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Abstract	<p>Molecular developmental biology has expanded our conceptions of gene actions, underpinning that embryonic development is not only governed by a set of specific genes, but as much by space–time conditions of its developing modules (<i>determinate vs. regulative development</i>; or, <i>nature vs. nurture</i> discussion). Typically, formation of cellular spheres, their transformation into planar epithelia, followed by tube formations and laminations are modular steps leading to the development of nervous tissues. Thereby, actions of organising centres, morphogenetic movements (in- and evaginations), inductive events between epithelia, tissue polarity reversal, widening of epithelia, and all these occurring orderly in space and time, are driving forces of emergent laminar neural tissues, e.g. the vertebrate retina. Analyses of self-organisational formation of retina-like 3D structures from dispersed cells (so-called <i>retinal spheroids</i>, also called <i>retinal organoids</i>) under defined cell culture conditions (in vitro) demonstrate that not only particular genetic networks, but—at least as important—the applied culture conditions (in vitro constraints) define phenotypes of emergent tissues. Such in vitro approaches allow assigning emerging tissue formation to ground-laying genetic networks separately from contributions by conditional constraints.</p>
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# Brains Emerging: On Modularity and Self-organisation of Neural Development In Vivo and In Vitro



Paul Gottlob Layer

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AO1

## 18 Introduction: Biologic Determinism Revisited

19 *Preformation* and *epigenesis* as mutually exclusive ideas have over centuries dictated  
 20 the quest for understanding of how organisms come into living. Epigenesis (not to  
 21 be mistaken for *epigenetics*), as was first formulated by Aristotle, postulates new  
 22 formation of the entire organism in each generation from scratch, i.e. envisions  
 23 concepts of development. On the other side, ideas of preformation hold that the final  
 24 organism is already somehow preformed in the egg (or, alternatively the sperm head;  
 25 Malpighi 1672; see in Jahn 2000; Gilbert 2016), which then has only to be unrolled  
 26 during embryonic growth. Preformationism, which has never vanished in biology

AO2

AO3

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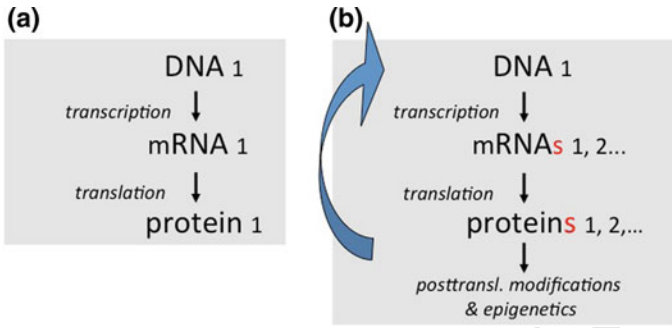
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27 completely, belongs to the category of determinism, while concepts of epigenesis  
 28 rely on processes of emergence.

29 As biologists in the nineteenth century tried to advance their science to a more  
 30 “exact science”, determinism became a common position of eminent figures in biol-  
 31 ogy. Ernst Haeckel presented hundreds of newly discovered protozoa in his famous  
 32 plates not only as shiny colourful beauties, but also in perfect geometrical symmetry,  
 33 certainly trying to make the point that a mathematical precision was behind their  
 34 making (Haeckel 1904, 1998). Haeckel, certainly a shiny figure himself in many  
 35 respects, was reductionist, monist and determinist. August Weismann, after having  
 36 detected the early separation of germ and somatic cell lines in embryos, concluded  
 37 that certain distinct (chemical) “determinants” would predetermine the fate of all  
 38 cell types, and that only germ cells contained all determinants for the entire future  
 39 body of a next-generation organism (“mosaic development”). Accordingly, each and  
 40 every feature (morphologic, physiologic, etc.) would be completely determined by  
 41 its respective determinants. Supporting this concept, Wilhelm Roux in 1887 had  
 42 achieved half frog larvae (hemi-embryos), after having killed experimentally one  
 43 cell of the two cell-staged frog embryos (an experiment which was hampered by  
 44 methodological flaws). Hans Driesch, in trying to provide support of Roux’ findings,  
 45 managed to separate a four cell-stage sea urchin embryo into its four cells. To his sur-  
 46 prise, four little but quite normal sea urchin larvae developed in his culture dish. What  
 47 became to be called *developmental regulation*, was at the same time the discovery of  
 48 stem cell totipotency. By then, embryologists had revealed good reasons to conceive  
 49 development of an organism not as a mere unrolling of a prefixed programme.

50 During the same period, however, deterministic concepts in biology received  
 51 strong support through great progress of the upcoming genetic era. Works of Beadle  
 52 and Tatum in the early forties on the ascomycete *Neurospora grassa* (co)-founded the  
 53 so-called *dogma of molecular biology* (see Strauss 2016), which stated that one gene  
 54 codes for one (and only one) protein, and that each protein subserves one distinct  
 55 function (e.g. enzymatic, structural, etc.). Although these early geneticists them-  
 56 selves were quite cautious in interpreting their findings one-dimensionally, genes  
 57 then became more and more considered as completely autonomous, autocratic play-  
 58 ers (“determinants” in Weismann’s words), each one sitting on top of a hierarchical  
 59 cascade.

60 The development of Neo-Darwinism during the first half of the last century as  
 61 a standard theory of evolution was much influenced by this concept. It led Ernst  
 62 Mayr and colleagues to their famous saying “nothing comes between genotype and  
 63 phenotype”; in fact stating that in order to understand evolution we do not have to  
 64 bother with development and/or morphologies of embryos (phenotypes), but only  
 65 with the genomes of adult organisms (capable of reproduction). What presump-  
 66 tuous, exclusive misconceptions, which have come to be called *gene-centrism* and  
 67 *adultocentrism*: biologic determinism at its best! As a rather new subbranch of *Devel-*  
 68 *opmental Biology* now *EvoDevo* (idiom. for *Evolutionary Developmental Biology*)  
 69 has developed, which for the first time provides reasonable clues to mechanisms of  
 70 macroevolutionary change (Gilbert 2016).



**Fig. 1** Classic (a) and modern (b) concepts of gene realizations. According to (b), one gene (“DNA<sub>1</sub>”) can code for many different proteins, and proteins can feedback on gene activities. Further see text

71 Time was waiting for the rise of molecular developmental biology from the sev-  
 72 enties onwards to achieve a new concept of development. As more and more model  
 73 organisms were studied, minds of researchers were opened. Actions of genes became  
 74 conceived as embedded within widely distributed networks, regulated by complex  
 75 signalling cascades (Fig. 1). Thereby, feedback mechanisms between proteins and  
 76 genes (transcription factors) can lead to prominent autocatalytic amplifications, or,  
 77 as well, to silencing of particular genes (inhibition). *Time* and *space* of gene expres-  
 78 sion became decisive aspects of their actions, revealing the insight that one particular  
 79 gene can affect many different things. Strict determinism in biology lost its appeal.

80 Concepts of biological emergence take a decisive anti-deterministic stand; they  
 81 decline exclusive *gene-centrism*, and favour concepts of “nature *and* nurture”. Emer-  
 82 gence has been defined as the appearance of a new property in a system at a higher  
 83 level of organisation, which is not explained by properties of a lower, more fundamen-  
 84 tal level. Such new properties are not predictable by, and not reducible to the more  
 85 fundamental properties. Emergence deals with dynamic processes, e.g. processes of  
 86 appearance (and disappearance), by the insight that “...something comes out from  
 87 something ...” (Fromm 2005; see other contributions in this book). Typically, *weak*  
 88 *emergence* is distinguished from *strong emergence* (Chalmers and Jackson 2001).  
 89 Thereby, “weak” means that the emerging properties are unexpected based on the  
 90 lower-level properties, while “strong” defines new properties which—even in prin-  
 91 ciple—are non-deducible and unpredictable from the given lower-level properties.

92 Clearly, the field of *Developmental Biology* is governed by emerging properties.  
 93 As in all fields, features of emergence in biology are difficult to grasp. Nonetheless,  
 94 are there means to characterise such processes for a developing organism? What  
 95 are distinguishable levels of development of an animal? What are building modules,  
 96 which level is lower, and which is above, if these levels are interrelated by complex  
 97 feedback mechanisms? What means self-organisation, is it predictable; if not, why  
 98 not? Such are the questions which are tackled in this chapter, which is divided into  
 99 three parts.

