Biologically unavoidable sequences

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Abstract

A biologically unavoidable sequence is an infinite gender sequence which occurs in every gendered, infinite genealogical network satisfying certain tame conditions. We show that every eventually periodic sequence is biologically unavoidable (this generalizes König's Lemma), and we exhibit some biologically avoidable sequences. Finally we give an application of unavoidable sequences to cellular automata.

1 Introduction

The following definition is motivated by biological considerations. The idea of modelling the biosphere with a directed graph goes at least back to Hennig [10], more recently (and more formally) to Dress, Moulton, Steel and Wu [6]. The idea of including vertices for future organisms was made explicit in Kornet, Metz, and Schellinx [12]. The (simplifying) assumption of infinitely many vertices was made explicit in Alexander [2]. We hope to submit the results of this paper as an answer to Sturmfels [16].

Definition 1. Let n > 0. An *infinite n-gendered population* is a directed graph G = (V, E), together with a map $v \mapsto t(v) \in \mathbb{R}$ assigning *birthdates* to vertices and a map $e \mapsto g(e) \in \{1, \ldots, n\}$ assigning *genders* to edges, satisfying the following conditions (we call v a *parent* of u if $(v, u) \in E$, we define *child* similarly, and we define *ancestor* and *descendant* in the obvious (strict) way):

- (A1) There are only finitely many parentless vertices (call them *roots*).
- (A2) Each vertex has only finitely many children.
- (A3) For every $r \in \mathbb{R}$, $\{v \in V : t(v) \leq r\}$ is finite, and for each $(u, v) \in E$, t(u) < t(v).

- (A4) $|V| = \infty$.
- (*n*-Gendered) For every non-root u and every $1 \leq i \leq n$, u has a parent v with g(v, u) = i.

Note that A3 implies G is a DAG and is *reverse-well-founded*, i.e., has no infinite reverse-directed path. Thus every non-root is a descendant of some root. Although Definition 1 stipulates that edges be gendered, we will often consider special cases where vertices are gendered, implicitly giving each edge the gender of its initial vertex.

Definition 2. Let n > 0. An infinite sequence $s = (s_1, s_2, ...) \in \{1, ..., n\}^{\mathbb{N}}$ is biologically unavoidable if every infinite *n*-gendered population realizes s- by which we mean there is a vertex sequence $v_1, v_2, ...$ with each v_i a parent of v_{i+1} and each $g(v_i, v_{i+1}) = s_i$. If s is not biologically unavoidable, it is biologically avoidable.

A priori, biological unavoidability appears ill-defined. The following lemma shows that it is well-defined.

Lemma 3. Suppose $s \in \{1, ..., n\}^{\mathbb{N}}$ and at the same time $s \in \{1, ..., m\}^{\mathbb{N}}$. Then s is realized in every infinite n-gendered population if and only if s is realized in every infinite m-gendered population.

Proof. Without loss of generality, n < m. If there is an infinite *m*-gendered population which fails to realize *s*, delete all edges with genders > n to obtain an infinite *n*-gendered population which fails to realize *s*. Conversely, suppose there is an *n*-gendered population *P* failing to realize *s*. Inductively it suffices to show there's an (n+1)-gendered population failing to realize *s*. Let *P'* be a disjoint copy of *P*. To the graph $P' \sqcup P$, add two (n + 1)gendered edges, (v', u) and (v, u'), for every *n*-gendered edge (v, u) in *P*. It's easy to see this makes $P \sqcup P'$ an (n + 1)-gendered population which fails to realize *s*. \Box

In Section 2, we will show that every eventually periodic sequence is biologically unavoidable.

In Section 3, we will establish the existence of biologically avoidable sequences. This nontrivial fact involves a couple of unexpected enumerative combinatorial arguments.

In Section 4, we will show that there are biologically avoidable sequences from $\{1, 2\}^{\mathbb{N}}$ which contain no gender repeated thrice in a row.

In Section 5, we will give an unexpected application to cellular automata: an alternate proof of a result about spaceship speed limits in Conway's Life-like Games, first proved by Nathaniel Johnston [11].

