# In defence of fanaticism\*

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#### Abstract

Which is better: a guarantee of a modest amount of moral value, or a tiny probability of arbitrarily large value? To prefer the latter seems fanatical. But, as I argue, avoiding such fanaticism brings severe problems. To do so, we must: 1) decline intuitively attractive trade-offs; 2) rank structurally-identical pairs of lotteries inconsistently, or else admit absurd sensitivity to tiny probability differences; 3) have rankings depend on remote, unaffected events (including events in ancient Egypt); and often 4) neglect to rank lotteries as we already know we would if we learned more. Compared to these implications, fanaticism is highly plausible.

#### 1 Introduction

Suppose you face the following moral decision.

#### Dyson's Wager

You have \$2,000 to use for charitable purposes. You can donate it to either of two charities.

The first charity distributes bednets in low-income countries in which malaria is endemic.<sup>1</sup> With an additional \$2,000 in their budget this year, they would prevent one additional death from malaria. You are certain of this.

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<sup>&</sup>lt;sup>1</sup>I have in mind the Against Malaria Foundation. As of 2019, the charity evaluator GiveWell estimated that the Against Malaria Foundation prevents the death of an additional child under the age of 5 for, on average, every US\$3,710 donated—see GiveWell "GiveWell's Cost-Effectiveness Analyses," (2020) available at https://www.givewell.org/how-we-work/our-criteria/cost-effectiveness/cost-effectiveness-models (accessed April 2020). Including other health benefits, a total benefit plausibly equivalent to that is produced for, on average, every US\$1,690 donated. Of course, in reality, a donor can never be *certain* that their donation will result in an additional life saved—my assumption of certainty is for the sake of simplicity.

The second charity does speculative research into how to do computations using 'positronium'—a form of matter which will be ubiquitous in the far future of our universe. If our universe has the right structure (which it probably does not), then in the distant future we may be able to use positronium to instantiate all of the operations of human minds living blissful lives, and thereby allow morally valuable life to survive indefinitely long into the future.<sup>23</sup> From your perspective as a good epistemic agent, there is some tiny, non-zero probability that, with (and only with) your donation, this research would discover a method for stable positronium computation and would be used to bring *infinitely many* blissful lives into existence.<sup>4</sup>

What ought you do, morally speaking? Which is the (instrumentally) better option: saving a life with certainty, or generating that tiny probability of bringing about infinitely many future lives?

A common view in normative decision theory and the ethics of risk—expected value theory—says that it's better to donate to the speculative research. Why? Each option has some probability of bringing about each of several outcomes, and each of those outcomes has some value, specified by our moral theory. Expected value theory says that one option is better than another if and only if it has the greater probability-weighted sum of value—the greater expected value.<sup>5</sup> Here, donating to the speculative research has greater expected value than the alternative (at least on certain theories of value—more on those in a moment). So donating to the

<sup>&</sup>lt;sup>2</sup>Positronium was first proposed as a medium for computation and information storage by Freeman Dyson, "Life in the Universe," unpublished Darwin Lecture, Darwin College, Cambridge (1981). This follows Freeman Dyson, "Time Without End: Physics and Biology in an Open Universe," Reviews of Modern Physics 51.3 (1979), wherein Dyson argues that an infinite duration of computation could be performed with finite energy if the computation hibernates intermittently, and if the universe has a particular structure. An alternative method that may work if the universe has a different structure is suggested by Frank Tipler, "Cosmological Limits on Computation," International Journal of Theoretical Physics 25.6 (1986). But Anders Sandberg, Grand Futures (unpublished manuscript, 2020) argues that both Dyson and Tipler's proposals are unlikely to work, as our universe appears to match neither structure. Nonetheless, it is still epistemically possible that the universe has the right structure for Dyson's proposal. And this possibility is sufficient for my purposes.

<sup>&</sup>lt;sup>3</sup>Would such artificially-instantiated lives hold the same moral value as lives led by flesh-and-blood humans? I assume that they would, if properly implemented. For arguments supporting this view, see David Chalmers, "The Singularity: A Philosophical Analysis," *Journal of Consciousness Studies* 17.9 (2010):7-65. And note that, for the purposes of the example, all that's needed is that it is epistemically *possible* that the lives of such simulations hold similar moral value.

<sup>&</sup>lt;sup>4</sup>I have deliberately chosen a case involving many separate lives rather than a single person's life containing infinite value. Why? You might think that one individual's life can contribute only some bounded amount of value to the value of the world as a whole—you might prefer for 100 people to each obtain some finite value than for one person to obtain infinite value. But whether this verdict is correct is orthogonal to the issue at hand, so I'll focus on large amounts of value spread over many people.

<sup>&</sup>lt;sup>5</sup>Note that expected *value* is distinct from the frequently-used notion of expected *utility*, and expected value theory distinct from expected *utility* theory. Under expected utility theory, *utility* is given by some (indeed, *any*) increasing function of value—perhaps a concave function, such that additional value contributes less and less additional utility. The utility of an outcome may even be bounded, such that arbitrarily large amounts of

speculative research is the better option, or so says expected value theory.

This verdict is counterintuitive to many. All the more counterintuitive is that it can still be better to donate to the speculative research no matter how low the probability is (short of being  $0)^6$ , since there are so many blissful lives at stake. For instance, the odds of your donation actually making the research succeed could be 1 in  $10^{100}$ . ( $10^{100}$  is greater than the number of atoms in the observable universe). The chance that the research yields nothing at all would be 99.99... percent, with another 96 nines after that. And yet expected value theory says that it is better to take the bet, despite it being almost quaranteed that it will actually turn out worse than the alternative; despite the fact that you will almost certainly have let a person die for no actual benefit. Surely not, says my own intuition. On top of that, we might suppose that \$2,000 spent on preventing malaria would save more than one life. Suppose it would save a billion lives, or produce any enormous finite value. Expected value theory still says that it's better to fund the speculative research—that it would be better to sacrifice those billion or more lives for a minuscule chance at the infinitely many blissful lives (and likewise if the number of blissful lives were finite but sufficiently large). But endorsing that verdict, regardless of how low the probability of success and how high the cost, seems fanatical. Likewise, even without infinite value at stake, it would also seem fanatical to judge a lottery with sufficiently tiny probability of arbitrarily high *finite* value as better than getting some modest value with certainty.

Fanatical verdicts depend on more than just our theory of instrumental rationality, expected value theory. They also depend on our theory of (moral) value, or *axiology*. Various plausible axiologies, in conjunction with expected value theory, deliver that fanatical conclusion. Foremost among them is *totalism*: the view that the ranking of outcomes is determined by the total aggregate of value of each outcome; and that this total value increases linearly, without bound, with the sum of value in all lives that ever exist. By totalism, by increasing the number of blissful lives in an outcome, we can increase the outcome's value without bound. If its number of blissful lives is infinite, as for the lucky outcome in Dyson's Wager, that outcome is indeed *much* better than the outcome in which only one life is saved. And no matter how low the probability of those many blissful lives, the expected total value of the speculative research will be greater than that of malaria prevention. (Likewise, even if there are only finitely many blissful lives at stake, for any tiny probability there can be sufficiently many of them to make the risky gam-

additional value contribute arbitrarily little additional utility. Where expected value theory says that a lottery is better the higher its expected *value*, expected utility theory says that it is better the higher its expected *utility*. And, if the utility function is bounded, then the expected utilities of lotteries will be bounded as well. As a result, expected utility theory can avoid the fanatical verdict described here. But, if it does, it faces the objections raised in Sections 4, 5, and 6. Where relevant, I will indicate in notes how the argument applies to expected utility theory.

<sup>&</sup>lt;sup>6</sup>I'll assume throughout that probability takes on only real values from 0 to 1.

ble better than saving a life with certainty.) But this problem isn't unique to totalism. When combined with expected value theory, analogous cases arise under many competing axiologies, including: averageism, critical-level views, prioritarianism, pure egalitarianism, maximin, maximax, and narrow person-affecting views. Each of these axiologies allows possible outcomes to be unboundedly valuable, so it's easy enough to construct cases like Dyson's Wager for each. And some—including critical-level views and prioritarianism—already deliver the fanatical result in the original Dyson's Wager. In this paper, I'll focus on totalism, both to streamline the discussion and because it seems to me far more plausible than the others. But suffice it to say that almost any plausible axiology can deliver fanatical verdicts when combined with expected value theory.

In general, we will sometimes be led to fanatical-seeming verdicts if we endorse *Fanaticism*. Inversely, to succeed in avoiding fanatical verdicts, our theory of instrumental rationality and our axiology must not imply Fanaticism.

Fanaticism: For any tiny (finite) probability  $\epsilon > 0$ , and for any finite value v, there is some large enough finite V such that  $L_{\text{risky}}$  is better than  $L_{\text{safe}}$  (no matter which scale those cardinal values are represented on).

 $L_{\text{risky}}$ : value V with probability  $\epsilon$ ; value 0 otherwise

 $L_{\text{safe}}$ : value v with probability 1

The comparison of lotteries  $L_{\text{risky}}$  and  $L_{\text{safe}}$  resembles Dyson's Wager: one option gives a slim chance of a potentially astronomical value V; the other a certainty of some modest value v. But, here, V need not be infinite, in case you think infinite value impossible. With some minor

<sup>&</sup>lt;sup>7</sup>For instance, take (standard, welfarist) averageism. A population containing at least one blissful life of infinite (or arbitrarily long) duration will have average value greater than any finite value we choose. And so, to generate an averageist analogue of Dyson's Wager, we can substitute an outcome containing this population for the outcome of arbitrarily many lives in the original wager.

<sup>&</sup>lt;sup>8</sup>Each of the other axiologies listed falls prey to devastating objections. See: Gustaf Arrhenius, Future Generations: A Challenge for Moral Theory (Uppsala: University Press, 2000); Michael Huemer, "In Defence of Repugnance," Mind 117.468 (2008): 899-933; Hilary Greaves, "Population Axiology," Philosophy Compass 12.11 (2017); and Chapters 17-19 of Derek Parfit, Reasons and Persons (Oxford: Oxford University Press, 1984).

<sup>&</sup>lt;sup>9</sup>This use of the term 'fanaticism' seems to originate with Nick Bostrom, "Infinite Ethics," Analysis and Metaphysics 10 (2011) and Chapter 6 of Nicholas Beckstead, On the Overwhelming Importance of Shaping the Far Future, PhD dissertation (Rutgers University, 2013). (Beckstead uses 'Fanaticism' for a similar claim specific to infinite values and instead uses 'Recklessness' for a claim closer to my usage.) My formulation is slightly stronger than each of theirs but also, unlike theirs, applicable even if an outcome's total value cannot be infinite. For discussion of whether outcomes with infinite moral value are possible and how we might coherently compare them, see: Bostrom, "Infinite Ethics"; Amanda Askell, Pareto Principles in Infinite Ethics, PhD dissertation (New York University, 2019); Hayden Wilkinson, "Infinite Aggregation: Expanded Addition," Philosophical Studies 178.6 (2021): 1917-49; Wilkinson, Infinite Aggregation, PhD dissertation (Australian National University, 2021); and Wilkinson, "Chaos, add infinitum," (unpublished manuscript, 2021).

assumptions (see Section 3), this statement of Fanaticism implies the fanatical verdict in Dyson's Wager. Likewise, to reject the fanatical verdict in Dyson's Wager, we must reject Fanaticism.

