PROPORTIONALITY AND DIVINE NAMING: DID ST. THOMAS CHANGE HIS MIND ABOUT ANALOGY?

JOSHUA P. HOCHSCHILD

Mount St. Mary's University Emmitsburg, Maryland

HERE IS A QUASI-"GENRE" of passages in which Aguinas distinguishes varieties of analogy in a theological context. In several works across his career, he introduces analogy as the crucial part of an answer to a question about how to understand the relationship between creatures and God. The elaborations of analogy in these various passages share at least three common features: (1) they locate analogy between univocation and equivocation; (2) they classify at least two, and sometimes more, different kinds of analogy, often with examples of each kind; and (3) they indicate that one of the kinds of analogy thus distinguished is the one most relevant to understanding divine naming.1 Six such passages which are often discussed are (in chronological order): I Sentences, d. 19, q. 5, a. 2, ad 1; I Sentences, d. 35, q. 1, a. 4 (in this case, no examples are given); De Veritate, q. 2, a. 11; De Potentia Dei, q. 7, a. 7; Summa Contra Gentiles I, c. 34; and Summa Theologiae I, q. 13, a. 5.

Scholars today typically treat one text in this genre—one of the most detailed and apparently comprehensive passages on

¹ Different commentators have explored individual texts with great care. To give just two examples: I *Sent.*, d. 19, q. 5, a. 2, ad 1 is the main subject of Lawrence Dewan, "St. Thomas and Analogy: The Logician and the Metaphysician," in idem, *Form and Being: Studies in Thomistic Metaphysics* (Washington, D.C.: The Catholic University of America Press, 2006), 81-95; *De Potentia*, q. 7, a. 7 is treated at length by Mark Jordan in "The Names of God and the Being of Names," in Alfred Freddoso, ed., *The Existence and Nature of God* (Notre Dame: University of Notre Dame Press, 1983).

analogy in all of Aquinas—as idiosyncratic and unrepresentative of Aquinas's thought. The prevailing view is that question 2, article 11 of *De Veritate* represents an approach to analogy which Aquinas only temporarily entertained, and soon abandoned. As John Wippel describes this view in his magisterial treatment of Aquinas's metaphysics: "Most more recent scholars regard this particular discussion of Thomas as uncharacteristic of his earlier and later thinking on analogical predication of the divine names, and hence as not reflecting his definitive position."²

It is intriguing to find it so widely accepted that Aquinas changed his mind about analogy—indeed that he changed it twice, soon before and soon after writing one of his most extensive elaborations of a classification of analogy. In this paper I will discuss Aquinas's classification of analogy in De Veritate, and summarize the reasons that recent scholars have given for regarding this classification as atypical. While the text does appeal to the notion of "proportionality" in a way that the other texts do not, we will see that the other texts are diverse enough that De Veritate hardly seems to deserve to be singled out. Then, by offering some philosophical clarifications about the notion of proportionality, I will show that the teaching of De Veritate is, in principle, philosophically consistent with Aquinas's teachings on analogy in other places, and further that there are good theological and philosophical reasons why Aquinas might emphasize different things in this passage than he might in other passages that are otherwise similar. The hypothesis that Aquinas changed his mind about analogy turns out to be unnecessary once we take sufficient account of his attention to dialectical context.

² John Wippel, *The Metaphysical Thought of Thomas Aquinas* (Washington, D.C.: The Catholic University of America Press, 2000), 553. As Wippel's footnotes make clear, the modern consensus has roots in the work of Klubertanz, Lyttkens, and especially Montagnes, the last to be discussed below.

I. DE VERITATE, Q. 2, A. 11 AND ITS COUSINS

In the *Disputed Questions on Truth*, question 2, article 11, Aquinas raises the question of how a predicate, in this case "knowledge" (*scientia*), can apply both to creatures and to God. The burden of his answer—as always when he poses this sort of question for himself—rests squarely on the notion of analogy. In the body of the article, he first explains analogy in general, introducing it as a mean between univocal and equivocal predication. He then distinguishes several varieties of analogy in order to indicate which one is relevant to the case of predicating names of both creatures and God.

It is the second part of the discussion, about different varieties of analogy, that concerns us. Aquinas first distinguishes two sorts of analogy, according as whether the agreement between the analogous things is (1) an agreement of proportion or a determinate relation (e.g., 2 is the double of 1; urine is called healthy as the cause of health in the animal); or (2) an agreement of proportionality, or relation of proportions (e.g., 6 is to 3 as 4 is to 2; or "sight" is predicated of the intellect because understanding is to the intellect as physical sight is to the eye). This latter sort of agreement, agreement of proportionality, is further subdivided into: (2a) metaphor, when the word as applied to one analogate implies something that cannot really be affirmed of the other (as is the case when the term "lion" is predicated of God); and (2b) another sort, not given a technical name but obviously a more proper or genuine form of analogy, obtaining when what is implied by the term as affirmed of one analogate can be appropriately affirmed of another analogate. Giving examples for each type and subtype, Aguinas concludes by answering his question, that knowledge can be predicated of God in the last way mentioned, "according to an agreement of proportionality," in the nonmetaphorical, or properly analogical, way.

The classification of analogy in this text can thus be schematized as follows (including Aquinas's own descriptions and examples):

CLASSIFICATIONS OF ANALOGY IN DE VERITATE (1256-59), Q. 2, A. 11, CORP.

Designation	Description	Examples
(1) agreement of proportion	agreement between two things with a determinate distance or relation	 2 is the double of 1 "being" [predicated of substance and accident] "healthy" [predicated of urine and animal]
(2) agreement of proportionality	agreement between two proportions	 6 is related to 4 because 6:3::4:2 "sight" [predicated of eye and intellect]
(2a) metaphor	the definition of one implies something that cannot be in the other (metaphor)	• "lion" [predicated of lion and God]
(2b)	the definition implies nothing that cannot be in both	• "being," "good" [predicated of <i>creature</i> and <i>God</i>]

Like the passage summarized here, the other five passages mentioned above distinguish different sorts of analogy in order to show which sort applies to God. All of them but the one from distinction 35 of book 1 of the *Sentences* commentary also illustrate these different sorts with examples, and do so with the apparent intention of explaining and articulating some important points about analogy, rather than simply recalling or eliciting assent to already accepted doctrines. For my purposes it will be sufficient for me to summarize the teachings of these other five texts in the same simple table format.