- 100 1. A description of general aspects of normal (e.g. in vivo) animal development from  
101 a fertilised egg until—exemplarily—the formation of a vertebrate brain, thereby  
102 trying to define building modules and morphological levels of organisation.
- 103 2. Considerations on mechanisms of self-organisation (generation) of organised  
104 tissue/organ structures in vitro (as nowadays emanating into stem cell regenera-  
105 tion biology), demonstrating that normal developmental paths are not the only  
106 possible ones to achieve a certain goal (“many roads to Rome”) and
- 107 3. A discussion on “genetic backbones” of modules in relation to “environmen-  
108 tal constraints” (physical, chemical and ecological) that could drive emergent  
109 processes during development, independently from a particular causative gene  
110 action.

## 111 **Modules Governing Normal Development**

112 For long periods in the prehistory of life on our planet, life existed only in the  
113 form of unicellular organisms (3.5–1.8 Gya, giga years ago, or, billion years ago).  
114 The so-called prokaryotic cell was a “simple” molecular bag, having—as one of its  
115 notable features—no real nucleus. A major change occurred with the invention of  
116 an entirely new form of cell. Besides other essential novel organelles, the *eukaryotic*  
117 *cell* was equipped with a complete nucleus containing the genetic information and  
118 a double-layered outer cell membrane (plus a cell wall in the plant cell). Illustrious,  
119 spectacularly shaped unicellular organisms, called Protista, began to populate our  
120 planet (1.8–1.4 Gya). Only now the scene was set for the evolution of higher life,  
121 which—as we should have understood by now—certainly never was, and still is not  
122 possible without continuous mutual interactions with the prokaryotic world (McFall-  
123 Ngai et al. 2013).

## 124 ***Cells Forming Spheres***

125 At some later point of evolution (1.4 Gya), particular eukaryotic cells developed  
126 a tendency to form small cell clusters, as a first sign of development of multicel-  
127 lular organisms. As still nowadays can be observed with green algae new species  
128 emerged step by step that would form larger and larger cell aggregates (here not  
129 considering that some prokaryotes also can associate to large biofilms). There are  
130 multiple hypotheses how multicellularity was achieved during evolution (Grosberg  
131 and Strathmann 2007), one of them suggesting colony-forming signals from bacteria  
132 onto eukaryotic cells (Alegado et al. 2012). Such colonies could still disaggregate  
133 under certain circumstances, and each individual cell would multiply by normal  
134 cell division (mitosis). Eventually, much larger, more organised species emerged.  
135 Presenting themselves under the microscope as splendid translucent spheres, they  
136 steadily rotate in their water habitat; that is why they became named “Volvox” (order

137 of *Volvocales*, name from *Latin* “*volvere*”, to roll, rotate). Their individual cells  
 138 were not identical any longer, but began to show signs of specialisation (e.g. flagella  
 139 for motion), revealing the evolutionary onset of differentiation. Besides so-called  
 140 somatic cells, they also produced reproductive cells. Their progeny was kept inside  
 141 the spherical body, there forming *spheres within spheres*, until the outer body would  
 142 release them and the original parent sphere would disintegrate and die. Along with  
 143 the invention of multicellularity, cell and tissue differentiation, sexual reproduction  
 144 and cell death had entered the living world. Hence, aggregation of cells into more  
 145 and more regular spheres characterised this period.

146 This is not the place to engulf further into the spectacle of early evolution, but only  
 147 to point out that the first multicellular shape within which cells organised themselves  
 148 during *phylogeny* was the *cellular sphere*. Amazingly, a similar sequence of early  
 149 events happens during the development of nearly each and any individual animal,  
 150 during their *ontogeny*. After fertilisation of the egg, fast cell divisions amplify cell  
 151 numbers (*cleavage divisions*), thereby forming a spherical ball of cells, a *blastula*.  
 152 As in phylogeny, the sphere is the earliest and simplest multicellular structure in each  
 153 individual’s life. Such an assembly of cells could be considered the simplest develop-  
 154 mental module, with which new capacities/functionalities can and will emerge (e.g.  
 155 communication between cells; see below). Sphere formation is an ever-recurring  
 156 theme in biology: for instance, during the development of kidneys, liver, lungs and  
 157 testes; in brain formation, cellular spheres will form brain nuclei or ganglia (e.g. dor-  
 158 sal root ganglia, DRG). Not to forget, as tissues disintegrate during cancerogenesis,  
 159 tumours grow in the shape of spheres.

## 160 *From Hollow Spheres to Planar Tissues*

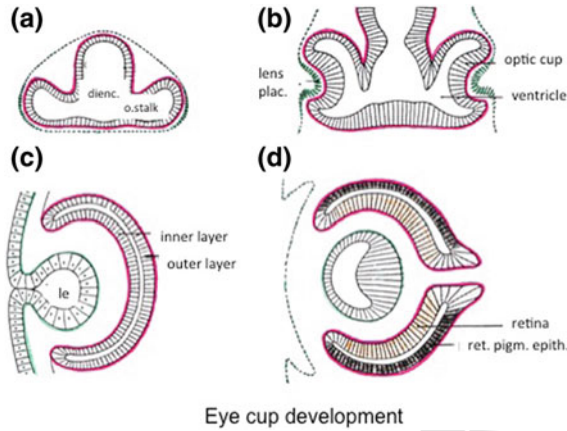
161 As we follow the developmental paths in different animals, patterns of development  
 162 become more difficult to generalise. As blastulae in model animals like sea urchin or  
 163 frogs grow bigger, a fluid-filled space emerges in their interior (blastocoel). Nearly in  
 164 all animals, the following process of *gastrulation* represents a real cellular revolution.  
 165 Spherical blastulae become quite abruptly transformed by an invagination of their  
 166 outer parts (note: shapes of blastulae and types of *morphogenetic movements* differ  
 167 greatly, depending on species). A distinction between inner and outer parts emerges  
 168 with endoderm and ectoderm representing the first two *germ layers*. In most animal  
 169 branches, the mesoderm as an intermediate germ layer pushes itself in between  
 170 the other two (in fact, the—future—mesoderm appears to exert an initiating and  
 171 driving force during gastrulation). Notably, along with these transformations creating  
 172 three novel modules, cells transit from a more globular to a layered arrangement.  
 173 Concomitantly, in some animal groups (Coelomata) a secondary fluid-filled bodily  
 174 space forms the so-called *coelom* (abdominal cavity, dt. *sekundäre Leibeshöhle*).  
 175 That is, from now onwards cells are not assembled any longer within a spherical  
 176 volume, but they have become organised within planar cell layers, which marks the  
 177 beginning of tissue formation.

178 ***The Epithelium, the Most Basic Tissue***

179 In histology, several types of tissues are distinguished (epithelium, blood, fat, nerve,  
 180 muscle and bones/supportive). The only one that is relevant here is the epithelium.  
 181 Epithelia are widespread in all animal bodies, covering outer and inner bodily sur-  
 182 faces, like skin, gut and capillaries, in embryonic and mature organisms alike. In an  
 183 epithelium, many cells of a particular type are arranged “side-by-side”, forming (in  
 184 its simplest form) a one cell-wide layer in planar register. Along with their integration  
 185 into a compound tissue, cells attain the same cell shape (e.g., cylindrical, cuboidal,  
 186 etc.). Driven partially by active as well as passive forces, formation of epithelia rep-  
 187 represents an emergent process. The cell plane as a whole is polarised by a basal and  
 188 an apical side, representing its inner and outer surface, respectively. The basal side  
 189 is endowed with an extracellular matrix for optimal contact; the apical side presents  
 190 protrusions (e.g. cilia, microvilli) for secretion, transport of fluids, etc. Several types  
 191 of cell-to-cell junctions connect neighbouring cells, to stabilise the whole tissue and  
 192 allow communication between all cells of the tissue. Each epithelium will subserve  
 193 specialised functions, such as mechanical protection, containment of fluids and gases,  
 194 ingestion or glandular secretion. Planar epithelia of diverse morphologies (simple,  
 195 stratified and pseudostratified) will form tubes as essential parts of intestines, lungs,  
 196 blood circulations (called *endothelia*) and heart. Each one tissue type represents an  
 197 organismic building block, a module, which only as such (not the individual cells)  
 198 can fulfil its distinct function(s).

