, if ever, be justified. 11

12 That said, my account leaves open the possibility that non-state groups may 12
13 legitimately resort to other forms of political violence to protect the security of 13
14 citizens. By emphasizing the importance of moral security to the conditions 14
15 of identity, my account allows for the possibility that political violence may be 15
16 justified not only to protect citizens' physical security but also their moral security 16
17 in cases where a state's policies are so discriminatory that they seriously undermine 17
18 the victims' moral well-being. In order to justify a resort to violence, such threats 18
19 to moral security would have to be extremely severe, but need not be threats to 19
20 physical safety. Therefore, a state that routinely subjected a sub-set of its citizens to 20
21 ongoing and extreme discrimination, leaving those citizens unable to develop their 21
22 capacity for self-conception and their belief in their basic moral worth, without 22
23 actually physically harming them, would still be failing in its positive duty to its 23
24 citizens and could, other things being equal, be a legitimate target for political 24
25 violence aimed at protecting the security of those citizens. 25

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29 **Conclusion** 29 30 30

31 In this chapter I offered an account of security based on an assessment of the nature 31
32 of persons – typical human beings – in order to clarify what security for human 32
33 persons means. I argued that security for human persons involves not only physical 33
34 safety, but also subjective security and, importantly, moral security. These three 34
35 aspects combine to form the security of the conditions of identity – a definition 35
36 of security that captures the basic physical, psychological and moral components 36
37 necessary for human identity and self-conception. Applying this conception of 37
38 security to the relationship between security and national security illuminated how 38
39 a state's duty to protect its citizens goes beyond ensuring their physical safety and 39
40 how state actions may undermine security in a number of different ways. Thus, I 40
41 argued that national security as a moral value is intimately connected to individual 41
42 security, and so protecting national security may in some cases justify the resort 42
43 to political violence. However, the importance of the security of the conditions 43
44 of identity also left room for the possibility that the use of violence by sub-state 44
45 groups to protect the security of citizens may also be justified. 45

1 The implications of my account of security for debates in political violence go 1
 2 beyond what I was able to discuss in this chapter. However, the conception of 2
 3 security of the conditions of identity that I have argued for in this chapter provides 3
 4 an important starting point for further investigation. 4

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 7 7

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