CLASSIFICATIONS OF ANALOGY IN FIVE THEOLOGICAL TEXTS

1. I Sent. (1252-56), d. 19, q. 5, a. 2, ad 1

Designation	Description	Examples
(1) according to intention, and not according to being	the intention refers to many by order of priority and posteriority, but has being only in one	• "health" [predicated of animal, urine, and diet]
(2) according to being, and not according to intention	many equated in common intention, which does not have the same being in all	"body" [predicated of corruptible bodies and incorruptible bodies]
(3) according to intention and according to being	neither a common intention nor same being, a common nature with greater and lesser perfection	• "being" [predicated of substance and accident]

2. I Sent. (1252-56), d. 35, q. 1, a. 4

Designation	Description	Examples
(1)	agreement in some one thing, according to an order of priority	
(2)	one is an appropriate imitation of the other	

3. De Potentia Dei (1259-1268), q. 7, a. 7, corp.

Designation	Description	Examples
(1) predicated of two with respect to a third	two things must be preceded by something to which each of them bears some relation	"being" [predicated of quantity and quality with respect to substance]
(2) predicated of two because of a relationship between the two	one of the two must precede the other	• "being" [predicated of substance and quantity]

4. Summa contra Gentiles (1259/60-1264/65) I, c. 34

Designation	Description	Examples
(1) many-to-one		"healthy" [predicated of medicine, food, and urine in relation to animal]
(2) one-to-another		"being" [predicated of substance and accident]
(2a)	order of reality same as order of naming	"being" [predicated of substance and accident]
(2b)	order of reality different from order of naming	"healthy" [predicated of medicine and animal]

5. Summa Theologiae (1266-68), I, q. 13, a. 5, corp.

Designation	Description	Examples
(1) many-to-one		"healthy" [predicated of medicine and urine in relation to body]
(2) one-to-another		"healthy" [predicated of medicine and animal]

To present the teaching of the texts in this format is to distill theoretical structures from the prose and larger context in which they are presented; later we will need to take some account of what is ignored in this summary. Acknowledging that inherent limitation, we can say that each table here accurately portrays Aquinas's own presentation of divisions of

analogy. In each case, the table preserves Aquinas's designations for the distinguished types (where given), his explanations or descriptions for each (again, where given), and the examples he uses to illustrate each type of analogy (once again, where given).

The first thing to note about the classification schemes of these five other texts is that in no obvious way do they provide a uniform background against which the *De Veritate* passage jumps out in stark relief. The most uniformity is in the later three passages—from *De Potentia Dei*, *Summa contra Gentiles* and *Summa Theologiae*—which use essentially the same terminology to make (what seem to be) the same sorts of divisions. (Though even here, *ScG* employs an apparently significant subdistinction not employed in *De Pot*. or *STh*.) All three of these texts use terminology that differs from that of the two *Sentences* passages and of *De Veritate*.

It also appears that what differs among the six passages is not just the terminology but also the kinds of conceptual distinctions that are being made. The first passage has three different divisions according to different answers to the overlapping questions of whether the analogy is or is not "according to intention" and "according to being." In the second passage, reference to one thing is contrasted with imitation. In De Veritate, as we have seen, the contrast is between proportion and proportionality. In the last three texts, we have variations on many-to-one versus one-to-another relations.

As further evidence that these are not just different verbal formulations for the same consistent theoretical distinctions, we may notice that different phenomena find a place in different divisions. So, for instance, the first *Sentences* passage, and only this passage in the group, finds a place for what is formally a case of univocation³ (type [2], "body" predicated of corruptible

³ Univocation can be a form of analogy from a "metaphysical" as opposed to "logical" point of view: while a stone and a man *are equally bodies* ("body" behaves logically univocally, signifying corporeity in both the stone and the man) they are not *equal bodies*—indeed, the actual significate of "body" in man (his corporeity, which is

and incorruptible bodies). Only the classification in *De Veritate* finds a place in its classification scheme for metaphor (2a).

Complicating matters even further, all the passages make different uses of examples. In the first *Sentences* passage, divine names are said to work like "being" as said of substance and accident, but the divine names are distinguished from "being" as said of substance and accident in the *Summa contra Gentiles* and *De Veritate*. Likewise "being" and "healthy" illustrate the same type of analogy in the *De Veritate* examples, but they illustrate different types of analogy in the first *Sentences* passage, and in the *Summa contra Gentiles*.

Even those texts that are fairly similar in their formal divisions use examples quite differently. In *De Potentia*, "being" is an example of both types of analogy, but in the *Summa contra Gentiles* "healthy" is an example of both major divisions (1 and 2b) while "being" is only an example of one kind (2a). In the *Summa Theologiae*, "healthy" illustrates both kinds, and "being" is not used as an example at all. Since in each classification the *last* mode of analogy characterized is supposed to apply to the relation between creatures and God, we find divine names likened in one passages to "being" (I *Sent.*, d. 19), while in another passage divine names are said to operate otherwise than "being" (*ScG*), and in two passages divine naming operates like some cases of "being" but unlike others (*De Verit.* and *De Pot.*).

Given the obvious diversity of Aquinas's classifications of analogy, it is not surprising to find scholars either arguing that there is a lack of a consistent teaching, or employing creative interpretation to make some passages more compatible than they may first appear. What would be surprising, at least to someone first introduced to these texts, would be the notion that *De Veritate* stands out as the one text which differs from the others and is therefore unrepresentative of Aquinas's views.

his human soul, given the thesis of the unicity of substantial form) is of a higher grade of reality than the actual significate of "body" in the stone. See Armand Maurer, "St. Thomas and the Analogy of Genus," *The New Scholasticism* 29 (1955): 127-44.

And yet this is the case made by several scholars starting in the 1950s—not incidentally, often as part of a criticism of Cajetan's analogy theory, which cites the text from *De Veritate* as an authority. Studies by Hampus Lyttkens and George Klubertanz are important sources for this view, but one of the most influential interpreters of these passages is Bernard Montagnes.