199 ***Brain and Eyes Emerging from the Body Surface Epithelium***

200 The initial step of neurogenesis is nothing but formation of an epithelial tube, derived  
 201 from the ectoderm, a process called *neurulation*. Shortly following gastrulation, a  
 202 mesodermal rod-like structure, the *chorda dorsalis*, is formed along the length of  
 203 the embryo and becomes an *organising centre* for the steps coming. Chemical fac-  
 204 tors secreted from the chorda induce the overlying ectoderm to form an inwardly  
 205 oriented, longitudinal groove. The groove closes dorsally to form a tube and sep-  
 206 arates from the overlying ectoderm. Then, the tube enlarges and differentiates in  
 207 rostral–caudal direction, e.g. the future head is always farther developed than trunk  
 208 and tail regions. Notably, some features that could be marginalised as “inevitable  
 209 side products” will be indispensable for development of the nervous system. A pop-  
 210 ulation of cells that “accidentally” escapes during the process of tube closure, called  
 211 *neural crest cells*, will migrate on defined paths out into the body space. The *neural*  
 212 *crest* represents a major building module to find—besides other parts—the entire  
 213 peripheral nervous system. Due to invagination of the ectoderm during neural tube  
 214 formation, its inside-out polarity becomes reversed, e.g. the basal side will become  
 215 the outside of the neural tube (see Fig. 2, and further below on eye development).  
 216 As the tube extends in length and thickness, space restrictions within the future head



**Fig. 2** Schematics of vertebrate eye cup formation. **a** Stage of optic stalk evagination from diencephalon. **b** Invaginating neuroepithelium after contact with ectoderm; lens placode is induced; **c** an inner and an outer layer of the neuroepithelium form the eye-cup; lens vesicle has enlarged; **d** inner layer forms retina, outer layer forms pigmented retinal epithelium (RPE), lens differentiates

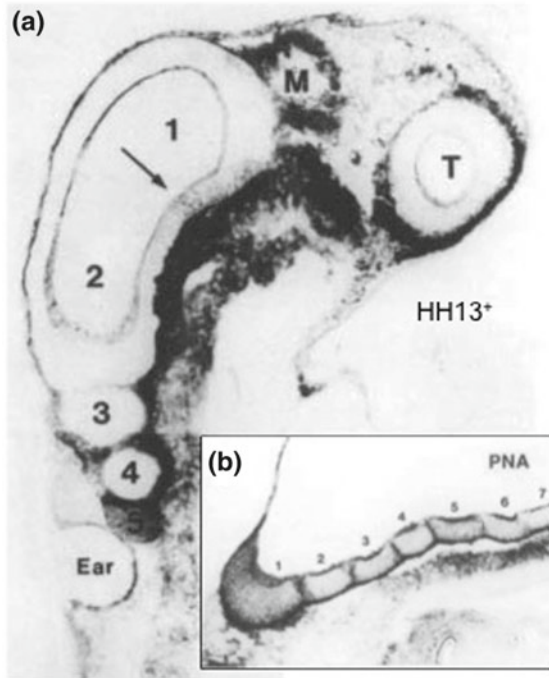
217 will cause tube flexures, bends and partial rotations (note: this result is an excellent  
 218 example for a mechanic rather than genetic causation). Along with it, the rostral  
 219 (front) end of the tube is constricted into first three, then five brain vesicles (front-,  
 220 mid- and hindbrain vesicles, or *Latin*, tel-, mes- and rhombencephalon), representing  
 221 the first subdivisions of the rostral tube. All brain vesicles will be further subdivided  
 222 into *neuromeres*. These become most evident in the hindbrain (rhombencephalon)  
 223 as a series of numbered *rhombomeres* (Fig. 3). The number one rhombomere will  
 224 later develop into the cerebellum. Following differentiation of the tube towards more  
 225 caudal parts, the future trunk and tail regions will be segmented. Thereby, a close  
 226 interplay between neural tube structures and mesodermal tissue (e.g. somites), mus-  
 227 cular and skeletal anlagen is strictly controlled by a rostro-caudal clockwork (not  
 228 further detailed here). Modularity of brain development is overtly demonstrated by  
 229 these longitudinal subdivisions of the frontal neural tube since from each and every  
 230 neuromere a distinct part of the future brain will develop (Lumsden and Keynes  
 231 1989; Layer and Alber 1990; Puelles 2001).

232 ***Neural Tube Evagination, Invagination and Widening to Form***  
 233 ***an Eye***

234 The eye, in particular, retina and pigmented epithelium (RPE) are derived from the  
 235 neural tube also. From the first brain vesicle, the neuroepithelium evaginates laterally  
 236 to eventually touch the ectodermal surface (Fig. 2; eye formation). Being stopped  
 237 at a point that marks the origin of the lens, the so-called optic stalk once again



**Fig. 3** Emergence of molecular boundaries in hindbrain of chicken embryos. **a** Sagittal section of a 2 day-old (HH13<sup>+</sup>) chicken head and **b** a more horizontal section of a 3 day-old hindbrain, both stained by PNA lectin (black). Rhombomeres of hindbrain are numbered 1–7. Note diffuse emergence of staining between R1 and R2 at HH13<sup>+</sup> (arrow in **a**). By HH17 (**b**), all boundaries in between rhombomeres 1–7 are strongly stained. Further see text. Pictures taken from Layer and Alber (1990)



238 invaginates to form a double-layered optic cup; the outer layer will soon turn into the  
 239 black RPE, the inner will differentiate into the retina. Similar to movements during  
 240 gastrulation, evagination and invagination of epithelial tissues lay the grounds for  
 241 eye-cup formation.

242 The neural tube presents some unique epithelial features that found later formation  
 243 of neuronal cell layers and networks during brain development (*lamination* or  
 244 *stratification* of brain regions). As cells heavily divide within the neural tube, indi-  
 245 vidual cell bodies shift back and forth between inside (apical) and the outside (basal)  
 246 side, while their radial processes remain anchored to both epithelial surfaces. Each  
 247 transversal (radial) position of a cell body correlates with a specific state within the  
 248 cell cycle. Due to these *interkinetic migrations*, the neuroepithelium is wider than  
 249 other unstratified epithelia. Under a microscope, it appears as if it would be strat-  
 250 ified; therefore, it is called *pseudostratified neuroepithelium*. After a dividing cell  
 251 undergoes its last mitosis, one of the emerging two daughter cells will continue to  
 252 divide, while the other cell, which has now become “postmitotic”, will migrate to  
 253 the outer surface and begin to differentiate, e.g. it will send out a neuronal process.  
 254 Consequently, a mantle layer forms on the outside of the tube, which marks the  
 255 beginning of cell layer formation (lamination and stratification; see Weikert et al.  
 256 1990). In different areas of the future brain, lamination will follow different schemes  
 257 (e.g. inside-out scheme in cortex, lamination of cerebellum or retina, etc., see below).  
 258 Now, future network formation will set in: neuritic outgrowth, path and target finding

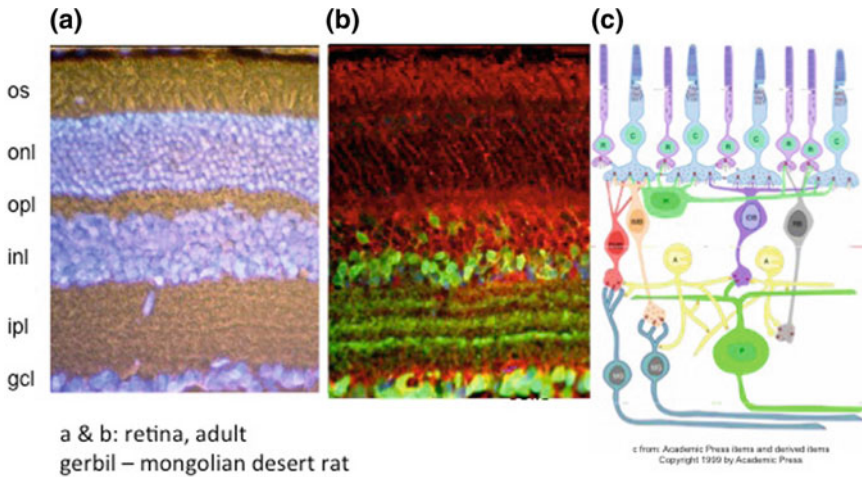
259 of neurites to/into distant brain areas (e.g., eye/retina to tectum), thereby establish-  
 260 ing connections between neurons of different layers and areas. Synapse formation,  
 261 refining of connections by their use, according to *fire-and-wire* mechanisms (see  
 262 Glossar), only are some of further emerging steps of a maturing complex brain (here  
 263 not further discussed).

