

Formal Logic  
Classical Problems and Proofs

Luis M. Augusto

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# Preface

Often spoken of as the science of reasoning, *logic* can be *formal* or *informal*. While it is not unequivocal—there is significant overlap between both—, the use of these two adjectives allows us to distinguish between a largely mathematical from a substantially psychological approach, respectively, to logic. This might appear unwarranted to those well-acquainted with logic as an object language, but at the metalanguage and/or metalogical levels it becomes clear that formal logic has its foundations in mathematics, namely in what can be called abstract mathematics, whereas informal logic reposes on psychological theories of human reasoning. This book is an introduction to formal logic.

A second major distinction in contemporary logic segregates *classical logic* from the *non-classical logics*. These—note the plural—are typically rivals of the former—note the singular—, it being meant by this that they aim at replacing it in many contexts and/or applications. This rivalry notwithstanding, they are either extensions or restrictions of classical logic, which means that anyone advocating a non-classical logic should be well-versed in classical logic. This book is an introduction to classical logic.

While formal classical logic is certainly interesting per se, today its study is often associated to computer science with a plethora of computational implementations in view. This association of logic and computation can be roughly captured by the expression *computational logic*. This book is an introduction to computational logic.

Do we then need to specify that this book is an introduction to formal classical computational logic? Not really, because in it we take the adjectives *formal* and *computational* to be so intimately related that they can be often considered synonyms. This synonymy is more typically to be found between the expressions *formal language* and *computer language*, but we discuss here the language of classical logic as first and foremost a formal language, and hence the redundancy of the adjective *computational* in the title.

This book is thus an introduction to formal classical logic with its contemporary uses in mind, to wit, *logical problems* that are in fact *decision problems* that are in fact *computational problems* whose *proofs* are delegated to computer software. In effect, logic is—arguably—all about

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proving, but proofs can be costly, often impossibly so, in terms of space and time, it being meant by this that proofs require storage space (i.e., a physical memory) and they take time to be computed; hence, monetary costs are also often associated to proofs, as space and time, as well as human work, cost money. Given these costs, unrealistic for human computers and undesirable for companies, today most proofs are delegated to (partly) automatic provers, namely the so-called *SAT solvers*. These are software based on the (Boolean) satisfiability problem, or *SAT*. This is the dual of the (Boolean) validity problem, or *VAL*, at the core of the conception of the digital computer via Hilbert's *Entscheidungsproblem* and the Universal Turing Machine.

These two problems, *VAL* and *SAT*, can be said to be the two classical problems that initiated the computational history of formal classical logic, a history that can be more immediately traced back to the *Entscheidungsproblem*, but that actually also requires digressions into the work of the likes of J. Venn, G. Frege, and A. Turing—if not Aristotle, too. In particular, we discuss the classical formal semantics conceived by, or originating in the work of, G. Boole, J. Herbrand, and A. Tarski. While this, as said, is an introduction to formal classical logic, we dispense with the adjective “classical” between “formal” and “logic” in the title, because this book has as its backbone these two semantical problems. The fragment “Formal logic: Classical problems” indicates that our introduction to formal logic is so via the classical problems, first and foremost *VAL* and *SAT*, but then also all the decision and computational problems that can be formulated in terms of these, namely with computer implementations in mind.

But, as stated above, logic is—arguably—all about proving. Without (adequate) proof systems at hand, these two problems and all the other problems formulated in their terms (let us call them all *classical problems* for the sake of simplicity) have no solution beyond propositional logic, given the undecidability of first-order logic (abbr.: FOL), a problem motivated by semantical structures known as *models* that, differently from proofs, which are finite by definition, may be infinite. Indeed, to say that *VAL* and *SAT* are formulated in semantical terms means that they are formulated in terms of *preservation of truth*: If all the, say, facts in a database are true, is a certain conclusion one wishes to draw therefrom always, or at least in some cases, also so? Given classical problems of very low complexity formulated in propositional logic, the semantical construct known as a truth table can provide a solution. But classical problems are more often than not highly complex, sometimes industrial-scale so, and they typically require a first- (or higher-) order language.

Fortunately, we have today a plethora of adequate proof systems for *VAL* and *SAT*. The Hilbert(-style) systems and the Gentzen systems, the latter divided into natural deduction and the sequent calculus, are proof systems to address *VAL*, and resolution and analytic tableaux are the two proof systems of election to find answers to classical problems formulated in terms of *SAT*. The comprehensive elaboration on these systems accounts for the expression “proofs” in our title, now complete as *Formal logic: Classical problems and proofs*. Although the first systems above are not algorithmic in nature, thus not providing efficient methods for classical problems, they are both historically and pedagogically relevant, and we accordingly discuss them in due detail. Resolution and analytic tableaux are at the root of many efficient SAT solvers, and we give equally full treatments of these calculi.

But there are more than these proofs. In the paragraph above we wrote “adequate” without brackets (compare with farther above), it being meant by this with respect to a proof system that one can prove in it every logical truth of the associated logic and nothing that is not a logical truth thereof. But these properties, known as completeness and soundness, require *metalogical proofs*—i.e. proofs at a level higher than the *logical proofs*. The same is true of the general undecidability of FOL, a result that is a celebrated answer to *VAL*. In turn, *VAL* and *SAT* have been proven to belong to specific classes of computational complexity—i.e. it has been shown how much they “cost”—, with these proofs constituting fundamental knowledge for the computational implementations of classical problems. Fulfilling our requirements of self-containment and comprehensiveness, we provide discussions of these celebrated proofs, as well as of the above-mentioned properties for all the proof systems we elaborate on in detail.