II. Montagnes's Approach to Aquinas's Classifications of Analogy

Montagnes interprets the texts at issue as different attempts to answer a consistent question about what kind of unity obtains between creatures and God. Finding different answers to the same question, Montagnes proposes an account of how Aquinas's views developed. In his interpretation, the historical progression of the texts reveals two basic movements in Aquinas's thought. First, a unity of *likeness* (in the *Sentences* commentary) is replaced by a unity of *proportionality* (in *De Veritate*). Second, a unity of proportionality is replaced by talk of *reference to one* or "analogy of relation" (in subsequent texts).

Montagnes understands the first move (from likeness to proportionality) as prompted by Aquinas's realization that *likeness* could imply a *common form*, some one thing in reference to which both analogates are defined, or one defined in terms of the other. Since sharing a common factor would

⁴ Hampus Lyttkens, The Analogy between God and the World: An Investigation of Its Background and Interpretation of Its Use by Thomas of Aquino (Uppsala: Almqvist and Wiksells Boktrycheri AB, 1952); and George P. Klubertanz, Saint Thomas Aquinas on Analogy: A Textual Analysis and Systematic Synthesis (Chicago: Loyola University Press, 1960).

⁵ Bernard Montagnes, La doctrine de l'analogie de l'être d'après Saint Thomas d'Aquin (Louvain: Publications Universitaires; Paris: Béatrice-Nauwelaerts, 1963). For English translation see Bernard Montagnes, The Doctrine of the Analogy of Being according to Saint Thomas Aquinas, trans. E. M. Macierowski (Milwaukee: Marquette University Press, 2004).

ultimately imply univocity, Aquinas experimented with the four-term proportionality relationship as a way of describing likeness that safeguards divine transcendence.

The second move (from proportionality to analogy of relation) Montagnes argues is prompted by further realizations about the metaphysics of participation. Proportionality may have safeguarded divine transcendence, but at the expense of the intrinsic connection and direct relation between creature and creator. According to Montagnes, the development of Aquinas's metaphysics, especially his understanding of causality and the notion of the act of being, prompted him to replace the "proportionality" explanation with an account in terms of participation.⁶

⁶ Here is Montagnes summarizing his view in his own words: "In order to . . . bring to the fore the philosophical significance of the doctrinal progress it reveals, we can say that the De Veritate functions as an extension of the Sentences. There Thomas accepts the same formalist conception according to which the principle relation of beings to God is that of imitation, but he grasps the danger that it presents: more or less to confuse the creature with the creator and to succumb to the univocity to which our conceptual processes incline us. There is only one means to eliminate this danger: to accentuate the distance, to deny all direct likeness, to refuse every sort of determinate relation. At what price, then, does one safeguard the divine transcendence? By radically separating beings from God, by accentuating the distance to the point of rupture, by running the risk of equivocity and agnosticism. Neither theologically nor philosophically is this a satisfactory solution: it annihilates our knowledge of God; it eliminates the unity of being. The cause of this is the underlying metaphysics which inspires the solution. To escape this impasse, one had to conceive being no longer as form but as act, and causality no longer has the likeness of the copy to the model [i.e. original] but as the dependence of one being on another being which produces it. Now this is exactly what efficient causality implies: exercised by a being in act, it makes a new being exist in act, which being is not confounded with the first, since the effect and the cause each exist on its own account, but which communicates with it in the act, since the act of the agent becomes that of the patient. At the same time the act is that which the effect has in common with the cause and that by which it is not identified with it. Thus, it is by a veritable communication of being that God produces creatures and creative causality establishes between beings and God the indispensable bond of participation so that there might be an analogy of relation between them. It will no longer be necessary to have recourse to analogy of proportion[ality], and Thomas will never come back to the theory of the De Veritate" (Macierowski, trans., 78; corresponds to French edition, 91-92]).

To appreciate the influence of Montagne's interpretation, we may note briefly how it has been received, essentially intact, by two recent interpreters of Aquinas. John Wippel follows Montagnes's approach to accounting for the diversity among these passages, differing mainly in that he finds a closer affinity the earlier appeal to likeness and the later understanding of participation rooted in a causal connection.⁷ According to Wippel, the earlier (I Sent., d. 35) distinction between sharing a common factor and imitation is just an alternative formulation of what is later referred to in terms of the distinction between analogical relations that are many-toone and those that are one-to-another.8 Wippel agrees with Montagnes that the De Veritate appeal to proportionality leaves God too distant from creatures; as a proposed solution to the problem of the relationship between creatures and God, it is not as successful in staving off agnosticism as other formulations.9 So, according to Wippel, it makes sense that Aquinas would move to a preference for analogy of one to another, because in such cases the causal connection guarantees a likeness, which need not be a specific or generic likeness, between cause and effect. Like Montagnes then, Wippel finds that Aquinas, in grappling with the relationship between creatures and God, gained a better understanding of "the ontological situation" after De Veritate, 10 abandoning analogy of proportionality in favor of analogy of direct attribution founded in a causal relation.

Gregory Rocca also reviews Aquinas's invocations of analogy in characterizing the relationship between creatures and God, and he too finds Thomas experimenting very briefly with the notion of proportionality, but then settling on analogy of

⁷ Wippel, *Metaphysical Thought of Thomas Aquinas*; see especially his treatment of divine names (543-75) and the analogy of being (73-93), along with the chapter on participation (94-131).

⁸ Ibid., 547. Wippel finds in another text (*De Veritate*, q. 23, not considered here) a softer, nonexclusive appeal to proportionality.

⁹ Ibid., 554.