264 Retinogenesis is comparable in all vertebrates, forming three nuclear (ONL, INL  
 265 and GCL, see Abbrev.) and two plexiform layers (OPL, and IPL); of course, in detail,  
 266 there are many species-specific differences not dealt with here (Fig. 4). In the forming  
 267 eye-cup, the inner layer widens, since interkinetic cell migrations are prominent in  
 268 the future retina. The first cells begin to differentiate at the inner border of the retina  
 269 (e.g. basal side). The retina differentiates gradually from central to the eye periphery  
 270 near the lens. As a rule, big cells are born before small cells, e.g. ganglion cells and  
 271 photoreceptors, then amacrine and horizontal cells, and finally bipolar and radial  
 272 glial cells (for different retinal cell types, see below and legend to Fig. 4). Vertebrate  
 273 photoreceptors, which are considered the most complex cells in nature, become  
 274 located at the outer interface next to the RPE. Their well-being during development  
 275 and adult functioning depends heavily on mutual relationships with the RPE. During  
 276 the first phase, photoreceptors in some species target directly on to ganglion cells, the  
 277 terminal retinal cell type which will send an axon to the brain. Only as the network  
 278 further matures, entrance (PRs) and exit cells (GCs) will become interconnected  
 279 through interneurons. As amacrine (“without process”), horizontal and bipolar cells  
 280 are born, they become located in an intermediate “inner” nuclear layer (INL). All  
 281 neurons become wired together at the level of two synaptic layers, called inner and  
 282 outer plexiform layers: first the inner plexiform layer (IPL) will emerge, followed  
 283 by the outer OPL. Precursors of radial glial cells (Müller cells) spanning through the  
 284 entire retina, stabilise the tissue during development (Reichenbach and Bringmann  
 285 2013). Being last to differentiate, they retain hidden features of stem cells, rendering  
 286 them with capacities for retinal homeostasis and regeneration.

287 In summary, formation of cellular spheres, their transformation into planar epithe-  
 288 lia, followed by tube formations are decisive steps leading to the development of  
 289 nervous systems, which—as is dealt with in section “[Decoding Self Organisation of  
 290 Brain Tissue Formation \(Genetic Backbone Versus Non-genetic Constraints\)](#)”—can  
 291 be conceived as developmental modules. Thereby, morphogenetic movements (e- and  
 292 invaginations), mechanic forces, inductive events between epithelia, polarity revers-  
 293 sal, widening of epithelia are driving forces of emergent laminated neural tissues,  
 294 like the retina.

## 295 **Self-organisation of Neural Tissues In Vitro from Stem Cells**

296 When development of a tissue or organ is being studied in its normal in vivo environ-  
 297 ment, effects due to cell-autonomous factors often cannot be clearly distinguished  
 298 from external factors. Thence, causes of self-organisation or emergence of tissues  
 299 remain ambiguous or occluded. One way to overcome this drawback relies on per-



**Fig. 4** Stratified (laminar) structure of vertebrate retinae, as represented by DAPI- (a), and Pax6-stained (green in b) retina sections of an adult Gerbil. Note three layers of cell bodies (ONL, INL, GCL in a), and synaptic sublaminae formed by Pax6<sup>+</sup> neurites from neurons in INL and GCL. c Network scheme of vertebrate retinae, consisting of five major neuronal cell types (photoreceptors, horizontal, bipolar, amacrine and ganglion cells), interconnected in OPL and IPL; radial Müller glial cell is not shown

300 forming tissue culture experiments. With standard procedures, cells isolated from a  
 301 specific tissue (e.g. embryonic, brain part, diseased organ, etc.) are raised in a tissue  
 302 culture dish, whereby the cell environment (atmosphere, media supplements, tem-  
 303 perature, etc.) can be fully controlled. Depending on chosen culture conditions, cells  
 304 will settle on the surface of the dish and proliferate. Cell division stops as soon as  
 305 a more or less densely populated cell carpet is formed, and cells begin to differen-  
 306 tiate. For instance, conditions of neurite outgrowth from embryonic neurons and of  
 307 synapse formation between them can be studied at ease. In such two-dimensional  
 308 (2D), or “flat” cell cultures, however, a cellular compound resembling a normal tissue  
 309 formation is never achieved (except for some clustering of cells, in particular so with  
 310 malignant cancer cells).

### 311 ***Emergence of Tissues In Vitro: Cell Reaggregation*** 312 ***and Sphere Formation***

313 As at the phylogenetic base of multicellular organisms (see above), formation of  
 314 cellular spheres from the fertilised egg represents the most basic module of each  
 315 individual development. In this respect, the postulate of a *recapitulation of phylogeny*  
 316 *in ontogeny* fits well (ascribed to Haeckel, but in fact, was already formulated by  
 317 Johann Friedrich Meckel 1821 and Fritz Müller 1864; see Jahn 2000, p. 373). Hence

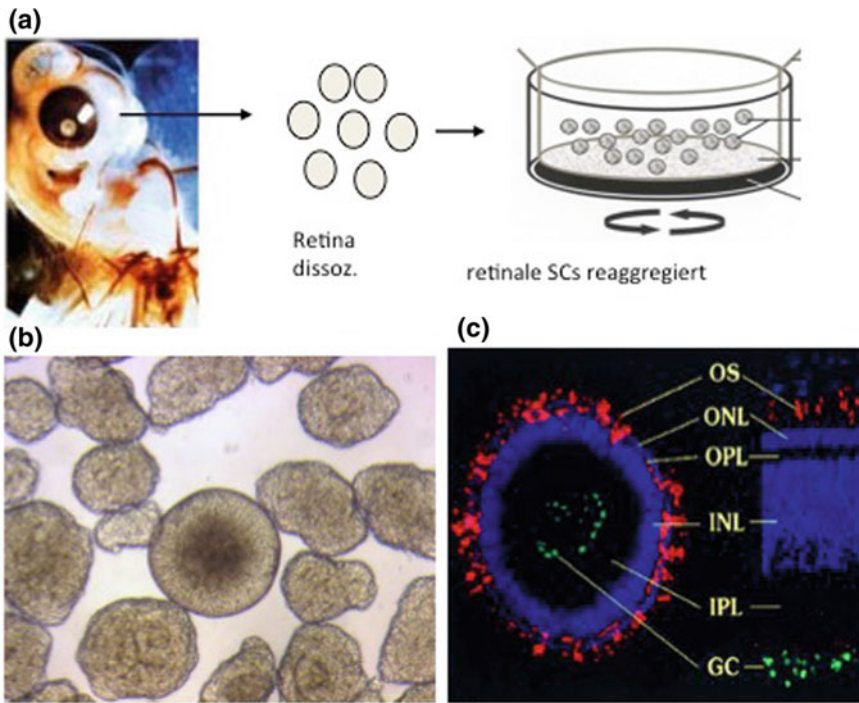
318 not surprisingly, 3D cell cultures provide a superior approach over 2D cultures to  
319 demonstrate and probe self-organisational cellular capacities to form distinct tissues.  
320 In applying 3D cell culture techniques, fully dissociated stem cells from embryonic  
321 organ anlagen, or from some other source are constantly kept under rotation during  
322 their culturing (suspension cultures). Thereby, dispersed cells quickly reaggregate  
323 and form more or less regular cellular spheres. Under defined and optimal in vitro  
324 conditions, they can form tissue-specific structures. Besides improved nutritional and  
325 oxygen supplementation of cells, a major advantage of using 3D over 2D cultures  
326 are enhanced interactions between aggregating cells, which are promoted through  
327 constant movements of dispersed cells.