Note that Fanaticism is quite a strong claim. As defined here, it requires that the ranking of  $L_{\text{risky}}$  above  $L_{\text{safe}}$  holds not only when the number of lives in each outcome are proportional to V, v, and 0. It must hold whenever outcomes can be cardinally represented with those values. Recall that cardinal representations of value are unique only up to positive affine transformations—two outcomes represented by 0 and v on one scale could instead be represented by  $0 \times a + b$  and  $v \times a + b$ , for any positive a and real b. Conversely, an outcome that contains many happy lives might still be represented cardinally with value 0. So Fanaticism doesn't apply only to risky lotteries in which some possible outcome contains zero valuable lives, or zero value on net. It also applies to lotteries that can be represented as  $L_{\text{risky}}$  and  $L_{\text{safe}}$  even though every one of their outcomes contains enormous numbers of blissful lives, or enormous amounts of suffering, as long the differences in value between those outcomes are proportional to 0, v, and V.

Given how strong and how counterintuitive Fanaticism is, you might think it easy to reject. And many philosophers and other thinkers have done so, rejecting either Fanaticism or similar principles. For instance, Nick Bostrom<sup>10</sup> presents a compelling reductio ad absurdum for fanatical verdicts in the prudential context. Meanwhile, Nicholas Beckstead and Amanda Askell both treat even a weak form of Fanaticism as a reductio for moral theories.<sup>11</sup> Others propose theories of rationality with the expressed purpose of avoiding fanatical verdicts. For instance, Nick Smith<sup>12</sup>, Bradley Monton, and various historical probability theorists propose that we simply ignore outcomes with small enough probabilities.<sup>13</sup> Others, including many economists, insist that we maximise not expected moral value but instead the expected utility of outcomes (given by some increasing function of an outcome's value), and that the correct utility function is bounded above so as to keep the expectation of utility bounded as well.<sup>14</sup>

<sup>&</sup>lt;sup>10</sup>Nick Bostrom, "Pascal's Mugging," Analysis 69.3 (2009).

<sup>&</sup>lt;sup>11</sup>Beckstead, On the Overwhelming Importance of Shaping the Far Future; Askell, Pareto Principles in Infinite Ethics.

<sup>&</sup>lt;sup>12</sup>Smith's proposal can be interpreted in two different ways, only one of which rules out Fanaticism. By the other interpretation (which Smith prefers) we still ignore events with probability below some threshold but, in any lotteries over finitely many different outcomes, that threshold is set below the probability of the least probable outcome. This is compatible with Fanaticism while still avoiding the problems with which Smith is more concerned: counterintuitive verdicts in the St Petersburg and Pasadena games.

<sup>&</sup>lt;sup>13</sup> Jean le Rond d'Alembert, Opuscules Mathématiques ou Mémoires sur différens sujets de Géométrie, de Méchanique, d'Optique, d'Astronomie vol. 2 (Paris: David, 1761), Georges-Louis Leclerc de Buffon, "Essai d'Arithmétique Morale," in Supplement a l'Histoire Naturelle vol. 4 (Paris: L'Imprimerie Royale, 1777), Nicholas J.J. Smith, "Is evaluative compositionality a requirement of rationality?" Mind 123.490 (2014): 457-502; Bradley Monton, "How to avoid maximising expected utility," Philosophers' Imprint 19.18 (2019).

<sup>&</sup>lt;sup>14</sup>This is advocated, for example, on page 64 of Kenneth Arrow, *Essays in the Theory of Risk-Bearing* (Chicago: Markhanm, 1971).

Meanwhile, there are few defenders of Fanaticism or of fanatical verdicts in cases similar to Dyson's Wager. Notable examples include Blaise Pascal himself, Derek Parfit, and Alan Hájek.<sup>15</sup> And even they most often accept such verdicts only because expected value theory demands it, not because they see good independent justification for them. I suspect that even many die-hard adherents of expected value theory are uncomfortable with the fanatical verdicts it supplies.

This situation is unfortunate. There are compelling arguments in favour of Fanaticism that do not rely on expected value theory, so we have good reason to accept it *even if* we reject that particular theory. If we do not, we face disturbing implications.

The paper proceeds as follows. Section 2 addresses some common motivations for rejecting Fanaticism. Section 3 introduces the formal framework necessary for what follows. Sections 4 through 6 present arguments in favour of Fanaticism, each premised on weaker claims than expected value theory, and each (by my reckoning) more compelling than the last. The first is a basic continuum argument. The second is that, to deny Fanaticism, we must accept either what I'll call 'scale dependence' or an absurd sensitivity to arbitrarily small differences between lotteries. And the final nails in the coffin are an updated version of Parfit's classic Egyptology Objection and what I'll call the *Indology Objection*, by which those who deny Fanaticism must make judgements which appear deeply irrational. Section 7 is the conclusion.

### 2 The case against fanaticism

You might think that Fanaticism is simply absurd, and nothing more need be said about it. I have heard this response often. So, before presenting arguments in favour of Fanaticism, let us address the most common and compelling arguments against it: the argument from intuition; the argument from tolerance; and the argument from mortality. Although each argument seems initially plausible, I don't think any of them turn out to be compelling. And even if you find them more compelling than I do, they certainly do not rule decisively against Fanaticism; the arguments in favour are still worth hearing.

<sup>&</sup>lt;sup>15</sup>Blaise Pascal, Pensées (Paris: Dezobry et E. Magdeleine, 1852 [1669]); Derek Parfit, Reasons and Persons (Oxford: Oxford University Press, 1984): §3.27; Alan Hájek, "Unexpected Expectations," Mind 123.490 (2014): 533-67.

<sup>&</sup>lt;sup>16</sup>There are others which I won't address here, both for brevity and because I find them even less compelling. For a sample of such arguments that can be applied against Fanaticism, see: Buffon, "Essai d'Arithmétique Morale"; Smith, "Is Evaluative Compositionality a Requirement of Rationality?"; Eric Schwitzgebel, "1% Skepticism," Noûs 51.2 (2017): 271-90; and Monton, "How to Avoid Maximising Expected Utility". For some compelling responses, see: Hájek, "Unexpected Expectations"; Yoaav Isaacs, "Probabilities Cannot be Rationally Neglected," Mind 125.499 (2016: 759-62; Monton, "How to Avoid Maximising Expected Utility"; and Hájek, "Most Counterfactuals are False," (unpublished manuscript, 2021).

#### 2.1 The argument from intuition

The one objection to Fanaticism that I suspect is the most persuasive of all, despite its extreme simplicity, is this: Fanaticism is just so frightfully counterintuitive that it must be false.<sup>17</sup> It seems absurd that I should give up a certainty of a good payoff for a *tiny* chance of something better, no matter how tiny the chance.

But the psychology literature tells us that our initial intuitions about matters of probability are often misguided. We frequently commit the Conjunction Fallacy, the Gambler's Fallacy, the Hot Hand Fallacy, and the Base Rate Fallacy. Perhaps worst of all, we are prone to simply ignoring facts of probability—many of us choose based entirely on how we anticipate things will actually turn out, with no regard for risks of loss or chances of gain. Even when we do account for probability, we often radically overestimate some probabilities due to availability bias<sup>20</sup>, and underestimate others out of indefensible optimism. <sup>21</sup>

And our intuitions about decision-making in the face of low-probability events are no better. For instance, jurors are just as likely to convict a defendant based primarily on fingerprint evidence if that evidence has probability 1 in 100 of being a false positive as if it were 1 in 1,000, or even 1 in 1 million.<sup>22</sup> In another context, when presented with a medical operation which posed a 1% chance of permanent harm, many respondents considered it no worse than an operation with no risk at all.<sup>23</sup> In yet another context, subjects were unwilling to pay any

 $<sup>^{17} {\</sup>rm This}$  appears to be the reasoning behind its rejection in Bostrom, "Infinite Ethics", Beckstead, On the Overwhelming Importance of Shaping the Far Future, and Christian Tarsney, "Exceeding Expectations: Stochastic Dominance as a General Decision Theory," (unpublished manuscript, 2020).

<sup>&</sup>lt;sup>18</sup>For evidence of each, see (in the same order): Amos Tversky & Daniel Kahneman, "Extensional Versus Intuitive Reasoning: The Conjunction Fallacy in Probability Judgment," *Psychological Review* 90 (1983): 293–315; Daniel Chen, Tobias Moskowitz, & Kelly Shue "Decision Making under the gambler's fallacy: Evidence From Asylum Judges, Loan Officers, and Baseball Umpires," *The Quarterly Journal of Economics* 131.3 (2016): 1181-242; Thomas Gilovich, Amos Tversky, & Robert Vallone, "The Hot Hand in Basketball: On the Misperception of Random Sequences," *Cognitive Psychology* 17.3 (1985): 295-314; Daniel Kahneman & Amos Tversky "Evidential Impact of Base Rates," in D. Kahneman, P. Slovic, & A. Tversky (ed.), *Judgment Under Uncertainty: Heuristics and Biases* (Cambridge: Cambridge University Press, 1982): 153–60.

<sup>&</sup>lt;sup>19</sup>Jonathan Baron, Laura Granato, Mark Spranca, & Eva Teubal, "Decision Making Biases in Children and Early Adolescents: Exploratory Studies," *Merrill Palmer Quarterly* 39 (1993): 23–47; Levy Gurmankin & Jonathan Baron, "How Bad is a 10% Chance of Losing a Toe?: Judgments of Probabilistic Conditions by Doctors and Laypeople," *Memory and Cognition* 33 (2005): 1399–406.

<sup>&</sup>lt;sup>20</sup>Amos Tversky & Daniel Kahneman, "Judgment under Uncertainty: Heuristics and Biases," *Science* 185 (1974): 1124–31.

<sup>&</sup>lt;sup>21</sup>Yaniv Hanoch, Jonathan Rolison, & Alexandra Freund, "Reaping the Benefits and Avoiding the Risks: Unrealistic Optimism in the Health Domain," *Risk Analysis* 39.4 (2019): 792-804.

<sup>&</sup>lt;sup>22</sup>Brandon Garrett, Gregory Mitchell, & Nicholas Scurich, "Comparing Categorical and Probabilistic Finger-print Evidence," *Journal of Forensic Sciences* 63.6 (2018): 1712-7.

 $<sup>^{23}</sup>$ Gurmankin & Baron, How Bad is a 10% Chance of Losing a Toe?: Judgments of Probabilistic Conditions by Doctors and Laypeople".

money at all to insure against a 1% chance of catastrophic loss.<sup>24</sup> So it seems that many of us, in many contexts, treat events with low probabilities as having probability 0, even when their true probability is as high as 1% (which is not so low). Upon reflection, this is clearly foolish. Intuitions saying that we may (or should) ignore all outcomes with probability 1% do not hold up to scrutiny.

Given how widespread these intuitive mistakes are, we should give little weight to our intuitions about what we should do in cases of low probability, including those which lead us to recoil from fanatical verdicts—with a little more scrutiny, those intuitions may appear foolish too.

#### 2.2 The argument from tolerance

Here is another argument against Fanaticism, originally from Smith.<sup>25</sup>

Decision theories that reject Fanaticism aren't the only ones that allow us to ignore some outcomes. Every plausible decision theory says that at least *some* outcomes may be treated as having probability 0: those that *do* have probability 0 in the relevant lottery, at the least.