¹⁰ Ibid., 568, 575.

attribution or a relation to one.11 Like Wippel, he sees consistency of emphasis on a direct relation between God and creatures, allowing intrinsic causal connections, in texts before and after De Veritate. For Rocca, Aquinas struggled with the problem of describing God's relationship with creatures, eventually finding a solution by "retooling" the notion of "proportio" to cover a broader range of relationships than strict proportionality had allowed. 12 As Rocca admits, Aguinas's reasons for shifting his preference from analogy of proportionality to analogy of analogy of attribution (or what Rocca prefers to call "referential multivocity") are only "implicit," but Rocca supplies two: first, proportional similarity often functions "as a genus or quasi-genus" and such a generic notion "must . . . eventually be grounded in the multivocal analogy of direct rapport"; second, there seems to be an epistemological dependence of knowledge learned via proportionality on knowledge learned more directly.¹⁴

¹¹ Gregory Rocca, Speaking the Incomprehensible God: Thomas Aquinas on the Interplay of Positive and Negative Theology (Washington, D.C.: The Catholic University of America Press, 2004).

¹² "What Aquinas' ultimate solution hinges on, even in its earliest stages, is his ability to retool and expand the meaning of *proportio*, which from its infancy in Greek philosophy had been closely tied to finding the exact ratios between finite numbers, and to be comfortable enough with its extended sense not to forget it when confronted with God's infinity, for as we have seen, he only decides for proportionality when he also ignores the broad sense of proportion. This broad sense of *proportio* as 'direct relation' is the bridge that allows theological attributes to cross from us to God and, more generally, is the philosopher's stone Aquinas utilizes to transmute *analogia* as four-term proportionality into *analogia* as referential multivocity" (ibid., 123).

¹³ Ibid., 125. Cf. Klubertanz, *Saint Thomas Aquinas on Analogy*, 94-95: "Nothing can be explicitly found in the existing texts which gives any reason for St. Thomas' temporary adherence to proportionality."

¹⁴ Rocca, *Speaking the Incomprehensible God*, 125-27. Rocca seems to downplay (as an occasional "secondary formulation") those places where Aquinas makes clear that there is proportionality in the analogy between accident and substance (ibid., 125, and n. 77). His point seems to be that the relation of proportionality here depends on a more fundamental and direct relation, which on page 132 he describes in terms of *imitation*.

III. AN ALTERNATIVE APPROACH TO INTERPRETING DE VERITATE, Q. 2, A. 11

In summarizing their accounts of the significance of De Veritate among Aquinas's accounts of analogy in divine naming, scholars sometime describe Aquinas as reversing his position about the priority of proportionality or attribution. But, to be precise, it is not the case that Aquinas in texts other than De Veritate makes the same distinction he makes there, and then prioritizes analogy of attribution (analogy of direct proportion) over analogy of proportionality. Instead, what we find is that Aquinas explicitly invokes "proportionality" to classify a privileged kind of analogy in De Veritate, and he does not invoke proportionality at all—either as a privileged or as a nonprivileged form of analogy—in some of the other key texts. In other words, rather than reversing the priority of a consistent classification scheme, Aquinas appears simply to drop the classification scheme of De Veritate and replace it with a different classification scheme (or schemes). If this is a "reversal" it is a more subtle or quiet one.

One might also note that there are a couple of common but questionable assumptions behind this strategy of interpreting *De Veritate* relative to the other comparable texts. The first is that in each text Aquinas attempts to give the comprehensive essential classification of analogy. Only from this assumption would it follow that different classifications are not compatible. But alternatively one could assume that Aquinas does not mean each classification to be comprehensive, or that they are comprehensive in different ways, perhaps because the classifications are made in terms of different kinds of criteria, serving different purposes.

Thus we see another assumption at work in these interpretations: that the various passages are each attempts to answer the very same question. Montagnes makes this assumption explicit, insisting that the texts are "strictly

parallel,"¹⁵ not just in the sense that I have taken them, as having parallel structures of presentation, but as dialectically parallel. For Montagnes, it seems that there is "a" problem of divine naming that Aquinas keeps coming back to, and *De Veritate*'s "solution" to that one problem is only a provisional one later "displaced" by the mature "definitive" solution of later texts.

Wippel essentially retains this assumption, although he is more aware that the dialectical contexts of the relevant texts differ: he notes that in "the more mature" examples, Aquinas's consideration of divine names comes after discussion of quidditative knowledge of God. Rocca is also more attuned to the fact that different passages may have different dialectical demands; even so, he retains the assumption that the various texts should be read as evidence of Aquinas returning to the same basic question and negotiating a choice, between analogy as attribution and analogy as proportionality, as providing the answer to that question. The same basic question are proportionality as providing the answer to that question.

An alternative hermeneutic strategy is available: to take each text as designed to make just those distinctions relevant to addressing a given problem, and to assume that the given problem is not necessarily the same in each case. For a proper appreciation of the dialectical context of Aquinas's distinctions, it is not necessarily enough to look at the title questions of the relevant articles in which they are made. As medieval teachers knew very well, a given proposition can only be interpreted in light of the larger dialectical context in which it arises. Aguinas's different claims about how something can be predicated of both God and creatures thus do not have to be interpreted as, and should not be assumed to be, different attempts to address the same one question. There may be a cluster of questions, or a general question which could be answered on different levels depending on what specific dimension of the question is most relevant in a given situation.

¹⁵ Montagnes, Doctrine of the Analogy of Being, 63-64 (French ed., 66-67).

¹⁶ Wippel, Metaphysics of Thomas Aguinas, 543-44.

¹⁷ Rocca, Speaking the Incomprehensible God, 118.

If this is the case, then classification schemes that are very different may in fact be philosophical compatible, and need imply no inconsistency or development.¹⁸

IV. CLARIFICATIONS ABOUT PROPORTIONALITY

Before proceeding with this alternative hermeutic strategy and attending to particular dialectical contexts, it is also important to establish some theoretical clarifications about the

¹⁸ In general, we must remember that Aquinas's discussions of analogy are always occasional-analogy is usually brought in as a solution to a particular problem, a problem that is itself located in a larger dialectical context. It is inappropriate, then, to ask what Aquinas's theory or doctrine of analogy was, if that implies a fully explicated, stand-alone theory, though we can still ask what he taught about analogy. We only have to remember that what Aquinas taught about analogy cannot be separated from the question of how he taught it. (It may not be incidental to his teaching on analogy, after all, that he did not choose to write a treatise on analogy, and it may even be that a systematic textbook treatment of the topic would somehow falsify the wisdom contained in Aquinas's more organic discussions.) And how Aquinas teaches about analogy is largely a question of where he teaches about it-that is: in what kinds of texts, in what dialectical circumstances, does Aquinas find it useful to bring up analogy, and what role does analogy play in those dialectical circumstances? (There are so many occasions, so many places where analogy is either briefly invoked or elaborately discussed, that it is difficult to take account of them all. Klubertanz's study is still the most comprehensive review of relevant texts, although other texts could be added, e.g. Thomas Aquinas, In Aristotelis Libros Peri Hermeneias et Posteriorum Analyticorum Expositio II, lect. 17, n. 4.)