### 328 ***Self-organisation of a Chicken Retina from Precursor Cells***

329 To form an organised “histotypic” tissue in vitro needs more than initial reaggrega-  
330 tion and sorting-out processes. To this end, the chicken embryonic retina had proven  
331 an ideal study model already in the forties, not only because the retina is easily reach-  
332 able within the eye, but also because retinal cells can be instantly distinguished from  
333 black cells of the retinal pigmented epithelium (RPE). Earlier work had revealed that  
334 RPE cells sort out in the centre of mixed retina/RPE reaggregates. Since RPE and  
335 retinal cells mutually influence each other (reviewed in Layer and Willbold 1994;  
336 Layer et al. 2010), in the early eighties we added RPE cells to retinal 3D cultures of  
337 the chick. Immediately, we could detect highly ordered spherical structures (Fig. 5;  
338 Vollmer et al. 1984). The histology of *stratospheroids* reveals an almost complete  
339 threefold retinal lamination, much comparable with the normal retinal lamination  
340 (Fig. 5c). This experiment demonstrated for the first time in history that formation  
341 of a nearly complete neuronal tissue can be experimentally reconstituted through  
342 self-organisation from stem cells in vitro (we called these structures *retinal strato-*  
343 *spheroids*). Before their formation can be analysed in more detail, a more basic type  
344 of retinal reaggregate, which we have called *rosetted spheroids*, needs to be explained  
345 (Figs. 5b and 6).

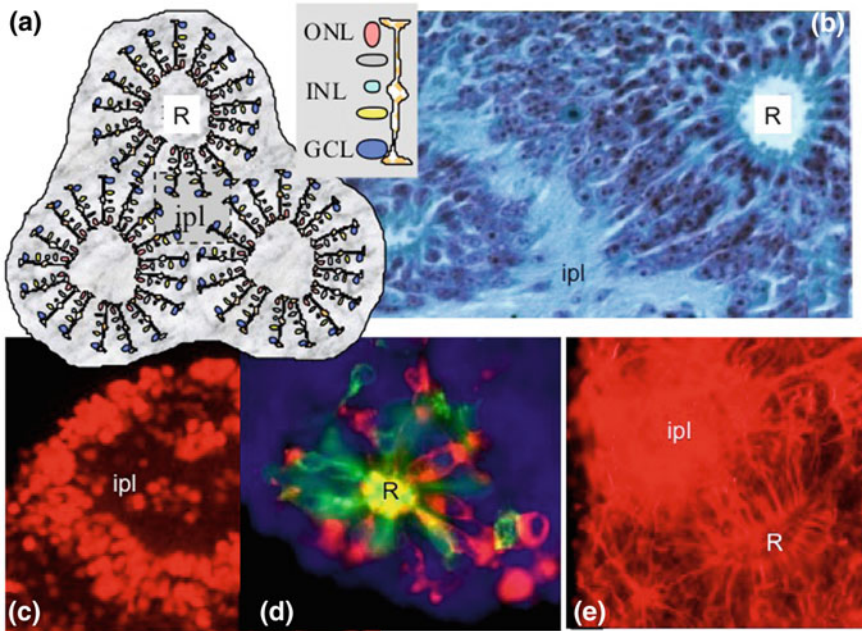
### 346 ***Spheres Within Spheres: Rosettes and Clonal Cell Columns*** 347 ***as Modules***

348 As cells have been sorted out within spheres, their initial random distribution has  
349 much diminished. As a next step of tissue organisation, sorting-out is directly asso-  
350 ciated with emergence of rosettes (note: with murine cells, different processes apply;  
351 see below “many roads to Rome”). Groups of few segregated cells form several  
352 small cell rosettes within a much larger spheroid (within hours for chick cells).  
353 Thereby, rosettes are dividing stem cells that have—in principle—formed a small



**Fig. 5** Production (a, b) and histologic structure of correctly stratified retinal spheroids (called *stratospheroids*, c; see one in centre of b) from retinal precursor stem cells of the chicken embryo. a The retina is isolated from the eye and dissociated into single cells. Cultured under constant rotation, cells reaggregate into more or less regular cellular spheres (a, b). The potato-shaped spheres in (b) are *rosetted spheroids* (see Fig. 6)

354 circular, but already epithelial compound (Fig. 6a, equiv. to spheres within a sphere;  
 355 cf. *Volvox*). Through cell division newborn mitotic cells are integrated laterally into  
 356 this rosette, which thereby enlarges; internally, a fluid-filled space inflates. At the  
 357 same time, clones of postmitotic cells are produced from precursor cells within the  
 358 rosette (Fig. 6a, b). These daughter cells are stacked upon each other to present  
 359 transversally oriented cell columns, which are stabilised by processes of radial glial  
 360 precursor cells. Columnar cell clones become neatly stacked one-by-one, thereby  
 361 surrounding each one rosette (see Fig. 6a, b). Cells within columns then differentiate  
 362 into various retinal cell types, e.g. photoreceptors, amacrine, horizontal and bipolar  
 363 cells. Therefore, by the two processes of *rosette enlargement* and *column formation*  
 364 (*lateralisation* and *radialisation* of rosettes), modules of laminar retinal tissues have  
 365 emerged within a larger spheroid.



**Fig. 6** Rosette (“R”) and cell-column formation in *rosetted retinal spheroids*. **a** Schematic of internal structure of rosetted spheroids; note that photoreceptors point inside the rosette; insert in middle represents one cell column, consisting of all major cell types as a basic construction module. **b** HE-stained section of a rosette; coherent cell columns are evident. **c** Shows Pax6-stained amacrine cells of INL and GCL; **d** rod (rot) and cone photoreceptors (green) are located in rosette; **e** radial glial cells emanate from rosette towards IPL-like space

### From Spherical Compounds to Planar Tissue: Fusion and Tissue Inversions

How can transformation from a *rosetted* into a *planar* arrangement of cells be achieved? At the outset of retinal spheroid formation, development of *stratospheroids* follows a similar path as that of *rosetted spheroids*. As their modular units (rosettes, see above) have reached a certain size, several of them will fuse. Often, these larger structures present an inverted laminar arrangement, e.g. future photoreceptors tend to be found internally and amacrine cells on the outside (note: in vitro ganglion cells quickly will die, due to absence of growth factors). Only after a complete reversal of the entire spheroid, a correctly layered retinal sphere, the retinal *stratospheroid* will be achieved. Thus, formation of rosettes and of cell columns represent spatial in vitro preconditions for further cell-layer differentiation, followed by the establishment of interconnecting networks (synaptic layers of IPL and OPL; not further discussed here). These different retinal spheroid models became the most instrumental to learn about self-organisational tissue formation

381 from isolated cells (see below in section “[Decoding Self Organisation of Brain Tissue](#)  
382 [Formation \(Genetic Backbone Versus Non-genetic Constraints\)](#)”).

### 383 ***Brains Emerging In Vitro—Brain Organoids Have a Great*** 384 ***Future***

385 Having been neglected for a long time, only with the recent rise of stem cell biology  
386 the advantages of three-dimensional suspension cultures were again fully recog-  
387 nised. In particular, the availability of human *induced pluripotent stem cells* (iPSCs),  
388 highly structured retinal spheroids derived from human iPSCs now can be produced,  
389 called *organoids* (Meyer et al. 2009; Eiraku et al. 2011; Lancaster et al. 2013; Zhong  
390 et al. 2014). Organoids from hiPSCs resembling human *gastrulae*, so-called *Gastru-*  
391 *loids*, are spectacular since they can form a *primitive streak* (area of gastrulation and  
392 onset of neurulation). After some authors considered these structures as “synthetic  
393 human embryos” (*sheefs*), a public dispute came up as to whether sheefs may become  
394 endowed with a human mind and consciousness. At any rate, organoids from retina  
395 or from other organs clearly have a great future in regenerative and transplantation  
396 medicine (Huch et al. 2017). The present hype on human organoids is based on two  
397 envisioned fields of applications: 1. human organoids could possibly be used for  
398 transplantation purposes to replace diseased organs, e.g. to cure blinded people. For  
399 some organs, e.g. skin, pancreas and liver, applications may become feasible soon,  
400 while for others there are still huge obstacles to be mastered (brain, retina, etc.). Suc-  
401 cessful first trials are ongoing. 2. At least as important, human organoids are already  
402 much applied as test models to analyse causes and possible cures of certain diseases.  
403 For instance, causes for congenital microcephaly disorders were analysed in cerebral  
404 organoids (Lancaster et al. 2013). Their applications will provide pharmacological  
405 and toxicological assay systems, which will help to drastically replace animal exper-  
406 iments. In fact, patient-specific (autologous) assays should become feasible, which  
407 would allow to test drugs and their side effects directly on a patient’s in vitro tis-  
408 sue. Thus, 3D stem cell cultures form the basis of modern *Tissue Engineering* (Huch  
409 et al. 2017). Its present progress would not have been possible without extensive basic  
410 analytical research on construction principles of spheroids from different embryonic  
411 tissues, which will be described below.