2 Eventually periodic implies biologically unavoidable

Before proving the unavoidability of eventually periodic sequences, a small amount of machinery must be developed. For the remainder of this section, let P = (V, E) be an infinite *n*-gendered population, n > 0.

Definition 4. For $i \in \mathbb{N}$, we define the set $V_i \subseteq V$ as follows: a vertex $u \in V$ lies in V_i if and only if there is some root r and some directed path from r to u of length $\leq i$.

Thus V_0 is the set of roots, V_1 contains the roots and their children, and so on.

Lemma 5. For every $i \in \mathbb{N}$, V_i is finite.

Proof. Follows trivially from A1 and A2.

Lemma 6. For every $1 \leq i \leq n$, there is a map $i^* : V \setminus V_0 \to V$ such that for every $u \in V \setminus V_0$ we have $(i^*u, u) \in E$ and $g(i^*u, u) = i$.

Proof. By the axiom of choice and the *n*-Gendered assumption on P.

In case n = 2, one might refer to 1^* and 2^* as *motherhood* and *fatherhood* maps, in some order. We will write i^*j^* for $i^* \circ j^*$.



Definition 7. If $s = (s_1, s_2, ...)$ is a periodic sequence on $\{1, ..., n\}$, with period p, and if $u \in V$, then we define the *s*-path to u, a finite directed path, written s^*u , as follows.

1. If $u \in V_{p-1}$ then $s^*u = (u)$ (the length 0 path).

2. Otherwise,

$$s^*u = (s_1^* \cdots s_{pq}^* u, s_2^* \cdots s_{pq}^* u, \ldots, s_{pq-1}^* s_{pq}^* u, s_{pq}^* u, u),$$

where q is maximal such that $s_1^* \cdots s_{pq}^* u$ is defined. (Some such q > 0 exists lest u lie in V_{p-1} , and such q are bounded above due to Assumption A3.)

For example, suppose n = 2 and $\{1, 2\} = \{M, F\}$. If s = (M, M, M, ...), then s^*u is obtained as follows: start with u, go to u's father, go to *his* father, and so on until a root is reached; then reverse the order of the resulting path. If s = (M, F, F, M, F, F, ...), then s^*u is obtained by starting at u, taking his mother, taking her mother, taking her father, and repeating this three-step process until too close to a root to continue; and then reversing the resulting path.

Lemma 8. Let s, p be as in Definition 7. For any $u \in V$, s^*u is a finite directed path starting at a vertex in V_{p-1} and ending at u, and if $s^*u = (v_1, \ldots, v_r)$ then for all $1 \leq i < r$, $g(v_i, v_{i+1}) = s_i$.

Proof. To see that s^*u starts in V_{p-1} , write $s^*u = (v_1, \ldots, v_r)$ and assume $v_1 \notin V_{p-1}$. Then $s_1^* \cdots s_p^* v_1$ is defined. Let q be as in the definition of s^*u . By periodicity of s,

$$s_{1}^{*} \cdots s_{p}^{*} v_{1} = s_{1}^{*} \cdots s_{p}^{*} (s_{1}^{*} \cdots s_{pq}^{*} u)$$

= $s_{1}^{*} \cdots s_{p}^{*} s_{1}^{*} \cdots s_{pq}^{*} u$
= $s_{1}^{*} \cdots s_{p(q+1)}^{*} u$,

violating the maximality of q. The rest of the lemma is clear.

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Proposition 9. Every periodic sequence is biologically unavoidable. In fact, if s is a sequence with period p, it is realized by a path in P which begins at a vertex in V_{p-1} .

Proof. Consider the set (call it X) of finite directed paths $\{s^*u\}_{u\in V}$ (note $|X| = \infty$ by Assumption A4). Each begins (by Lemma 8) in V_{p-1} , and V_{p-1} is finite by Lemma 5. Thus, there is some $u_1 \in V_{p-1}$ such that infinitely many members (call them X_1) of X begin at u_1 .

Inductively, suppose I've defined a finite directed path u_1, \ldots, u_k and an infinite set $X_k \subseteq X$ such that

1. For all $\Pi \in X_k$, the kth vertex in Π is u_k ; and

2. $g(u_i, u_{i+1}) = s_i$ for all 0 < i < k.