While the present article deals with a set of theological texts, it should not be forgotten that analogy plays a role in a variety of other kinds of texts, including treatises, like *De Principiis Naturae*, and commentaries on Aristotle (e.g., on the *Metaphysics* and *Ethics*), as well as theological texts where divine naming is not the primary focus. Any careful examination of Aquinas would also have to take account of where analogy does *not* appear, or where the topic appears under different guises without being labeled "analogia." (It is not often appreciated, for instance, that Aquinas discusses analogy, as a kind of unity, in *De Principiis Naturae*, a work of natural philosophy, while his *De Ente et Essentia*—which considers the diverse meanings of being, and its application not only across the categories but also to a self-subsisting nature—never refers to these relations [of words, or of things] as cases of analogy; indeed in this metaphysical and theological work the word "analogia" and its cognates make no appearance at all.)

key notion of proportionality and its relation to the logical and metaphysical orders.

(1) First, as noted, proportionality involves a four-term schema describing a relation of relations: A is to B as C is to D. Originally a mathematical notion, this was extended to nonmathematical domains as a way of describing likenesses that do not involve a common form or a generic similarity. Two things are proportionally similar not insofar as they each have a share in the same quality, but insofar as they find themselves in relations or proportions which are similar—A is proportionally similar to C insofar as A is to B as C is to D. Contemporary philosophers have referred to this phenomenon as "isomorphy." Two things are understood to be isomorphic not if they share a common trait, but if they play similar roles or find themselves in similar relationships to other things in their respective domains. In short, four-term proportionality is a

¹⁹ I. M. Bochenski, "On Analogy," *The Thomist* (1948): 425-77, §17. (I cite this work by section number as it has been reprinted, with corrections, in Albert Menne, ed., *Logico-Philosophical Studies* [Dordrecht: D. Reidel, 1962]; and in James F. Ross, ed., *Inquiries into Medieval Philosophy: A Collection in Honor of Francis P. Clarke* [Westport, Conn.: Greenwood Publishing Co., 1971]: 99-122). Cf. I. M. Bochenski, *A History of Formal Logic*, trans. Ivo Thomas (Notre Dame: University of Notre Dame Press, 1961), 397, commenting on a discussion of "systematic ambiguity" from *Principia Mathematica*: "all the statements in question evidently share the same formal *structure*. We have in fact a case of isomorphy. It is remarkable that the name used for this kind of isomorphy, 'systematic ambiguity', is an exact translation of the common Scholastic expression *aequivocatio a consilio*, synonymous with 'analogy'; for isomorphy is precisely analogy."

²⁰ It may be possible to argue that some proportional relationships are in fact reducible to identity (mathematically, 2/4 equals 4/8) or that there in fact is a common quality ("half," shared by 2 with respect to 4 and by 4 with respect to 8), but: (1) the assertion of identity is not the same as the assertion of isomorphy (something is lost theoretically, even if not quantitively, in reducing 2/4=4/8 to 1/2=1/2); (2) the identity is not the *basis* of the isomorphy or proportionality (.5 = .5, but that doesn't make them proportional or isomorphic); and (3) it is not always necessary to insist on identity to perceive isomorphy or proportionality (the spine of a mammal and the shell of a lobster play similar roles for those creatures, though clearly not identical roles; and even in mathematics there are proportional relationships that are not reducible to equations, for instance circumference:area::surface area:volume).

way of describing a relation of likeness that need not involve a common quality or form shared by the relata.

- (2) Now suppose that two proportionally similar or isomorphic things receive the same name—as, for example, we can use the term "river" to denominate both the Thames and one of the blue lines on my map of Oxford. In the order of imposition, this obviously involves the extension of a term from one semantic context to another: the Thames is a river in the primary sense, and the blue line is a river in the sense that it is related to other marks on the map as the real river is related to the surrounding topography. But then, if even in analogy of proportionality I extend a term from one analogate to another, in a loose sense even analogy of proportionalty involves "attribution" or denomination of one thing by reference to another. Of course, the ability to denominate the secondary analogate by "reference" to the primary analogate is itself based on the discernment of a proportional similarity or isomorphy between the secondary analogate (the blue line) and the primary analogate (the real river). Thus, applying a common name to proportionally similar things always involves, in the order of imposition, attribution or reference to one.
- (3) Now note further that isomorphy or proportionality between items in their respective structures may or may not imply an intrinsic connection, or causal relation, between those items. There may be a causal connection, as in the case of the map, which was produced intentionally to represent the mapped territory. But there need not be any causal connection. Consider two classic examples of proportionality, that a captain is to his ship as a governor is to the commonwealth, and that physical vision is to the eye as intellectual vision is to the intellect. In these cases, the proportionally related things have no metaphysical connection. The captain (or his relationship to the ship) is in no way causally linked to the governor (or his relationship to the commonwealth); and physical and intellectual vision are similar without one causing, or otherwise being intrinsically related, to the other. So we must further remember that discerning a formal relationship of proportional

likeness between two things does not address the metaphysical question of whether those two things are causally related.