### 412 **Decoding Self Organisation of Brain Tissue Formation** 413 **(Genetic Backbone Versus Non-genetic Constraints)**

414 Section “[Modules Governing Normal Development](#)” has briefly outlined the devel-  
415 opment of animals by sequential processes from a fertilised egg to the cellular, then to  
416 histological (tissue) and organismic levels. Using retinal in vitro tissue regeneration as

417 an example, section “Self Organisation of Neural Tissues In Vitro from Stem Cells”  
 418 documented that a population of dispersed stem cells can find ways to rearrange,  
 419 multiply and eventually form a tissue that is highly comparable to its in vivo counter-  
 420 part, a result apparently favouring autonomy of retinal tissue formation. However,  
 421 particular details of in vitro retinal development were clearly dependent on specific  
 422 features of the provided culture conditions. Can these findings help to analytically  
 423 resolve to what extent emergent features contribute to brain development?

424 Each developmental step is regulated by underlying complex genetic-molecular  
 425 networks. At the same time, each completed step brings with it novel environmental  
 426 conditions, which in turn exert *constraints* on possible future (genetic) steps. On  
 427 all organisational levels, from molecular up to organismic (including most decisive  
 428 interactions with microbioms; see excellent review by McFall-Ngai et al. (2013), and  
 429 ecological), such constraints bring about situations of needs or even stress that neces-  
 430 sitate some reaction(s). Constraints upon genetic activities can be of purely physical  
 431 nature (e.g. traction, pressure, gravitation, shape, sorting-out, temperature and pH)  
 432 or chemical nature (cytokines, paracrine factors, hormones and nutritional status).  
 433 Constraints can also originate from restricted time windows, limited spatial options,  
 434 evolutionary relicts and more. Recent *EvoDevo* research defines these constraints as  
 435 heterochronic, heterotopic and phyletic, respectively (Gilbert 2016). The following  
 436 section attempts to decipher how much of retinal development can be assigned to  
 437 genetic determination (is predictable), and how much to non-genetic constraints (not  
 438 reducible and not predictable)?

### 439 ***Common Genetic Backbone In Vivo and In Vitro***

440 Progress of modern molecular biology brought tremendous novel insights into the  
 441 *nature versus nurture* dispute; whereby “nature” refers to the genetic backbone of  
 442 a system, while “nurture” points to non-genetic (environmental) actions upon it. In  
 443 fact, understanding of modular developments—as analysed above histologically—  
 444 has now achieved molecular and genetic bases. To mention just a few examples: a  
 445 spatial gradient of a fibroblast growth factor (FGF) and a counter-gradient formed  
 446 by retinoic acid together balance segmentation of the neural tube in rostral-caudal  
 447 dimension. Then, codes of *Hox (master) genes* define the identities of hindbrain *rhombomeres*,  
 448 as well as those of cell layers and cell types in several brain areas (example  
 449 eye development, see Meyer et al. 2009). Notably, the so-called *Wnt signalling path-*  
 450 *way* is one of the most relevant molecular regulators of early development. Briefly, a  
 451 cell-external *Wnt protein* binds to its cell-surface receptor. Receptor activation then  
 452 initiates an intracellular molecular cascade, eventually regulating the expression of  
 453 particular nuclear genes. This cascade is involved in a multitude of developmen-  
 454 tal processes (e.g. cell movements, axis specification and regionalisation of tissues),  
 455 including the organisation of planar epithelia. In case of retinal spheroids, the molecu-  
 456 lar basis of tissue reversal remained obscure for a long time; although we had detected  
 457 that it can be induced by RPE and also by Müller glial cells. Several groups including



458 ours searched for a lamina-inducing factor in retinal spheroids. Some growth factors,  
459 such as FGF, PEDF and GDNF (see Abbrev.) affected the ratio of rods to cones in  
460 both types of spheroids; however, they did not promote a laminar retinal structure.  
461 Eventually, a Japanese group found that Wnt-2b could induce the transformation of  
462 chicken rosetted into laminar stratospheroids (Nakagawa et al. 2003). Supporting this  
463 finding, supplementation of retinal cells from the Mongolian desert mouse (Gerbil)  
464 with Wnt-3b led to production of the first mammalian retinal *stratospheroids* (Rieke  
465 et al. 2018). Up to date, several reports have concluded that genetic networks that  
466 regulate retinal development in vitro and in vivo are basically comparable.

### 467 *Sequence of Gene Activations Is Preserved In Vitro*

468 Importantly, developmental genes have to be activated in the embryo at the right  
469 time at the right place. Accordingly, a spatiotemporally appropriate expression of  
470 the retinal genetic backbone is indispensable for normal retinal, as well as for retinal  
471 spheroid development. Indeed, proliferation and differentiation of cells occur in vitro  
472 on a comparable time scale as in vivo, eventually leading to a nearly complete lam-  
473 inar network, presenting all cell types including complex synaptic layers. Within  
474 spheroids, the various cell types differentiate quite normally, including expression  
475 of specific neuronal genes. As in vivo, in vitro formation of complex retinal connec-  
476 tions is established, whereby an inner plexiform layer (IPL) precedes that of an outer  
477 (OPL). For instance, IPL sublamination in vitro is detectable in 5–6 days-old rosetted  
478 spheroids, corresponding well to completion of lamination around E12 in the normal  
479 chick retina. Recent seminal work by David Gamm and colleagues (Madison, WI)  
480 has documented that genetic networks that rule normal eye development from the  
481 state of a neural tube epithelium until reaching a differentiated retina plus a black  
482 RPE compare quite well with in vitro retinal spheroids. Most interestingly, at the  
483 earliest onset of aggregate formation of embryonic stem cells (ESCs) or, of induced  
484 pluripotent stem cells (iPSCs), *Oct 4* and *Nanog* genes were expressed. These are  
485 genes which characterise the blastula/blastocyst stage, e.g. the earliest spherical mul-  
486 ticellular structure following fertilisation. About one week later, genes characteristic  
487 of formation of the eye field within the telencephalic brain vesicle, e.g. *Pax6*, *Rx* and  
488 a.o., and only a couple days later genes characteristic of retina or RPE differentiation  
489 became expressed (Meyer et al. 2009). These findings convey important information:  
490 irrespective of in vivo or in vitro environments, all development relies on activities  
491 of particular genetic networks (with a stress on *networks*, not on *genes*). The fact that  
492 most differentiation events occur on a similar time scale as in vivo strongly indicates  
493 that differentiation in vitro underlies similar, or even identical regulatory genetic  
494 networks. On one side, such networks can be considered as molecular modules (for  
495 instance, the Wnt signalling pathway); on the other side they are quite often flexible  
496 and/or mutually overlapping (whereby one particular gene can be involved in differ-  
497 ent modules performing different functions) or can even be exchanged by others. For  
498 instance, during eye-stalk formation the *Pax6* gene is involved in a different genetic

499 network than it is during later differentiation of amacrine cells, when this gene fulfils  
500 a completely different function within another network. Thus, the same gene can be  
501 involved in very different events. Often, it remains uncertain what gene is on top,  
502 which one is at the bottom of a molecular network, which gene acts above (master-  
503 gene), which protein “downstream”, which gene regulates which protein, and which  
504 protein acts back on which gene (feedback effects, cf. Fig. 1). But noticeably, gene  
505 activities are never non-essential, or dispensable.