It follows from Assumptions A1 and A2 that X_k contains only finitely many paths of length $\leq k$, we may assume it contains no paths so short.

Since each path in X_k has u_k as kth vertex, each path in X_k has some child of u_k as (k+1)th vertex. By Assumption A2, u_k only has finitely many children. Thus there is a child u_{k+1} of u_k such that an infinite subset X_{k+1} of paths in X_k have u_{k+1} as (k+1)th vertex.

In particular, X_{k+1} has at least one path, s^*v for some $v \in V$. By Lemma 8, $g(u_k, u_{k+1}) = s_k$.

This inductively defines u_1, u_2, \ldots with all the desired properties.

It is also possible to prove Proposition 9 using the *compactness theorem* from firstorder logic. Compare the proof (see Simpson's book [15]) that weak König's Lemma is equivalent (over RCA_0) to the compactness theorem.

Theorem 10. Every eventually periodic sequence is biologically unavoidable.

Proof. If s is eventually periodic, write it as $s = t \frown t'$ where t is finite of length k and t' is periodic. Let P' be the population obtained from P by discarding all vertices in V_{k-1} ; it's easy to see P' remains an infinite gendered population. By Proposition 9, there is a directed path in P' realizing t'. This defines a path u_1, u_2, \ldots in P, realizing t', and avoiding V_{k-1} . Back-extend this path to

$$(t_1^* \cdots t_k^* u_1, t_2^* \cdots t_k^* u_1, \ldots, t_k^* u_1, u_1, u_2, \ldots),$$

which realizes s as desired; this is possible because if not, that would imply $u_1 \in V_{k-1}$. \Box

This generalizes König's Lemma for trees, which can be seen as the 1-gender case of Theorem 10 with the additional constraint that vertices have only one parent. The following corollary pushes this idea even further.

Corollary 11. There is a subset $V_0 \subseteq V$, ancestrally closed (whenever $v \in V_0$ and u is an ancestor of v then $u \in V_0$), such that for every eventually periodic sequence $s \in \{1, \ldots, n\}^{\mathbb{N}}$, G realizes s with a path p entirely in V_0 , with the additional property that every vertex in V_0 has a descendant on p.

Proof. By Theorem 10 above, combined with Theorem 3 and Proposition 5 from Alexander [2]. \Box

Using Theorem 10 we can give a game-theoretical characterization of unavoidable sequences using the notion of guessability discovered by Wadge [17] (pp. 141–145) (and independently by Alexander [1]). Let s be a sequence on $\{1, \ldots, n\}$. In the game G_s , I starts by playing an infinite n-gendered population P. Thereafter, II plays a path p in P (one vertex per turn) and I tries to guess (making one guess per turn) whether p's genders have the form $t \frown s$ for some finite t. I wins if I's guesses converge to the correct answer, II wins otherwise. We leave it an exercise that I has a winning strategy iff s is biologically avoidable. (This holds whether or not II can see I's guesses.)

3 Existence of Biologically Avoidable Sequences

One might hope to cleverly generalize the argument from the previous section to nonperiodic gender sequences. In this section we'll show that's impossible. There are sequences which are biologically avoidable. Populations lacking certain gender sequences are analogous to Aronszajn trees (first introduced by Kurepa [13]) in the sense that both provide counterexamples to plausible-seeming generalizations of König's Lemma.

In this and the next section we restrict attention to populations with gendered vertices, implicitly gendering edges according to their initial vertices.

Definition 12. Suppose P = (V, E) is an infinite *n*-gendered population, $s = (s_1, \ldots, s_k)$ is a finite sequence, k > 0, and V is partitioned into heights H_1, H_2, \ldots . We say that s is *impossible in* P at height k if there is no finite directed path v_1, \ldots, v_k , gendered by s, with $v_1 \in H_k$.

Lemma 13. Suppose s is an infinite $\{1, ..., n\}$ -sequence. If there is an infinite n-gendered population P = (V, E) and a partition of V into heights $H_1, H_2, ...$ such that for every k > 0, some finite restriction of s is impossible in P at height k, then s is biologically avoidable.