- (4) If, in at least some cases, there is no intrinsic or metaphysical connection between two proportionally related things, it is still the case that two noncausally related but proportionally similar analogates may both be intrinsically denominated by a common term, that is, denominated by that term on account of a relevant proportional "formality" found in each analogate. Intellectual and physical sight are not intrinsically related, but when we extend the term "seeing" from the primary analogate (the eye which sees) to the secondary analogate (the intellect which understands), we denominate the intellect as "seeing" because of its own intrinsic act of grasping intellectual objects. Even if, in the order of imposition, by denominating the intellect as seeing we make reference to an extrinsic (and metaphysically independent) primary analogate (the eye's physical vision), it remains the case that in the order of signification the analogical term ("seeing") is predicated of the secondary analogate (the intellect) on account of that secondary analogate's own act (the intellect's understanding). In sum, we must not confuse the metaphysical issue of an intrinsic connection between two things, and the semantic issue of the intrinsic denomination of something. 21
- (5) Furthermore, in those cases in which there *is* a causal connection between two proportionally similar things, there would *ipso facto* also be a "direct" relation (of cause to effect). Two things whose formality of likeness is proportional may as a matter of fact be related (as cause to effect), so that in addition to their proportional relationship we may say that one is directly related to another. Thus, Aquinas holds that the being of accidents is caused by the being of substance, but he also holds that accidents have their own intrinsic being which is proportionally similar to the intrinsic being of substances.

²¹ Making a similar point, Rocca calls this "analogy's metaphysical neutrality" (*Speaking the Incomprehensible God*, 129). "For Aquinas, analogy formally understood as such is also a logical entity that is neutral as regards the ontological question of whether a subject possesses an analogous predicate intrinsically or not" (ibid., 131).

Likewise, while "healthy" is usually treated as an example of analogy of attribution, it would be easy to argue that organs deserve to be called "healthy" not just by reference to the health of the organism of which they are a part, but also by reference to their own intrinsic good function, which is proportionally similar to the good function of the organism as a whole. But in such a case, the intrinsic health of the liver is understood to be directly, causally related to the intrinsic health of the organism as a whole (indeed, as both helping to cause, and as being caused by), in a way that, for instance, the governor of the state is not causally related to the captain of the ship to which he is proportionally related. So it is possible that, not only in the order of imposition, but in the order of reality, proportionality does not rule out a direct or causal relation between the two proportionally related things.

(6) A relationship of proportionality, which is not a determinate relationship but a relation of relations, is nonetheless compatible with the way Aquinas talks about analogy of "one to another." Some scholars have attempted to treat "one-to-another" and "many-to-one" (or "two-to-a-third") as substantive divisions in the mechanism of analogical naming or as relevant to metaphysical relationship between analogates, as if an analogy's being "one-to-another" automatically implies something about the nature of the relationship that obtains between two analogates. Wippel, for instance, assumes that Aquinas expresses a preference for analogy of one to another, as if that implies an affirmation of causal connection and a rejection of proportionality.²² This confusion may have its roots in Montagnes, who begins by recognizing the modest logical implications of the distinction but attempts to link it to a fundamental development in Aquinas's theory of being.²³ But

²² Wippel, *Metaphysical Thought of Thomas Aquinas*, 561, 565. Cf. John F. Wippel, "Metaphysics," in *The Cambridge Companion to Aquinas*, ed. Norman Kretzmann and Eleonore Stump (Cambridge: Cambridge University Press, 1993), 92.

²³ Montagnes, Doctrine of the Analogy of Being, 71-72. Steven A. Long's Analogia Entis: On the Analogy of Being, Metaphysics, and the Act of Faith (Notre Dame: University of Notre Dame Press, 2011), otherwise representing a strong critique of

the view that describing analogy as "one to another" implies something about the metaphysical relationship of the analogates is simply not warranted by Aquinas's actual usage. Whenever Aguinas appeals to the distinction between "one-to-another" and "many-to-one" in analogy (as the examples used in ScG I, c. 34; STh I, q. 13, a. 5; and De Pot., q. 7, a. 7 show), all that the distinction addresses is the question whether, between two analogates, one of them is primary by reference to which the other is secondary, or both are secondary and need to be understood by reference to some other, primary, analogate. Whether there is a causal relationship between the analogates, and whether the analogates are related by proportionality, are metaphysical questions, entirely independent of the semantic question of whether one of the two analogates is primary. In other words, identifying an instance of analogy as "one to another" neither entails a causal connection between analogates, nor rules out a proportional relationship between analogates.²⁴

V. Proportionality and Participation

On the basis of these general theoretical clarifications about the nature of proportionality, it is possible to read the discussion of analogy at *De Veritate*, question 2, article 11 not

Montagnes, nonetheless perpetuates this confusion by treating "analogy of one to another" as a kind of analogy, and one of a piece with analogy of "effect to cause" and "analogy of proportion" (e.g., 33-34, 54, 58-59, 63, 76, 79). Ralph McInerny seems to understand the notion of analogy of one to another correctly (*The Logic of Analogy: An Interpretation of St. Thomas* [The Hague: Martinus Nijhoff, 1961], 82), although he implicates Cajetan in misreading it as a more substantive division, despite Cajetan's *De Nominum Analogia*, \$18, which explains that the distinction between analogy of one to another and analogy of two to a third does not address what sort of cause produces the analogy, only whether the prime analogate is among those being considered, or the analogates considered are all secondary.

²⁴ The reflections in this section help to account for why the notion of proportionality has such a complicated history in relation to the notion of deliberate equivocation or analogy as a mean between univocation and equivocation. For a brief account of this history see Joshua P. Hochschild, *The Semantics of Analogy: Rereading Cajetan's "De Nominum Analogia"* (Notre Dame: University of Notre Dame Press, 2010), 4-10.

as an experiment with an alternative approach to analogy, briefly entertained and then abandoned, but as an analysis of analogy on one level that is fully compatible with the analyses of analogy given in other places. For purposes of this argument, only brief attention to the relevant dialectical contexts is necessary.

The divisions and examples in the passages from the two latest texts (*ScG* and *STh*) appear in similar situations, that is, early in general theological works. They are intended to lend support to the theological method being defended and exhibited, the method of learning about God by reasoning from effects to causes. Not surprisingly, then, their emphasis is on the causal relationships between analogates.²⁵ Other epistemological and metaphysical issues related to divine naming these passages should not be expected to address.