But decision-making is a practical activity, as Smith points out; it is done by agents with limited time and computational resources. Such agents cannot assign arbitrarily precise probabilities to every possible outcome in every lottery, so we cannot require them to. Any norms of decision-making must be *tolerant*: they must allow agents at least a small margin of error in the numerical conditions required for the norm to apply. And that includes the norm that we may permissibly ignore events with probability 0—we must allow some imprecision in that probability being 0, up to some margin of error  $\epsilon$ . So, if an outcome has probability less than some  $\epsilon$  in a given lottery, we must permit an agent to ignore it too.

An immediate response is that this conclusion, interpreted in a particular way, does not rule out Fanaticism. The value of  $\epsilon$ —the non-zero probability below which outcomes may permissibly be ignored—may not be absolute. It might vary by lottery, by decision scenario, or by context. For instance, whenever we are comparing lotteries over only finitely many outcomes,  $\epsilon$  might be set lower than the least probable outcome. This is still compatible with Fanaticism, and it is this version that Smith appears to favour.<sup>26</sup> But what if we interpret the conclusion the other way?

<sup>&</sup>lt;sup>24</sup>Gary McClelland, William Schulze, & Don Coursey, "Insurance for Low-Probability Hazards: A Bimodal Response to Unlikely Events," in *Making Decisions About Liability and Insurance* (Dordrecht: Springer, 1993): 95-116

<sup>&</sup>lt;sup>25</sup>See pages 471-2 of Smith, "Is Evaluative Compositionality a Requirement of Rationality?".

<sup>&</sup>lt;sup>26</sup>It also still rules out the problems with which Smith is more concerned: avoiding counterintuitive judgements in the St Petersburg and Pasadena games.

Then there is some  $\epsilon$  such that, in *all* lotteries, agents may ignore outcomes with probabilities less than  $\epsilon$ . This does rule out Fanaticism, and is explicitly endorsed by Buffon.<sup>27</sup>

Whichever interpretation we adopt, Smith's argument faces various objections.<sup>28</sup> Here are two of my own preferred objections to the Buffon interpretation.

By Smith's assumption, a theory of normative decision-making must be tolerant. And, to be tolerant, each norm it endorses must not require arbitrary precision, in the probabilities involved and elsewhere. And if we accept this assumption of tolerance, our theory will also endorse the norm that, when evaluating a lottery, we may permissibly ignore outcomes with probabilities below  $\epsilon$ . But tolerance requires that we tolerate imprecision in this norm as well. How much imprecision must we tolerate? I see no reason—at least, no reason that is not ad hoc—why we must be any more precise with this norm than with the norm of ignoring outcomes of probability 0. So a tolerant theory will also permit us to ignore any outcomes with probabilities below  $2\epsilon$ . And that too constitutes a norm, so we can extend this to outcomes with probabilities below  $3\epsilon$ ,  $4\epsilon$ , and so on all the way up to 1. We may permissibly ignore all outcomes! But this is absurd. So the assumption of tolerance must be false. Precision in probabilities must be required for at least some norms, and it is not clear why these would exclude the norm about ignoring outcomes with probability 0. After all, the difference between 0 and any probability greater than 0 seems categorical, unlike most probability differences.

Along similar lines, take any norm that says that some lottery  $L_a$  is better than some  $L_b$ —perhaps  $L_a$  is some moderately risky lottery with probability 0.9 of value 0 and probability 0.1 of a better outcome, and  $L_b$  is just some small positive value with certainty. If our decision theory is tolerant, then the norm that  $L_a$  is better than  $L_b$  must not require precision. We must also accept that we are permitted to choose a lottery with the same outcomes as  $L_a$  but with slightly lower probability  $(0.1 - \epsilon)$  of success. And, as above, we will also be permitted to choose lotteries with even lower probability  $(0.1 - 2\epsilon, 01. - 3\epsilon,$  and so on). We will be permitted to choose these riskier lotteries even though they do not have outcomes any more valuable than in  $L_a$ . In a way, this result is even more extreme than what Fanaticism tells us—we are sometimes permitted to choose some risky lotteries that even expected value theory would forbid. For anyone who finds Fanaticism unacceptable, this too should be hard to accept.

By either argument, tolerance of this sort leads to unacceptable conclusions. We should reject it, even if that removes our justification for neglecting small probabilities and thereby rejecting

<sup>&</sup>lt;sup>27</sup>Buffon, "Essai d'Arithmétique Morale".

<sup>&</sup>lt;sup>28</sup>See pages 551-60 of Hájek, "Unexpected Expectations", and page 10 of Monton, "How to Avoid Maximising Expected Utility".

<sup>&</sup>lt;sup>29</sup>Note that Smith's own proposal does not imply this, so it is not tolerant theory itself.

#### 2.3 The argument from mortality (or YOLO)

A further argument against Fanaticism comes from Bradley Monton.<sup>30</sup>

But first, some context: a common argument in favour of expected value theory is that maximising expected value is a good policy in the long run.<sup>31</sup> Take any decision between some lotteries  $L_a$  and  $L_b$ , and suppose you faced that decision not once but many, many times in succession, with the lotteries run independently each time. Suppose you choose  $L_a$  every time. Then, as long as the decision is repeated enough times, the values you actually obtain each time will average to the expected value of  $L_a$ , with probability extremely close to 1. Thanks to the (Strong) Law of Large Numbers, the same applies to any lottery, no matter how small the probabilities involved. So it seems a good idea for an agent to adopt the policy of maximising expected value, even when it gives fanatical verdicts—in the (very) long run, the agent will actually do better than under many alternative policies.

Monton's 'YOLO' argument has similarities to the classical long run argument for expected value theory, but makes a key departure. In practice, agents like us *only live once*, and for a relatively short time. We don't have arbitrarily many decisions over which to average our gains and losses.<sup>32</sup> And, if we care about how our lives *actually* go, then maximising expected value is not such a good policy. Especially when expected value theory recommends fanatical verdicts, there won't be enough time in a human life to repeat the decision sufficiently many times to have much chance of obtaining that astronomical value. So caring about how our lives *actually* go seems to justify rejecting both expected value theory and Fanaticism.

On the face of it, this does seem like good reason to reject fanatical verdicts when making prudential decisions. Specifically, when an agent compares lotteries based on how much they improve the agent's own life, it seems quite appropriate for them to care about how their life actually goes.

But I am interested in Fanaticism in the *moral* context. And there the argument is less compelling. How well the world as a whole goes is not determined by just a few decisions by a single agent, but instead by countless different agents making separate small-scale decisions.<sup>33</sup> In

<sup>&</sup>lt;sup>30</sup>Monton, "How to Avoid Maximising Expected Utility": 14-16.

<sup>&</sup>lt;sup>31</sup>William Feller, An Introduction to Probability Theory and Its Applications vol. 1 (New York: Wiley, 1968).

<sup>&</sup>lt;sup>32</sup>See Johanna Thoma, "Risk Aversion and the Long Run," *Ethics* 129.2 (2019): 230-53 and Hayden Wilkinson, "Risk Aversion and the Not-So-Long Run," (unpublished manuscript, 2021).

<sup>&</sup>lt;sup>33</sup>The world plausibly contains *infinitely* many such decision-makers (see Chapter 1 of Wilkinson, *Infinite* 

this setting, having all of those agents maximise expected value seems to be quite a good policy, even when doing so produces fanatical verdicts.<sup>34</sup> Repeated enough times, even fanatical choices will pay off eventually.

Of course, these comments are not a decisive objection to Monton's argument applied to the moral context. It still seems at least somewhat plausible that an agent ought to be concerned with how much moral value they actually produce, rather than whether they adhere to a sensible policy for the entire group of agents. But I hope it also seems at least remotely plausible that Monton's conclusion doesn't hold in the moral context, and that Fanaticism may be true. As it was for the previous two arguments, Monton's argument against Fanaticism is not quite rocksolid. Overall, the case against it is wobbly enough to seriously consider whether Fanaticism might be true.

### 3 Background assumptions

Before I make the case for Fanaticism, here are my basic assumptions.

First, for any decision problem, there is some set of epistemically possible outcomes  $\mathcal{O}$ . Some outcomes are better or worse than others. So assume that there exists some binary relation on  $\mathcal{O}$ , denoted by  $\succeq_{\mathcal{O}}$ , which represents an 'at least as good as' relation between outcomes.

As mentioned earlier, I will assume a totalist view of value. So  $\succeq_O$  is already given: for any possible outcomes  $O_1$  and  $O_2$ , we have  $O_1 \succeq_O O_2$  if and only if  $O_1$  contains at least as much total value as  $O_2$ .<sup>35</sup> These total values can be represented with a cardinal value function  $V: \mathcal{O} \to \mathbb{R}$ , at least when those outcomes have finite differences in value.<sup>36</sup> And as a cardinal value function, V is unique only up to affine transformations—for any  $V_1$  or  $V_2$  we might use here,  $V_2(O) = a \times V_1(O) + b$  for some positive a and real b.

Perhaps we cannot always give a real representation of the total value for every outcome. If an outcome  $O_{\infty}$  contains infinitely more value than others—as when we create infinitely many blissful lives in Dyson's Wager—then it may fall beyond the scope of V. Then  $V(O_{\infty})$  won't be defined, but still  $O_{\infty} \succ_O O$  for each finitely-valued outcome O. That's fine; a real-valued total

Aggregation), so the Law of Large Numbers may apply: the average value actually obtained in each repetition of a lottery will converge to precisely its expected value.

<sup>&</sup>lt;sup>34</sup>cf Edward F. McClennan, "Pragmatic Rationality and Rules," Philosophy & Public Affairs 26.3 (1997): 120-58.

<sup>&</sup>lt;sup>35</sup>Total values are totally ordered, so we know that  $\succeq_O$  will be reflexive, transitive, and complete on  $\mathcal{O}$ .

<sup>&</sup>lt;sup>36</sup>The differences in value for two pairs of outcomes are treated as finite precisely when one can be represented as a real multiple of the other.

value function V won't represent  $\succeq_O$  in all cases, but it will do just fine in purely finite cases.

What we are really interested in is *lotteries*. I'll assume that the relevant aspects of a lottery can be fully described by a real-valued probability measure L on a set of outcomes. I'll also assume that this probability represents either the agent's subjective degree of confidence or the evidential probability of each outcome arising—what follows can be read in terms of either. Whichever notion it is, let  $\mathcal{L}$  be the set of all lotteries on  $\mathcal{O}$ . Each lottery L is a function which maps sets of possible outcomes to some value in the interval [0,1], and that function must obey the standard probability axioms.

To keep things brief, I'll use the following shorthand. When we are interested in the probability of a single outcome, I'll shorten  $L(\{O\})$  to L(O). When a lottery L gives a certainty of an outcome O (when L(O) = 1) I'll often write L as O. To represent a lottery with the same probabilities as L, but outcomes which have precisely k times the value (on the same cardinal representation), I'll write  $k \cdot L$ .<sup>37</sup> And I'll write  $L_a + L_b$  to represent the lottery obtained from adding together the values of the outcomes of lotteries  $L_a$  and  $L_b$ , run independently.<sup>38</sup>

Fanatical verdicts, and their denial, involve claims of whether some lotteries are (instrumentally) better than others. So we need another 'at least as good relation'. Let  $\geq$  be a binary relation on  $\mathcal{L}$ . Strict betterness ( $\geq$ ) and equality ( $\sim$ ) are defined as the asymmetric and symmetric components, respectively.