Proof. If s were realized by P, it would be realized by some path, starting with a vertex in some height H_k , yet s would have some finite restriction impossible at height k, impossible. So s is not realized by P, so s is biologically avoidable.

We will now define a specific family of infinite 2-gendered populations (generalizing an example suggested by Timothy J. Carlson) designed to take advantage of Lemma 13. Let $\{M, F\} = \{1, 2\}$, we will refer to *M*-gendered vertices as *males*, *F*-gendered vertices as *females*, and adopt terminology such as *son*, *daughter* with the obvious meanings.

Definition 14. Suppose $h : \mathbb{N}^+ \to \mathbb{N}^+$. The infinite 2-gendered population T_h is defined as follows (Figure 1 shows $T_{n \mapsto n}$). The vertices of T_h are partitioned into successive generations G_1, G_2, \ldots , the *n*th generation consisting of h(n) males $m_1^n, \ldots, m_{h(n)}^n$ and

h(n) females $f_1^n, \ldots, f_{h(n)}^n$. These vertices are given birthdates, $v \mapsto t(v)$, so that vertices in G_i are born before those in G_j whenever i < j, and within each generation G_n , $\max\{t(m_i^n), t(f_i^n)\} < \min\{t(m_j^n), t(f_j^n)\}$ whenever i < j. Edges are defined as follows.

- m_1^1 and f_1^1 have no parents.
- $\forall n > 0, m_1^{n+1}$ has parents $m_{h(n)}^n$ and f_1^n .
- $\forall n > 0, f_1^{n+1}$ has parents $f_{h(n)}^n$ and m_1^n .
- $\forall n > 0, \forall 0 < i < h(n), m_{i+1}^n$ has parents m_i^n and f_1^n .
- $\forall n > 0, \ \forall 0 < i < h(n), \ f_{i+1}^n$ has parents f_i^n and m_1^n .

Lemma 15. Let $h : \mathbb{N}^+ \to \mathbb{N}^+$.



Figure 1: The infinite 2gendered population $T_{n \mapsto n}$. Solid and open vertices correspond to males and females, not necessarily in that order.

- 1. T_h is an infinite 2-gendered population with roots m_1^1 and f_1^1 .
- 2. If m_i^n has a daughter, or f_i^n has a son, then i = 1.
- 3. No edge in T_h skips an entire generation: if an edge has initial vertex in G_i , then it has terminal vertex in either G_i or G_{i+1} .
- 4. If $B = (v_1, \ldots, v_n)$ is a finite directed path of males from T_h , such that $v_1 \in G_i$ and $v_n \in G_j$, then for every i < k < j, B contains all the males in G_k .

Proof. Left to the reader.

Definition 16. When a function $h : \mathbb{N}^+ \to \mathbb{N}^+$ is clear from context, we let $\widehat{m}_1, \widehat{m}_2, \ldots$ denote the males in T_h (over all the generations), ordered ascending by birthdate. Similarly for $\widehat{f}_1, \widehat{f}_2, \ldots$ We partition T_h into heights H_1, H_2, \ldots by letting each $H_i = {\widehat{m}_i, \widehat{f}_i}$.

The following technical lemma should be compared and contrasted with Cauchy's polygonal number theorem (see Nathanson [14]) which states that every positive integer can be written as a sum of n n-gonal numbers, for any $n \ge 3$. For example, every natural number has the form $\sum_{p=1}^{b_1} p + \sum_{p=1}^{b_2} p + \sum_{p=1}^{b_3} p$. Also worth comparing is the work of D. Cantor and B. Gordin [4], and more recently of S. Gupta [8].

Lemma 17. Suppose $h : \mathbb{N}^+ \to \mathbb{N}^+$ and $\lim_{n\to\infty} h(n) = \infty$. For every $u \in \mathbb{N}$, there is some positive integer e which is not of the form

$$a + \sum_{p=1}^{b} h(c+p)$$

for any $a, b, c \in \mathbb{N}$ with $c \leq u$ and $a \leq \max\{h(1), \ldots, h(u)\}$.