By contrast, the point of the passage from distinction 19 of book 1 of the Sentences is to clarify the differences and relations between truth in God and truth in creatures. Aguinas's divisions and examples there allow him to argue that there is not only one truth (first division of analogy), nor are there many different truths generically the same (second division), but there is one primary truth to which other truths are related although they are not generically the same (third division). The classification framework gives more detail, because the issue is not a general one of a theological method (reasoning from effects to cause), but a very specific metaphysical question about whether and in what sense something is actualized in both God and creatures. The fact of a relationship of causal dependency between analogates, which Aquinas's later texts emphasize as part of a method of theological inquiry, is less relevant here. Instead, the distinction is designed to make clarifications about

²⁵ It is also worth pointing out that the divisions of analogy are relatively short discussions compared to the reflections on language developed immediately prior to them; Jordan points out that they each serve as a kind of "coda" to prior discussions of how the divine names work (*ScG* I, cc. 30-33; *STh* I, q. 13, aa. 1-4; cf. *De Pot.*, q. 7, aa. 1-6, followed by discussion of analogy in q. 7, a. 7; see Jordan, "Names of God and the Being of Names," 168-69; and 186 n. 14).

sameness and difference both on the metaphysical level and on the level of the semantics of terms.

The dialectical purpose of the passage from *De Veritate* is quite different again. Here, Aquinas sets up the discussion to defend the possibility of a relation between creatures and God which is not a "determinate relationship" (*habitudo determinata*). In other words, although the problem is posed in terms of predication (and so of logic or semantics), the main theological point addressed in the article is not that God and creatures are commonly named by analogy, but that analogically common naming need not imply a "determinate relation" of God to creatures.

This is evident from the objections: the first five of the eight objections all deny, in different ways, the possibility of comparing, or finding likeness between, God and creatures. This general objection is made by appeal in turn to: Scripture (obj. 1), God's infinity (obj. 2), God's simplicity (obj. 3), and the infinite distance between creature and God (objs. 4 and 5). In reply to such objections, Aquinas needs to talk about the possibility of a likeness or comparison between God and creatures that does not imply a determinate relationship. The evidence for the possibility of some likeness between God and creatures is easy to give, from Aristotle's Metaphysics (sed contra 1) and from Genesis 1:26 (sed contra 2). And in the body of the article, the reality of likeness is further advanced by appeal to the facts, not argued for here, that God can know creatures through his essence, and that we can learn about God from creatures.

For Aquinas, a "determinate relation" apparently implies that the relata could share something in common or be considered as elements in a common domain; if such a relationship held between God and creatures it would be a threat to divine transcendence. So Aquinas here invokes "agreement of proportionality" in the body of the article in order to explain the possibility of a relation, a comparison of likeness, between God and creatures which is not "determinate" in this way, that is, which still allows a gap or distance between

the related items; God and creatures are similar, but in different domains.

It is worth noting that this general point (that whatever relation obtains between God and creatures must be "non-determinate") remains consistent in Aquinas's later theological works. And understanding such a relationship in terms of proportionality, or a similitude of relations, is also never abandoned. Even though the notion of proportionality is rarely invoked in other attempts to classify types of analogy, that notion remains common currency in Aquinas's discussions of the relationship between God and creatures.

Take, for instance, question 14 in the *Prima Pars* (*STh* I, q. 14, a. 3, ad 2), where Aquinas addresses a sophism regarding God's knowledge. We cannot conclude from the assertion that "God is finite to himself" that God is actually finite, because saying that "God is finite to himself" was only meant as a way of saying that God was able to grasp himself as finite creatures can grasp themselves. What we say about God's knowledge, in other words, presumes a proportional relationship between God and his knowledge, and creatures and their knowledge. Likewise, in question 3 of the *Prima Secundae* (*STh* I-II, q. 3, a. 5, ad 1), a mistaken conclusion about the comparison of human and divine intellect is corrected by restating the schema of proportionality. Human practical intellect is like God only insofar as "it stands in relation to what it knows as God does to

²⁶ E.g., *STh* I, q. 12, a. 1, ad 4: "Proportion is twofold: in one sense it means a certain relation of one quantity to another, according as double, treble, and equal are species of proportion. In another sense every relation of one thing to another is called proportion. And in this sense there can be a proportion of the creature to God, inasmuch as it is related to Him as the effect to its cause, and as potentiality to its act; and in this way the created intellect can be proportioned to know God." Cf. *De Pot.*, q. 7, a. 10, ad 9. It might appear that *STh* I, q. 13, a. 6 denies this, asserting a straight proportion between creatures and God, but surely Aquinas does not here mean to contradict what he said earlier at *STh* I, q. 12, a. 1, ad 4. At issue in this subsequent article is the question of what counts as the primary analogate in divine naming, which Aquinas takes as an occasion to distinguish between the order of imposition and the order of signification; the question of the nature of the causal relationship between creature and God is not at stake here.

what he knows." In short, when Aquinas finds it necessary to clarify the relationship between creatures and God, and especially to be precise about what we can learn about the one from the other, he continues to assert a relation of proportionality.²⁷

But then, it is not difficult to read question 2, article 11 of *De Veritate* as consistent with the other passages we find on analogy, and the question of whether one particular passage's teaching is so peculiar as not to fit with the others need not arise. In *De Veritate*, the motivating theological points are ones that are consistent throughout Aquinas's career; and in that sense, we do not have to treat *De Veritate* as idiosyncratic or unrepresentative of Aquinas's thought.

Admittedly, this only goes so far. If we no longer have reason to say that Aquinas *abandoned* the central metaphysical position articulated in *De Veritate*, it is still correct to note that he seems to have abandoned, or at least de-emphasized, the explicit invocation of "proportionality," or the four-term notion of relations of proportions, when making recourse to analogy to account for divine naming.

One could hypothesize that this shift is simply due to Aquinas's experimentation with different terminology over the course of his career, perhaps finding help in a variety of sources and experimenting with different ways in which terminology from different sources can be combined.²⁸ But apart from such fundamentally philological factors, which may indeed be relevant, I want to draw on the clarifications about proportionality articulated above to discern at least one principled *philosophical* reason why Aquinas might find that the relationship of "proportionality" serves his purpose particularly well in *De Veritate*, while it is not adequate for his purposes in

²⁷ Another point that Aquinas never abandons, indeed, which he seems to emphasize in later works, is the relation of *imitation* between creatures and God. It is cited in *De Verit.*, q. 2, a. 11, ad 1, on the authority of Pseudo-Dionysius.