I won't assume that  $\succeq$  is *complete* on  $\mathcal{L}$ . But I will assume that it is *reflexive*: that  $L \succeq L$  for all  $L \in \mathcal{L}$ . I'll also assume that it is *transitive*: that, for all  $L_a, L_b, L_c \in \mathcal{L}$ , if  $L_a \succeq L_b$  and  $L_b \succeq L_c$ , then  $L_a \succeq L_c$ . Both of these properties are highly plausible. If either of them do not hold, then instrumental betterness is a peculiar thing.<sup>39</sup>

I also want to assume another highly plausible principle of instrumental rationality, which will be useful later: *Stochastic Dominance*. This principle says that if two lotteries have exactly the same probabilities of exactly the same (or equally good) outcomes, then they are equally good; and if you improve an outcome in either lottery, keeping the probabilities the same, then

<sup>&</sup>lt;sup>37</sup>Formally, for any real k and  $L \in \mathcal{L}$ , define  $k \cdot L$  as a probability measure on  $\mathcal{O}$  such that, for all O in  $\mathcal{O}$ ,  $k \cdot L(O_k) = L(O)$ , where  $O_k$  is an outcome in  $\mathcal{O}$  such that  $V(O_k) = k \times V(O)$ .

<sup>&</sup>lt;sup>38</sup>This can be made more precise. Let  $V_i$  denote the random variable corresponding to a given lottery  $L_i$ , which outputs the total value of the outcome—equivalently, the probability that  $V_i$  takes on a value in the interval [a, b] is given by  $L_i(\{O|V(O) \in [a, b]\})$ . Then, for any  $L_a, L_b \in \mathcal{L}$ , define  $L_a + L_b$  as some lottery on  $\mathcal{O}$ —there may be several—which corresponds to the random variable  $(V_a + V_b)$ . Equivalently,  $L_a + L_b$  is some lottery such that  $L_a + L_b(\{O|V(O) \in [c, d]\})$  is equal to the probability that  $(V_a + V_b)$  takes on a value in the interval [c, d].

<sup>&</sup>lt;sup>39</sup>Some argue that moral betterness (and presumably also instrumental moral betterness) is not transitive, e.g., Larry Temkin, *Rethinking the Good* (Oxford: Oxford University Press, 2014). But the most compelling of these arguments assume pluralism with respect to moral value. But I'm considering only monistic theories of value here, so transitivity remains a compelling principle.

you improve that lottery. And that's hard to deny!

We can express Stochastic Dominance as follows. Here,  $\mathcal{O}_{\geq O'}$  denotes the set of outcomes in  $\mathcal{O}$  that are at least as good as O'.

Stochastic Dominance: For any  $L_a, L_b \in \mathcal{L}$ , if  $L_a(\mathcal{O}_{\geq O'}) \geq L_b(\mathcal{O}_{\geq O'})$  for all  $O' \in \mathcal{O}$ , then  $L_a \geq L_b$ .

If, as well,  $L_a(\mathcal{O}_{\geq O'}) > L_b(\mathcal{O}_{\geq O'})$  for some  $O' \in \mathcal{O}$ , then  $L_a \succ L_b$ .

I find Stochastic Dominance overwhelmingly plausible. In this setting, it is far weaker than (but satisfied by) expected value theory. Unlike the stronger theory, it does not rule out risk aversion, nor Allais preferences. And to deny it is to accept either: that you can swap an outcome in a lottery for a better one without making the lottery better; or that evaluations of lotteries are dependent on something other than the values of the outcomes and their probabilities. Both are implausible.<sup>40</sup>

And Stochastic Dominance ties together the fates of Fanaticism and the fanatical verdict in Dyson's Wager. Recall the lotteries  $L_{\text{safe}}$  and  $L_{\text{risky}}$  from the definition of Fanaticism. In  $L_{\text{safe}}$ , we can set v = 1. And define  $L_{\text{infinite}}$  as follows.

 $L_{\text{infinite}}$ : an outcome containing infinitely many blissful lives with probability  $\epsilon$ ; value 0 otherwise

 $L_{\mathrm{risky}}$ : value V with probability  $\epsilon$ ; value 0 otherwise

 $L_{\text{safe}}$ : value 1 with probability 1

 $L_{\text{infinite}}$  and  $L_{\text{safe}}$  should look familiar—these match the lotteries you must choose between in Dyson's Wager, if we represent the value of outcomes accordingly. By Fanaticism,  $L_{\text{risky}}$  is better than  $L_{\text{safe}}$ , at least for large enough finite V. And Stochastic Dominance implies that  $L_{\text{infinite}}$  is better than  $L_{\text{risky}}$ .

<sup>&</sup>lt;sup>40</sup>For compelling arguments in favour of Stochastic Dominance, see: Kenny Easwaran, "Decision Theory Without Representation Theorems," *Philosophers' Imprint* 14.27 (2014): 1-30; Ralf Bader, "Stochastic Dominance and Opaque Sweetening," *Australasian Journal of Philosophy* 96.3 (2018): 498-507; and Timothy L. Williamson, "A Risky Challenge for Intransitive Preference," (unpublished manuscript, 2020).

 $<sup>^{41}</sup>$ The reasoning behind this is as follows. We represented the value of one additional life being saved with a 1 and additional lives saved with a 0. Any finite positive V will hence correspond to V additional lives of equal value being saved (or produced). This implies that the outcome in which infinitely many blissful lives are produced cannot be represented on the same scale, and indeed it is better than any outcome that can be. But, had that outcome been representable on the same scale with some finite number, this wouldn't hold.

### 4 A continuum argument

You might argue for Fanaticism in the following way: expected value theory is true; expected value theory implies Fanaticism; therefore, Fanaticism is true. And likewise for verdicts that seem fanatical, such as that which expected value theory supplies in Dyson's Wager. Such verdicts are rarely defended on any grounds other than 'That's what expected value theory says'. But we can do better than this. Fanaticism is far weaker than expected value theory in all its strength (and weaker even than expected *utility* theory), so it should be easier to justify.

In this and the next two sections, I'll give four arguments for Fanaticism but not for expected value theory. Here is the first, which originates with Nicholas Beckstead.<sup>42</sup>

Take the lottery  $L_0$ , which might represent a stranger's life being saved with certainty.

 $L_0$ : value 1 with probability 1

And take another lottery  $L_1$  by which vastly more strangers are saved, with a very small probability of failure—perhaps  $10^{10}$  lives saved with probability 0.999999 of success.

 $L_1$ : value  $10^{10}$  with probability 0.999999; value 0 otherwise

Intuitively,  $L_1$  seems better. Accepting a *slightly* lower probability of success for a *vastly* greater payoff seems a great trade. But then consider  $L_2$ , which has a slightly lower probability of success but, if successful, results in many more lives saved.

 $L_2$ : value  $10^{10^{10}}$  with probability  $0.999999^2$ ; value 0 otherwise

This seems better than  $L_1$ , or at least it seems that there is some number of lives high enough that it would be better. And so we could continue, with  $L_3$ ,  $L_4$ , and so on until some  $L_n$ , such that  $0.999999^n$  is less than  $\epsilon$ , for any arbitrarily small  $\epsilon$  you want. Intuition suggests that vastly increasing the payoff can compensate for a slightly lower probability; that each lottery in the sequence is better than the one before it. So the final lottery in the sequence must be better than the first. But the final lottery has a probability less than  $\epsilon$  of any positive payoff at all. So we have Fanaticism.<sup>43</sup>

<sup>&</sup>lt;sup>42</sup>See pages 139-47 of Beckstead, On the Overwhelming Importance of Shaping the Far Future, and pages 4-6 of Nicholas Beckstead and Teruji Thomas, "A paradox for tiny probabilities and enormous values," (unpublished manuscript, 2020).

<sup>&</sup>lt;sup>43</sup>For readers less sympathetic to totalism, much the same argument can be made in terms of any of the

As I see it, this argument rests on two intuitively plausible principles: the first, the transitivity of  $\succeq$ ; the second, *Minimal Tradeoffs*.

Minimal Tradeoffs: There is some real r < 1 such that, for any real value v and any probability p, there is some real r\* such that, on any cardinal representation, the lottery represented by  $L_b$  is better than that represented by  $L_a$  (as defined below).

 $L_a$ : value v with probability p; 0 otherwise

 $L_b$ : value  $r * \times v$  with probability  $r \times p$ ; 0 otherwise

This principle has intuitive force. For any given lottery with the form of  $L_a$ , surely there is some lottery with only that ever-so-slightly lower probability of success that is better. Intuition suggests that we can always make at least some tradeoff between probability and value: we can always compensate for a, perhaps, 0.000001% lower probability of success with a vastly greater payoff.

But you might be unconvinced by the continuum argument. Intuitively, you may find it more plausible that Minimal Tradeoffs is false than that Fanaticism is true. And, other than clashing with intuition, there are no obvious problems with denying Minimal Tradeoffs. Perhaps we should just reject it; perhaps there is at least one threshold of probability p' between p and 0 (which might be unknown or vague) at which we have a discontinuity, such that no value with probability below p' is better than any value with probability above p'. One way to do this is by adopting expected utility theory with a bounded utility function which, if the bound is low enough, would imply that the former lottery is better than the latter. But, whichever approach we use to reach that conclusion, there is some point at which we can no longer trade off probability against value, no matter how great the value. And what of it? It seems a little counterintuitive, but perhaps less so than Fanaticism.

If you accept Minimal Tradeoffs then you must accept Fanaticism. And, conversely, to reject Fanaticism you must also reject Minimal Tradeoffs and the continuum argument it generates. But you might think that a small price to pay.

axiologies mentioned earlier. For instance, if we assume averageism, we can start with  $L_0$ , by which the average value of all lives will be 1 with near certainty, and 0 otherwise.  $L_1$  can be the lottery that gives probability 0.99999 of an outcome in which the average value of all lives will be  $10^{10}$ , or 0 otherwise. We can rapidly scale up the average value of lives just as we scaled the total values above, while gradually scaling down the probability of non-zero value. Eventually, we reach a lottery  $L_n$  with probability  $\epsilon$  of some astronomical average value, or 0 otherwise, but the transitivity of  $\geq$  implies that it must be better than  $L_0$ .

### 5 A dilemma for the unfanatical

The next argument for Fanaticism comes in the form of a nasty dilemma that its deniers must face. In brief, if you deny Fanaticism then you must accept either (or both): that *Scale Independence*, as defined below, does not hold; or that comparisons of lotteries are *absurdly sensitive* to tiny changes (even more so than if you accepted Fanaticism).

Scale Independence<sup>44</sup>: For any lotteries  $L_a$  and  $L_b$ , if  $L_a \succcurlyeq L_b$ , then  $k \cdot L_a \succcurlyeq k \cdot L_b$  for any positive, real k.

I find Scale Independence highly plausible.<sup>45</sup> Take any pair of lotteries, perhaps a pair that can be cardinally represented by  $L_1$  and  $L_2$ .