### 506 *Non-genetic Constraints on Tissue Self Organisation*

507 Many features of retinal normal and in vitro development are strongly dependent  
508 on non-genetic constraints and self-organisational processes. Even at the subcellular  
509 level during the cell cycle, a high local chromatin order within cell nuclei is achieved  
510 through self-organisation (Cremer et al. 2014). Also, small chromosomal regions  
511 become autonomously arranged according to their chromatin class (van de Werken  
512 et al. 2017). Two examples for physical constraints during normal eye development  
513 are as follows: (i) as the eye stalk protrudes laterally (Fig. 2), it eventually will con-  
514 tact the outer surface ectoderm, which induces the lens placode, and also—due to  
515 expanding growth—pressures the neuroepithelium to bend inwards and thus form  
516 the two-layered optic cup; [note that in vitro produced “eye-cups” also bend inwards,  
517 which may be due to mechanic instability of an enlarging hollow sphere; cf. con-  
518 flicting interpretation by Eiraku et al. (2011)]. (ii) As a further consequence, the two  
519 tissue layers will now touch each other with their apical sides. The opposition of  
520 two apical epithelial surfaces provokes a rare situation, leading to mutual inductive  
521 events between future retina and RPE, which in turn will determine differentiation  
522 of both photoreceptors and RPE.

### 523 *A Brief History of Spheroids: Self-organisation in Spheres* 524 *by Sorting-Out*

525 A brief look into the long history of 3D cultures helps to get a better conception  
526 of self-organisation and emergence of tissues from individual cells, in particular, in  
527 understanding that tissues can be reconstituted by purely physical means in a culture  
528 dish. When kept in suspension, dispersed cells enjoy an additional spatial degree of  
529 freedom which allows them during and after their primary aggregation (also called  
530 *self assembly*) to find the best suitable locations within a growing cellular sphere.  
531 3D cell culturing has begun with “shaking cultures” (“Schüttelkulturen”) at the end  
532 of the nineteenth century by using sponges, sea urchins and newt larvae, swiftly  
533 unravelling basic concepts of cell biology. As an outstanding example, Henry van  
534 Peters Wilson dissociated sponges completely into isolated cells, transferred them

535 into glass dishes and shook them softly in salt water, to then follow how they grew  
 536 into cell clusters (“*reaggregates*”). To Wilson’s surprise, his reaggregates eventually  
 537 self-organised into complete viable sponges (Wilson 1905; Fig. 7). Even more sur-  
 538 prising, when he used cells from two different sponge species (which were marked  
 539 by colours), differently stained cells were either found within separate reaggregates,  
 540 or they were amassed in distinct areas within one reaggregate. If differently stained  
 541 cells originated from the same sponge species, but from different individual animals,  
 542 cells were distributed statistically within reaggregates. What became well-known  
 543 as phenomenon of “sorting-out” was—at the same token—the striking discovery  
 544 of cell-cell recognition (distinction of self versus non-self). Townes and Holtfreter  
 545 documented pronounced sorting-out of epidermal cells from neural plate cells of the  
 546 amphibian embryo, whereby their relative position within the aggregate resembled  
 547 that within the embryo (review in Layer and Willbold 1994). Moreover, an advanced  
 548 tissue-specific differentiation was indicated. Based on the same technique, regener-  
 549 ation of complete hydras from isolated cells became an outstanding animal model,  
 550 revealing significant genetic, molecular and histologic knowledge of stem cell and  
 551 regeneration biology of hydrozoa (Gierer 2012).

552 Malcolm Steinberg provided a theoretical explanation of the sorting-out phe-  
 553 nomenon, based solely on physicochemical properties of cells. Accordingly, differ-  
 554 ent cell types in a mixture were assumed to segregate as a consequence of differential  
 555 strength of intercellular adhesion (*differential adhesion hypothesis*). Indeed, cells in  
 556 a given tissue compound depend largely on their respective cell surfaces and extra-  
 557 cellular matrices. Accordingly, emergence of tissue properties primarily depends on  
 558 purely physicochemical conditions, and not so much on *one* particular gene. Such  
 559 short distance forces will mediate cell cohesiveness (adhesion), optimal integration  
 560 of cells into a given space, growth directions of their processes, etc. It is of note  
 561 that individual contributions to the whole emergent process will be numerous (e.g.,  
 562 including mechanical forces; see Franze 2013); they cannot be deciphered in detail  
 563 or estimated by precise numbers. The effects even can turn out anti-intuitively. For  
 564 instance, minute irregularities of similar cell shapes can have positive pattern-forming  
 565 power (Lenz and Witten 2017). Together with forces acting on distance (e.g. diffusible  
 566 growth factors, cytokines), attraction and retraction between cells, cell migration and  
 567 final placement all contribute to tissue self organisation. *In summa*, combined physi-  
 568 cal forces can direct primary steps of tissue formation in an artificial “in vitro space”.

## 569 ***Emergent Borders Are Decisive to Structure Tissues*** 570 ***and Organs***

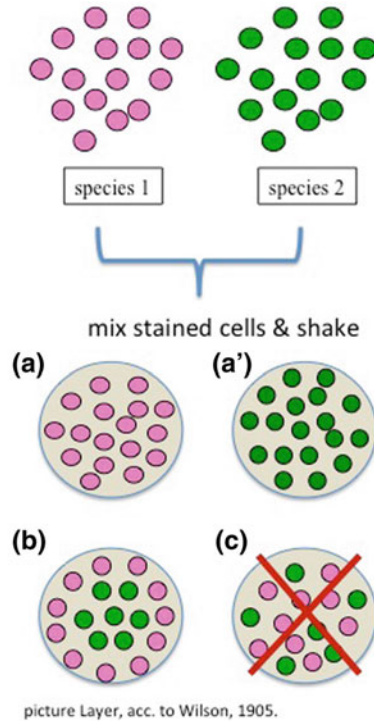
571 In a culture dish, separation of similar cells can be directly followed under a micro-  
 572 scope (provided that they are somehow labelled). Their segregation leads to “islands”,  
 573 i.e. to regions of similar cells within a larger sphere. However, the process of physical  
 574 sorting-out is not as obvious during normal development of tissues, yet in principle



Henry van Peters Wilson  
Marine Lab John Hopkins U.  
Bild: <http://beaufortartist.blogspot.de/2008/03/origins-of-marine-lab.html>

**Reaggregation experiment of dissociated sponges revealed:**

- „sorting out“ phenomenon
- recognition of self/non-self



**Fig. 7** Discovery of cell communication and sorting out in reaggregation experiments of dispersed sponges (Wilson 1905). After reaggregation of dispersed cells from two different sponge species, cells from the two species were found either in different aggregates (a), or within segregated areas of the same aggregate (b), but were not distributed randomly (c)

575 it also takes place. In fact, it represents a basic process during formation of morpho-  
 576 logic/functional subunits. For instance, during subdivision of the early neural tube a  
 577 series of *rhombomeres* of the early hindbrain become separated by strict (structural)  
 578 border lines, which can be visualised by appropriate marker molecules (Lumsden and  
 579 Keynes 1989; Puelles 2001). At onset, some of these markers emerge faintly and are  
 580 spread quite broadly, to then concentrate more and more towards a focussed border  
 581 (Layer and Alber 1990; cf. Fig. 3). Eventually, mechanically forced constrictions  
 582 coincident with these borders further strengthen separation of brain subareas. That  
 583 thereby sorting-out is involved has been again demonstrated in vitro by mixing and  
 584 sorting of cells from individual rhombomeres (Götz et al. 1996). Hence, emergence  
 585 of tissue borders is supported by physical (incl. mechanical, cf. also Franze 2013)  
 586 means, and without doubt is indispensable for normal embryonic development.