Proof. Let $A = \max\{h(1), \ldots, h(u)\}$. Since $\lim_{n\to\infty} h(n) = \infty$, there is some M_0 so big that h(c+M) > (u+1)(A+1) whenever $M \ge M_0$ and $0 \le c \le u$. Let

$$M = \max\left\{a + \sum_{p=1}^{b} h(c+p) : a \leqslant A, c \leqslant u, b \leqslant M_0\right\}$$

and let $X = \{M + 1, M + 2, \dots, M + (u + 1)(A + 1) + 1\}.$

I claim that for every $c \leq u$, X contains at most A + 1 different numbers of the form $a + \sum_{p=1}^{b} h(c+p)$ with $a \leq A$. If not, by the pigeonhole principle there is some particular $a \leq A$ and some $b_1 < b_2$ such that $a + \sum_{p=1}^{b_1} h(c+p)$ and $a + \sum_{p=1}^{b_2} h(c+p)$ are both in X, let d be their difference. Then $d = h(c+b_1+1) + \cdots + h(c+b_2) \geq h(c+b_2)$. Since $a + \sum_{p=1}^{b_2} h(c+p) > M$ (by virtue of being in X), by definition of M this implies $b_2 \geq M_0$, whereby $d \geq h(c+b_2) > (u+1)(A+1)$. This is absurd: X is made up of (u+1)(A+1)+1 consecutive points, no two of them can have a difference > (u+1)(A+1). The claim is proved.

Given the above claim, the number of numbers in X with the form $a + \sum_{p=1}^{b} h(c+p)$, $a \leq A, c \leq u$, is at most (u+1)(A+1): u+1 choices for c, times A+1 numbers of the given form for each c. Since |X| > (u+1)(A+1), X contains an e as desired.

Proposition 18. If $h : \mathbb{N}^+ \to \mathbb{N}^+$, $\lim_{n\to\infty} h(n) = \infty$, k > 0, and s is any finite $\{M, F\}$ -sequence, there is some e > 0 such that $s \frown M^e F$ is impossible in T_h at height k.

Proof. We may assume s nonempty. We may also assume the first gender in s is M, the other case being similar. Let $\ell = \text{length}(s)$. By Lemma 17, there is some e > 1 such that e - 1 is not of the form $a + \sum_{p=1}^{b} h(c+p)$ for any $a, b, c \in \mathbb{N}$ with $c \leq \ell + k$ and $a \leq \max\{h(1), \ldots, h(\ell+k)\}$. Thus e itself is not of the form $a + 1 + \sum_{p=1}^{b} h(c+p)$ for any such a, b, c. We will show $s \frown M^e F$ is impossible in T_h at height k. Suppose not: suppose there is a finite directed path $v_0, \ldots, v_\ell, v_{\ell+1}, \ldots, v_{\ell+e}$ in T_h , gendered by $s \frown M^e F$, with $v_0 = \widehat{m}_k$.

We would like to estimate in which generation does v_{ℓ} , the first vertex corresponding to the $M^e F$ block, lie. We will be content with an overestimate. By Lemma 15 part 3, every edge either ends in the same generation where it began, or at most one generation further. Thus $v_0 = \hat{m}_k$ is in at most the kth generation, and v_{ℓ} is in at most the $(k + \ell)$ th generation.

I claim e has the form $a + 1 + \sum_{p=1}^{b} h(c+p)$ for some $a, b, c \in \mathbb{N}$ with $c \leq \ell + k$, $a \leq \max\{h(1), \ldots, h(\ell+k)\}$, a contradiction. Let i, j be such that v_{ℓ} is in G_i (so $i \leq k+\ell$) and $v_{\ell+e-1}$ is in G_j . Since $v_{\ell+e}$ is female, and $v_{\ell+e-1}$ is male, by Lemma 15 part 2, $v_{\ell+e-1}$ must be m_1^j . Thus $B = (v_{\ell}, \ldots, v_{\ell+e-1})$ is a finite directed path of male vertices beginning with m_x^i for some x and ending with m_1^j . All of these males lie in generations between iand j inclusive, and for every i , Lemma 15 part 4 says that <math>B contains all the males in G_p . Let us count the vertices in B:

1. The number of males from G_i included in B is at most all of them, so B has $\leq h(i)$ vertices from G_i .

2. For i , B contains all <math>h(p) males of G_p . This is a total of

$$h(i+1) + \dots + h(i+(j-i-1)) = \sum_{p=1}^{j-i-1} h(i+p)$$

vertices.