 $L_1$ : value 1 with probability 1

 $L_2$ : value 2 with probability 0.9; value 0 otherwise

I'll remain agnostic on which is better; the correct  $\geq$  relation might recommend either, or neither. But suppose we scaled up each lottery by 2, to obtain  $2 \cdot L_1$  and  $2 \cdot L_2$ —lotteries with the same probabilities as above, but with the values of outcomes doubled (on the same cardinal scale). If we ranked  $L_1$  as better then, intuitively, that ranking shouldn't change as we double the values, and  $2 \cdot L_1$  should be better than  $2 \cdot L_2$ . After all, the scaled-up lotteries have the same structure as the originals. Whatever general principles lead us to say whether  $L_1$  is better than  $L_2$  should plausibly also lead us to say the same about  $k \cdot L_1$  and  $k \cdot L_2$ , for any positive k you like.

It would be rational to deviate from Scale Independence if the values we were dealing with were dollars, or apples, or some other commodity that is merely *instrumentally* valuable—it would make sense for your first dollar, or apple, to be far more valuable than your hundredth. But when we are dealing with moral value itself, it is incoherent to say that additional units of value are worth less and less—by definition, adding one unit of value is always an improvement

 $<sup>^{44}\</sup>mathrm{A}$  similar principle is endorsed by Frank P. Ramsey, "Truth and Probability," in *The Foundations of Mathematics and Other Logical Essays* (1964 [1926]). He calls it simply "...a certain measure of consistency...".

<sup>&</sup>lt;sup>45</sup>One way to violate it is to adopt expected utility theory with a bounded utility function—see Matthew Rabin, "Risk Aversion and Expected-Utility Theory: A Calibration Theorem," *Econometrica* 68.5 (2000): 1281-92. I find this good reason to reject such a form of expected utility theory. On the other hand, there are theories that can avoid Fanaticism without a bounded utility function nor the scale dependence it brings, e.g.: the Buffon and Smith methods of ignoring outcomes with sufficiently low probabilities; and Buchak's risk-weighted expected utility theory with a risk function that treats some non-zero probabilities as 0. See page 61 of Lara Buchak, *Risk and Rationality* (Oxford: Oxford University Press, 2013).

of one unit of value. And under totalism, some version of which I'm assuming here to be true, units of value can correspond to tangible objects such as (identical) human lives. By the lights of totalism and by at least my own intuition, an additional (identical) human life is always worth the same amount no matter how many lives already exist—and of course it always contributes precisely the same amount to the total value of the outcome. So, in keeping with intuition, it should not matter in the slightest whether we are comparing the lotteries  $L_1$  and  $L_2$  above or instead some scaled-up multiples  $k \cdot L_1$  and  $k \cdot L_2$ . In the latter pair, we have done the equivalent of adding k-1 additional copies to the contents of each outcome (perhaps k-1 additional lives to  $L_1$  and 2k-2 additional lives to that outcome in  $L_2$ ). But each additional copy should be no more nor less valuable than the first; they should contribute the same to our evaluation of the lottery.<sup>46</sup> So, I would claim, we should rank the resulting lotteries just the same way as we ranked them without those k-1 copies added in.

A further reason to accept Scale Independence here is that totalism typically provides only that value can be represented cardinally, not that it can be represented on a ratio or unit scale.<sup>47</sup> It does not recognise any absolute zero of value, nor any unique scale on which to represent it. A pair of outcomes represented with values 1 and 2 on one scale can just as easily be represented with any values k and 2k (for positive k) on other scales. When evaluating those outcomes with totalism, we cannot say that any such representation is more valid than any other. Suppose we took lotteries  $L_1$  and  $L_2$  above; their outcomes could just as easily have been represented on another scale such that they had values k, 2k, and 0—the same values as  $k \cdot L_1$  and  $k \cdot L_2$  had on the previous scale. On that new scale, we of course still say that  $L_1$  is better than  $L_2$  if and only if we said so on the original scale, else we would be inconsistent. But why not judge  $k \cdot L_1$  and  $k \cdot L_2$  similarly? For us to be able not to, our evaluations of lotteries must depend on more than just the probabilities of outcomes and cardinal values that totalism assigns them; they must also depend on which cardinal representation is being used when those values are assigned. In effect, they depend on richer details of the value of outcomes than is provided by totalism. And this makes for a troubling shift in how we evaluate lotteries—doing so is no longer a matter of using our axiology to assign values to outcomes and then using our theory of instrumental rationality to turn those values and each outcome's probabilities into an evaluation. Instead, our evaluation of a lottery is sensitive to facts about the values of outcomes that even our theory of value is not

 $<sup>^{46}</sup>$ A similar story can be told for averageism and other axiologies, although a little more awkwardly. On plausible versions of averageism, an additional period of bliss given to everyone contributes the same amount to the average value of all lives no matter how many such periods of bliss have already been experienced. We can copy the experiences each person has in much the same way we can copy the number of additional lives in the totalist scenario. It seems plausible then that we should rank lotteries with k-1 copies of each experience added into outcome just the same way as we rank them without those copies.

<sup>&</sup>lt;sup>47</sup>Other axiologies typically do so as well, including the standard forms of every axiology listed in the introduction, with the exception of pure egalitarianism.

sensitive to. That is awfully strange and, I think, absurd. We should be able to apply our theory of rationality to the verdicts of our axiology without 'double-dipping' into facts about the value of outcomes. And so we should reject the suggestion that  $k \cdot L_1$  and  $k \cdot L_2$  not be compared in a similar way to  $L_1$  and  $L_2$ ; we should accept Scale Independence.<sup>48</sup>

For those who reject Fanaticism, the dilemma they face is between rejecting Scale Independence too and accepting an absurd level of sensitivity to tiny changes in lotteries. I'll illustrate below what I mean by 'sensitivity to tiny changes' but, for now, be assured that it's just as implausible as violating Scale Independence.

The argument for the dilemma goes like this. First, for Fanaticism to be false, there must be some probability  $\epsilon > 0$  and some cardinal value v such that some lottery  $L_{\text{risky}}$  as defined below is no better than  $L_{\text{safe}}$ , no matter how big V is.

 $L_{\text{risky}}$ : value V with probability  $\epsilon$ ; 0 otherwise

 $L_{\text{safe}}$ : value v with probability 1

But what about values below v? How would getting some lower value with certainty compare to an  $\epsilon$ -probability of arbitrarily enormous V? There are only two distinct possibilities (at least if we maintain Stochastic Dominance and transitivity of  $\succeq$ ). The first is: that there is *some* smaller v' > 0 for which  $L_{\text{risky}}$  (with large enough V) is better than a sure outcome with value of v'. The second is: that, for any such v',  $L_{\text{risky}}$  isn't better than an outcome with value v'.<sup>49</sup> As we'll see, each possibility impales us on a respective horn of the dilemma.

Assume that the first possibility holds.  $L_{\text{risky}}$  is better than a sure outcome with value v', for some 0 < v' < v and some large V. Still,  $L_{\text{risky}}$  won't be better than a sure outcome with value v—i.e.,  $L_{\text{safe}}$ —or any sure outcome with even greater value (by Stochastic Dominance). But somewhere below v this changes—for some positive v', the same doesn't hold.

Since that v' is a positive real number, we know that there will be some real k such that  $k \times v' \geq v$ . And that means that a sure outcome with value  $k \times v'$  is no worse than  $L_{\text{risky}}$ , no matter how great V is. But a certainty of  $k \times v'$  is the scaled-up counterpart of v'. And the scaled-up counterpart of  $L_{\text{risky}}$  is  $k \cdot L_{\text{risky}}$ , which is just  $L_{\text{risky}}$  with a larger V, and so has the

<sup>&</sup>lt;sup>48</sup>An alternative to endorsing Scale Independence is to adopt a variant of totalism that assigns more than just a cardinal value to outcomes—perhaps a version of totalism that values outcomes on a ratio or unit scale. That version would be far less parsimonious than the version I have been using, and I cannot think of any compelling independent justification for it. It seems at least a considerable cost to adopt such a version of totalism to avoid endorsing Scale Independence and the implication of Fanaticism.

<sup>&</sup>lt;sup>49</sup>This difference is analogous to the difference between weak and strong superiority in Arrhenius & Rabinowicz (2015).

same form as  $L_{\text{risky}}$ . So it cannot be better than the sure outcome, not if we reject Fanaticism. But then our verdict for v' versus  $L_{\text{risky}}$  doesn't match our verdict for  $k \times v'$  versus  $k \cdot L_{\text{risky}}$ . So we violate Scale Independence.

But there was a second possibility: that there is no such v' > 0; that  $L_{\text{risky}}$  is no better than a certainty of any v' > 0. If so, we can avoid the problem of scale dependence: there's no inconsistency between the judgements for v and judgements for any 'scaled-down' counterpart v', since all such v' compare the same way to lotteries like  $L_{\text{risky}}$ . But we then face another serious problem.

Take the probabilities  $\epsilon$  and 1. We can give a sequence of increasing probabilities in between  $\epsilon$  and 1, spaced evenly apart and as finely as we want:  $\epsilon < p_1 < p_2 < ... < p_n < 1$ . By assumption, no amount of value with probability  $\epsilon$  (and value 0 otherwise) is better than any (positive) amount of value with probability 1. But then there must be some pair of successive  $p_i, p_{i+1}$  such that no amount of value at  $p_i$  is better than any amount of value with probability  $p_{i+1}$ . If there were no such pair, we could give a sequence of better and better, and less and less likely, lotteries much like that in the previous section.

Crucially, that  $p_i$  and  $p_{i+1}$  can be arbitrarily close together, since the same result holds no matter how finely spaced the sequence was. No amount of value at  $p_i$  is better than any amount of value at  $p_{i+1}$ . We could have some astronomical value at  $p_i$ , and an imperceptibly small amount of value at  $p_{i+1}$ , and the latter would still be better. So we have a radical discontinuity in how we value lotteries.

This will make our judgements of betterness absurdly sensitive to tiny changes in probability. To illustrate that sensitivity, consider the following four lotteries. Here,  $\epsilon' > 0$  is some arbitrarily small number. And, again,  $p_i$  and  $p_{i+1}$  are some tiny probabilities that are arbitrarily close together.