It is the moment now to convince the reader that ours is a truly original introduction to logic. Largely depending on the applications in view, logic can be approached today from three perspectives, to wit, mathematical, computational, or philosophical. Introductory textbooks to logic accordingly segregate their contents: Mathematical approaches typically concentrate on the mathematical properties of logical systems; computational approaches focus on computational implementations and automation of proofs; philosophical treatments greatly concentrate in argumentation. Gödel’s (in)completeness and satellite results feature prominently in the first, as mathematical proof is a major concern of mathematical logic and it is unpalatable not to be able to prove a mathematical truth once one is discovered (or constructed, depending on one’s philosophy of mathematics). The temporal and spatial costs of computational implementations, from the simple transformation of a

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formula into one acceptable by some software to the carrying out of a proof in it, are central topics in the second kind. Arguments, categorical syllogisms and fallacies included, occupy many of the pages of the third type. More technically, this can be reformulated as follows by invoking the four so-called *pillars of formal logic*: *Model theory* and *set theory* are major topics to be found in mathematical treatments of logic; *recursion*, or *computability theory* features significantly in computational approaches; *proof theory* tends to be weighty in introductions to logic written for philosophy students. In particular, while the classical problems—*VAL* significantly less so than *SAT*—feature in introductory logic textbooks aimed at computer science students, they are largely or wholly absent from textbooks targeting a mathematical or philosophical studentship.

This segregation has constituted a successful recipe for a long time now, and possibly rightly so, but it does not reflect the current state of what can very generally be called formal logic. This book corrects this misguided state of affairs. Not focusing on the history of classical logic, this book nevertheless provides discussions and quotes central passages on its origins and development, namely from a philosophical perspective. Not being a book in mathematical logic, it takes formal logic from an essentially mathematical perspective. Biased towards a computational approach, with *SAT* and *VAL* as its backbone, this is thus an introduction to logic that covers essential aspects of the three branches of logic, to wit, philosophical, mathematical, and computational. More so, it gives practical applications of all these fields, namely in argumentation, theorem proving, logic programming, and even in logic design.

To be sure, the aim of reaching a large academic readership poses the risk of serving only a small one: The “traditional” tripartite segregation may in fact mirror some real distinctions, whether in skills or interests, in the different studentships. Moreover, the ambition of treating classical logic both at the object-language and at the metalanguage/metalogic levels while trying to keep the book in a “manageable” size may entail the suppression or obliteration of important contents of either of these components. To this we reply that no book stands alone, or is wholly self-contained; just as in any other field, certain treatments of logic have reached the status of standard works, and we refer to Hurley (2012), Mendelson (2015), and Boolos, Burgess, & Jeffrey (2007), for “classics” in philosophical, mathematical, and computational logic, respectively. Additionally, we hope the intersection of the above mentioned readerships is not empty. Our hope may in fact be a justified belief, as, for instance, linguists and computer scientists, to mention but these, may prove.



Be it as it may, we assume knowledge of, or at least familiarity with, mathematical concepts such as sets, functions, operations, and relations, providing solely definitions of less basic notions (e.g., Boolean algebra). In order to refresh their memory, or newly acquire such notions, mathematically literate readers can benefit from Bloch (2011) and the more mathematically reticent can do so from Makinson (2008). We also think that logic is a subject that requires both hands-on practice and reflection (or rumination), and we accordingly provide a vast selection of exercises ranging from the typical logic “drilling” exercise to commentary of relevant passages.

Finally: This book is in a large measure a selection, a restructuring, and an extension of contents first published in Augusto (2018). Main motivations for the present resulting text were the desire to improve, by reviewing and extending, the contents of the mentioned book, as well as the aim to provide a comprehensive stand-alone book on formal classical logic with the above-mentioned characteristics, in the belief that classical logic, particularly so in its formal version, is a subject both fascinating and—more and more—fundamental.

I wish to thank Dov M. Gabbay for accepting to publish this “extended remix,” as well as Jane Spurr for her impeccable assistance as managing director of College Publications.

Madrid, Summer 2019

Luis M. S. Augusto

*Preface*

## **Note to the 2nd printing**

The present 2nd printing corrects identified addenda and errata, has improved figures and a more uniform notation, and introduces a few notions that were either missing or not adequately defined in the original edition (e.g., *parameter*, *trivial quantification*, *free for*). A few paragraphs underwent minor changes, mostly in Chapter 2, namely in Sections 2.1.1-2 and 2.4.6. Concerning the notation, the major change was the decision to reserve the Greek letters in the metalanguage for utmost generality, with Backus-Naur definitions, as well as most axiom schemata and rules of inference, featuring the same letters from the Roman alphabet. Another minor change in notation was the replacement of the symbol  $\Rightarrow$  by  $\vdash$  in the rules of the sequent calculus  $\mathcal{LK}$ . All this done, the pagination is essentially the same as in the original edition.

Madrid, June 2020

Luis M. S. Augusto

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# Index



This Index is a hybrid of an *Index rerum* and an *Index nominum*. In the first case, we give solely the page for the first definitional occurrence of the term or expression; in the second case, we give all the occurrences of a specific name. In the latter case, only names of (historical) significance for the classical formalization of logic are given.



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