## 587 *Many Roads to Rome—Plasticity of Tissue Formation*

588 The formation of several distinct types of chicken retinal spheroids highly depends  
 589 on environmental factors. Retinal spheroids in their most basic form are characterised  
 590 by internal rosettes and plexiform synaptic regions (*rosetted spheroids*; Fig. 6; their  
 591 modular structure). Similar rosetted spheroids could be produced from embryonic  
 592 mouse and rat retinae (e.g., by C. Barnstable, P. Linser, T. Reh; see Layer and Willbold  
 593 1994). However, it was most stunning that when retinal spheroids were produced from  
 594 the Mongolian desert mouse (gerbil), they were not initiated from rosettes, but tissue  
 595 organisation began at the level of formation of an inner plexiform layer (IPL; Bytyqi  
 596 et al. 2007). Similarly, retinal spheroids from *Brachydanio rerio* (zebrafish) achieve a  
 597 laminar structure without being initiated much by rosettes (Eldred et al. 2017). These  
 598 findings are highly relevant in terms of retinal tissue self-organisation: albeit the  
 599 basic laminar structure of avian, rodent and fish retinae is very similar (three-layered  
 600 structure of all vertebrate retinae, see above), to rebuild them from dissociated cells  
 601 can follow very different paths (“many roads lead to Rome”). Apparently, dispersed  
 602 cells from different vertebrate origins in a culture dish seem to be determined by an  
 603 inherent intention of “we are going to build a vertebrate retina” somehow, clearly  
 604 indicative of a “meta-level” of information above the genetic code that is driving and  
 605 safeguarding development. The physical nature of this “blueprint” remains widely  
 606 unclear. At any rate, what becomes instantly clear when working with 3D cultures  
 607 is that in vitro tissue formation depends to a large extent on culture conditions, e.g.  
 608 on paracrine factors, on species and many more. Hence, not only particular genes  
 609 drive formation of a layered neural network tissue, each one performing one specific  
 610 function (nature versus nurture discussion; indeterminate versus cell-autonomous  
 611 development), but non-genetic constraints are as decisive.

## 612 **Conclusions**

613 The idiom of “something comes out of something”—well exemplifying emergence  
 614 thought—is represented by no other research field more directly than by organismic  
 615 development (saying this is nearly a tautology). At a first sight, however, normal  
 616 development appears to follow a determinate one-way road, whereby typically not  
 617 individual genes, but genetic networks regulate what will happen at a certain place and  
 618 a certain time in a growing organism. At each given spatio-temporal point in develop-  
 619 ment, distinct *environmental situations* will prevail to cause novel constraints on the  
 620 genetic backbone. However, as revealed by retinal spheroids, development depends  
 621 much on environmental conditions. The sequel of any particular “space-time point”  
 622 under in vivo conditions is only predictable because *the respective constraints them-*  
 623 *selves are reliably reproduced* during each individual course of normal development.  
 624 When released from constraints during in vitro development, then development of a

625 system (tissue, organ, organism) is liberated from its determinative power. In sum-  
626 mary, we conclude that...

- 627 • Normal development of organisms (in vivo DoO) is governed by ground-laying  
628 developmental genes.
- 629 • In vivo DoO appears as if it were determinate, since the result is predictable.
- 630 • However, when analysed under in vitro conditions, emergent principles of DoO  
631 are readily revealed, rendering DoO as highly regulative and non-predictable.
- 632 • During DoO not individual genes, but rather gene–protein networks represent  
633 molecular toolboxes which can be used in changing combinations.
- 634 • DoO can resort to such tools for regulating formation of recurring modules, such  
635 as cellular spheres, planar epithelia, constricted tissue borders and more.
- 636 • In vitro analyses of developmental modules of a tissue, more specifically, of their  
637 genetic backbone and environmental constraints (as exemplified here for retina)  
638 are essential to understand normal as well as aberrant (diseased) development of  
639 a tissue (promoting applicability in stem cell-based regenerative medicine).
- 640 • Therefore, earlier prevailing deterministic positions in embryology have been  
641 much restricted by insights of modern developmental biology.

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647 our spheroid research with great stamina and enthusiasm. I thank Lynda Wright (Madison, WI)  
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649 Wegner is greatly acknowledged.

## 650 Glossary and Abbreviations

- 651 • Blastocoel—fluid-filled hollow space of blastula;
- 652 • Blastula—cell ball (sphere) formed through cleavage divisions;
- 653 • Cleavage—rapid cell divisions after fertilisation;
- 654 • Coelom—fluid-filled space surrounded by mesodermal epithelium;
- 655 • Constraints—limitations of development through environmental (non-genetic)  
656 conditions;
- 657 • Differential adhesion hypothesis, see *sorting-out*;
- 658 • Ectoderm—outer germ layer;
- 659 • Endothelium—epithelium forming blood vessels;
- 660 • Endoderm (entoderm)—inner germ layer;
- 661 • Epithelium—planar tissue covering internal and external surfaces, e.g., skin, gut,  
662 etc.;
- 663 • *fire-and-wire* mechanism—refinement and stabilisation of neuronal connectivities  
664 by their repeated usage;

- 665 ● Gastrulation—process by which three germ layers are established in animals;
- 666 ● Growth factors (cytokines):
- 667 – FGF, fibroblast growth factor;
- 668 – PEDF, pigment epithelium-derived factor;
- 669 – GDNF, glial derived neurotrophic factor;
- 670 ● Lamination, see *stratification*;
- 671 ● Mesoderm—middle germ layer in between ecto- and entoderm;
- 672 ● Morphogenetic movements—classification of cell migratory mechanisms, e.g.,
- 673 during development, such as e- and invagination, ingression, epiboly, etc.;
- 674 ● Müller glial cell—radial glial cell of retina, spanning its entire width;
- 675 ● Neural crest—cell population in most vertebrates emigrating dorsally from closing
- 676 neural tube, which will found peripheral nervous system (and more);
- 677 ● Neuromeres—early regional subdivisions of frontal neural tube;
- 678 ● Ontogeny—course/process of development of an individual organism;
- 679 ● Organising centre—cells or tissue parts, from which particular steps of develop-
- 680 ment are initiated;
- 681 ● Organoid—from stem cells in vitro regenerated organ-like tissue;
- 682 ● Phylogeny—course/process of appearance of all phyla (stems) of organisms (phy-
- 683 logenetic tree) over the entire evolutionary period;
- 684 ● Primitive streak—tissue structure in developing birds and mammals indicating the
- 685 onset/course of gastrulation;
- 686 ● Pseudostratified neuroepithelium—monolayered cellular status of neural tube,
- 687 which due to its width appears to be stratified, but it is not;
- 688 ● Retinal cell layers:
- 689 – GCL, ganglion cell layer;
- 690 – INL, ONL, inner and outer nuclear layer;
- 691 – IPL, OPL, inner and outer plexiform layer;
- 692 ● Retinal cell types:
- 693 – AC, amacrine cell—large axon-less cell positioned at inner border of INL, con-
- 694 necting BPs and GCs in IPL;
- 695 – BP, bipolar cell—interneuron in INL, connecting PRs and HCs in OPL, and
- 696 with ACs and GCs in IPL;
- 697 – HC, horizontal cell—large cell positioned at outer border of INL, connecting
- 698 PRs with BPs;
- 699 – PR, photoreceptor cell; comes either as rod or several types of cones;
- 700 ● Rhombomeres—segmental subdivisions of hindbrain;
- 701 ● Reaggregate—ball (sphere) of adhering cells formed by reaggregation from dis-
- 702 persed cells;
- 703 ● RPE—retinal pigmented epithelium;
- 704 ● Sheefs—“synthetic human entities with embryo-like features”: a human organoid
- 705 made from hiPSCs which presents a primitive streak (see, *gastrulation*);

- 706 ● Sorting-out—process by which different reaggregating cells kept under rotation/in
- 707 motion associate with similar, and separate from different partner cells; see, dif-
- 708 ferential adhesion hypothesis;
- 709 ● Spheroids, reaggregated from embryonic chicken retinae,
- 710 – rosetted retinal spheroid—reaggregated cell sphere from dispersed embryonic
- 711 chicken retinal cells, spatially organised by internal cell rosettes;
- 712 – stratospheroid—dto., achieving a (nearly) complete retina-specific lamination
- 713 (retinal organoid);
- 714 ● Stem cells—cell with inherent proliferative ability, which in vitro can be amplified
- 715 and then directed into one or more distinct differentiated cell type(s);
- 716 – ESCs—embryonic stem cell;
- 717 – iPSCs—induced pluripotent stem cell;
- 718 – hiPSCs—human iPSCs;
- 719 ● Stratification—arrangement of distinct cell types within cell layers, e.g., in brain
- 720 and retina;
- 721 ● Tissue Engineering—artificial (in vitro) reconstruction of tissues from stem cells
- 722 applying engineering technologies;
- 723 ● Wnt protein—cell-external ligand protein for the Wnt signalling pathway, a major
- 724 communication pathway between cells during development and disease (*Wnt*
- 725 stands for “wingless-related integration site”).

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## Chapter 7

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