 $L_0$ : value 0 with probability 1

 $L_1$ : value  $10^{10^{10}}$  with probability  $p_i$ ; 0 otherwise

 $L_2$ : value  $\epsilon'$  with probability  $p_{i+1}$ ; 0 otherwise

 $L_3$ : value  $10^{10^{10}}$  with probability  $p_{i+1}$ ; 0 otherwise

By the above, if we reject Fanaticism and maintain Scale Independence, then we are forced to rank these lotteries as follows:  $L_0 \prec L_1 \prec L_3$  and  $L_0 \prec L_2 \prec L_3$ , but  $L_1 \prec L_2$ . And that's a peculiar ranking.  $L_1$  and  $L_3$  are almost indistinguishable; their probabilities just differ by some tiny amount. Likewise for  $L_0$  and  $L_2$ , except it's their payoffs that slightly differ. For

each pair, one is better than the other, but it does not seem much better—in a sense, the better lottery is only a trivial improvement over the other, whether by a slight increase in payoff or in probability. But, despite appearances, we must accept that  $L_3$  is much better than  $L_1$ , as well as that  $L_2$  is much better than  $L_0$ . How so? Between  $L_1$  and  $L_3$  comes  $L_2$ . The lottery  $L_2$  has an astronomically smaller payoff than  $L_3$  and so (in a sense) is vastly worse than  $L_3$ , and yet we cannot say that it is so bad so as to be worse than  $L_1$ . Effectively, we must accept that, starting from  $L_3$ , it is no worse to lose almost all of the potential value via  $L_2$  than it is to lose that sliver of probability via  $L_1$ . And similarly for  $L_0$  and  $L_2$ : between them must come  $L_1$ .  $L_1$  has astronomically greater potential payoff than  $L_0$  (and much higher probability of positive payoff too), yet we cannot say it's better than  $L_2$ . Effectively, we cannot say it is any better to gain that enormous value with probability  $p_i$  than it is to gain an ever-so-slightly more probable shot at tiny value  $\epsilon$ . So those lotteries are not just made worse by those tiny changes in probability or payoffs—in an intuitive sense, they are made far worse. That is the level of sensitivity we must accept if we reject Fanaticism and maintain Scale Independence. But such extreme sensitivity in our evaluations of lotteries seems absurd. Evaluations of lotteries should not change quite so wildly—so discontinuously—with arbitrarily tiny changes in probability or payoff. So I do not think this sensitivity—this horn of the dilemma—is any less absurd than what we faced earlier.<sup>50</sup>

I should briefly note that, beyond its intuitive implausibility, this sensitivity will likely lead to practical difficulties. The probabilities that human agents have access to are subjective degrees of belief and evidential probabilities. In practice, we are often unable to pin down either sort of probability with arbitrary precision, at least not with our merely finite capacity for calculation.<sup>51</sup>

 $<sup>^{50}</sup>$ One might object here, along lines similar to Smith (see Section 2 above), that accepting Fanaticism results in similar sensitivity—for outcomes of sufficient value, varying their probability between 0 and some tiny  $\epsilon$  in some lottery can radically change the instrumental value of the lottery. But I think this objection has less intuitive force than the above. Changing an outcome's probability from 0 to something other than 0 is a categorical change, while changing it from  $p_i$  to  $p_{i+1}$  is a mere difference in degree. It seems to me less worrying for the former to be able to radically change a lottery's value than for the latter to do so. But, even if you find both forms of sensitivity troubling, the discussion above at least demonstrates that rejecting Fanaticism (at least by this horn of the dilemma) brings little respite from problems of absurd sensitivity or intolerance.

 $<sup>^{51}</sup>$ For discussion of why we often cannot require epistemic agents to settle on precise probabilities, see Schoenfield, "Chilling Out on Epistemic Rationality," *Philosophical Studies* 158 (2012): 197-219 and James Joyce, "A Defence of Imprecise Credences in Inference and Decision Making," *Philosophical Perspectives* 24 (2010): 281-323. For discussion of how to evaluate lotteries with imprecise probabilities, to which much of the discussion in this paper can be translated, see: Teddy Seidenfeld, "A Contrast Between Two Decision Rules for Use With (Convex) Sets of Probabilities: Gamma-Maximin Versus E-Admissibility," *Synthese* 140: 69–88; Nathan Huntley, Robert Hable, & Matthias Troffaes, "Decision Making," in T. Augustin *et al* (ed.) *Introduction to Imprecise Probabilities* (New York: John Wiley & Sons, 2014): 190–206; Seamus Bradley & Katie Steele, "Can Free Evidence be Bad? Value of Information for the Imprecise Probabilist," *Philosophy of Science* 83 (2015): 1-28; and Seamus Bradley, "How to Choose Among Choice Functions," *Proceedings of the Ninth International Symposium on Imprecise Probability: Theories and Applications* (2015): 57–66. On any of the proposals therein, agents with access only to imprecise probabilities will face serious practical problems if rankings are sensitive to the degree described above. On so-called 'liberal' proposals (see Joyce, "A Defence of Imprecise Credences in Inference and Decision Making"), agents will be indifferent among  $L_1$ ,  $L_2$ , and  $L_3$ . And on 'conservative' or 'maximal' proposals (see

But we will need to be arbitrarily precise when it comes to whether an outcome has probability  $p_i$  or the slightly greater  $p_{i+1}$ ; else we cannot compare such lotteries, by this horn of the dilemma. If we are not so precise then, in cases like that above, we would have no idea whether a lottery is as good as  $L_3$  or as bad as  $L_1$  with its imperceptibly lower probability of success. We must either require (unrealistically) that agents be arbitrarily precise in their probabilities<sup>52</sup>, or else accept that in practice our decision theory will be unable to tell agents much at all in cases like this.

This is a dilemma we must face if we reject Fanaticism: we must accept either scale dependence, by which our judgements of lotteries can vary without any structural reasons for doing so, or that those judgements are sensitive to imperceptibly small differences in either probability and value. Both options seem absurd to me, indeed more absurd than Fanaticism itself.

## 6 Egyptology and Indology

But it gets worse. To reject Fanaticism: you face either one or both of the following objections, each of which is even more absurd than the implications described above.

### 6.1 The Egyptology Objection

Hailing from population ethics, the *Egyptology Objection* is a classic argument against various axiologies, including averageism, egalitarianism, and maximin.<sup>53</sup>

As a brief refresher, it goes like this. Suppose you are making a moral decision here and now in the 21st Century, and the available outcomes differ *only* by some small-scale changes in the very near future. Your actions will not change what happens in distant galaxies or what happened in, say, ancient Egypt. Then, intuitively, what you ought to do can depend only on the events altered by your choice. It cannot depend on events in distant galaxies or in ancient

Bradley, "How to Choose Among Choice Functions"), agents must accept that the rankings of those lotteries are indeterminate. And similar indifference or indeterminacy will often arise when agents decide among lotteries with only small differences in probabilities.

 $<sup>^{52}</sup>$ One might object that accepting Fanaticism will also sometimes require agents to pin down probabilities with great precision, whenever outcomes have probabilities very close to 0. But it will never require arbitrary precision. When a lottery features an outcome with a given value, there will generally still be some non-zero probability below which that outcome can be ignored. (The only exception is when that lottery and the others in the agent's option set are then equally good.) But the sensitivity identified above will require agents to distinguish between probabilities  $p_i$  and  $p_{i+1}$ , no matter how arbitrarily small the difference between them may be.

<sup>&</sup>lt;sup>53</sup>It appears earliest on page 115 of Jefferson MacMahan, "Problems of Population Theory," *Ethics* 92.1 (1981): 96-127 but is often attributed to Parfit, *Reasons and Persons*, 420.

Egypt, at least not if your actions do not change them. Intuitively, it seems absurd that those unaltered, remote events make any difference to your evaluation.<sup>54</sup>

But, under some axiologies, what you ought to do in such cases is dependent on such unaltered events. Take a (standard, welfarist) averageist view. Here and now, should you bring an additional person into existence? It depends—will that additional life raise or lower the average value of all lives that ever exist? Sometimes yes, sometimes no, depending on what actually happened in ancient Egypt, in distant galaxies, and everywhere else. Averageism thus implies that what happened in ancient Egypt can affect whether it is better to now bring an additional person into existence or not. And, further, to be confident of which is the better outcome, you may need to do some serious research into Egyptology (along with plenty of other historical studies). But this is implausible. By intuition, we can ignore events that took place millennia ago and so are unaffected by our actions, as we do under totalism (and any other axiology that admits an additively separable representation). To me, this is one of the main appeals of those views.

But even if we accept an axiology like totalism, we may still face much the same objection in practice.<sup>55</sup> Some methods of comparing lotteries give rise to an updated Egyptology Objection, including all of those that deny Fanaticism. That's right: to deny Fanaticism, you must not only endure the costs detailed above, you must also fall prey to a version of the Egyptology Objection. (As we'll see below, you may also face another even more serious objection.)

You will most easily fall prey to it if you reject  $Background\ Independence$ . (And some proposals do.)<sup>56</sup>

Background Independence: For any lotteries  $L_a$  and  $L_b$  and any outcome O, if  $L_a \succeq L_b$ , then  $L_a + O \succeq L_b + O$ .

Recall that the sum of two lotteries L+O is simply the lottery you get if you run each lottery independently and sum up the values of their outcomes. But, here, O is just the outcome O with certainty. It has some cardinal value; call it b. Then the lottery L+O is simply the lottery L

<sup>&</sup>lt;sup>54</sup>Such dependence brings practical problems too. If what you ought to do is dependent on such events—not just in the remote future but also the remote past, of which we are often clueless—then we have little guidance for what we ought to do (*cf* Andreas Mogensen, "Maximal Cluelessness," *Philosophical Quarterly* 71.1 (2021): 141-62). This seems a major disadvantage for a moral view.

<sup>&</sup>lt;sup>55</sup>Even on other axiologies, including averageism, we face similar (albeit slightly less compelling) objections. See Footnote 58.

<sup>&</sup>lt;sup>56</sup>One such proposal is expected utility theory with a utility function that is concave and/or bounded (e.g., Arrow, *Essays in the Theory of Risk-Bearing*, 64). As Beckstead and Thomas ("A paradox for tiny probabilities and enormous values," 15-16) point out, this results in comparisons of lotteries being strangely dependent on events that are unaltered in every outcome and which seem irrelevant to the comparison.

with value b added to the value of each outcome. Background Independence implies that we can take any two lotteries and add any constant value b to each of their possible outcomes, and this won't change their ranking.

Suppose for now that Background Independence is false. Then there is some pair of lotteries,  $L_a$  and  $L_b$ , such that:  $L_a$  is at least as good as  $L_b$ ; and, if we added a certain constant b to the value of every one of their outcomes, that would change their ranking. Since we're assuming totalism, the value of each outcome in each lottery must represent the total aggregate of value that would result, including all valuable events across all of space and time. That includes the events that occurred in ancient Egypt. And we can assume that the same events occurred in ancient Egypt in every outcome of either  $L_a$  or  $L_b$ . With those events included,  $L_a$  is at least as good as  $L_b$ .

But what if we consider a different hypothetical pair of lotteries, identical to  $L_a$  and  $L_b$  except that the events of ancient Egypt were different? Would the ranking be any different, had events gone differently back then? Yes, it would, if Background Independence is false and if those events differed drastically enough. They might differ in such a way that they increased in value by b. Then the total value of every outcome would also be increased by b. And, given that  $L_a$  and  $L_b$  were exceptions to Background Independence, we know that this changes their ranking. So our modified version of  $L_a$  is no longer at least as good as the modified  $L_b$ . Thus, if we deny Background Independence, we face a rather severe version of the Egyptology Objection: when choosing between two lotteries, which is better can vary depending on what events occurred in ancient Egypt, even if the decision between them doesn't affect those events at all.<sup>58</sup>

To avoid this implication we must, at a minimum, accept Background Independence. I think we ought to accept it regardless—I find it highly plausible for much the same reasons as Scale Independence was. In any case, I'll assume for the remainder of this section that Background

 $<sup>^{57}</sup>$ If there is some pair of lotteries  $L_a$  or  $L_b$  that violates Background Independence, then there is also some pair that violate it and have the exact same events occur in ancient Egypt. To obtain such a pair, simply take  $L_a$  and  $L_b$  and replace each of their outcomes with an outcome of equal total value but an altered history of ancient Egypt.

<sup>&</sup>lt;sup>58</sup>This new version of the Egyptology Objection, along with the other objections described in the rest of this section, can also be applied under axiologies other than totalism. It will just look a little different.