²⁸ Philip Reynolds has argued a similar case with respect to Bonaventure; cf. "Bonaventure's Theory of Resemblance," *Traditio* 58 (2003): 219-56; and "Analogy of Names in Bonaventure," *Mediaeval Studies* 65 (2003): 117-62.

the majority of other theological contexts in which he employs and theorizes about analogy.

In the kinds of passages we are discussing, Aquinas rarely if ever remains only on the level of semantic analysis—his concern is not just the function of analogical names, but the natures of the things analogically named. Moreover, when two things are proportionally similar, the *fact* of their proportional similarity says nothing about the *cause* of that similarity. Two things may be proportionally similar because one was produced as a representation or imitation of the other—as the contours of a map have a proportional relationship to the territory they map, because the map-maker deliberately imitated the geography of the territory in his map. But, as we have already noted, two things proportionally similar may have no intentional, historical or causal connection between them.

In short, the relationship of proportional similarity between two things does not imply that one of the two things has a causal relationship with, and so a degree of participation in, the other. As noted, the eye's vision and the intellect's vision are proportionally similar, but one is not caused by, and is not properly said to imitate or participate in, the other.²⁹ On the other hand, if one thing participates in (or is an imitation of) another, that does imply that the two are proportionally similar. Participation implies imitation or likeness between the item participating and the item being participated in, and imitation or likeness implies isomorphy, that is, unlike media or domains within which there are nonetheless comparable relations between parts, qualities or items of those media or structures. (A picture "imitates" a thing insofar as the relationships between parts of a picture "map" the relationships between parts of a thing pictured.)

²⁹ One could make the case that both eye and intellect participate in or imitate divine vision/cognition—but discerning this would be a matter of advanced theological insight well beyond a characterization of the obvious relationship, more known to us, between intellectual and ocular vision; and obviously one can discern the proportional relationship between physical and intellectual vision without being aware of or believing in a common divine exemplar of each.

Aquinas was well aware that the relationship of proportional similarity is necessarily implicit in imitation. Defining *image* as a kind of imitation or proportional likeness, he says,

it is necessary that there be some adequation in that quality [in respect of which there is imitation], either according to *quality* or according to *proportion*; as it is clear that, in a small image, there is an equal proportion of parts to each other as in the big thing of which it is the image.³⁰

So proportionality does not imply a relation of participation, but a relation of participation does entail proportionality. This nonsymmetrical entailment relationship between participation and proportionality helps us appreciate how Aquinas could characterize analogy in different contexts, as he did, without having to posit inconsistent understandings of analogy. If he only remained on the "formal" or semantic level of analysis, he might have chosen "proportionality" to characterize the kind of unity exhibited by analogical concepts. But when he discusses analogy in theological and metaphysical contexts, he cannot leave aside the "material" or real level of analysis, and so he is more likely to choose "participation" to describe analogical relationships between things. Proportionality is inadequate to explain the effect's imitation of its cause, which is usually Aguinas's concern. However, proportionality—likeness understood as isomorphy, or formal similarity between different kinds of pairs or sets—is *implicit* in the effect's imitation of its cause, and does help us to understand how two things can be similar without having a "determinate relation" between them.

Put another way, the *metaphysics of participation* emphasizes causal relationship, drawing attention to the reason why one thing in fact "imitates" another. By contrast, the *logic of proportionality* describes why one thing appears as an imitation of another. Better than the metaphysical relation of participation, proportionality describes the formality of nongeneric likeness or isomorphy, accounting for our ability to perceive or discern "imitation." And insofar as Aquinas consistently recognizes the metaphysical relationship of

³⁰ I Sent., d. 28, q. 2, a. 1.

imitation or participation between creatures and God, he continues to affirm their formal proportionality.

CONCLUSION

On the basis of these observations, then, we have seen that interpreting question 2, article 11 of *De Veritate* as articulating a temporary or idiosyncratic view is completely unnecessary. The recent marginalization of this passage from *De Veritate* is based on a speculative metanarrative about Aquinas's metaphysical development that is not required by the texts, is based partly on confusions about the nature of proportionality and its implications in the logical and metaphysical orders, and is inattentive to dialectical context.

There is simply no need to say that Aquinas changed his mind about analogy of proportionality—though his mind had to be flexible enough to notice the different demands placed on an articulation of analogy by different theological questions. Most of the time, Aquinas finds proportionality neither necessary nor sufficient for his theological purposes; the metaphysics of participation better answers to what is usually at issue, namely the causal responsibility for imitation, rather than the formal structure of similarity. However, when the reality of some causal relationship between God and creatures is already assumed, and what is at stake is rather an account of how that relationship can be named and conceived in such a way as not to imply a determinate relation between creatures and God, the notions of imitation or participation are inadequate on their own; these notions do not make explicit how it is possible to avoid the closer or more determinate relationship that Aquinas seeks to deny between creatures and God. In such a context Aguinas must have recourse to the notion of proportionality.³¹

³¹ Or, as at *STh* I, q. 4, a. 3, he must have recourse to the notion of "analogy" in its original Greek sense of proportionality (that is, as describing a type of unity or sameness which is not reducible to specific or generic unity or sameness), as opposed to the sense

This is exactly what we find him doing in this passage from *De Veritate*, a text that is distinctive for its detail and language, but otherwise entirely consistent with what Aquinas says about analogy in divine naming in very different dialectical contexts.³²

of "analogy," more common in his usage, of signification with associated meaning (constituting a middle ground between univocation and equivocation).

³² The author would like to thank the manuscript reviewers for *The Thomist*, as well as Thomas Joseph White and Stephen Brock, for comments on drafts, and David Burrell, Steven A. Long, and Thomas Osborne for helpful conversations about the central argument. Previous versions of this paper were delivered as the Aquinas Lecture at Emory University in September 2004, and for the Blackfriars Aquinas Seminar in February 2008.