3. B has exactly one vertex from G_j , namely m_1^j .

Thus, the number e of vertices in B has the form $a + 1 + \sum_{p=1}^{b} h(c+p)$ for some natural $c \leq i \leq \ell + k$, some $a \leq \max\{h(1), \ldots, h(\ell+k)\}$, and some natural b, the desired contradiction.

Corollary 19. There is a biologically avoidable sequence.

Proof. Let h be as in Definition 14. Using Proposition 18 repeatedly, define finite sequences $\{s^k\}_{k>0}$ such that each s^{k+1} strictly extends s^k and each s^k is impossible in T_h at height k. Then $s = \bigcup s^k$ is biologically avoidable by Lemma 13.

Example 20. $M^9 F M^{4200} F \cdots M^{e_n} F \cdots$ is biologically avoidable, where each $e_n > 0$ is chosen minimal so as to avoid the form $a + 1 + \sum_{p=1}^{b} (c+p)$ $(a, c \leq u$ where $u = \ell + n$, where ℓ is the length of $M^9 F \cdots M^{e_{n-1}} F$).

The above example is suboptimal, because our proof of Proposition 18 used such staggering overestimates.

Example 21. An alternate way to obtain avoidable sequences is to follow the proof of Corollary 19, but to obtain s_{k+1} , rather than follow the instructions in Proposition 18, we can simply do a brute-force search to find the minimal e > 0 such that $s_k \frown M^e F$ is impossible at height k + 1 (Proposition 18 says we won't get stuck). If we do this for the function h(n) = n, we obtain the avoidable sequence $M^3 F M^5 F M^8 F M^{11} F \cdots$ where each block of M's is 3 longer than the last, with one exception at the beginning (the proof is tedious so we omit it). That one exception is annoying, so here's how to further optimize the sequence: choose each s_k to be impossible at height k + 1. This leaves open the possibility, a priori, the sequence could occur in T_h at height 1. However, by fortune, it ends up being impossible at height 1 anyway. This yields a very nice well-behaved avoidable sequence,

$$M^2 F M^5 F \cdots M^{3n-1} F \cdots$$

(again we omit the formal proof).

All the avoidable sequences we obtain in this manner have the property that they contain arbitrarily long blocks of one gender.

4 There is a biologically avoidable sequence in which no block of males or females has length more than 2

Julian Ziegler Hunts discovered an interesting family of populations which witness the avoidability of sequences with very short blocks of males and females.

Definition 22. Suppose $h : \mathbb{N}^+ \to \mathbb{N}^+$. The infinite 2-gendered population H_h is defined in the same way as T_h was defined (Definition 14) except for its edges, which are instead defined as follows.

- m_1^1 and f_1^1 have no parents.
- $\forall n > 0, m_1^{n+1}$ has parents m_1^n and $f_{h(n)}^n$.
- $\forall n > 0, f_1^{n+1}$ has parents $m_{h(n)}^n$ and $f_{h(n)}^n$.
- $\forall n > 0, \forall 0 < i < h(n), m_{i+1}^n$ has parents m_1^n and f_i^n .
- $\forall n > 0, \ \forall 0 < i < h(n), \ f_{i+1}^n$ has parents f_i^n and m_i^n .

Lemma 23. Let $h : \mathbb{N}^+ \to \mathbb{N}^+$.

Figure 2: The infinite 2gendered population $H_{n\mapsto n}$. Solid and open vertices correspond to males and females, not necessarily in that order.