Suppose that (standard, welfarist) averageism is the correct axiology. Then to add b to value of an outcome would be to increase the average value obtained by all persons in that outcome. And to add b to the value of every outcome in a lottery L would be to increase the average value in every outcome by b. We might imagine doing this by delivering a gift to every inhabitant of the outcomes of L, with that gift producing the same boost in value, b, for each recipient. Even by the lights of averageism it seems that, if  $L_a$  is at least as good as  $L_b$ , then delivering that gift to everyone shouldn't change the ranking—a modified  $L_a$  with additional value b should still be at least as good as  $L_b$  with additional value b. And so we will have an averageist analogue of the Egyptology Objection presented above. And this objection will still be worrying for averageists, even if it is not quite as devastating as its analogue is for totalists.

Independence holds.

But even if we accept Background Independence, as long as we reject *Fanaticism* then it turns out that a version of the Egyptology Objection still arises, albeit a less severe one.

To see why, first recall the lotteries from the definition of Fanaticism:  $L_{\text{risky}}$  and  $L_{\text{safe}}$ . If we reject Fanaticism then, for some tiny enough probability  $\epsilon > 0$  and some cardinal value v, some lottery  $L_{\text{risky}}$  (as defined below) is no better than  $L_{\text{safe}}$ , no matter how big V is.

 $L_{\text{risky}}$ : value V with probability  $\epsilon$ ; 0 otherwise

 $L_{\text{safe}}$ : value v with probability 1

According to Background Independence, it must also hold that the lottery  $L_{\text{risky}}$  with any constant b added to every outcome's value is also no better than  $L_{\text{safe}}$  with that same b added to every one of its outcomes' values. If we face a decision between  $L_{\text{risky}}$  and  $L_{\text{safe}}$ , it would not matter if events in ancient Egypt were vastly different—we'd compare the lotteries the same way with or without that additional value b.

But consider a further pair of lotteries:  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$ . These are obtained by modifying  $L_{\text{risky}}$  and  $L_{\text{safe}}$  such that your uncertainty about what happened in ancient Egypt is different. For simplicity, we can think of the values resulting from  $L_{\text{risky}}$  and  $L_{\text{safe}}$  as matching the value of all present and future events, while B gives the value of past events such as those in ancient Egypt. How then should you compare  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$ ? As a devout totalist, you evaluate outcomes and lotteries based on the total aggregate of value across all of space and time. And even if you know that those past events will turn out the same way no matter what you do, your uncertainty over exactly how they do turn out is a part of your uncertainty over the total value of the outcome. So you cannot just compare  $L_{\text{risky}}$  and  $L_{\text{safe}}$  to decide between your options; you must compare  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$  as lotteries in their own right.

As it turns out, there are some possible lotteries B that lead us to say that  $L_{\text{risky}} + B$  is strictly better than  $L_{\text{safe}} + B$ , even though  $L_{\text{risky}}$  isn't any better than  $L_{\text{safe}}$ . And this judgement is implied by even the extremely weak principle of Stochastic Dominance introduced above.<sup>59</sup>

As a brief refresher, recall that Stochastic Dominance simply states that: if a lottery  $L_a$  gives at least as high a probability as  $L_b$  of resulting in an outcome which is at least as good as O, for

 $<sup>^{59}</sup>$ We can reach a similar result, and thus a similar reductio, with axiologies other than totalism. Following from the previous footnote, we can adopt averageism and, instead of varying the events in ancient Egypt, distribute an identical, morally valuable gift to each person in the world. You might be uncertain of the exact value of the gift—your uncertainty of its value might correspond to B. But still it seems that whether you distribute that gift is irrelevant to whether the risky lottery is better or worse than the safe one.

every possible outcome O, then  $L_a$  is at least as good a lottery; and if  $L_a$  also gives a strictly greater probability of turning out at least as good as some O, then it is strictly better.

Stochastic dominance is easy to spot graphically. To illustrate, consider the cumulative probability graphs of the following two lotteries,  $L_1$  and  $L_2$ .

 $L_1$ : value 1 with probability  $\frac{1}{2}$ ; value 0 otherwise

 $L_2$ : value 2 with probability  $\frac{1}{3}$ ; value 1 with probability  $\frac{1}{3}$ ; value 0 otherwise

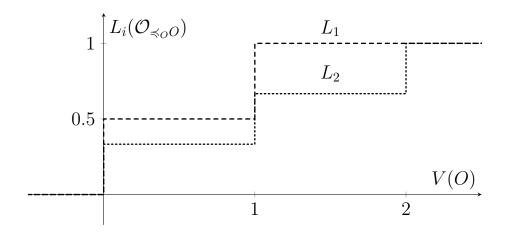


Figure 1: Figure 1: Cumulative probability graphs for  $L_1$  and  $L_2$ ; here and below,  $\mathcal{O}_{\leq_O O}$  denotes the set of outcomes in  $\mathcal{O}$  as which O is at least as good.

On a graph like this, we can easily see when Stochastic Dominance says that  $L_2 \geq L_1$ . Cumulative probability, on the vertical axis, is just the probability that the lottery produces an outcome no better than an outcome with some particular value. Meanwhile, Stochastic Dominance says that one lottery is at least as good as another if its probability of producing an outcome as good or better is just as high for all outcomes—or, equivalently, if the probability of an outcome no better is at least as low. So Stochastic Dominance will say that  $L_2 \geq L_1$  if and only if  $L_2$ 's cumulative probability is always as low or lower than that of  $L_1$ , as it is on this graph. And, here,  $L_2$  often has strictly lower cumulative probability. So Stochastic Dominance says it is strictly better than  $L_1$ .<sup>60</sup>

But accepting Stochastic Dominance doesn't rule out denying Fanaticism.  $L_{risky}$  doesn't stochastically dominate  $L_{safe}$ , as illustrated below.

<sup>&</sup>lt;sup>60</sup>This relationship between Stochastic Dominance and cumulative probability would break down if some outcomes were incomparable to others. There would then be a difference between  $O_a \succcurlyeq_O O_b$  and the negation of  $O_a \prec_O O_b$ . But, fortunately, totalism gives us a total preorder of  $\mathcal{O}$ , so we can sidestep this complexity.

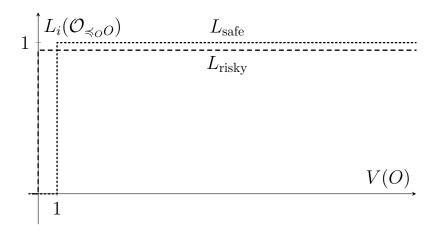


Figure 2: Figure 2: Cumulative probability graphs for  $L_{\text{risky}}$  and  $L_{\text{safe}}$ 

Sometimes one is higher; sometimes the other. So Stochastic Dominance remains silent. And it's a good thing it does—to deny Fanaticism, you must deny that  $L_{risky}$  is better than  $L_{safe}$ .

But what about  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$ ? Stochastic Dominance is not necessarily silent about that comparison. In particular, suppose that the lottery B looks like this. (I'll describe the required properties of B below.)

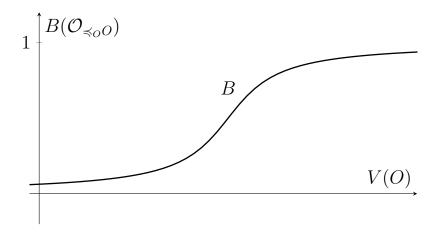


Figure 3: Figure 3: An example of background uncertainty B—a Cauchy distribution

When B looks like this, we obtain the following graphs for  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$ . Crucially, the graph for  $L_{\text{risky}} + B$  is never higher than that for  $L_{\text{safe}} + B$ , and sometimes it is strictly lower. So Stochastic Dominance says that  $L_{\text{risky}} + B$  is strictly better.

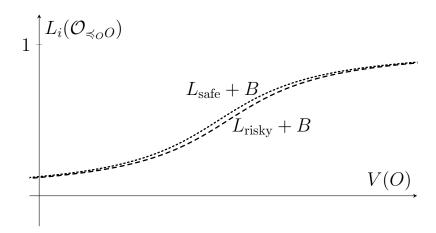


Figure 4: Figure 4: Cumulative probability graphs for  $L_{risky} + B$  and  $L_{safe} + B$ 

But how does this happen?

For Stochastic Dominance to hold, we need  $L_{risky} + B$  to have at least as high a probability as  $L_{safe} + B$  of turning out at least as good as an outcome with value u, for all possible values u. So take any real value u < V. What's each lottery's probability of doing at least that well?

Start with  $L_{\text{risky}} + B$ . We know that  $L_{\text{safe}}$  just gives one value (v) with certainty. So the probability that  $L_{\text{safe}} + B$  gives value u or better is just the probability that B gives value u - v or better. (This corresponds to the area  $B_2 + B_3$  on the graph below.) And then, for  $L_{\text{risky}} + B$ , we'll get value at least u either if  $L_{\text{risky}}$  gives value V or if B gives at least value u. Denote the probability that B gives value at least u by  $B_3$  (corresponding to that area on the graph below). Then the probability that  $L_{\text{risky}} + B$  turns out at least as good as value u is  $\epsilon + B_3(1 - \epsilon)$ . And, with some simple arithmetic<sup>61</sup>, we can see that this will be greater than the corresponding probability for  $L_{\text{safe}} + B$  if the area  $B_2$  is no greater than  $\epsilon \times B_1$ .

61 
$$\epsilon + B_3(1 - \epsilon) \ge B_2 + B_3 \Leftrightarrow B_3 + \epsilon(1 - B_3) \ge B_2 + B_3$$
  
 $\Leftrightarrow \epsilon(B_1 + B_2) \ge B_2$   
 $\Leftrightarrow B_2 \le \epsilon B_1 + \epsilon B_2$ , for which  $B_2 \le \epsilon B_1$  is a sufficient condition.

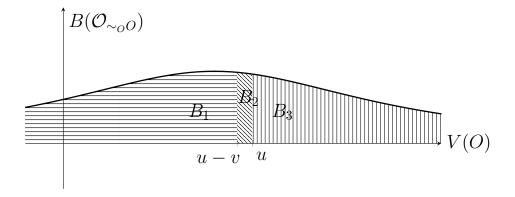


Figure 5: Figure 5: Probability distribution of B

Here we have a probability graph—importantly, not a *cumulative* probability graph—of one possible B, with areas  $B_1$ ,  $B_2$ , and  $B_3$  corresponding to the areas mentioned in the last paragraph. If  $B_2$  is small enough compared to  $B_1$ , then  $L_{risky} + B$  has at least as high a probability of u or better as  $L_{safe} + B$  does. And for it to be at least as high for *all* real u, we just need there to be a tiny enough area in the interval between u and u - v. And, for that to happen, we just need B to go down slowly enough as we approach  $-\infty$ , and rise and fall quickly enough as we pass the peak of the curve.

And there are some probability distributions that have this property, including many Cauchy distributions.<sup>62</sup> And some is enough. There is some such lottery  $L_{\text{risky}} + B$  that is better than  $L_{\text{safe}} + B$ , even though  $L_{\text{risky}}$  is no better than  $L_{\text{safe}}$ .

And so we have a new version of the Egyptology Objection. You may be faced with a decision between two lotteries that, if you exclude the value of events that occurred in ancient Egypt, correspond to  $L_{\text{risky}}$  and  $L_{\text{safe}}$ . And which lottery is better overall? That depends on what happened in ancient Egypt, even though you know the same events will have happened there no matter which you choose. If the events of ancient Egypt have value 0 (or b), then the risky lottery is no better than the safe one. But if ancient Egypt might have contained greater or lesser value, and you aren't certain how much, then it may be that the risky lottery is better after all. Much like under the classic Egyptology Objection, your evaluation is sensitive to facts that seem irrelevant. But, unlike the original version, the evaluation is not merely sensitive to what actually occurred there; instead, it is sensitive just to your uncertainty about what happened there. That is perhaps less devastating a problem, as an agent may know enough about events in ancient Egypt to constrain B to a less troublesome distribution. Still, for judgements to be sensitive to

<sup>&</sup>lt;sup>62</sup>The result holds for any Cauchy distribution with a 'scale factor' of at least  $\frac{v}{\epsilon}$ . See Section 5 of Tarsney, "Exceeding Expectations" and Luciano Pomatto, Philipp Strack, & Omer Tamuz, "Stochastic dominance under independent noise,"  $arXiv\ e\text{-}prints$ , arXiv:1807.06927 (2018).

the agent's beliefs about events in ancient Egypt  $at\ all$  is, I think, rather absurd—absurd enough that we should be willing to accept Fanaticism to avoid it.<sup>63</sup>

#### 6.2 The Indology Objection

But it gets worse. If you reject Fanaticism, you may also face what I'll call the *Indology Objection*.

For context, Indology is the study of the history and culture of India. In particular, classical Indology includes the study of the Indus Valley Civilisation, a Bronze Age civilisation which lasted from 3300 BCE to 1300 BCE. Althought less well-known and understood than its contemporaries—ancient Egypt and Mesopotamia—it spanned a greater area than either and rivalled them in population, technology, and urban infrastructure. We happen to know even less about what happened in the ancient Indus Valley than in ancient Egypt—archaeological research and excavations of key sites in India began centuries later than similar work in Britain, Italy, and Egypt. So there is likely plenty left to learn in Indology.

Take the same  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$  from above—lotteries such that the former is strictly better, and yet  $L_{\text{risky}}$  is no better than  $L_{\text{safe}}$ . Such lotteries must exist, if Fanaticism is false. But suppose that you are no longer uncertain about the events of ancient Egypt; instead, you are uncertain of what occurred in the ancient Indus Valley. Suppose that the lottery B in  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$  represents only those events.

But, in Indology, there is a great deal more work that can be done! Had you the option, you could spend many years researching the ancient Indus Valley, peeling back that uncertainty and narrowing down B. Let's suppose that with enough years of intensive research you could eventually remove that uncertainty entirely and determine the exact value of the events of the ancient Indus Valley—what value b the lottery B actually results in. If you did first do that research, you would no longer need to choose between  $L_{\text{risky}} + B$  and  $L_{\text{safe}} + B$ . Instead, you would choose between  $L_{\text{risky}}$  with b added to the value of every outcome and  $L_{\text{safe}}$  with b added.

Recall Background Independence from above. If it holds, then  $L_{risky}$  with any b added to

<sup>&</sup>lt;sup>63</sup>This version of the Egyptology Objection loosely resembles one which has been levelled against decision theories that violate the Sure-Thing Principle by, e.g., Ray Briggs, "Costs of abandoning the sure-thing principle," *Canadian Journal of Philosophy* 45.5 (2015): 827-40. It turns out that following such a decision theory in each of several consecutive, independent decisions will sometimes be inconsistent with following the theory when deciding on a strategy for all of those decisions at once. Essentially, the combination of two lotteries will sometimes be evaluated quite differently to how we evaluate each of those lotteries separately. And that is troubling. It is unclear whether we should evaluate such decisions together or apart, globally or individually. But the correct verdict depends on the way we do it.

<sup>&</sup>lt;sup>64</sup>See Rita Wright, *The Ancient Indus: Urbanism, Economy, and Society*, (Cambridge: Cambridge University Press, 2009).

every outcome is better than  $L_{\text{safe}}$  with the same b added if and only if  $L_{\text{risky}}$  is better than  $L_{\text{safe}}$ . And if it doesn't, as we saw above, we face an even more severe version of the Egyptology Objection. So we can safely assume that Background Independence holds.

From Background Independence we know that, whatever you might uncover in your research, you would conclude that the risky lottery is no better than the safe lottery. For any value of b you might pin down, you'll establish that it's no better to take the risky lottery. To judge otherwise would be to accept Fanaticism. So you know what judgement you would make if you simply learned more, no matter what it is you would learn. So why bother with the many years of research? Why not just update your judgement now and say that  $L_{\text{risky}} + B$  is no better than  $L_{\text{safe}} + B$ ? You cannot. You must accept that  $L_{\text{risky}} + B$  is strictly better, even though you can predict with certainty that you would change your mind if you knew more. To be able to change your mind you must go through those years of gruelling research and digging, even though you already know what judgement you will later conclude to be correct.

This ambivalence seems deeply irrational. Surely we can sidestep those years of research into how B turns out, and make the judgement required by every possible value of b. Surely rationality requires that we do so, rather than require that we do not. But, if we deny Fanaticism, we must accept this inconsistency—an inconsistency which, to me, seems far more absurd than simply accepting Fanaticism and even more absurd than the Egyptology Objection.  $^{6667}$ 

 $<sup>^{65}</sup>$ This claim somewhat resembles the principle of *Reflection* from Bas van Fraassen, "Belief and the will," *Journal of Philosophy* 81 (1984): 235-56. Reflection implies that, if you know that you would set the probability of some hypothesis to p whether you learned either proposition P or not-P, then its current probability should already be p.

<sup>&</sup>lt;sup>66</sup>Continuing from Footnotes 58 and 59, an analogue of the Indology Objection applies to averageism. (Analogues can also be constructed for other axiologies.) You might be facing two lotteries—one risky and one safe—in which you know that, whatever the outcome, an identical gift will be given to everyone in the world. And you're uncertain of just how much value that gift will bring, with your uncertainty corresponding to B. Then, as we saw above, there are some probability distributions for B that mean that you will judge  $L_{\text{risky}} + B$  as strictly better than  $L_{\text{safe}} + B$ ; but, if you opened the gift yourself and discovered what was inside, you already know that  $L_{\text{risky}}$  with the additional value b would be no better than  $L_{\text{safe}}$  with the additional value b. And this seems absurd, just as its analogue was for the totalist.

 $<sup>^{67}</sup>$ A closely related objection against rejecting Fanaticism is that, even if we do reject it, we will not be spared from many verdicts that seem deeply fanatical. As we saw in the case above, with the right uncertainty B about the value of unaffected events you must judge  $L_{\text{risky}} + B$  better than  $L_{\text{safe}} + B$ . In practice, will we often have the right uncertainty about unaffected events to lead us to judge otherwise extremely risky lotteries as better than otherwise safe ones? Tarnsey (in Section 6 of "Exceeding Expectations") argues that epistemically rational agents will for a wide range of cases, including many resembling  $L_{\text{risky}}$  and  $L_{\text{safe}}$  (where the probability  $\epsilon$  is at least 1 in  $10^9$ , and likely also a lot lower). His reasoning for this is that the moral value of distant events in our universe will be roughly correlated with the number of inhabited planets in the universe; and our cosmological evidence and the Drake equation together motivate a probability distribution over that number of inhabited planets which is relevantly similar to the above B. But even apart from that reasoning, an epistemically rational agent would still have some uncertainty over which probability distribution best characterises our cosmological evidence. So they would still put some non-zero credence in distributions of the right sort. And this is enough—when we take the probability-weighted average of many such distributions to get our overall distribution, it will then have the right properties (see pages 37-9 of "Exceeding Expectations" for details). Given this, Stochastic Dominance by

Taking stock of this section, we have further reasons to accept Fanaticism. If we deny it then we are forced to accept a version of the Egyptology Objection wherein the judgements of agents like us will be sensitive to their uncertainty about what occurred in ancient Egypt, even though their choices do not affect ancient Egypt at all. If we reject both Fanaticism and Background Independence, then we face an even more severe version of the Egyptology Objection: how such agents should compare their options will be sensitive to not just their uncertainty but also what actually occurred in ancient Egypt. And even if we accept Background Independence (as I think we should), if we try to reject Fanaticism then a bizarre form of inconsistency arises in how agents should compare their options—agents are sometimes forced to make judgements which are inconsistent with anything they might learn to resolve their uncertainty about far-off, unrelated events in the ancient Indus Valley. Any one of these implications—let alone any two of them—is absurd. In light of these, it seems far less appealing to deny Fanaticism.

#### 7 Conclusion

These are just some of the compelling arguments for accepting Fanaticism and, with it, fanatical verdicts in cases like Dyson's Wager. There are other compelling arguments too—for instance, all of the arguments for the stronger claims of expected value theory, or for expected utility theory with an unbounded utility function. A fortiori, these also justify Fanaticism.<sup>68</sup>

But some philosophers still reject expected value theory, and presumably the arguments for it.<sup>69</sup> But this is not enough to escape Fanaticism. As I have demonstrated here, there are compelling arguments in its favour—arguments stronger than many of those for expected value theory.

To recap, if we deny Fanaticism then we must deny either Minimal Tradeoffs or transitivity, and accept the counterintuitive verdicts that follow. Likewise, we must accept either: violations of Scale Independence; or absurd sensitivity to the tiniest differences in probabilities and value. So too, to deny Fanaticism, we must accept a version of the Egyptology Objection: for agents like ourselves, which judgement is correct can depend on our beliefs about what occurred in far-off, unrelated events, such as those in ancient Egypt. And, unless we wish to accept an even more severe version of the Egyptology Objection, we also face the *Indology* Objection: we must

itself will lead us to making judgements which seem fanatical. So we would gain little from rejecting Fanaticism. <sup>68</sup>For a sampling of these, I refer interested readers to: Feller, *An Introduction to Probability Theory and Its Applications*; Briggs, "Costs of Abandoning the Sure-Thing Principle"; and Thoma, "Risk Aversion and the Long Run".

<sup>&</sup>lt;sup>69</sup>Examples include: Buchak, *Risk and Rationality*; Smith, "Is evaluative compositionality a requirement of rationality?"; Monton, "How to avoid maximising expected utility"; Tarsney, "Exceeding Expectations".

sometimes make evaluations that we know we would reject if we learnt more, no matter what we might learn.

Given all of this, it no longer seems so attractive to reject Fanaticism. As it turns out, the cure is worse than the disease. I would suggest that it is better to simply accept Fanaticism and, with it, fanatical verdicts such as that in Dyson's Wager. We should accept that it is better to produce some tiny probability of infinite (or arbitrarily large) moral gain, no matter how tiny the probability, than it is to produce some modest finite gain with certainty.

This has implications for normative decision theory more broadly, at least dialectically. Philosophers often reject expected value theory because it implies Fanaticism, or because it implies fanatical verdicts in cases like Dyson's Wager. The arguments I have given here suggest that this rejection is a little hasty. Doing so invites greater problems than it solves. So I, for one, find expected value theory all the more plausible given that it implies Fanaticism. We have little choice but to accept Fanaticism, so we might as well accept expected value theory too.

 $<sup>^{70}</sup>$ For example: Monton, "How to avoid maximising expected utility"; Tarsney, "Exceeding Expectations".