- 1. H_h is an infinite 2-gendered population with roots m_1^1 and f_1^1 .
- 2. If m_i^n has a son, then i = 1.
- 3. No edge skips an entire generation: if an edge of H_h has initial vertex in G_i then its terminal vertex is in G_i or G_{i+1} .
- 4. If $B = (v_1, \ldots, v_n)$ is a finite directed-path in H_h , whose genders are alternating, and if v_1 lies in G_i and V_n lies in G_j , then for every $i and every <math>0 < k \leq h(p)$, precisely one of $\{m_k^p, f_k^p\}$ appears in B.

Proof. Left to the reader.

We define the *heights* of H_h , and the corresponding \widehat{m}_i and \widehat{f}_i , in the same way as we did for T_h .

Proposition 24. Let $h : \mathbb{N}^+ \to \mathbb{N}^+$ be such that $\lim_{n\to\infty} h(n) = \infty$ and h(n) is even for every n. If k > 0 and s is any finite $\{M, F\}$ -sequence, then there is some e > 0 such that $s \frown (FM)^e M$ is impossible in H_h at height k.

Proof. We may assume s nonempty and that the first gender in s is M. Let $\ell = \text{length}(s)$. Since h(n) is even for every n, by Lemma 17 there is an e > 1 such that e - 1 is not of the form $a + \sum_{p=1}^{b} \frac{1}{2}h(c+p)$ for any $a, b, c \in \mathbb{N}$ with $c \leq \ell + k$ and $a \leq \max\{h(1)/2, \ldots, h(\ell + k)/2\}$. Thus e itself is not of the form $a + 1 + \sum_{p=1}^{b} \frac{1}{2}h(c+p)$ for any such a, b, c. We will show $s \frown (FM)^e M$ is impossible in H_h at height k. If not, there is a finite directed path $v_0, \ldots, v_\ell, v_{\ell+1}, \ldots, v_{\ell+2e}$ in H_h , gendered by $s \frown (FM)^e M$, with $v_0 = \widehat{m}_k$.

By similar reasoning to the proof of Proposition 18, v_{ℓ} is in at most the $(k + \ell)$ th generation. I claim e has the form $a + 1 + \sum_{p=1}^{b} \frac{1}{2}h(c+p)$, some a, b, c as above, a contradiction. Let i, j be such that $v_{\ell} \in G_i$ (so $i \leq k + \ell$) and $v_{\ell+2e-1} \in G_j$. Since $v_{\ell+2e-1}$ is male and has a son $v_{\ell+2e}$, Lemma 23 part 2 ensures $v_{\ell+2e-1} = m_1^j$. Thus $B = (v_{\ell}, \ldots, v_{\ell+2e-1})$ is a finite directed path of alternating gender beginning with f_x^i for some x and ending with m_1^j . All these vertices lie in generations between i and j inclusive, and for each $i , Lemma 23 part 4 implies that the number of vertices in <math>B \cap G_p$ is exactly h(p). Count the male vertices in B:

- 1. The number of males from G_i is at most half of them (since B alternates genders), that is at most h(i)/2.
- 2. For any $i , the number of males from <math>G_p$ is exactly half of them, h(p)/2, by Lemma 23 part 4 since B alternates genders.
- 3. There is exactly one male from G_i , namely m_1^j .

Thus the number of males in B is of the form $a + 1 + \sum_{p=1}^{b} \frac{1}{2}h(c+p)$ (a, b, c as above). But the number of males in B is e- absurd.

Corollary 25. There is a biologically avoidable sequence in which no gender occurs thrice in a row.

Proof. Similar to the proof of Corollary 19.

5

Application to cellular automata

In his paper, Nathaniel Johnston [11] proved that in certain (a large family) of Conway's Life-like games, spaceships have an orthogonal speed limit of 1/2 cells per generation, and a diagonal speed limit of 1/3 cells per generation. We will give an alternate proof using a technique which, we believe, might be generalizable to obtain results of a wide variety¹. We assume a novice-level familiarity with Life-like games (see Eppstein [7]), and brush formal details under the rug.

Definition 26. Suppose a Life-like game is played, with some initial configuration in generation 1, which generates a configuration in generation 2, and so on. A *lifeline* for this gameplay is a sequence c_1, c_2, \ldots of cells such that:

¹If nothing else, our proof would generalize with minimal changes to Life-like games in higher dimensions (see Bays [3]).

- 1. Each c_i is alive in generation *i*.
- 2. For each *i*, either $c_i = c_{i+1}$ or c_i is adjacent to c_{i+1} .

Thus, a lifeline is a (not necessarily simple) stroll through the cells which, at each ith step, visits a cell alive in the ith generation.

Lemma 27. (Two Forbidden Directions) Suppose x, y are any two of the following directions:

N, S, E, W, NE, NW, SE, and SW.

Consider a Life-like gameplay with the following properties:

- 1. The initial configuration is finite.
- 2. Each generation contains at least one live cell.
- 3. Birth requires ≥ 3 neighbors and survival requires ≥ 1 neighbor.

For any such gameplay, there is a lifeline which never steps in direction x or y (that is, x_{i+1} is never located in the x or y direction from x_i).

To be clear, the third condition is to be understood as liberally as possible, making the lemma apply not only to the rules B345678/S12345678, but to any sub-rules thereof.

We postpone the proof (using biologically unavoidable sequences) of Lemma 27 so we can first see how it applies to spaceship speed limits.

Theorem 28. (Johnston 2010) For any Life-like ruleset where birth requires ≥ 3 neighbors and survival requires ≥ 1 neighbor, spaceships can move at most $\frac{1}{2}$ cells per generation orthogonally and at most $\frac{1}{3}$ cells per generation diagonally.

Proof sketch. Since a spaceship is initially finite and does not go extinct, the hypotheses of Lemma 27 are met.

(Orthogonal) By symmetry, it's enough to show the spaceship cannot exceed $\frac{1}{2}$ cells per generation northward. By Lemma 27, there is a lifeline which never steps in direction N or NE. The cells in this lifeline are living cells, hence cells in the spaceship, and it follows that the spaceship cannot travel faster than the lifeline. The only movement the lifeline can make with northward component is NW (N and NE being forbidden). Any such step also moves the lifeline westward, and so to maintain an overall northward direction, any such step must be compensated for by a step in one of the directions E or SE (NE is forbidden). So at least two total steps are required per unit of overall northward movement. Thus the speed limit, $\frac{1}{2}$.

(Diagonal) By symmetry, it's enough to show the spaceship cannot exceed $\frac{1}{3}$ cells per generation northeastward. By Lemma 27, there is a lifeline which never steps N or NE. The only way the lifeline may move northward is by moving NW, and *two* eastward steps must be added to produce overall NE movement. Thus the speed limit, $\frac{1}{3}$.

Proof sketch of Lemma 27. Let V be the set of pairs (c, i) such that c is a cell alive in generation i. Direct an edge from (c, i) to (d, j) if j = i + 1 and either c = d or c is a neighbor of d. By (3) of Lemma 27, if d is a cell born into generation i + 1, there must have been at least three distinct neighbors c_1, c_2, c_3 of d alive in generation i. There are only two forbidden directions, so (possibly relabelling) we may assume d does not lie in a forbidden direction from c_1 . Gender the edge $((c_1, i), (d, i + 1))$ male, and gender all other edges terminating in (d, i + 1) female. If d survives into generation i + 1, then gender the edge ((d, i), (d, i + 1)) male and gender all other edges terminating in (d, i + 1) female. If here are may check (using the hypotheses of Lemma 27) this makes V an infinite 2-gendered population. By Theorem 10, there is an infinite directed path through this population with all edges male. By construction, male edges never step in a forbidden direction.

6 Further Questions

If s is an avoidable gender sequence, to what extent can we find populations avoiding s which are *universal* among all such, in a way analogous to that described by Cherlin and Shelah [5]?

Given a possibly avoidable sequence, can the infinite gendered populations which realize that sequence be characterized in some way? Of particular interest would be a characterization in terms of ordinal numbers, similar to R. Schmidt's characterization of rayless graphs (see Halin [9]).

But perhaps the most important question remaining is, what are the biologically unavoidable sequences? Are there any which are not eventually periodic?

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